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# Workshop on X-rays from electron beams

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**FORSCHUNGSZENTRUM ROSSENDORF**

WISSENSCHAFTLICH-TECHNISCHE BERICHTE



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Februar 2000

## **Workshop on X-rays from electron beams**

with special emphasis on possible developments at ELBE

Transparencies of the Workshop

Forschungszentrum Rossendorf, February 24 - 26, 2000

Editor: Harald Prade

# Workshop on X-rays from electron beams

with special emphasis on possible developments at ELBE

Presently, at the Forschungszentrum Rossendorf (FZR) the ELBE facility - a superconducting Electron accelerator of high Brilliance and low Emittance (ELBE) with a maximum electron energy of 40 MeV and a beam current of up to 1 mA - is under construction. The electron beam of ELBE is intended to drive different facilities for producing secondary radiation of various modalities as infrared photons, quasimonochromatic X-rays, polarized bremsstrahlung and photoneutrons. One of the main research objectives at ELBE will be dealing with the development of novel non-conventional X-ray sources for radiobiological applications.

Therefore, in the first part of the workshop latest investigations in the field of X-ray sources based on the electron-solid interaction like channeling, parametric X-ray and transition radiation, the influence of acoustic waves on the X-ray production and aspects of X-ray optics as well as the possibility of Compton backscattering off the ELBE electron beam have been discussed. The second part of the workshop has mainly been devoted to radiobiological investigations with monochromatic X-rays, but also the actual status of medical imaging with monochromatic X-rays has been presented.

Responsible for the scientific program and the local organization were W. Enghardt, E. Grosse, U. Lehnert, J. Pawelke, H. Prade and W. Wagner. The work in the Workshop Office including culinary service was done by Mrs. D. Hachenberger, J. Kerber and H. Römer.

The organizers have to thank their sponsors, the German Research Community (DFG), the Saxon State Ministry for Science and Art (SMWK) as well as the Executive Board of the Forschungszentrum Rossendorf for its essential financial support, which was very important for the success of the workshop.

On behalf of the Organizing Committee,

*Harold Prade*





# Workshop on X-rays from electron beams

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## Forschungszentrum Rossendorf (FZR) Institute of Nuclear and Hadron Physics

February 24 - 26, 2000  
Auditorium, Building 120, FZR

### Programme

#### Thursday, February 24

11:30 Registration and visits to ELBE (under construction)

(Chairman: H. Prade)

13:30 F. Pobell (FZR)

Welcome

14:00 N. F. Shulga (Kharkov)

Mechanism of coherent radiation by relativistic electrons

14:50 W. Wagner (FZR)

Quasi-monochromatic X-rays from ELBE

15:40 *Coffee break*

16:10 H. Backe (Mainz)

X-ray research with the 855 MeV electron beam at MAMI

17:00 P. Rullhusen (Geel)

Radiation produced by electrons interacting with flat and modulated surfaces

17:50 I. G. Grigorieva (Moscow)

Highly oriented pyrolytic graphite for X-ray bending and focusing

#### Friday, February 25

(Chairman: A. P. Potylitsin)

09:00 A. R. Mkrtchyan (Yerevan)

Investigation of channeling radiation under the influence of acoustic waves

09:25 A. H. Mkrtchyan (Yerevan)

Investigation of PXR under the influence of acoustic waves

09:50 H. W. Barz (FZR)

Compton backscattering of laser light off the ELBE electron beam

10:40 *Coffee break*

11:10 M. A. Piestrup (Stanford)

Compound refractive lenses for X-ray sources

12:00 H. Genz (Darmstadt)

Channeling radiation as a probe in the solid state  
plasma accelerator regime

13:00 *Lunch*

### Friday, February 25

(Chairman: W. Enghardt)

14:00 D. Frankenberg (Göttingen)

Relative biological effectiveness of X-rays for  
mammography

14:50 D. Harder (Göttingen)

Chromosome aberrations by soft and ultrasoft  
X-rays: Microdosimetric and radiobiological  
aspects

15:40 *Coffee break*

16:10 M. Hill (Oxfordshire)

Radiobiological investigations with mono-  
chromatic soft X-rays

17:00 B. D. Michael (Middlesex)

A focused soft X-ray microbeam for  
investigating the radiation responses of  
individual cells

17:50 W. Mondelaers (Gent)

Application of X-rays for medical purposes

18:45 *Buffet*

### Saturday, February 26

(Chairman: E. Grosse)

09:00 K. Kobayashi (Ibaraki)

Radiation biology at the KEK Photon Factory

09:45 W. Thomlinson (Grenoble)

Synchrotron medical imaging: From amplitude  
to phase

10:30 *Coffee break*

10:50 S. Fiedler (Grenoble)

Current status of the coronary angiography  
project at ESRF

11:20 D. Hermsdorf (Dresden)

Biological experiments with low energy charged  
particles

11:50 A. Panteleeva (FZR)

Cell survival studies with X-rays

12:10 J. Pawelke (FZR)

A device for cell irradiation with low energy  
quasi-monochromatic photons at ELBE

12:30 H. Backe/D. Harder/  
W. Thomlinson/W. Enghardt

Closing remarks

*Lunch*

**Production of Quasi-Monochromatic  
X-Rays from Electron Beams**

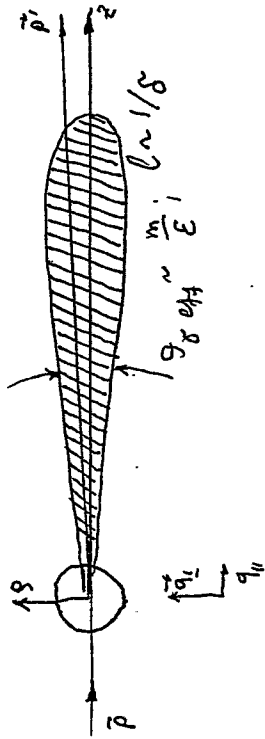
*N. F. Shulga:*

**Mechanism of coherent radiation by  
relavistic electrons in crystals**

Coherent length  
(Ter-Mikaelian 1952)

$$d\sigma \sim \int \frac{dq_{\parallel}}{q_{\parallel}^2} \int dq_{\perp} q_{\perp}^2 |Uq|^2$$

$$q_{\parallel} \geq \delta = \frac{\omega m^2}{2EE'}$$



$$Z_{eff} \sim \delta^{-1} = \frac{2EE'}{m^2\omega}$$

$$S_{eff} \leq R_{T-F}$$

for high energy ( $E \rightarrow \infty$ )  
 $Z_{eff} \sim \frac{2EE'}{m^2\omega} \gg S_{eff} \sim R_{T-F}$

- Coherent length

$$l_c = \frac{2EE'}{m^2\omega}$$

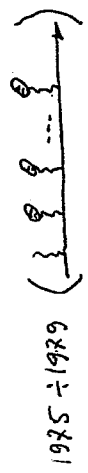
CB at regular and chaotic motion (1992, ...)

dynamical chaos (1986, ...)

Baier et al, 1983.  
Kimball, Cae, 1983

const. field approx. Tikhomirov 1982  
(synchrotron approx) Shulyga, 1980.

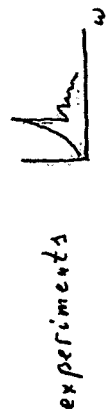
above-barrier radiation (Akhiezer et al 1977)  
channeling (Kisimatov, 1976)



Morokhovskii et al (1972)

Bermann et al (1970, 1972)

Akhiezer, P. Fomin, M. Shul'ga (1970)



experiments

Überall  
Ter-Mikaelian (loch)  
Ferretti

Bethe - Heitler (d\sigma\_{BH})

Processes in crystals

$e^+e^-$

1960  
1956  
1953  
1950

1933

SLAC experiment 1994.

Processes in amorphous media



Migdal (1959)

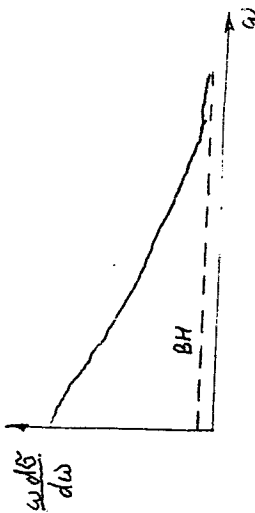
Lan'kan, Pomeranchuk (1953)

1950

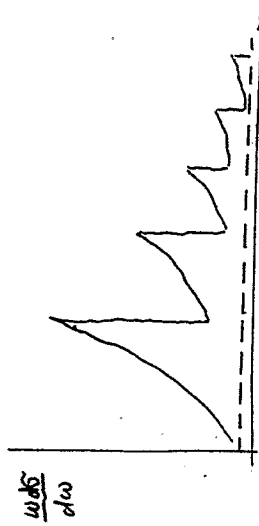
Coherence length.

(Ter-Mikheleian (1953) — crystal  
Landau-Pomeranchuk (1953) — amorphous media)

$$l_c = \frac{2E\epsilon'}{m\omega}$$



Coherent effect.



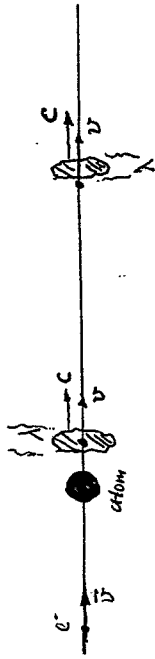
coherence + interference.



At  $E = 500$  Gev for  $\omega \sim \frac{E}{2}$

$$l \sim 10^{-4} \text{ km}$$

RADIATION

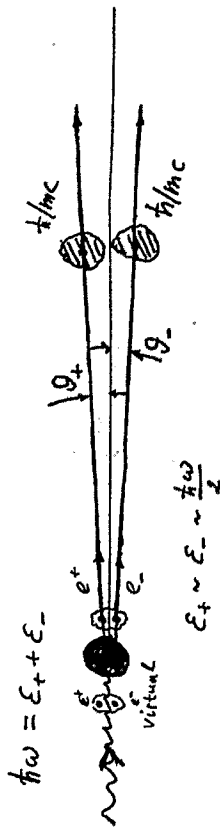


$$v_{\text{relat.}} \sim c - v$$

$$\lambda = \Delta t \cdot v_{\text{relat.}} = \Delta t(c - v) = \frac{\Delta t c}{\gamma^2}$$

$$l_c = c \Delta t \sim \lambda \cdot \gamma^2$$

PAIR PRODUCTION



$$\epsilon_+ \sim \epsilon_- \sim \frac{\hbar\omega}{2}$$

$$q_{\pm} \sim \frac{m c^2}{\epsilon_{\pm}}$$

$$l_{\pm} \sim l_{\pm}(q_+ + q_-) \sim l_{\pm} \frac{m c^2 \hbar \omega}{\epsilon_+ \epsilon_-}$$

$$l_{\pm} \sim \frac{2 \epsilon_+ \epsilon_-}{m^2 c^4 \omega} \sim \frac{\omega}{m^2}$$

Frish, Olsen. Phys. Rev. Lett. 3 (1959) 396  
Akhiezer, Shul'gin. Sov. Phys. Usp. 30 (1988) 192

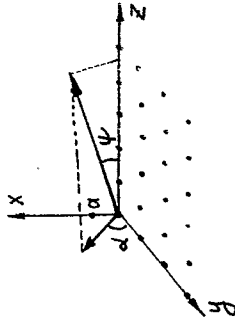
Coherent Bremsstrahlung

(Ferretti 1950, Ter-Mikaelian 1952, Überall 1956, 1960)



$$U(\vec{r}) = \sum_n u(\vec{r} - \vec{r}_n)$$

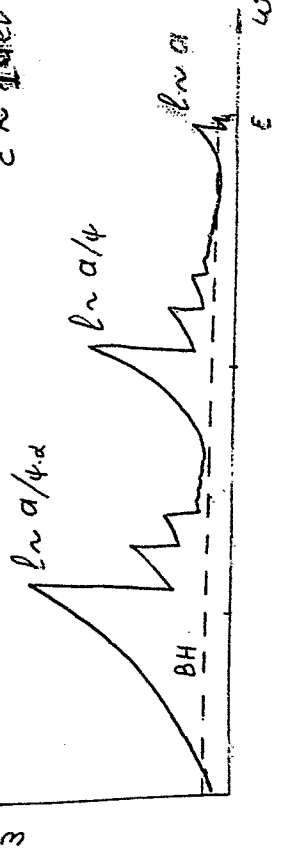
$$d\vec{\omega}_{atom} \sim \dots \int d^3q \dots \rightarrow d\vec{\omega}_{crystal} \sim \dots \sum_g$$



A.I. Abkhizer, N.F. Shul'gin  
High Energy Electrodynamics in matter.  
Gordon and Breach (1998)  
(Nauka, Moscow, 1993).

$$l_{coh} = \frac{2\epsilon\epsilon'}{m^2\omega}$$

- $\psi \ll R/\alpha$
- $d \ll 1$
- $\epsilon \sim 10^4$



Abkhizer, Shul'gin, Sov. Phys. Usp., 1982.

$$l_{coh} \approx \frac{2\epsilon\epsilon'}{m^2\omega} \sim d$$

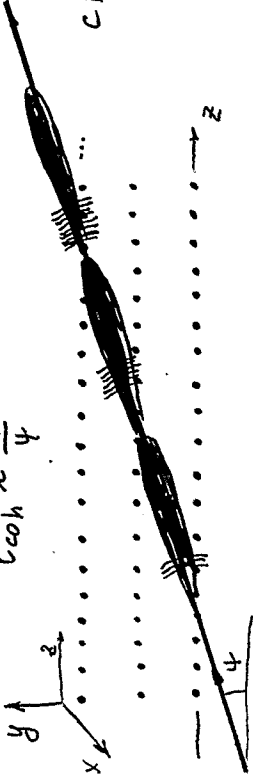
CB-type B



$$g_z = \frac{2\pi}{\alpha} = \frac{\omega m^2}{2\epsilon\epsilon'}$$

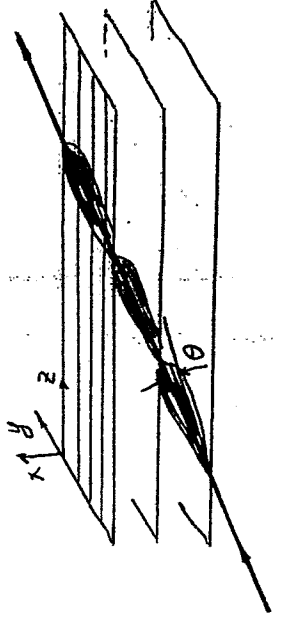
$$l_{coh} \sim \frac{\alpha}{\psi}$$

CB-row effect



$$\psi g_y = 4 \cdot \frac{2\pi}{\alpha} = \frac{\omega m^2}{2\epsilon\epsilon'}$$

$$l_{coh} \sim \frac{\alpha}{\theta}$$



CB-point effect

$$\theta g_x = \theta \cdot \frac{2\pi}{\alpha} = \frac{\omega m^2}{2\epsilon\epsilon'}$$

$$\theta \approx \psi, \alpha \ll 1$$

Amplitude of coherent bremsstrahlung

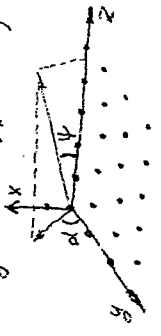
$$\frac{d\sigma_{coh}}{d\omega} = \frac{ze^2}{m^2} \frac{\delta}{\Delta} \frac{E}{E} \sum \frac{g_i}{g} \left[ 1 + \frac{\omega^2}{2EE'} - 2 \frac{\delta}{g_{||}} \left( 1 - \frac{\delta}{g_{||}} \right) \right] |u_g|^2 e^{-\eta^2 g_{||}^2}$$

$$g_{||} \gg \delta$$

Positions of coherent maxima.

$$g_{||} = g_z + 4(g_y \cos \alpha + g_x \sin \alpha) = \delta = \frac{\omega m^2}{2E(E-\omega)}$$

$$g_z = \frac{2\sqrt{1-\alpha^2}}{\alpha} m^2$$



1.  $g_z = \frac{2\sqrt{1-\alpha^2}}{\alpha} m^2 \neq 0$  CB type A  $g_y = \delta$

2.  $g_z = 0, g_y \neq 0, \alpha < 1$  the row effect  $\psi_{g_y} = \delta$

3.  $g_z = 0, g_y = 0, \alpha \ll 1$  the point effect  $\psi_{g_x} = \delta$

thin structure of CB.

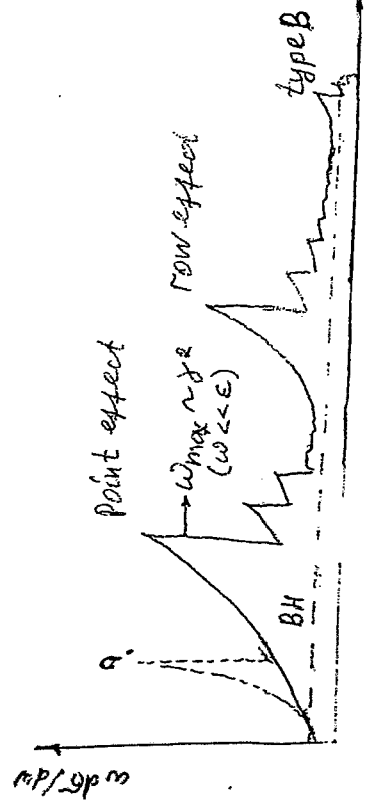


Fig. 3.7. Bremsstrahlung intensity spectrum in a diamond crystal measured by the Frascati group ( $E_0 = 1$  GeV,  $\theta = 4.6 \pm 0.1$  mrad,  $\alpha = 0.0^\circ$ ). The dotted curve is the calculated spectrum

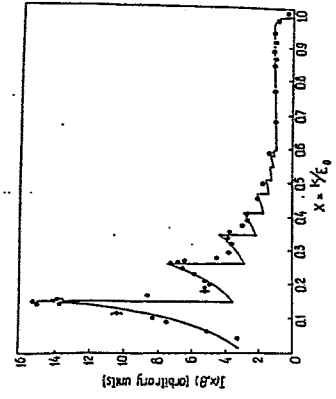


Fig. 3.8. CB intensity (a) and polarization (b) in a diamond crystal for  $E_0 = 4.8$  GeV,  $\theta = 3.44$  mrad,  $\alpha = 0^\circ$  (DESY data). Theoretical spectra were calculated with a Hartree screening potential (—) and an exponential potential (---)

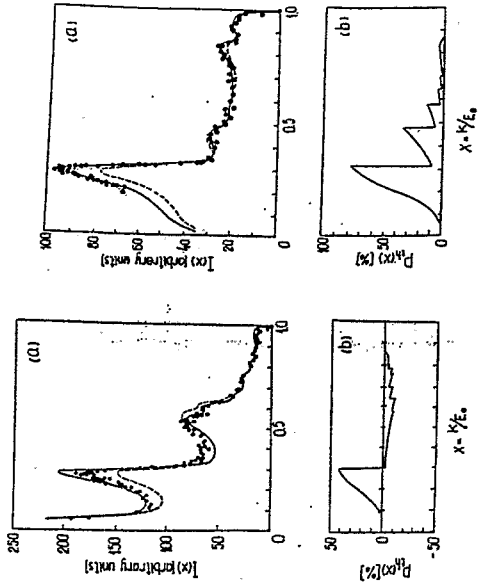
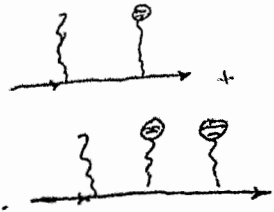


Fig. 3.9a,b. The same as in Fig. 3.8a,b for diamond-crystal orientation angles  $\theta = 50$  mrad,  $\alpha = 1.5^\circ$



$e^+, e^-$  - dependence of CB  
(1969-1972)



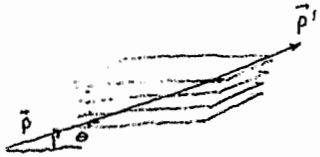
Theory

- A. Akhiezer, P. Fomin, N. Shul'ga  
(JETP Lett. 1970)

$$\left(\frac{ze^2}{hc}\right)^2$$

$$d\sigma_{coh} = d\sigma_{coh}^{(Born)} \cdot \left(1 + \frac{e}{|e|} z \frac{R}{a} \frac{ze^2}{\epsilon a \theta^2} + \dots\right)$$

$$\left(\frac{R}{a} \frac{ze^2}{\epsilon a \theta^2} \sim \frac{\theta_{ch}^2}{\theta^2}\right) !!!$$



Experiment:

- R. Walker, B. Bermann et al.  
(PRL. 1970; Phys. Rev. A 11, 1972)
- V.L. Morokhovskii, G. Kovalenko et al.  
(JETP Lett. 1972)

CHANNELING OF POSITRONS OF 1 GeV ENERGY

V.L. Morokhovskii, G.D. Kovalenko, I.A. Grishaev, A.N. Fisun, V.I. Kasilov,  
B.I. Shramenko, and A.N. Krinitsyn  
Physico-technical Institute of the Ukrainian Academy of Sciences  
Submitted 30 June 1972  
ZhETF Pis. Red. 16, No. 3, 162 - 164 (5 August 1972)

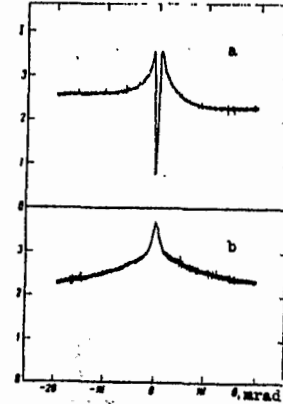


Fig. 1. Bremsstrahlung energy flux vs. angle between the direction of the beam and the silicon [110] crystal axis: a - positrons, b - electrons.

# Semiclassical theory of CB (eikonal, WKB approx.)

CHANNELING AND COHERENT BREMSSTRAHLUNG EFFECTS FOR RELATIVISTIC POSITRONS AND ELECTRONS\*

R. L. Walker  
Department of Applied Science, University of California, Davis, California 95616

and  
B. L. Berman, R. C. Der, T. M. Kavanagh, and J. M. Khas  
Lawrence Radiation Laboratory, University of California, Livermore, California 94550  
(Received 10 December 1968; revised manuscript received 27 April 1970)

VOLUME 25, NUMBER 1

PHYSICAL REVIEW LETTERS

6 JULY 1970

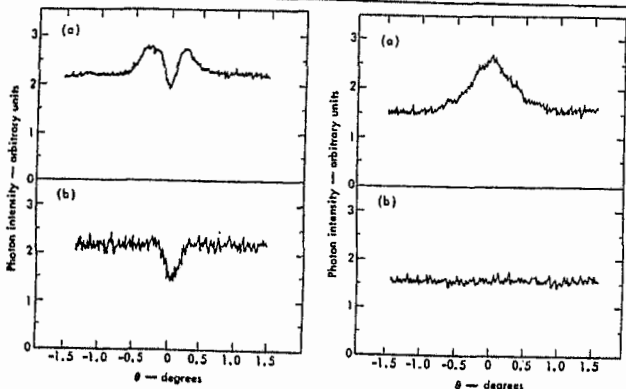


FIG. 2. (a) Forward (0.1 deg) bremsstrahlung detected by the ionization chamber, for 28-MeV positrons incident on a 63- $\mu$ m silicon crystal.  $\theta$  is the angle between the beam axis and the (110) crystallographic direction. (b) Similar data, but with a 1-in. lead absorber between the crystal and the detector. Curves are normalized to equal off-channel values.

FIG. 3. (a) Forward (0.1 deg) bremsstrahlung detected by the ionization chamber, for 28-MeV electrons incident on a 63- $\mu$ m silicon crystal.  $\theta$  is the angle between the beam axis and the (110) crystallographic direction. (b) Similar data but with a 1-in. lead absorber between the crystal and the detector. Curves are normalized to equal off-channel values.

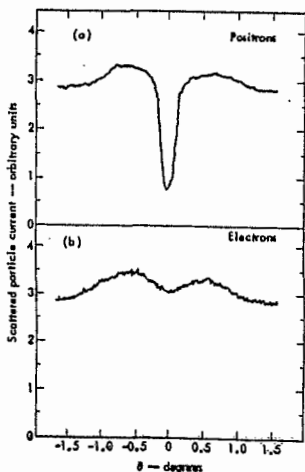
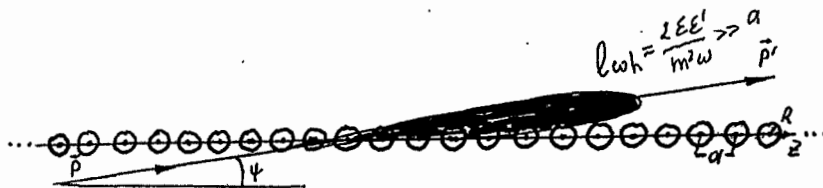


FIG. 1. Scattering of 28-MeV (a) positrons and (b) electrons into an angular range 0.8 to 1.6 deg with respect to the beam. Data are for a 42- $\mu$ m silicon crystal, and  $\theta$  is the angle between the (111) crystallographic direction and the beam axis. Curves are normalized to equal off-channel values. Our best scattering data, for the (111) direction of a 19- $\mu$ m silicon crystal, showed a channeling minimum that was  $\frac{1}{2}$  the off-channel value.



$$Z|e| \rightarrow Q_{\text{eff}} \sim N_c Z|e|$$

$$N_c \sim \min\left(\frac{l_{\text{coh}}}{a}, \frac{R}{4a}\right)$$

$$\frac{Ze^2}{hc} \ll 1$$

$$N_c \frac{Ze^2}{hc} \sim \frac{R}{4a} \frac{Ze^2}{hc} \ll 1$$

The validity condition of Born approx. was not fulfilled in a number of experiments, which was performed to verify the Born theory of Coherent radiation. But the prediction of the Born theory CB were in good agreement with experiments when

$$\frac{R}{4a} \frac{Ze^2}{hc} \approx 1$$

Why?

A. Akhiezer, V. Baldyshev, N. Shul'ga (1975).

Etanol, quasi-classical, classical approximation

$$\frac{RZe^2}{44\alpha\hbar c} \gg 1$$

$$\psi \ll 10^{-2} \text{ rad}$$

$\hbar \rightarrow 0!$

$$d\sigma_{\text{coh}}^{(WKB)} \approx d\sigma_{\text{coh}} \cdot \left( 1 + O\left(\frac{\psi^2}{\psi^2}, \gamma \cdot \theta\right) \right)$$

1.  $\psi \gg \psi_c \rightarrow$  trajectory is close to rectilinear
2.  $\gamma \cdot \theta \ll 1 \rightarrow$  dipole approximation.

channeling (finite) or above-barrier (infinite) motion particles in the field of atomic strings.

$$\psi \lesssim \psi_c$$

Synchrotron approximation

$$l_c \ll \frac{R}{4}$$

$$l_c \gg \frac{R}{4}$$

velocity changes in jump approx.

N. Shul'ga  
JETP Lett.  
1980, v.32,  
p.166.

$$\frac{d\vec{p}}{dt} = -\vec{\nabla} U(\vec{r})$$

$$U(\vec{r}) \rightarrow U(x,y) = \frac{1}{L_z} \sum_{-\infty}^{\infty} \sum_n U(\vec{r} - \vec{r}_n)$$

J. Lindhard (1965)

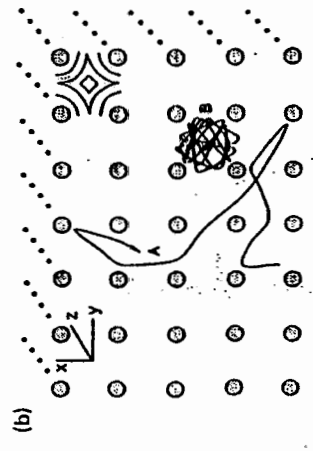
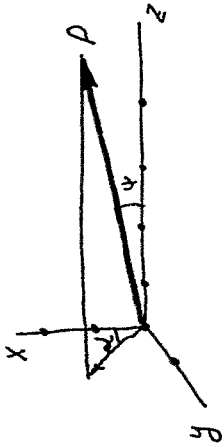


Fig. 4. Motion of a fast positively charged particle (a) in the field of a single atom string and (b) in the periodic field of atom strings of a diamond crystal in the plane orthogonal to the (100) axis.

$$\frac{d\vec{p}}{dt} = -\nabla U(x,y)$$

$$\left. \begin{aligned} p_z &= \text{const} \\ \ddot{z} &= -\frac{1}{\epsilon} \vec{\nabla} U(x,y) \\ p_z &\gg p_L \end{aligned} \right\}$$

Continuous string potential in the theory of coherent radiation.

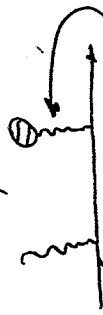


$$g_{||} \approx g_z + \psi (g_x \cos \alpha + g_y \sin \alpha)$$

for  $\psi \ll 1$  only  $g_z = 0$ ! give the major contribution in  $d\sigma_c$

$$d\sigma_c \sim \frac{d\omega}{\omega} \sum_{g_x, g_y} \frac{g_x^2}{g_H^2} |Ug|^2 (1 + \dots)$$

Reviews:   
 S. I. Khmel'nik (1968)   
 M. Ter-Mikaelyan (1969)   
 U. Timm (1971)



$$U(x,y) = \frac{1}{L_z} \int_{L_z} dz \sum_n U(r-r_n)$$

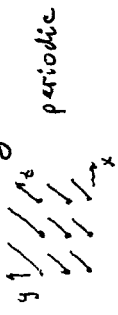
Continuous string potential!

$$g_z = 0$$

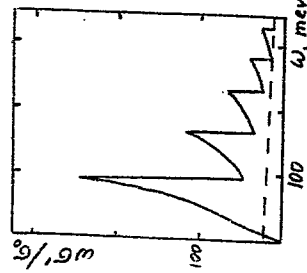
A. Akhiezer, N. Shul'gin   
 Sov. Phys. Usp. 30 (1982) 192

M. Ter-Mikaelian (1952) JETP v. 25.   
 H. Überall (1960) Z. Naturforsch. A 17.

$$d\sigma_{coh} \rightarrow \dots \sum \bar{g}$$



periodic



$g_z = 0$

$\epsilon = 1 \text{ GeV}$ ,  $S_i \ll 100$ ,  $\psi = 2 \cdot 10^{-3} \text{ rad}$ .

Born approx.

Semiclassical and classical CB

$$d\sigma_{coh} \{ \vec{r}(t) \}$$

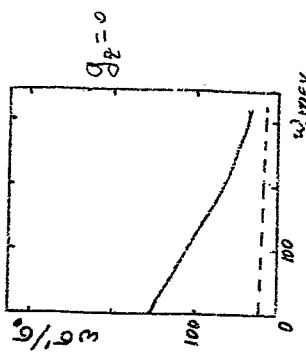
Regular motion

Dynamical chaos   
 Random string approx.

$$d\sigma_{coh} \rightarrow \dots \sum_{g_z} \int dq_x dq_y \dots$$



random



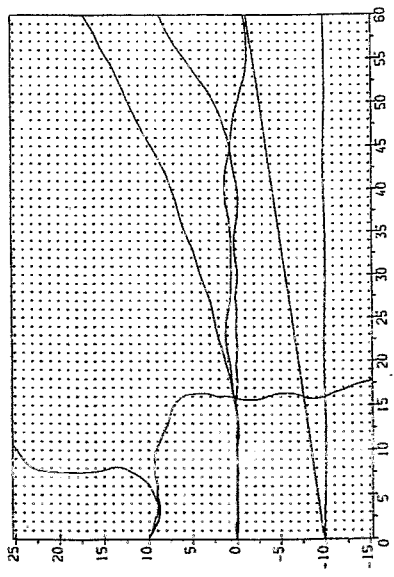
$g_z = 0$

Akhiezer et al. Sov. Phys. Usp. (1982) Phys. Rep. (1981)

Überall (1956)   
 Phys. Rev. v. 103.

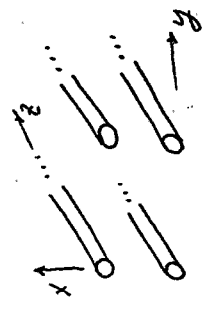
# Character of the particle motion in crystal.

- Regular motion
- Dynamical chaos phenomenon



$\psi = \psi_c$   
 $\psi = 2\psi_c$   
 $\psi = 10\psi_c$

Let us consider the motion in continuous string potential.



$U(x, y)$  - two-dimensional periodic field.

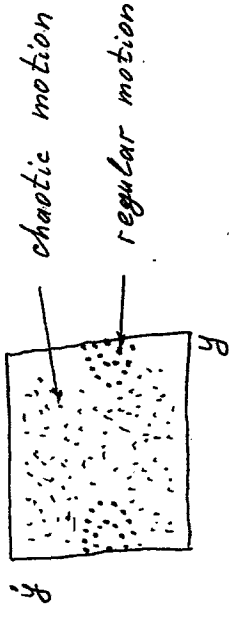
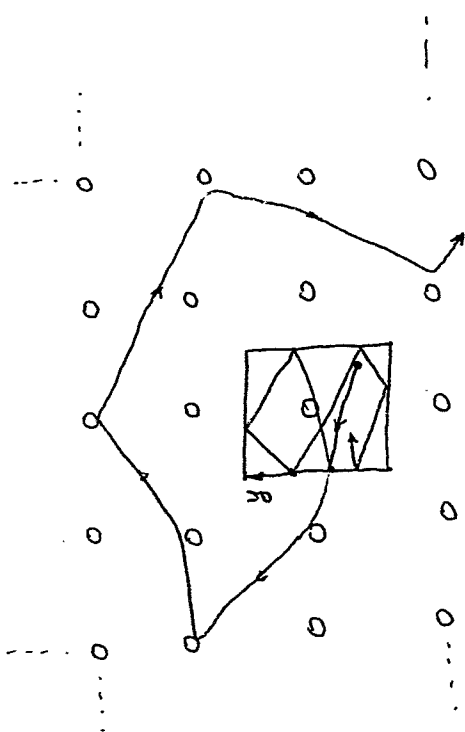
$$\ddot{\vec{s}} = -\frac{1}{E} \frac{\partial}{\partial \vec{s}} U(\vec{s}), \quad p_z \gg p_\perp.$$

The character of motion is determined by a number of integrals of motion.

The motion can be regular and chaotic.

Dynamical chaos phenomenon ...  
 A.I. Akhiezer, N.F. Shul'ga, V.I. Truten' *ibid.* 1990. v. 203. p. 289-343.

Poincare map for above-barrier motion.



Results:  
 character of motion (regular or chaotic)  
 being appreciably dependent on  
 a) initial conditions,  
 b) charge sign,  
 c) relation between  $\psi$  and  $\psi_c$  ( $\epsilon_L$  and  $U_0$ )

Measurement of the linear polarization of channeling radiation  
 in silicon and diamond

M. Rzepka, G. Buschhorn, E. Diedrich, R. Kothaus, W. Kufner, W. R501, K. H. Schmidt  
 Max-Planck-Institut für Physik (Werner-Heisenberg-Institut), 80805 München, Germany

P. Hoffmann-Stascheck, H. Genz, U. Nething, A. Richter  
 Institut für Kernphysik, Technische Hochschule Darmstadt, 61289 Darmstadt, Germany

J. P. F. Sellschop  
 University of the Witwatersrand, 2050 Johannesburg, South Africa

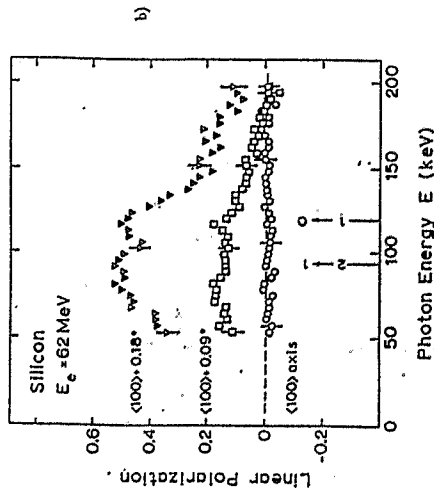
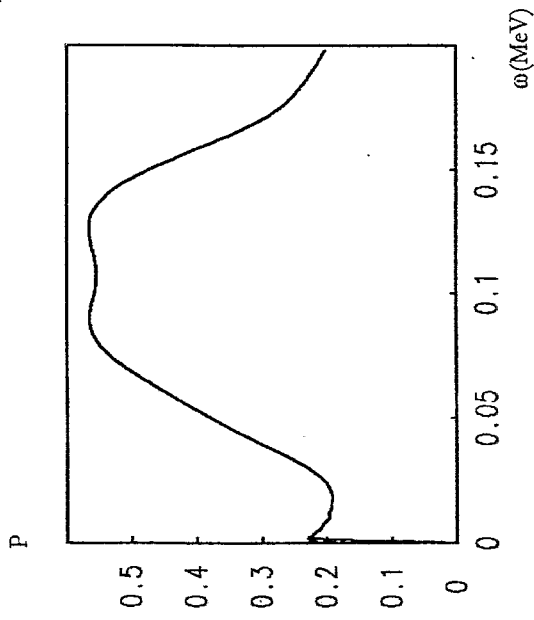
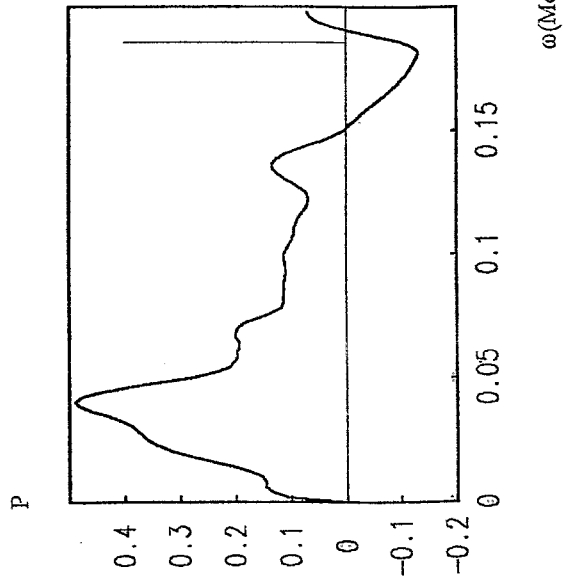


FIG. 2. a) Azimuthal distributions of the integral yield of  $\theta = 90^\circ$  Compton scattered axial channeling radiation produced with the silicon (100) axis exactly aligned (circles) and for two different tilt angles ( $0.09^\circ$  and  $0.18^\circ$ ) in the (110) plane. The curves show fits of  $\cos^2 \psi$  distributions to the data. b) Energy dependence of the linear polarization of axial channeling radiation for the (100) axis exactly aligned (circles) and tilted by  $0.09^\circ$  and  $0.18^\circ$ .

Picture 9



Si  $\Psi=2\Psi_c$  to  $\langle 100 \rangle$  aligned to (110)  $E=62\text{MeV}$



Si  $\Psi=2.42\Psi_c$  to  $\langle 100 \rangle$  aligned to (100)  $E=32\text{MeV}$

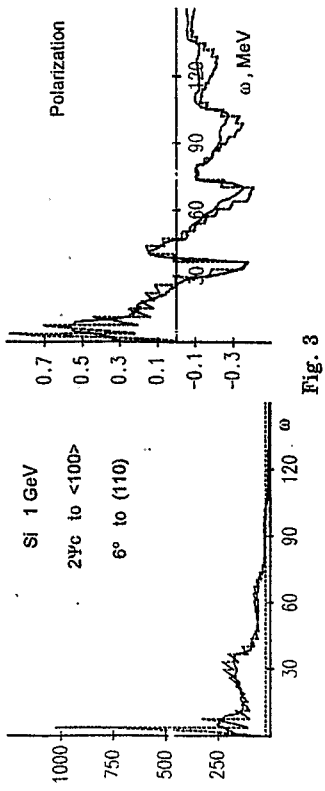


Fig. 3

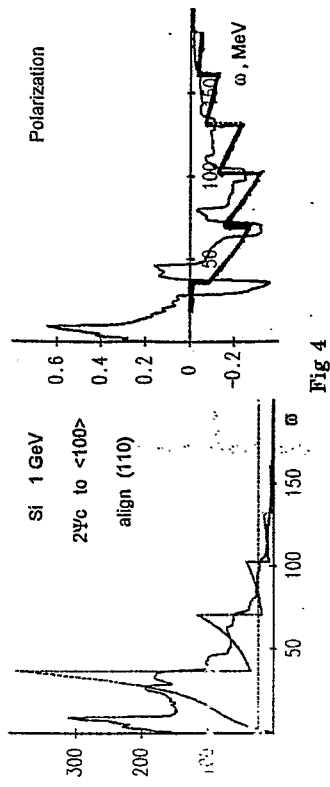


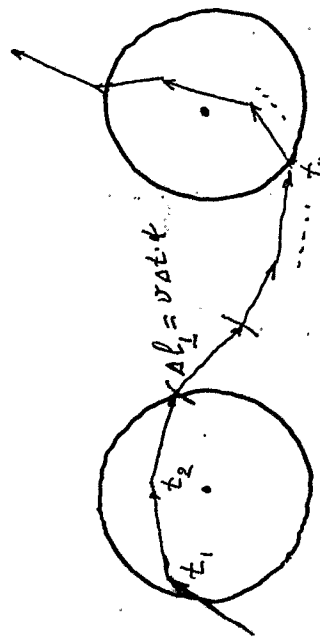
Fig 4

- off  $\omega$  ( $\frac{2R}{\psi} \approx l_c = \frac{2\sigma^2}{\omega}$ )
- polarization and spectrum
- averaged on different trajectories
- test

$$\frac{dE}{d\omega} = 2\pi e^2 \omega \int_0^\infty \frac{dq}{q^2} \left[ 1 - 2\frac{\delta}{q} \left( 1 - \frac{\delta}{q} \right) \right] (|W_x|^2 + |W_y|^2),$$

$$\rho = \frac{1}{dE/d\omega} \int_0^\infty \frac{dq}{q^2} \frac{\delta^2}{q^2} (|W_x|^2 - |W_y|^2).$$

$$\vec{W}_{x,y}(q) = \int_{-\infty}^\infty dt \vec{v}_{Lx,y} e^{iqt}$$



$$\Delta l_1 \ll l_{coh} = 2\pi^2/\omega$$

$$\rightarrow \sum_n \Delta t_n \vec{v}_{L,n} e^{iqt_n}$$

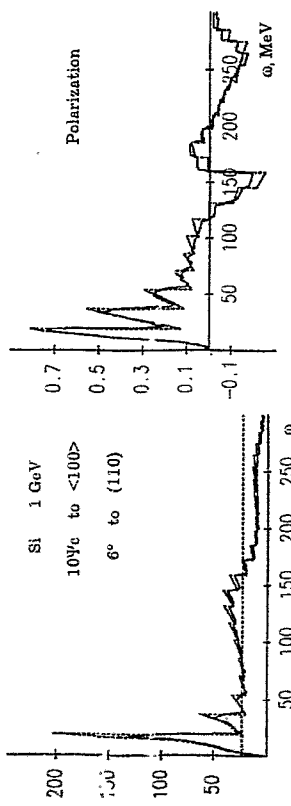


Fig. 1

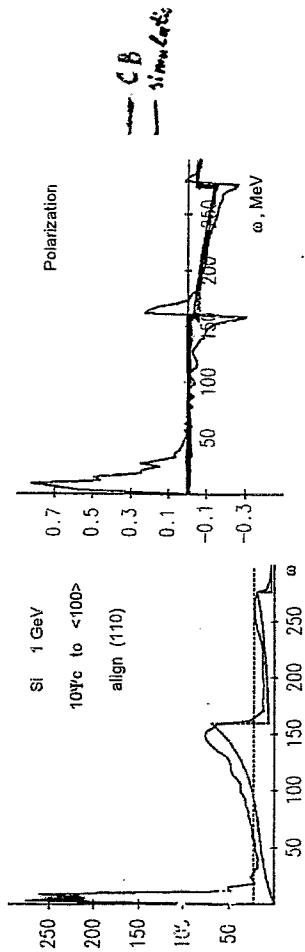


Fig. 2



Fine structure of CB spectra

$$g_{11} = g_z + 4(g_y \cos \alpha + g_x \sin \alpha) = \delta = \frac{\omega m^2}{2E(E-\omega)}$$

1.  $g_z = 0$ .
2.  $g_z = 0, g_y \neq 0$ .
3.  $g_z = g_y = 0, g_x \neq 0, \alpha \ll 1$ .
4. Large value of the  $|\vec{g}|$ ,  
 $g_z = 0, g_y = -\frac{2\sqrt{E}}{\alpha}, g_x = 5 \cdot \frac{2\sqrt{E}}{\alpha}, \alpha \approx \frac{1}{5} + \beta$ .

$$g_{11} = 4(g_y + \alpha g_x) = \delta$$

$$g_{11} = 4\left(-\frac{2\sqrt{E}}{\alpha} + \left(\frac{1}{5} + \beta\right) \cdot 5 \frac{2\sqrt{E}}{\alpha}\right) \approx 4\beta g_x = \delta$$

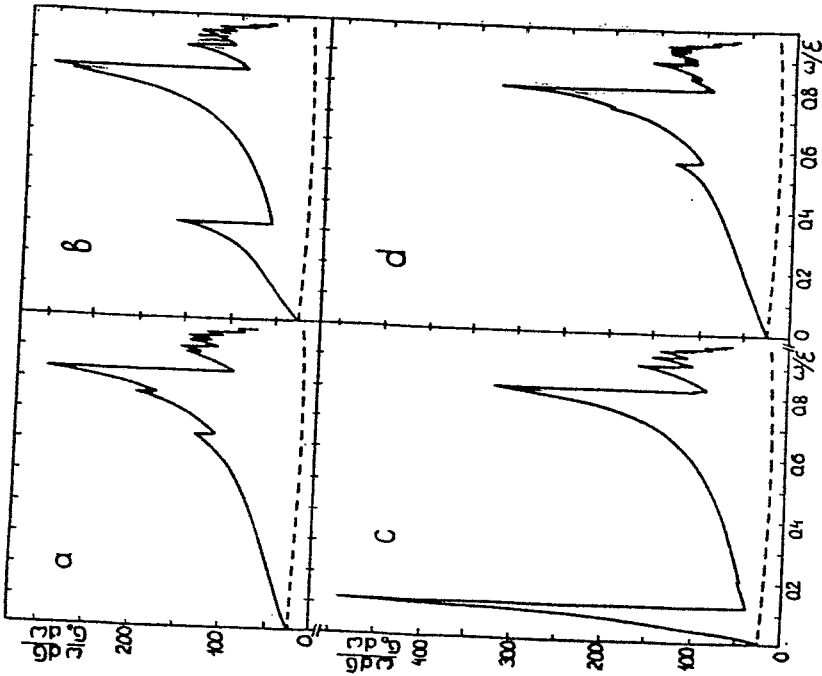
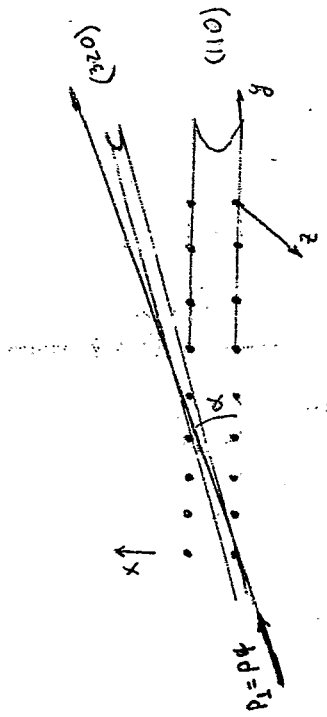


Fig. 1. Coherent radiation spectrum of 150 GeV electrons in a diamond crystal at  $\psi = 10^{-3}$  rad and  $\sin \alpha = 0.21$  (a),  $\sin \alpha = 0.2$  (b),  $\sin \alpha = 0.195$  (c),  $\sin \alpha = 0.185$  (d). ( $\sigma_0 = Z^2 e^6 / m^2$ ).



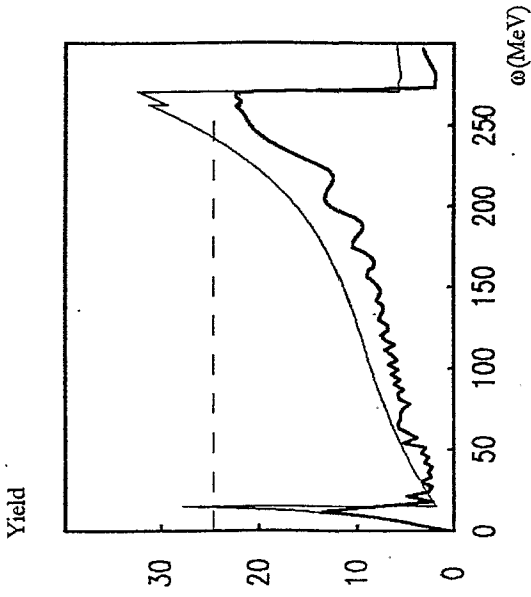


Fig. 1. Coherent radiation spectrum of 150 GeV electrons in a diamond crystal at  $\psi = 2 \times 10^{-3}$  rad and  $\alpha = 0.199$ . Solid line - simulation results; dashed line - calculations by Eq. (1),  $\sigma_0 = Z^2 e^6 m^{-2}$ .

Meth. in Phys. Res. B 119 (1996) 55-58

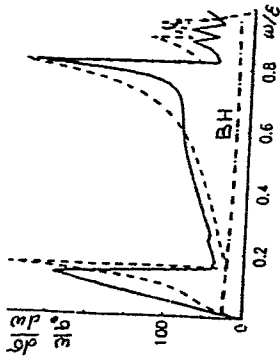


Fig. 2. Polarization of coherent radiation of 150 GeV electrons in a diamond crystal at  $\psi = 2 \times 10^{-3}$  rad and  $\alpha = 0.199$ .

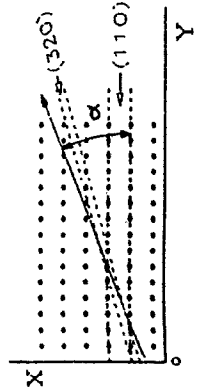
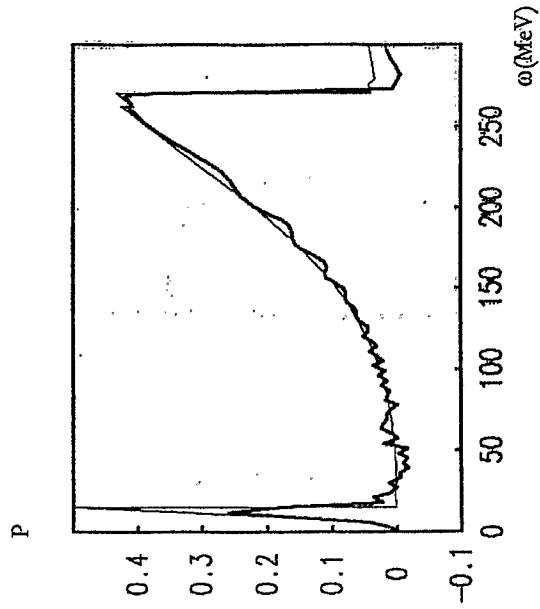
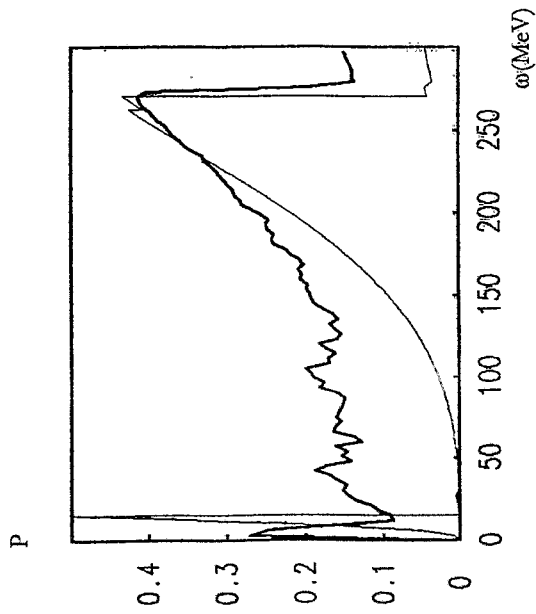
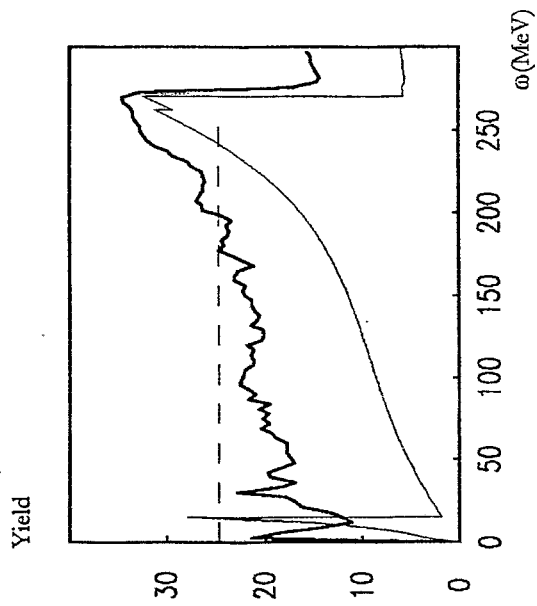


Fig. 3. Trajectory of 150 GeV electrons in a diamond crystal in the plane orthogonal to the  $\langle 001 \rangle$  axis.



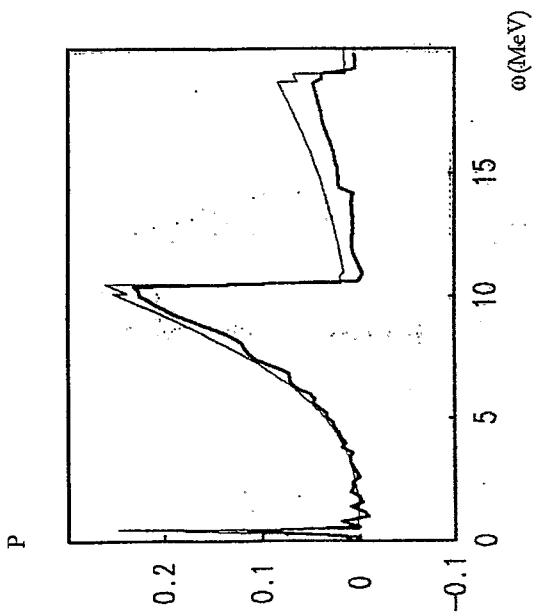
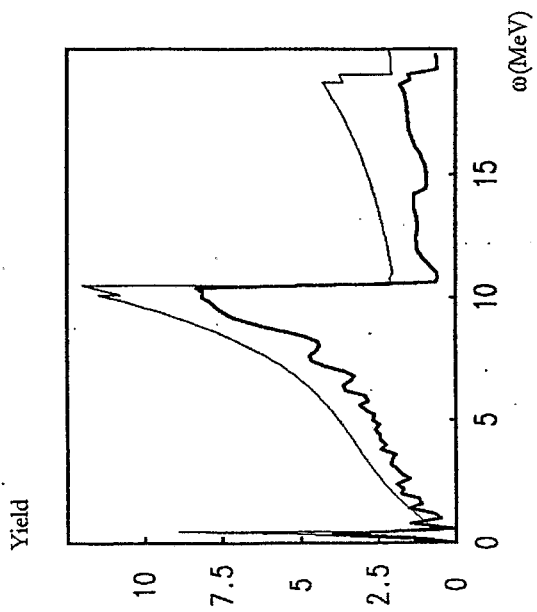
Si:  $\Psi = 80 \Psi_c$  to  $\langle 100 \rangle$ ;  $\alpha = 199 \text{ mrad}$  to  $(110)$   $E = 1 \text{ GeV}$  without thermal vibrations

Picture 1



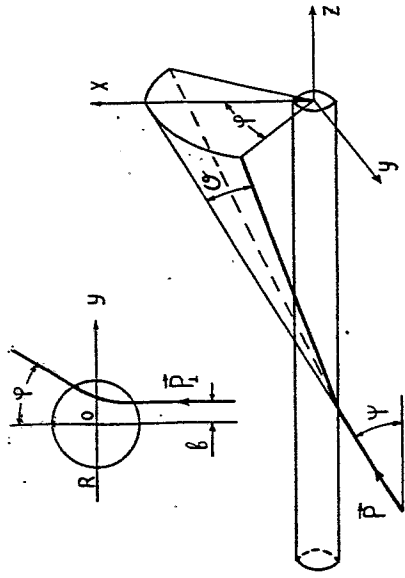
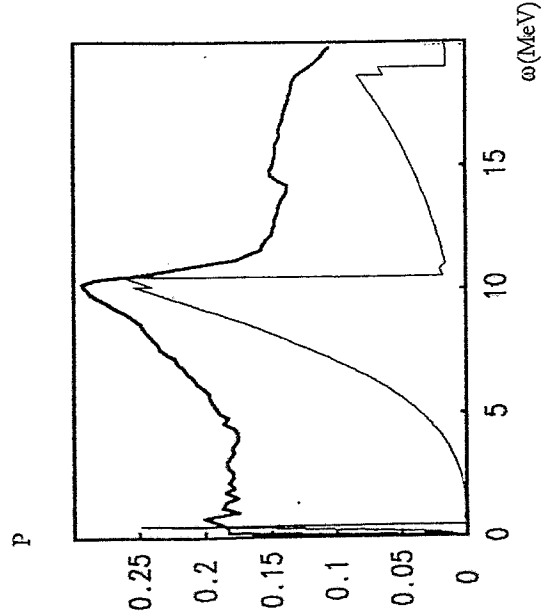
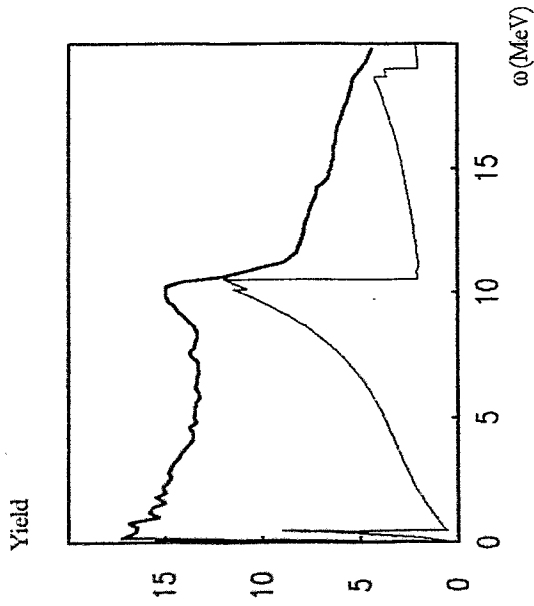
Si  $\Psi=80\Psi_c$  to  $\langle 100 \rangle$   $\alpha=199\text{mrad}$  to (110)  $E=1\text{GeV}$   
with thermal vibrations

Picture 6



Si  $\Psi=80\Psi_c$  to  $\langle 100 \rangle$   $\alpha=199\text{mrad}$  to (110)  $E=100\text{MeV}$   
without thermal vibrations

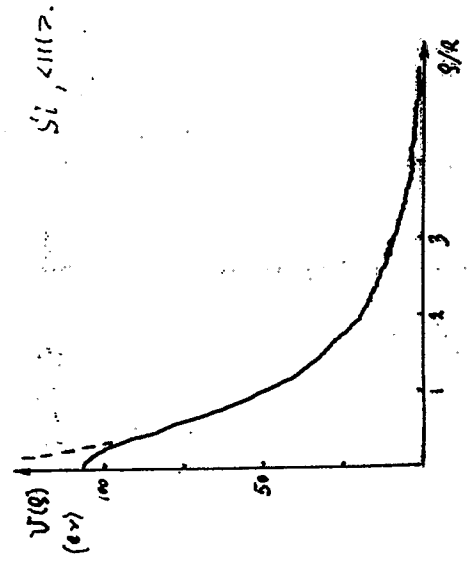
Picture 2



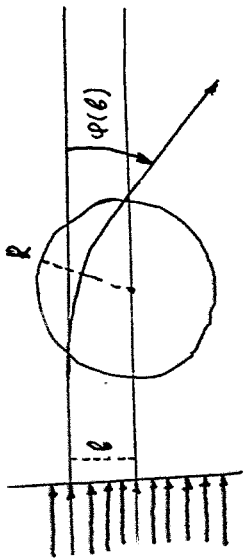
$$\cdot \vartheta = \dots 4 \cdot 2 \sin\left(\frac{\varphi(\beta)}{2}\right)$$

$$\varphi(\beta) = 5\pi - 2\beta \int_0^{\infty} \frac{dg/g^2}{\sqrt{1 - \frac{V_r(g)}{E_1} - \frac{\beta^2}{g^2}}}$$

$$E_1 = \frac{\epsilon_4^2}{2}$$



Si  $\Psi=80\Psi_c$  to  $<100>$   $\alpha=199\text{mrad}$  to  $(110)$   $E=100\text{MeV}$   
with thermal vibrations



$$U(s) = \begin{cases} -\frac{V_0}{s} + \frac{V_0}{R}, & s \leq R \\ 0, & s > R \end{cases}$$

Classical theory

$$\varphi(\theta) = \pi - 2\theta \int_{s_0}^{\infty} \frac{ds}{s^2} \frac{1}{\sqrt{1 - \frac{V(s)}{\epsilon_1} - \frac{\theta^2}{s^2}}}$$

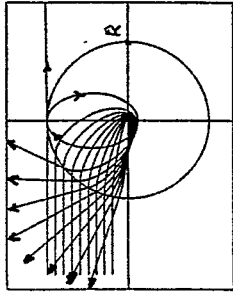
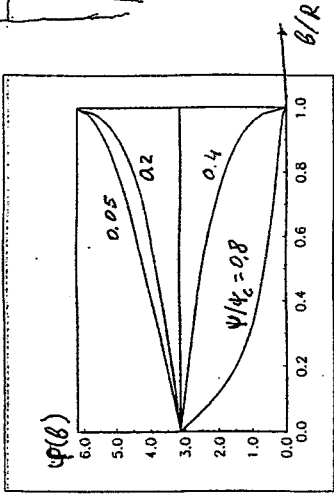
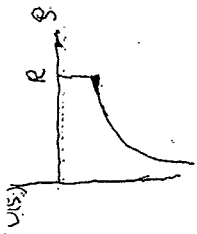
$$\frac{d\varphi}{d\theta} = L4 \left| \frac{d\varphi}{d\theta} \right|^{-1}$$

Quantum theory

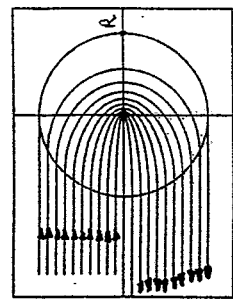
$$\frac{d\sigma_{\eta}}{d\varphi} = \frac{L4}{2\pi P_1} \left| \sum_{l=-\infty}^{\infty} e^{il\varphi} (e^{2i\delta_l} - 1) \right|^2$$

$$\sigma_{\eta}^{(WKB)} = \int_{s_0}^{\infty} ds \sqrt{P_1^2 - 2\epsilon U(s) - \frac{\theta^2}{s^2}} - \int_{s_0'}^{\infty} ds \sqrt{P_1^2 - \frac{\theta^2}{s^2}}$$

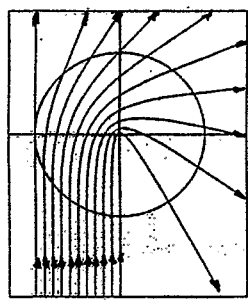
$$\sigma_{tot} = \frac{4L4}{P_1} \sum_{l=-\infty}^{\infty} \sin^2 \delta_l$$



$\psi = 0.2 \psi_c$



$\psi = 0.3 \psi_c$



$\psi = 0.8 \psi_c$

$$\psi_c = \sqrt{\frac{4Ze^2}{\epsilon a}}$$

Mechanisms of CB

$$g_{11} = g_z + 4(g_x \cos \alpha + g_y \sin \alpha) \geq \delta = \frac{\omega m^2}{2E(E-\omega)}$$

- CB type B  $g_z \neq 0$
- RW effect  $g_z = 0, g_x \neq 0, \alpha = 0$
- point effect  $g_z = g_x = 0, g_y \neq 0, 0 < \alpha < \pi$

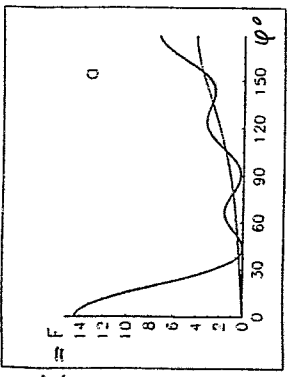
- fine structure of CB two  $\vec{g}$  important for CB
- CB for real trajectory

- dynamical chaos
- splitting of CB maxima
- simulation of CB
- different E.
- $e^-$ ,  $e^+$

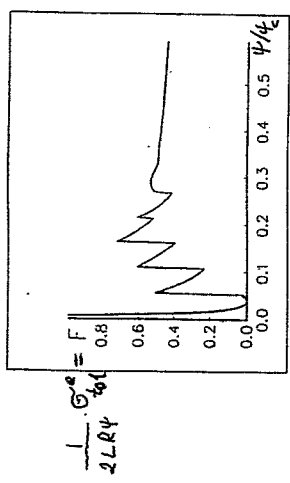
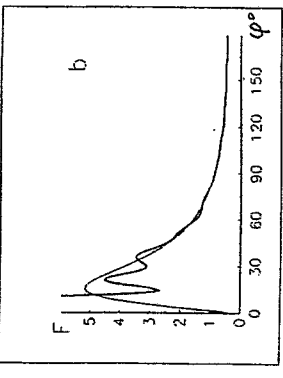
- CB and channeling rad.
- quantum effects at low E.
- LPM effect for CB
- CB and synchrotron rad.
- CB and parametric rad

$E = 10 \text{ mev}, S_0 < 100$

$\psi = 0, 24^\circ$



$\psi = 0, 84^\circ$



$$\frac{1}{LR4} \frac{dS}{d\psi}$$

*W. Wagner:*

**Quasi-monochromatic X-rays from ELBE**

# Quasi-monochromatic X-Rays from the ELBE Radiation Source

W. Wagner

W. Enghardt    A. Panteleeva  
U. Lehnert    J. Pawelke  
B. Naumann   H. Prade  
W. Neubert

## Collaboration partners

Technical University Darmstadt  
Johannes-Gutenberg-University Mainz  
ROBL ESRF Grenoble, France  
Institute of Applied Problems of Physics, Yerevan, Armenia  
Yerevan Physics Institute, Armenia

"Workshop on X-rays from electron beams"  
Rossendorf, February 24 - 26, 2000



View of the new building for the ELBE and its out-stations at the E/P

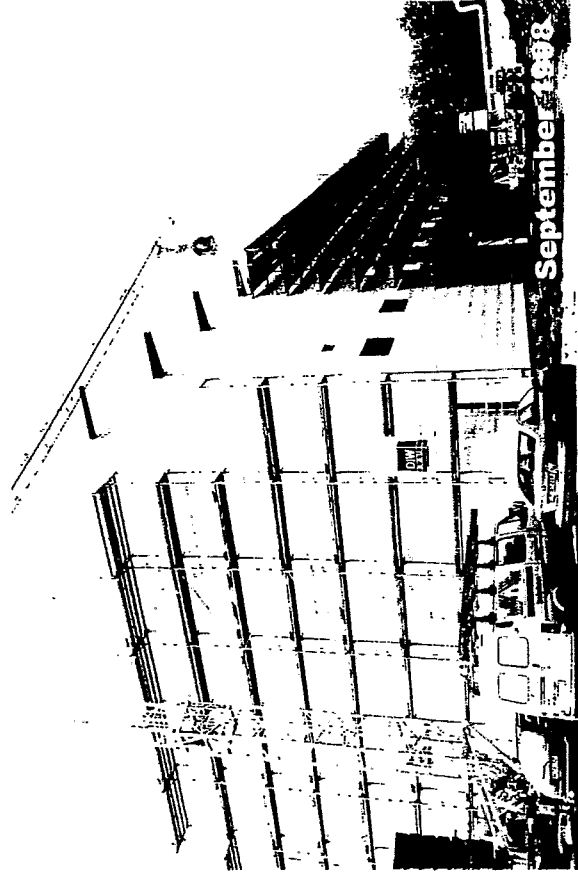
## ELBE

is a new superconducting electron accelerator of high brilliance and low emittance developed and presently under construction in Rossendorf near Dresden

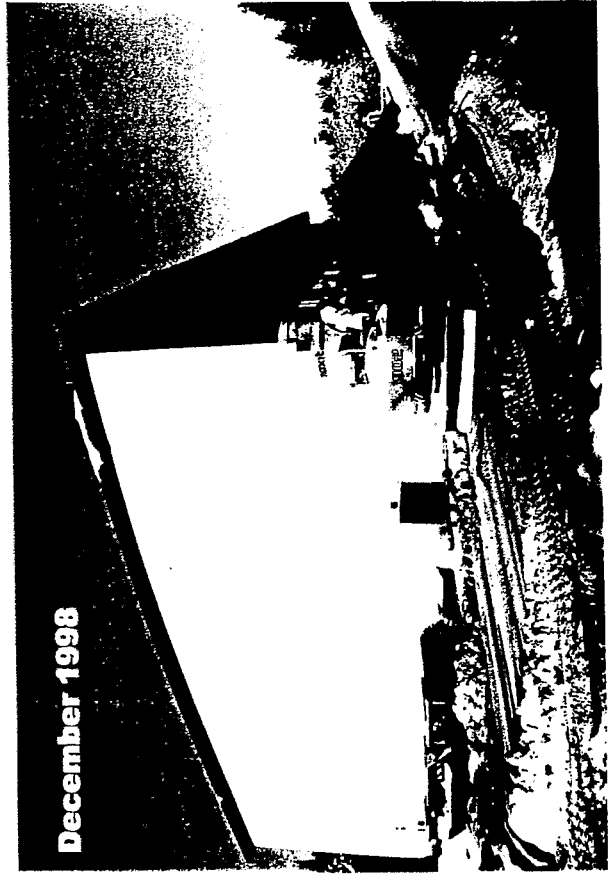
This facility will deliver secondary beams of different kinds

- High-brilliant infrared radiation coming from different free-electron lasers (FEL)
- Intensive bremsstrahlung  $\gamma$ -rays for nuclear spectroscopy
- Quasi-monochromatic X-rays
- Neutrons via  $(p,n)$  in the 0.1 - 10 MeV range ( $10^{10}$  is cm $^{-2}$ )
- Positrons via e $^+$ e $^-$  pair production ( $10^{10}$  is cm $^{-2}$ )

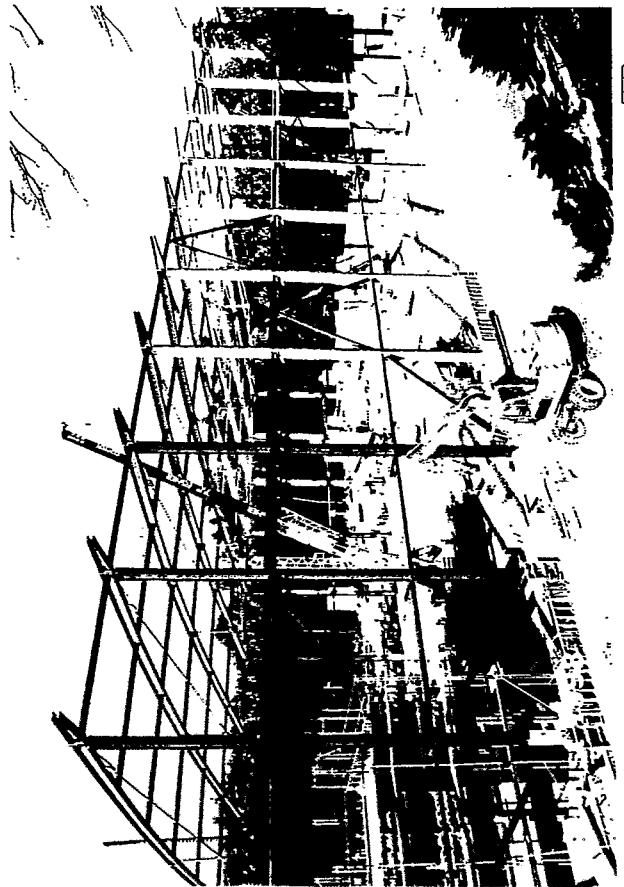




September 1988

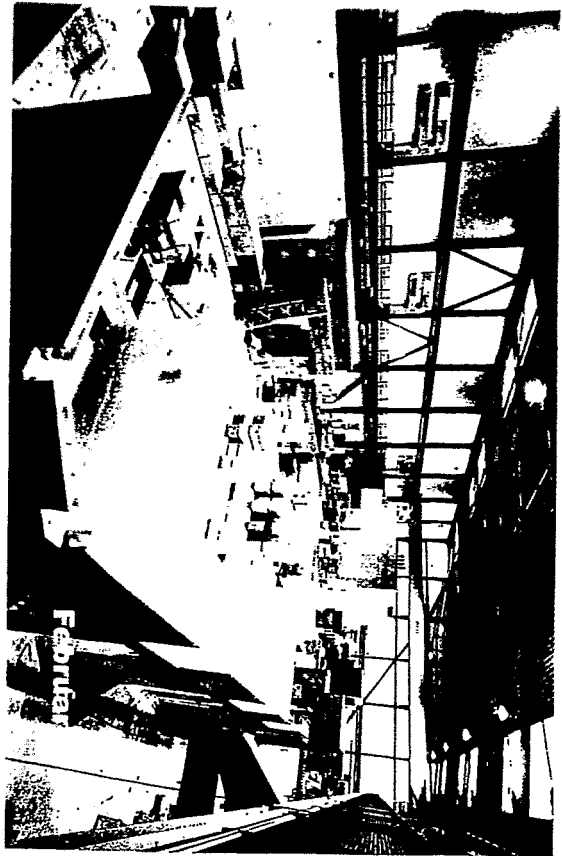


December 1988

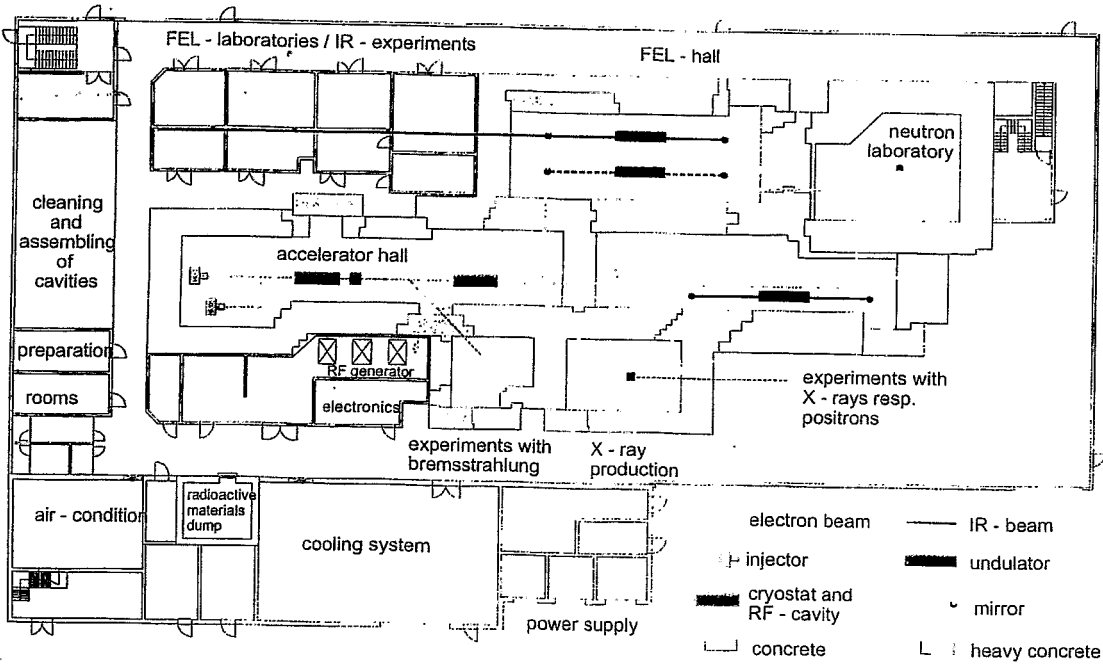




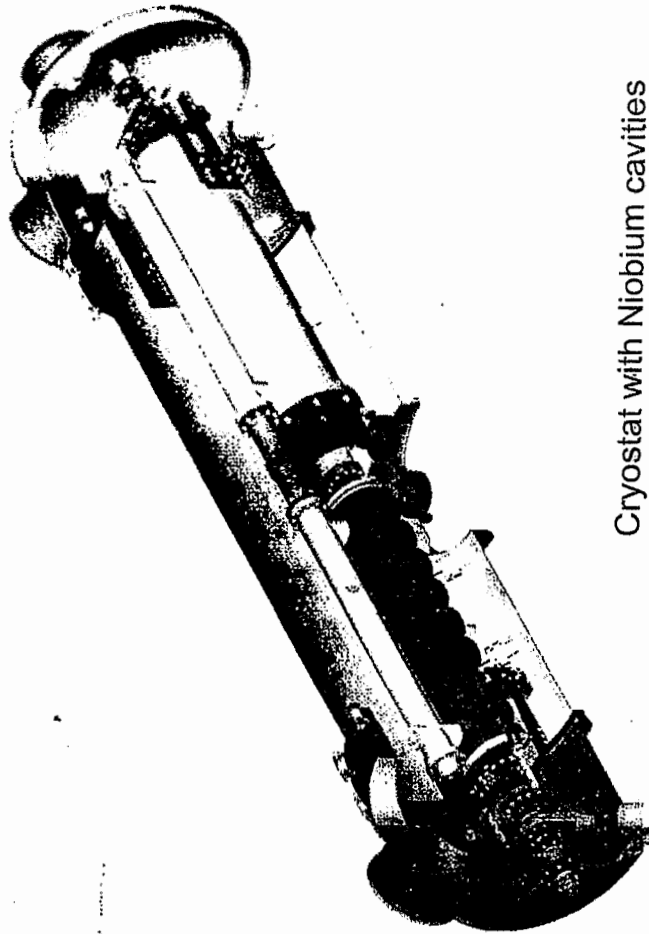
May 1999



February

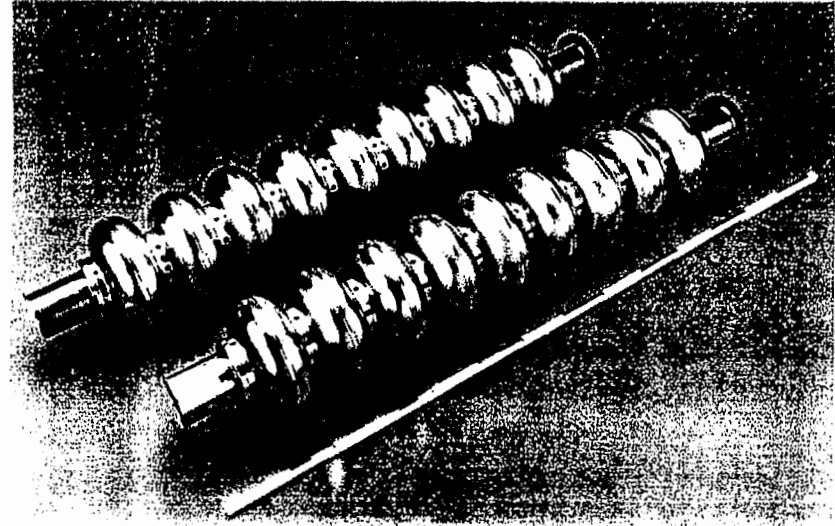


Floorplan of the new building for ELBE



Cryostat with Niobium cavities

## Superconducting Acceleration Structure



The acceleration structure consists of two nine-cell RFcavities made of Nb.

The cavities have been developed at DESY in Hamburg for the TESLA (Tera Electron Volt Superconducting Linear Accelerator) test facility.

First successful tests at DESY showed that acceleration gradients up to about 20 MV/m can be achieved.

The ELBE design is based on the rather conservative value of 10 MV/m.

### Technical parameters

-Operation temperature	1.8 K
-Operation frequency	1.3 GHz
-Resonator quality	$10^{10}$

## First electron injector for ELBE

**FEL** - high bunch charge  
 short bunchlength (ps)  
 lower micropulse repetition rate due to HF power  
 two bunchers for injection (260 MHz and 1.3 GHz)

**X-ray source** - low-emittance beam  
 small beam divergence  
 moderate bunch charge  
 large micropulse repetition rate (cw - beam)

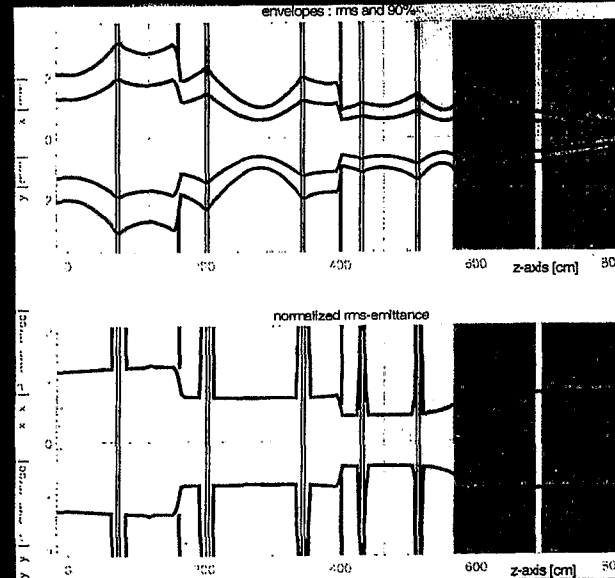
In the first stage of ELBE (20 MeV) an injector based on a thermionic triode gun, as used for the FEL at HEPL Stanford, will be available.

This injector was optimized for the formation of a low-emittance beam with a maximum average current of 0.1 mA for radiation physics.

Furthermore, design work has been initiated for a laser-driven superconducting RF-gun of high brilliance as needed to perform Compton backscattering studies.

Gun Values	thermionic triode gun		s.c. RF gun
	design	measured	design
Micropulse repetition rate	11.8 (13) MHz		1.3 GHz / 650 MHz
Maximum bunch charge / pC	85 (77) / 8.5		85 (1) / 1000
Maximum average current / mA	1 / 0.1		100 / 650
Transverse emittance (rms) / $\pi$ mm mrad	7 / 1.2	9.4 / 5.4	0.77 (0.06) / 1.25
Norm. trans. emittance (rms) / $\pi$ mm mrad (20 MeV)	4 / 0.75	10.9	
Bunch length (90 %) / ps	5.1	2.9	
Energy width (rms) / keV	33	64	

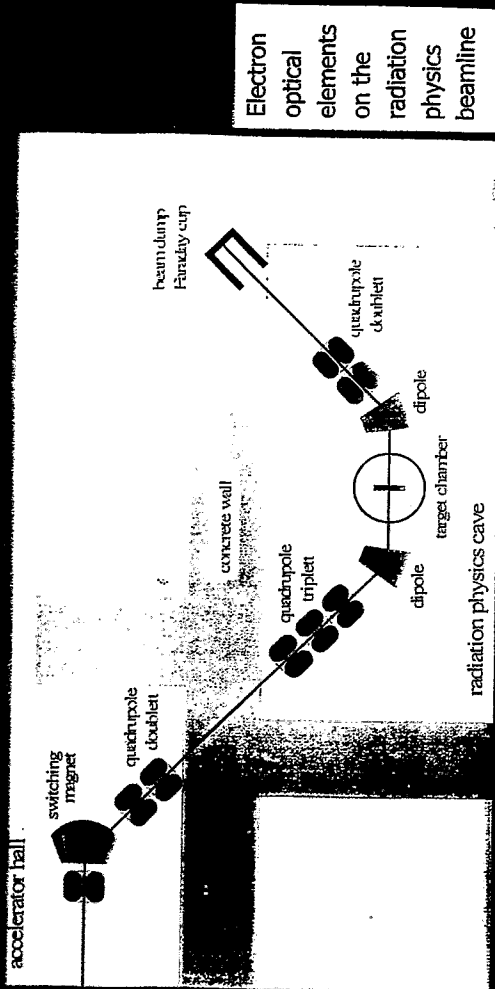
## Injector optics



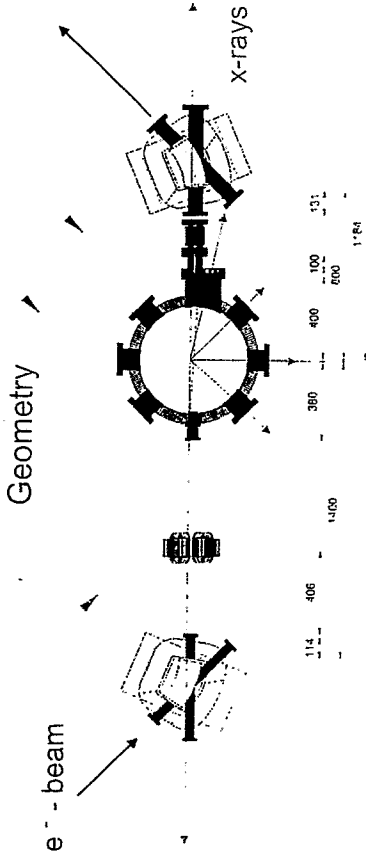
■ **Required :**  
 $i = 100 \mu\text{A}$   
 from the thermionic  
 RF electron gun (1 mA)  
 $\varepsilon < 1 \pi \text{ mm mrad}$   
 $\text{div} < 0.1 \text{ mrad}$   
 at the crystal position

■ **Solution :**  
 two diaphragms in  
 the injector beam  
 path in front of the  
 cavities

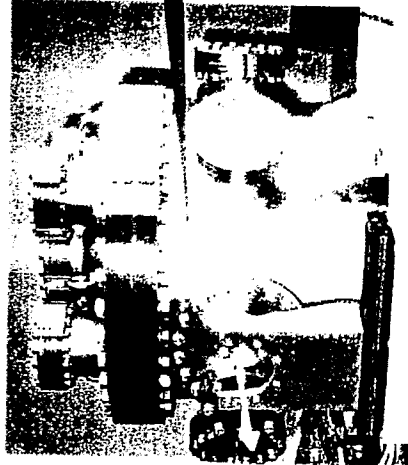
# Beam to cave



Electron optical elements on the radiation physics beamline

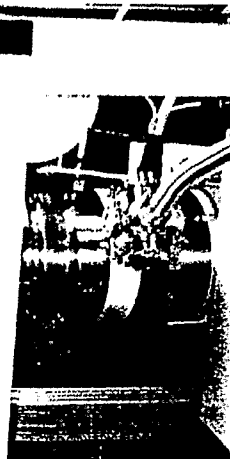


2448



Goniometer

6-axes goniometer

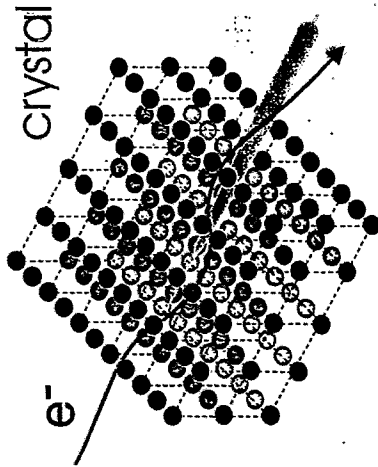


UHV goniometer chamber

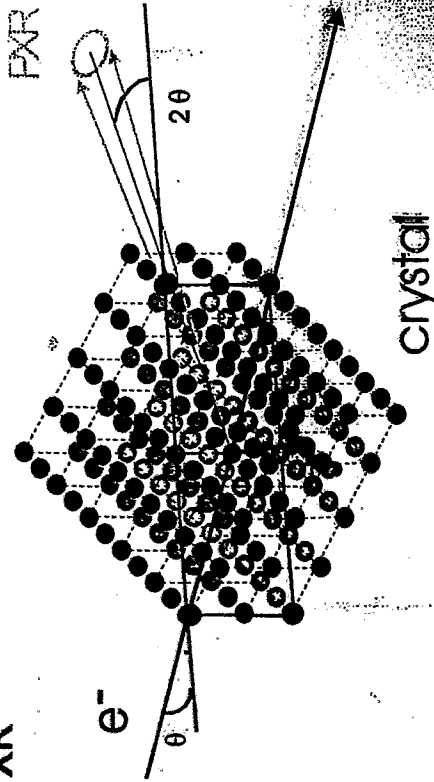
$p < 10^{-9}$  mbar

**Non-conventional sources of quasi-monochromatic X-rays**

**Channeling radiation**



**PXR**



**Characteristics of X-ray sources**

**Photon number**

intensity  $I = P_R = dE / dt = dN_x \cdot h\omega / dt$  [MeV / s]

flux  $\phi = dN_x / dt$  [ph / s]

spectral flux  $\phi_s = d^2N_x / dt (\Delta h\omega / h\omega)$  [ph / s 0.1% BW]

flux density  $d\phi / d\Omega = d^2 N_x / dt d\Omega$  [ph / s sr]

radiance  $R = d^2N_x / dt dA$  [ph / s mm<sup>2</sup> ]

fluence  $F = dN_x / dA$  [ph / mm<sup>2</sup> ]

**Source quality**

- divergence (focussing)
- spatial resolution

brightness  $S = \phi_s / 2\pi \sigma_x \sigma_y$  [ph / s mrad<sup>2</sup> 0.1% BW]

brilliance  $B = \phi_s / 4\pi^2 \sigma_x \sigma_y \sigma_z \sigma_\gamma = \phi_s / 4\pi^2 \epsilon_x \epsilon_y = \phi_s / 2\pi \sigma_x \sigma_\eta$

$B = \phi_s / 2\pi \epsilon_\eta$  [ph / s mm<sup>2</sup> mrad<sup>2</sup> 0.1% BW]

**Radiation production efficiency**

yield  $Y = d^2N_x / dN_e d\Omega$  [ph / sr per e<sup>-</sup> ]

efficiency  $\eta = P_R / P_{beam}$  ( $W_R / W_{beam}$ ) [%]

spec. brilliance  $B_s = B e / i E_e = B e / mc^2 i \gamma$  [ph / mm<sup>2</sup> mrad<sup>2</sup> 0.1% BW MeV<sup>e</sup>]

**Chromaticity**

**Tunability**

## X-ray sources with a continuous spectrum

### Bremsstrahlung (BS) and characteristic X-rays (CX)

(medium : X-ray tubes)

$$B_T = 10^6 - 9 \text{ ph / s mm}^2 \text{ mrad}^2 \text{ 0.1\%BW} \quad i = (10^{-2} - 1) \text{ A; 10-100 kV}$$

### Synchrotron radiation (SR, WR, UR)

(dipol magnets, wiggler, undulator ; electron or positron beams)

$$E_c = 3 \text{ ch } \gamma^3 / 4\pi R = 10 \text{ keV} \quad \gamma = (7-9) 10^3 \quad E_e = 4-5 \text{ GeV}$$

$$B_S = 10^{13} - 15 \text{ ph / s mm}^2 \text{ mrad}^2 \text{ 0.1\%BW} \quad i = 100 \text{ mA}$$

### Transition radiation (TR)

(medium boundary, foil stacks-RTR ; electron beams)

$$E_{\text{cut}} = \gamma h \omega_p / 2\pi = 10 \text{ keV} \quad \gamma = 350 \quad E_e = 150-170 \text{ MeV}$$

$$B_{5 \text{ keV}} = 10^{13} \text{ ph / s mm}^2 \text{ mrad}^2 \text{ 0.1\%BW} \quad i = 100 \mu\text{A; 855 MeV}$$

## Quasi-monochromatic X-ray sources

### Channeling radiation (CR)

(crystalline medium : electron beams)

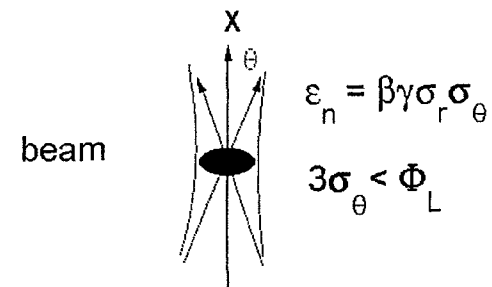
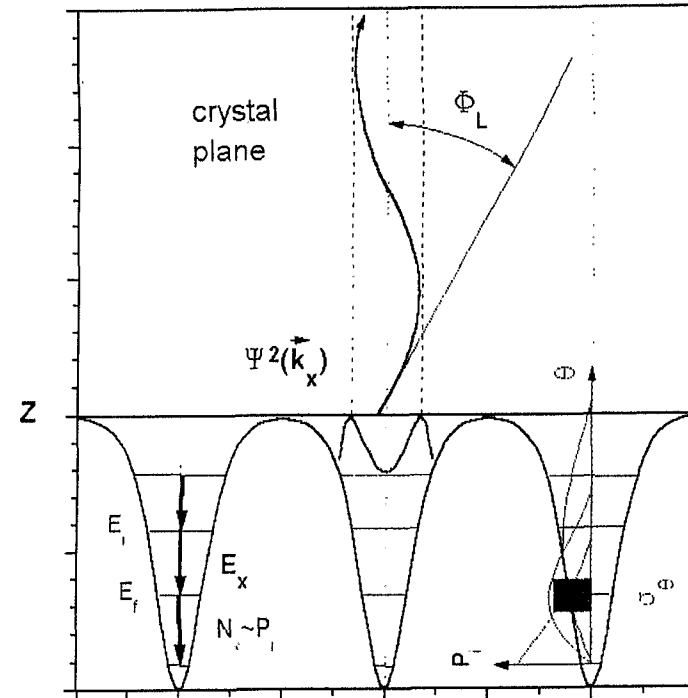
$$E_x = 30 \text{ keV} \quad \gamma = 40 \quad E_e = 20 \text{ MeV} \quad i = 100 \mu\text{A}$$

$$B_{\text{CR}} = 3 \cdot 10^6 \text{ ph / s mm}^2 \text{ mrad}^2 \text{ 0.1\%BW} \quad (B_{\text{CR}}^{5\% \text{BW}} = 10^8)$$

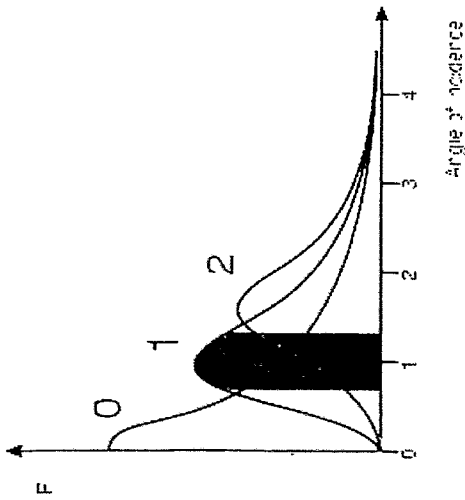
### Parametric X-rays (PXR)

(crystalline medium : electron beams)

$$B_{\text{CR}} = 10^5 \text{ ph / s mm}^2 \text{ mrad}^2 \text{ 0.1\%BW} \quad \text{low background}$$



# Population probability of channeling states



$$\psi^2(k_x) : \gamma \beta mc \theta = h (\pm \bar{k}_x + jg) / 2\pi \quad j = 0, 1, 2, \dots$$

$$g = 2\pi / d_p$$

$$k_x = g / 2$$

$d_p$  diamond (110) = 1.26 Å

⊙ (90%) = 0.24 mrad

requirement to the beam divergence : **S**

$$\sigma_{\theta} = 0.12 \text{ mrad}$$

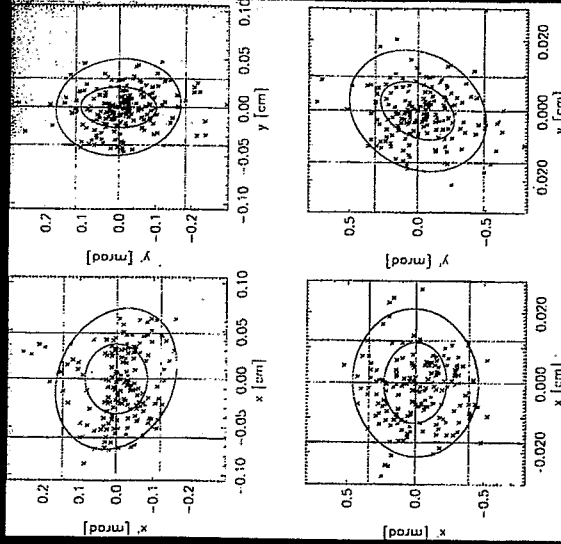
emittance : **B**

20 MeV

$$\varepsilon_t = 1.4 \pi \text{ mm mrad}$$

$\sigma_r = 1 \text{ mm}$

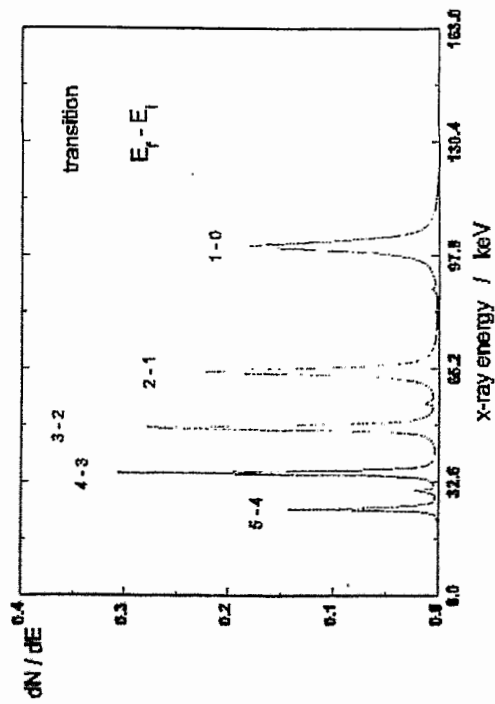
# Transverse phase space



$E_0 = 18 \text{ MeV}$   
 $\varepsilon = 0.75 \pi \text{ mm mrad}$   
 rms and 90% phase space ellipses in the crystal position  
 upper: minimal divergence  
 lower: minimal beam spot



# Radiation spectrum



Many-beam simulation for planar channeling in diamond {110}  $E_e = 40 \text{ MeV}$   $\vartheta = 0^\circ$

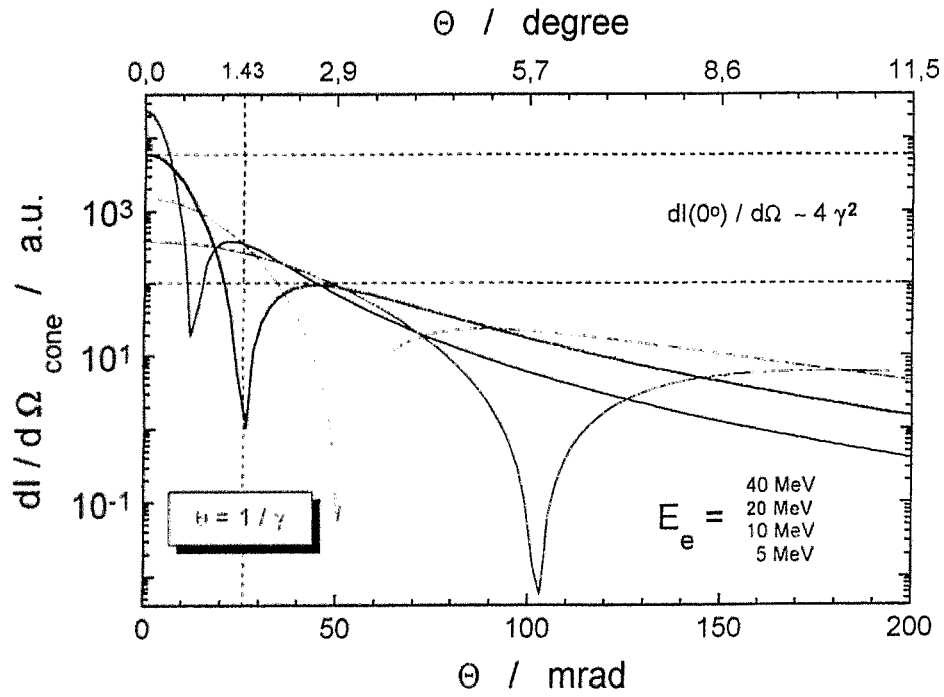
## General expression for planar channeling

$$\frac{dI}{d\Omega d\omega} \equiv \frac{dE}{d\Omega d\omega dt} \equiv \frac{dY}{d\Omega d\omega dz} \equiv \frac{\hbar\omega\beta c}{2\pi m^2 c^3} \frac{p_T^2 \omega^2}{2\gamma^2 (1 - \beta \cos\vartheta)} \times \delta\left(\omega - \frac{\omega_e}{1 - \beta \cos\vartheta}\right) \times \left[ \left( \frac{\cos\vartheta - \beta}{1 - \beta \cos\vartheta} \right)^2 \cos^2\varphi + \sin^2\varphi \right]$$

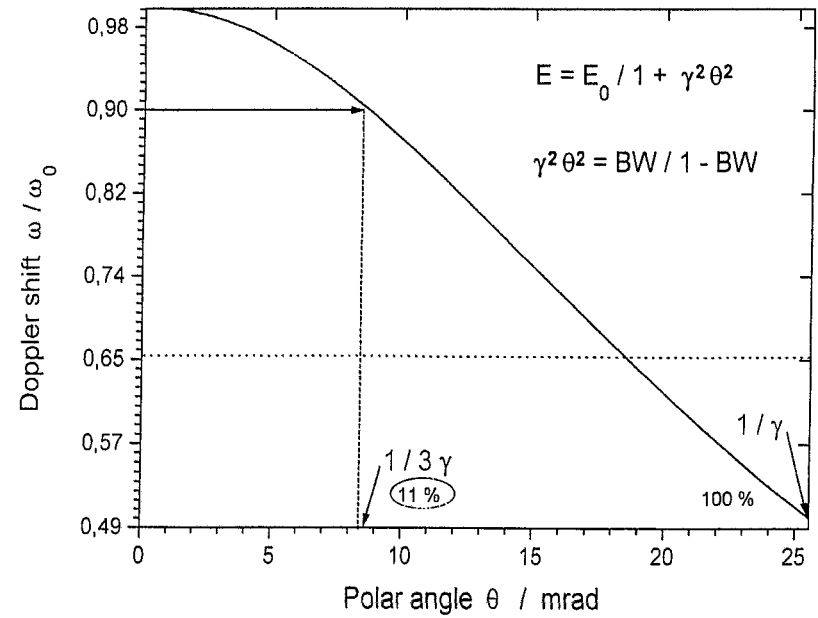
### Scaling laws:

- emission cone:  $\theta \sim 1/\gamma$
- Doppler shift:  $\omega = \omega_0 / (1 + \gamma^2\theta^2)$
- photon frequency:  $\omega_0 = 2\gamma^2\omega_e$
- forward frequency:  $\omega_0 \sim \gamma^{3/2}$
- forward intensity:  $I_0 \sim \gamma^4$
- forward yield:  $Y_0 \sim \gamma^{5/2}$
- total intensity:  $I \sim \gamma^2$
- total yield:  $Y \sim \gamma^{1/2}$
- crystal thickness:  $Y \sim 1 - e^{-z/l_{oc}}$
- $Y \sim Z^{1/2}$

## Lorentz - transformed dipol pattern

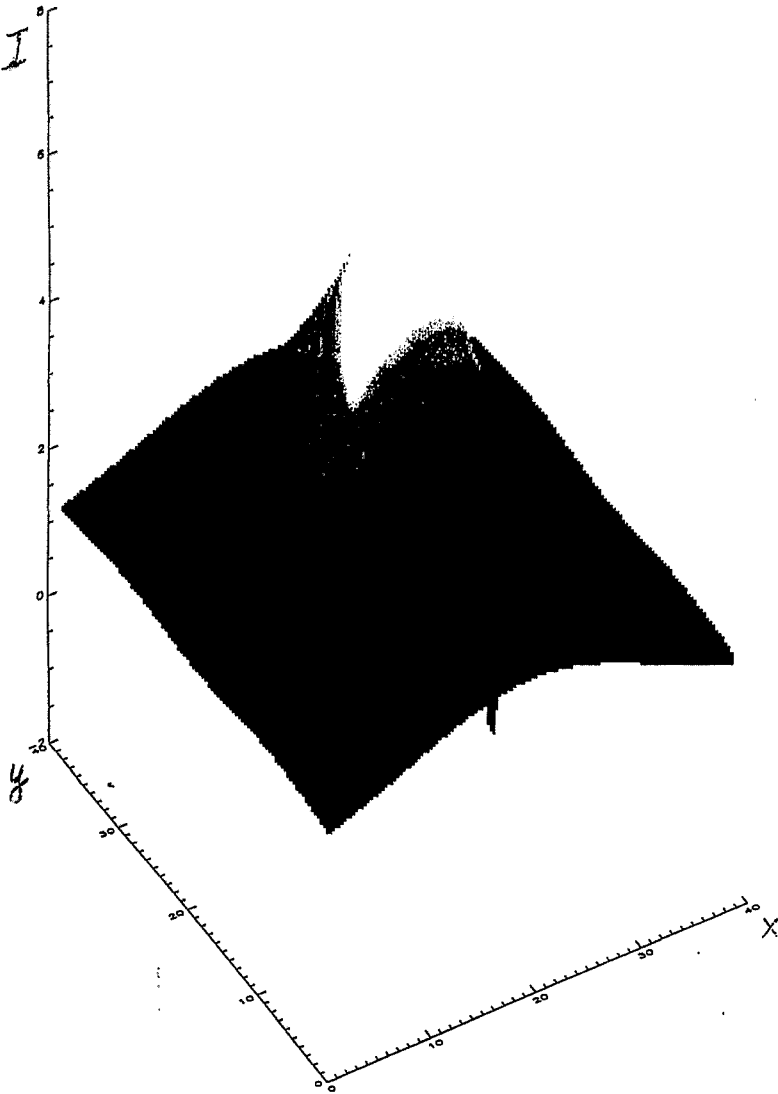


## Photon frequency



$\gamma = 3$   
color  $\hat{=} \omega$

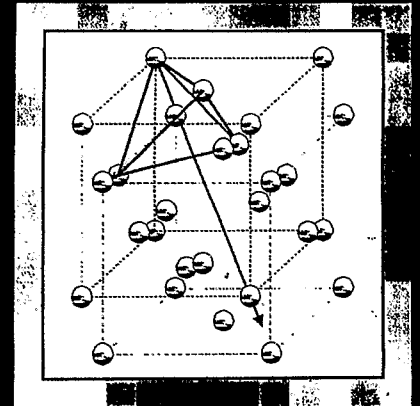
ln I



## Diamond

### Properties

- cubic surface-centered lattice with base = 2
- dense package
- atomic distance = 1.54 Å
- $Z = 6$  (bremsstrahlung)
- density  $\rho = 3.51 \text{ g/cm}^3$
- $T_s = 3540 \text{ }^\circ\text{C}$  at norm. cond.
- $\theta_D = 1860 \text{ }^\circ\text{K}$
- metastable  $^{12}\text{C}$  configuration
- high heat conductivity



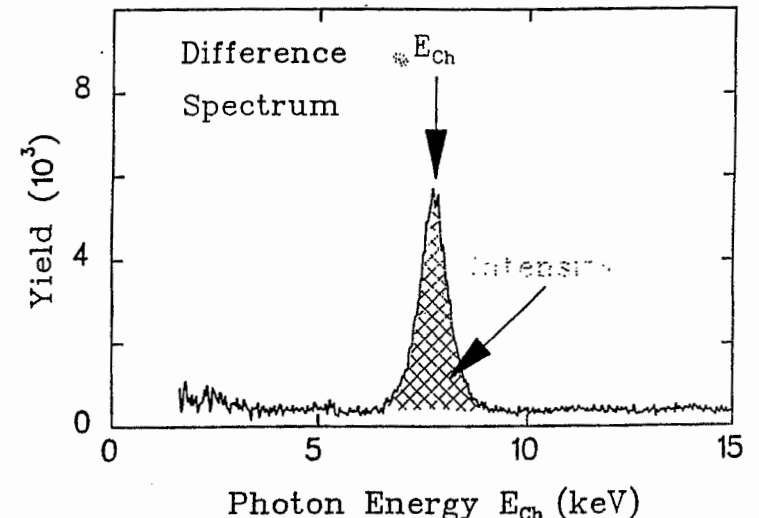
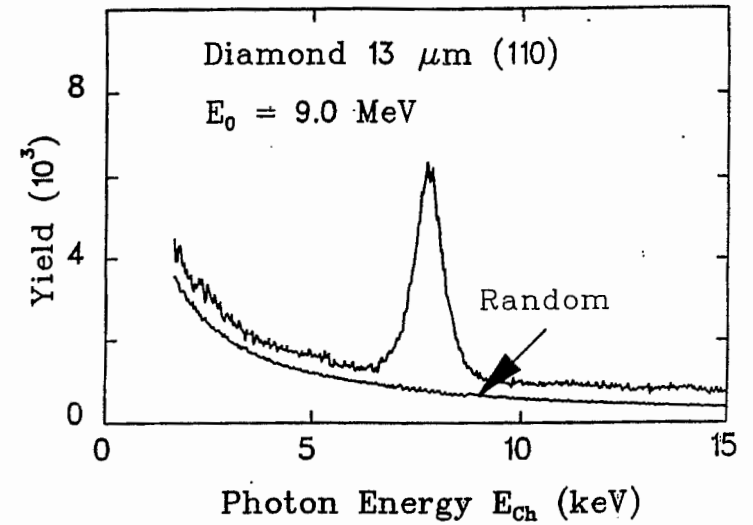
# S-DALINAC data

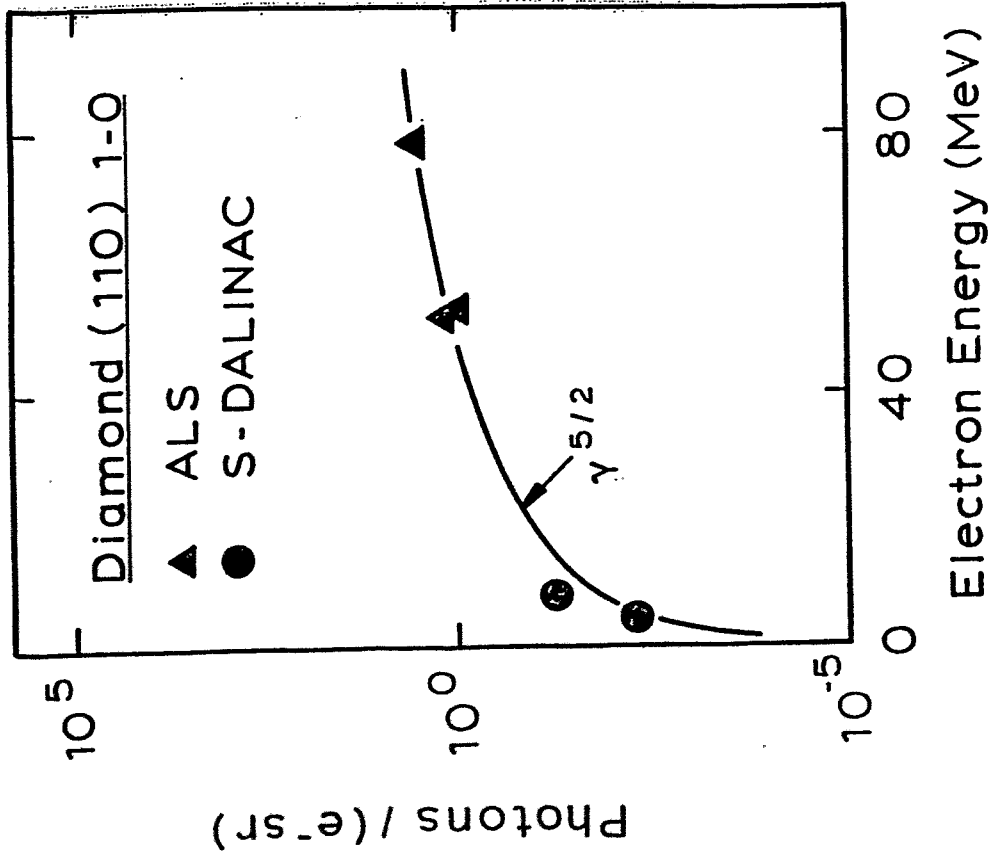
## Basic conditions

- $E_e = 9 \text{ MeV}$  ( $\Delta E/E = 6 \cdot 10^{-3}$ )
- $i_e = 30 \div 60 \text{ } \mu\text{A}$
- $\varepsilon_n = 3 \pi \text{ mm mrad}$
- diamond  $d = 55 \text{ } \mu\text{m}$
- planes  $\{110\}$
- $\Psi_{cr} = 2.6 \text{ mrad}$
- transition 1 - 0

## Results

- $E_{1-0} = 7.9 \text{ keV}$
- $Y_{1-0} = 0.077 \text{ ph / sr e}^-$
- $\Phi = 9.6 \cdot 10^{12} \text{ ph / sr} \cdot \text{s}$
- $N_{1/3\gamma} = 1.1 \cdot 10^{10} \text{ ph / s}$

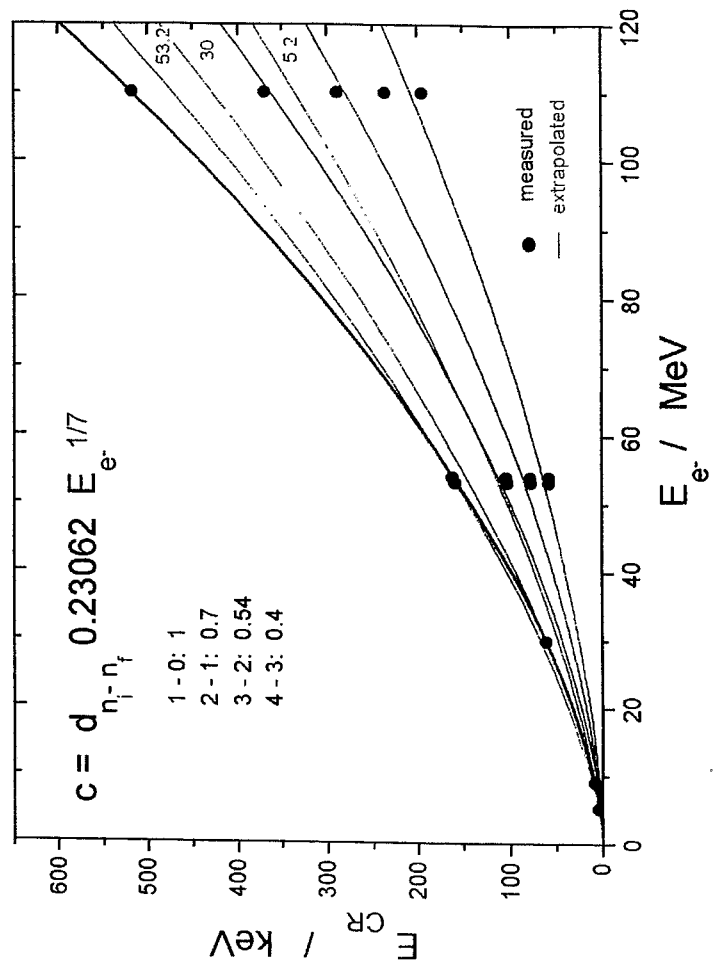




PRL-72, 2911

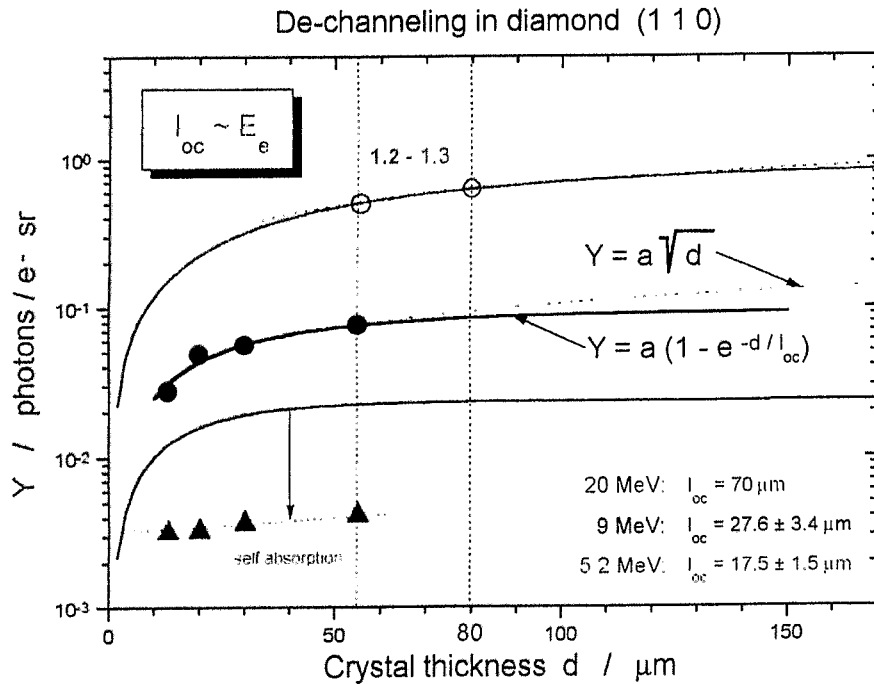
**X-ray energy scaling**

$$E_x = c E_e^{3/2}$$



$$E_x = c' E_e^{3/2+1/7} = c' E_e^{23/14}$$

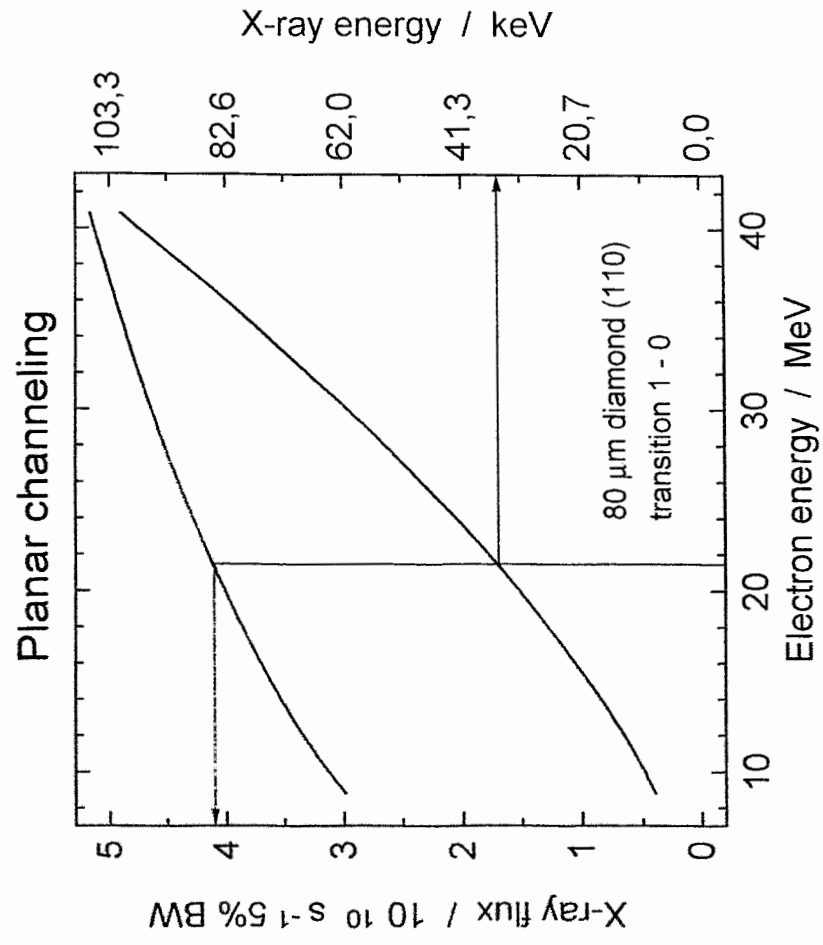
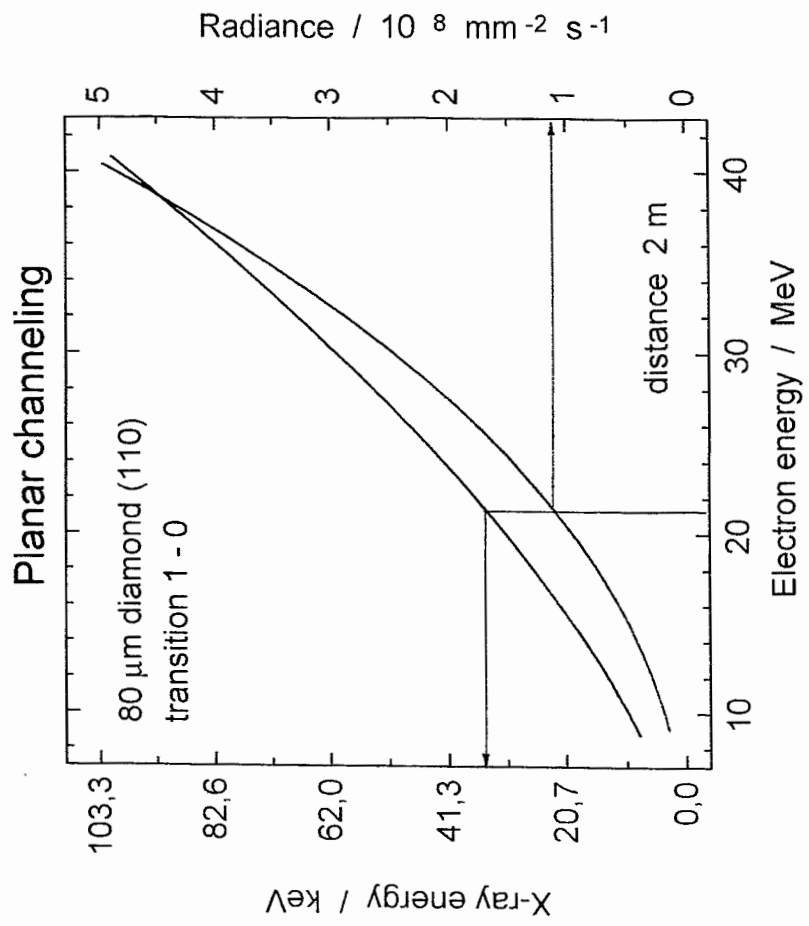
## Occupation probability



## Amplifying factors

FZR

- ┘ larger beam current  $\phi \sim i_e$
- ┘ higher electron energy  $Y_e \sim \gamma^{5/2} \rightarrow \gamma^{33/14}$   
 $E_x \sim E_e^{3/2} \rightarrow E_e^{23/14}$
- ┘ more narrow emission cone  $\vartheta \sim 1/\gamma$
- ┘ less de-channeling  $l_{oc} \sim E_e$
- ┘ larger crystal thickness  $Y_e * 1.2$
- ┘ less self absorption  $1/\mu(E_x) \sim E_e^{4.6}$



# ELBE data expected

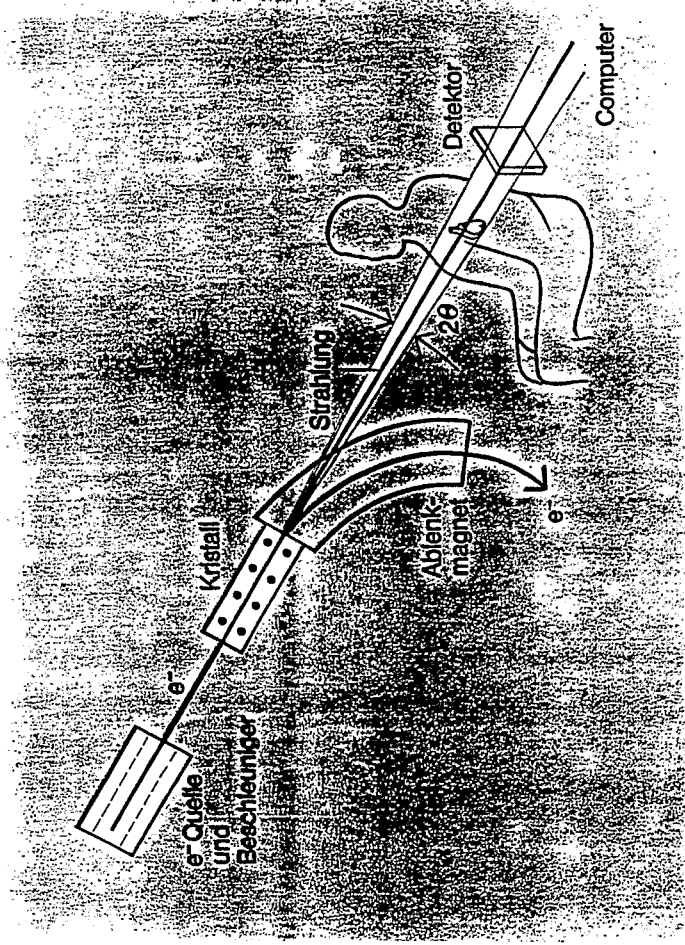
FZR

## Basic conditions

- └  $E_e = 20 \text{ MeV}$
- └  $\Delta E/E < 5 \cdot 10^{-3}$
- └  $i_e = 100 \mu\text{A}$
- └  $\varepsilon_n \approx 1 \pi \text{ mm mrad}$
- └ diamond  $d = 80 \mu\text{m}$
- └ planes {110}
- └  $\psi_{cr} = 1.8 \text{ mrad}$
- └ transition 1 - 0

## CR data estimated

- └  $E_{1-0} = 29.36 \text{ keV}$
- └  $Y_{1-0} = 0.61 \text{ ph / sr e}^-$
- └  $d\Phi/d\Omega = 3.8 \cdot 10^{14} \text{ ph/sr s}$
- └ BW = 10 %
- └  $N_{1/3y} = 8.2 \cdot 10^{10} \text{ ph/s}$



Angiographie mit Channelingstrahlung



## Estimation with respect to DSA

Parameter	for $E_k(I)$	for $E_k(Gd)$
$E_x$ / keV	33.17	50.24
Electron energy $E_e$ / MeV	21.5	27.7
Yield $Y$ / ph / e <sup>-</sup> sr	0.73	1.3
Flux density $d\phi/d\Omega$ / ph / sr s	$4.55 \times 10^{14}$	$8.2 \times 10^{14}$
$\theta_{5\%}$ / mrad	5.3	4.15
$\Delta\Omega_{5\%}$ / sr	$8.9 \times 10^{-5}$	$5.4 \times 10^{-5}$
Flux $\phi_{5\%}$ / ph / s	$4.0 \times 10^{10}$	$4.4 \times 10^{10}$
Distance $d$ / m	2	2.5
Area $A$ / mm <sup>2</sup> (D / mm)	355 (21.3)	338 (20.8)
Radiance / ph / mm <sup>2</sup> s	$1.1 \times 10^8$	$1.3 \times 10^8$
Fluence / ph / pixel (200 ms)	$5.7 \times 10^7$	$6.6 \times 10^6$
Required fluence / ph / pixel	$1.8 \times 10^7$	$6.0 \times 10^6$
Heart $A = 3850$ mm <sup>2</sup> at $d$ / m	6.7	8.4
Fluence / ph / pixel (200 ms)	$5.1 \times 10^6$	$5.8 \times 10^5$
factors: current (2), thickness (2) monochromator (0.5)	(4)	(10)

## Comparison with DSA at NIKOS

(e<sup>+</sup> storage ring DORIS III at DESY Hamburg)

Parameter	for $E_k(I)$
$E_x$ / keV	33.17
Electron energy $E_e$ / GeV	4.5
Heart at <b>NIKOS</b> : (simultaneous scanning at two energies)	
Radiance / ph / mm <sup>2</sup> s	$2.7 \times 10^{11}$
Fluence / ph / pixel	$6.8 \times 10^7$ (3.8)
Required fluence / ph / pixel	$1.8 \times 10^7$
Heart at <b>ELBE</b> : (200 ms shot at one energy)	
Fluence / ph / pixel (200 ms)	$5.1 \times 10^6$ (13)
factors: current 1 mA ???	10

**What agent concentration and what minimum irradiation area are acceptable for medics ???**

**What current can principally be operated ???**

**What image processing can provide ???**

## Open problems

### Energy loss in the crystal

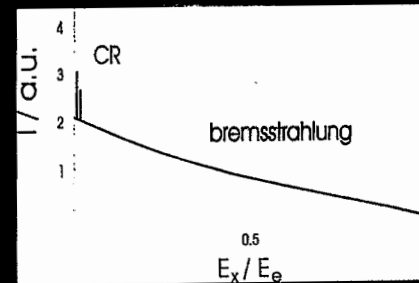
$$d = 80 \mu\text{m}$$

$$i_e = 100 \mu\text{A}$$

- maximum heat conductivity  
 $\lambda = 659 \text{ W / m } ^\circ\text{K}$
- high Debye temperature  
 $\Theta_D = 1860 \text{ } ^\circ\text{K}$
- low heat radiation

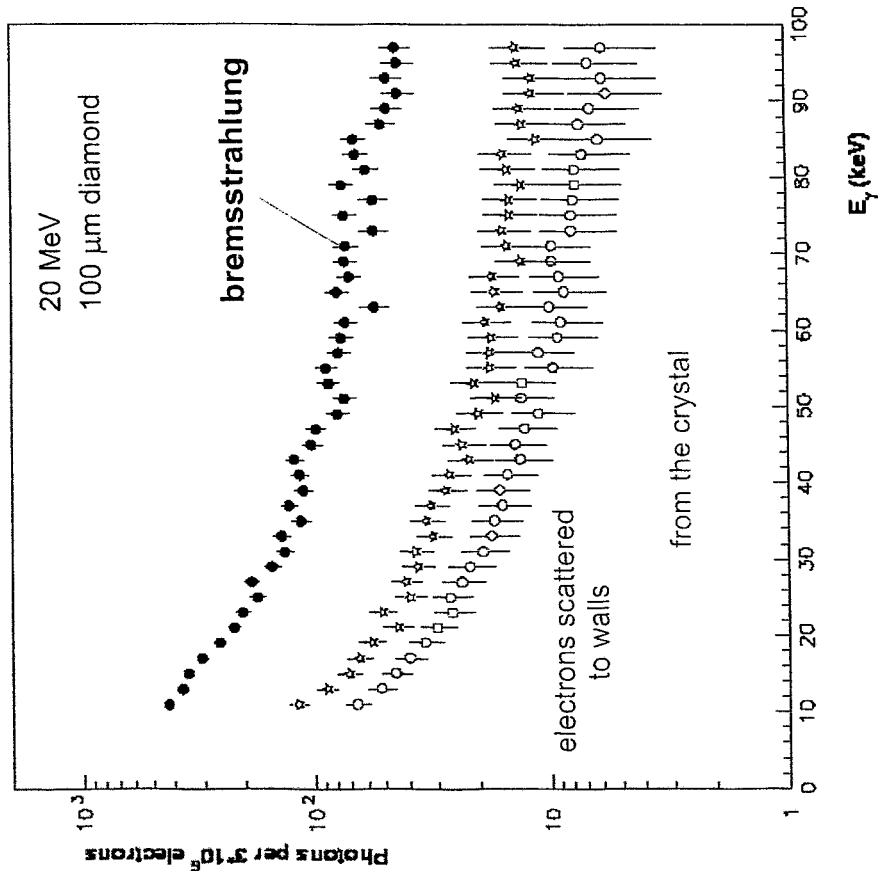
- $\Delta E \approx 112 \text{ keV per e}^-$
- $P_{100\mu\text{A}} \approx 11.2 \text{ W}$
- $Q \approx 91 \text{ W / mm}^2$
- heat transport through crystal holder (cooling)
- crystal temperature  $400 \text{ } ^\circ\text{C}$ 
  - $\Rightarrow$  maximum temperature ?
  - $\Rightarrow$  ultrahigh vacuum ( $10^{-7}$  mbar) ?
  - $\Rightarrow$  channel damage ?
  - $\Rightarrow$  crystal standing time ?

## Bremsstrahlung



- $d^3N_B/d\Omega dE_x dz \sim \rho Z^2 \gamma^2 / E_x$
- $E_x \sim \gamma^{3/2}$
- $d^2N_{cr}/d\Omega dE_x \sim \gamma^{5/2}$
- $N_{cr}/N_B \sim \gamma^2$

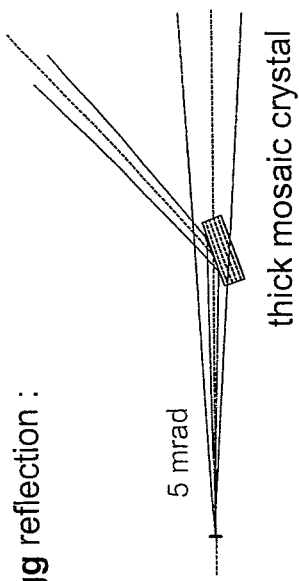
## Simulation of bremsstrahlung (W. Neubert)



## Quasi-monochromatic X-ray beams

Reduction of bremsstrahlung background

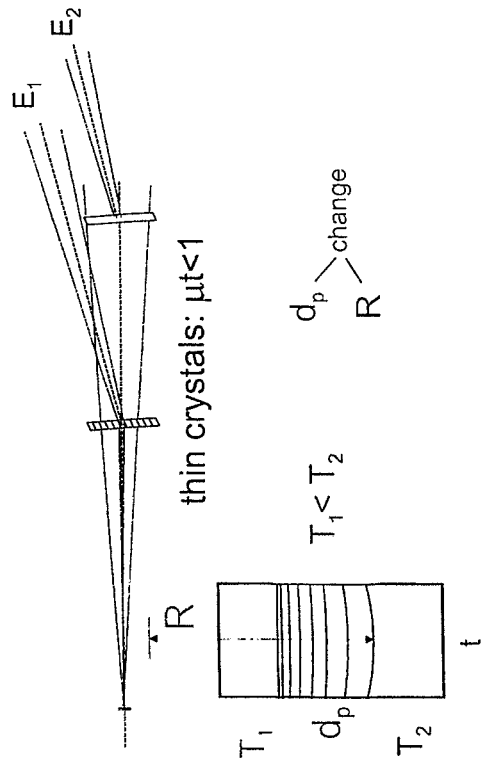
Bragg reflection :



Intensity loss about 50 %

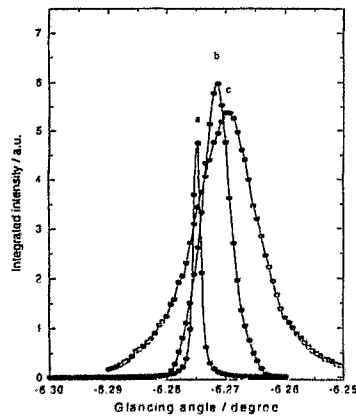
Dynamical Laue diffraction :

- temperature ( $T$ ) gradient
- acoustic field



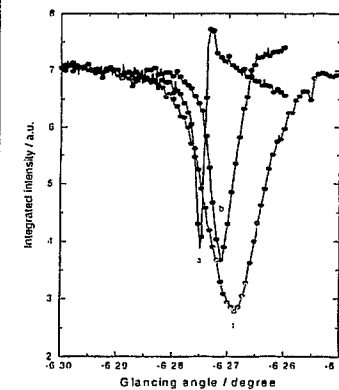
Theory predicts: Deflected intensity up to nearly 100 % !

## Dynamical Laue diffraction



- $E_x = 17$  keV (ROBL ESRF)  
 $\Delta E/E \approx 10^{-4}$
- quartz single crystal :  
 $\mu t < 1$
- ultrasound :  
 $\lambda = 2t$
- dynamical diffraction on  
acoustic superlattice of  
{10-11} planes
- increase of the integrated  
reflection power

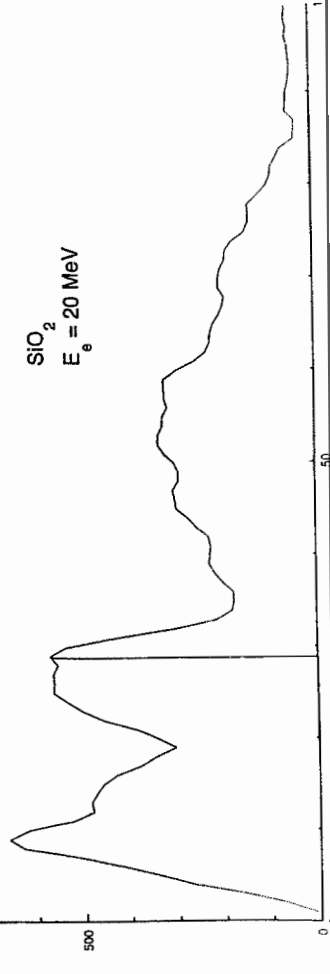
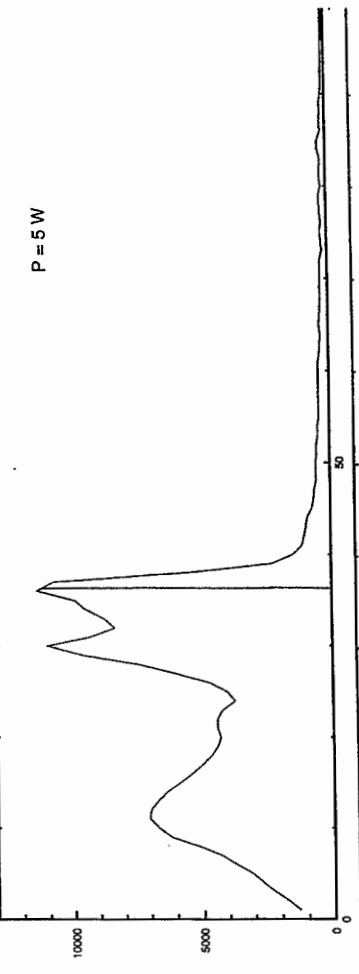
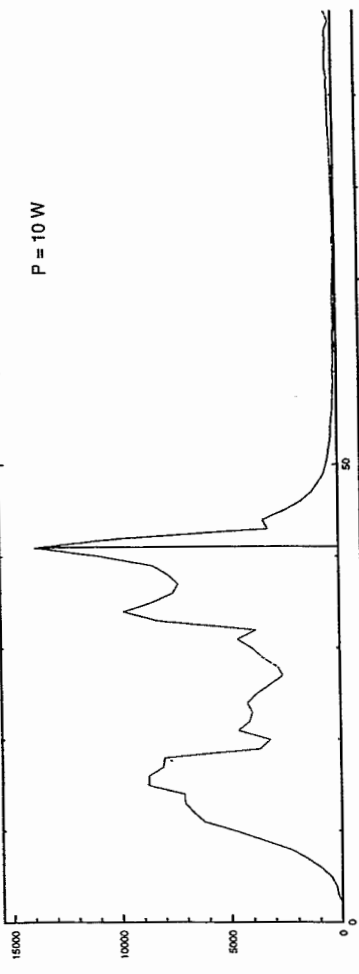
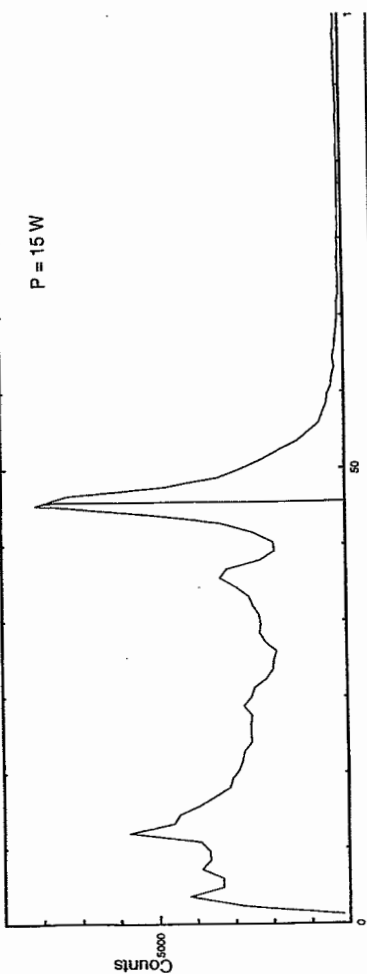
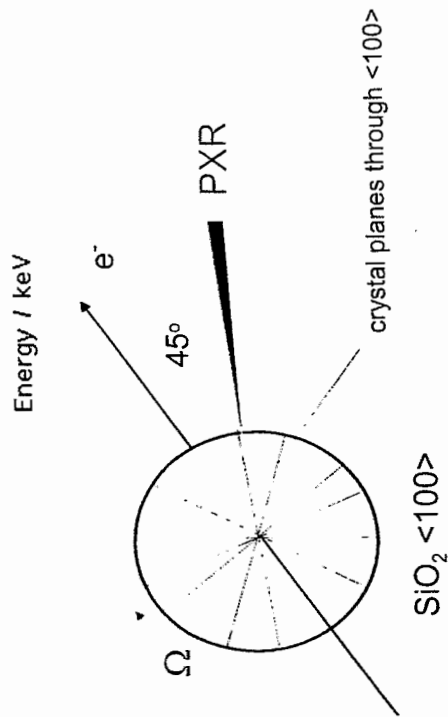
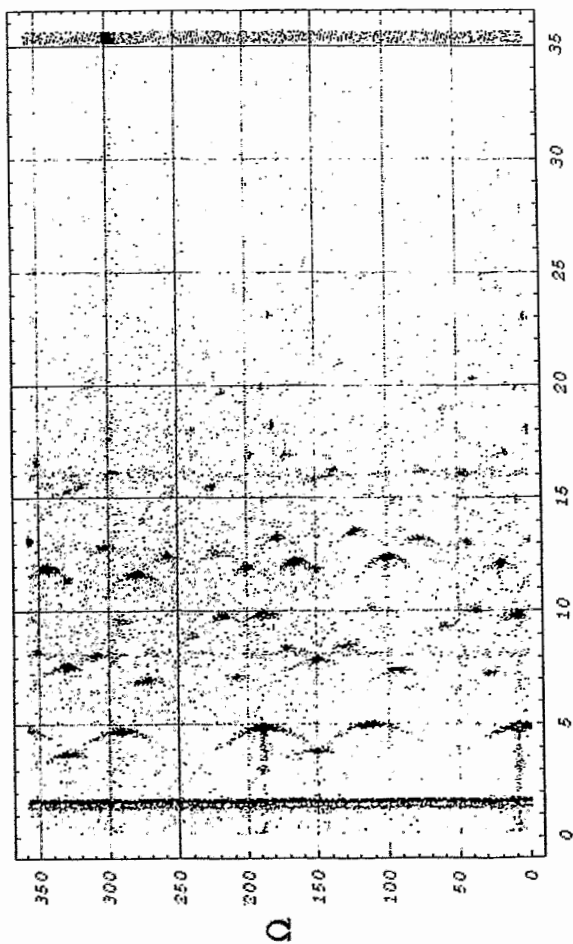
## Transmission



- $E_x = 17$  keV (ROBL ESRF)  
 $\Delta E/E \approx 10^{-4}$
- quartz single crystal :  
 $\mu t < 1$
- ultrasound :  
 $\lambda = 2t$
- transmission near  $\Theta_B$
- decrease of transmission  
down to nearly total  
deflection

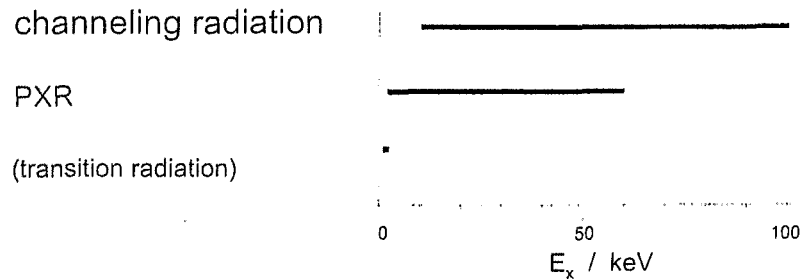
# PXR at MAMI Mainz (A. Mkrtchyan)

Alpikogramme



## Summary

1. Intense tunable non-conventional X-ray sources can be obtained at the electron beam of ELBE using



2. Bremsstrahlung background can be reduced by BRAGG reflection or dynamical Laue diffraction applying ultrasound.
3. Application of DSA would need maximum beam current (1 mA), but not continuously (makropulses), and image processing.
4. First application of CR: cell irradiation (J. Pawelke).
5. New method of structure analysis with PXR (A.H. Mkrтчyan).
6. Stimulation of CR by ultrasound (A.R. Mkrтчyan).

*H. Backe:*

**Selected topics of X-ray research  
at MAMI**

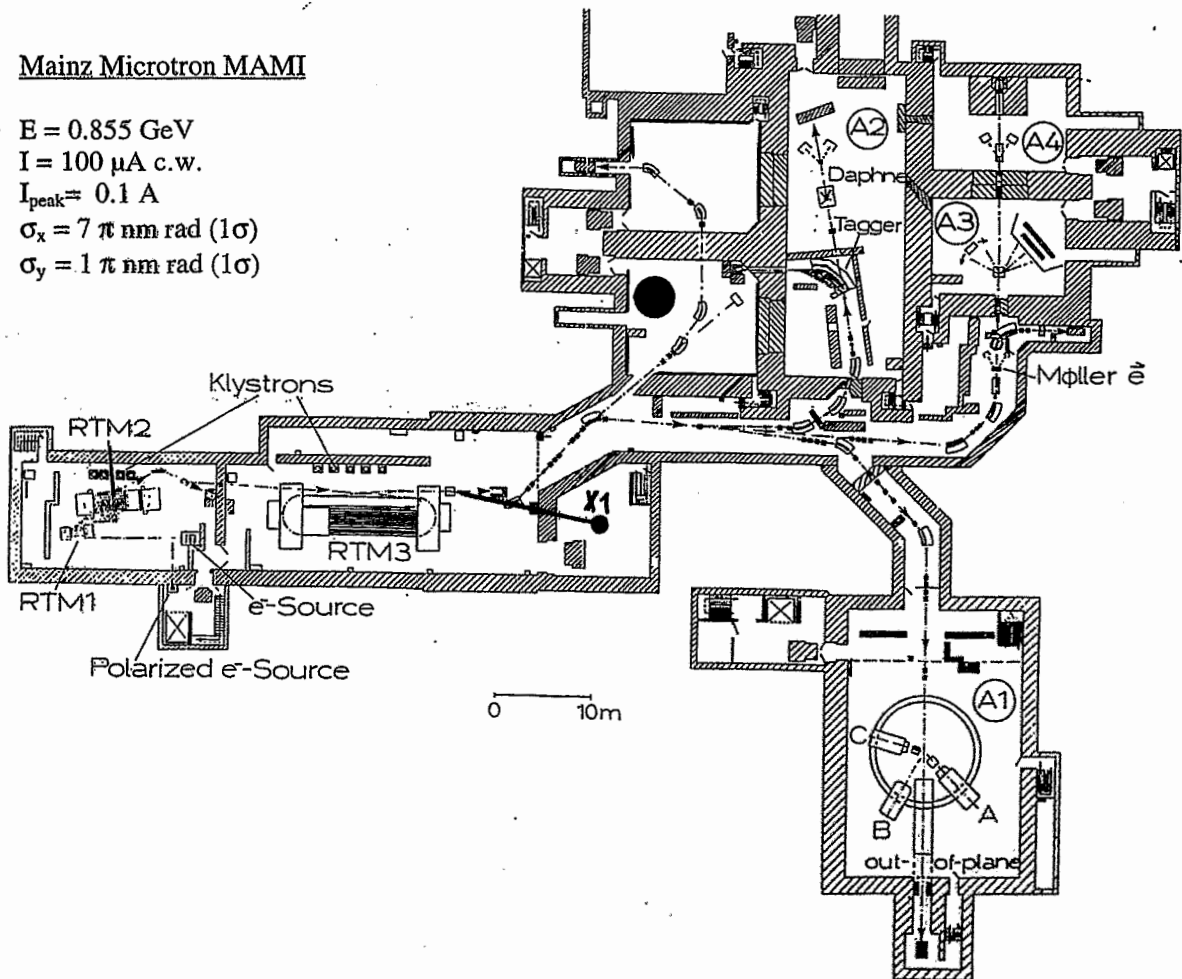
# Selected Topics of X-Ray Research at MAMI

(H. Backe, Workshop on X rays from electron beams, February 24-26, 2000)

1. Introduction
2. Novel Interferometer with two Spatially Separated, Phase Correlated X-Ray Sources
3. Investigation of Parametric X Radiation
4. Transition Radiation as a Hard X-Ray Source
5. Conclusion

## Mainz Microtron MAMI

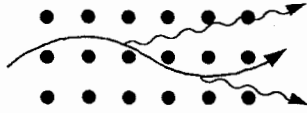
$E = 0.855 \text{ GeV}$   
 $I = 100 \mu\text{A c.w.}$   
 $I_{\text{peak}} = 0.1 \text{ A}$   
 $\sigma_x = 7 \pi \text{ nm rad } (1\sigma)$   
 $\sigma_y = 1 \pi \text{ nm rad } (1\sigma)$



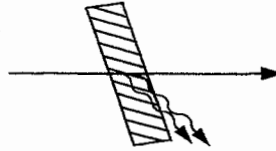


# Processes

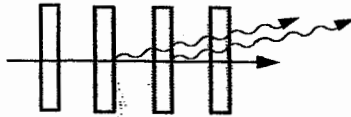
**Channeling Radiation (CR)**



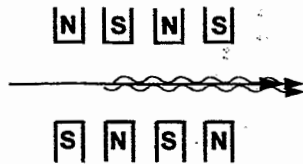
**Parametric X-rays (PXR)**



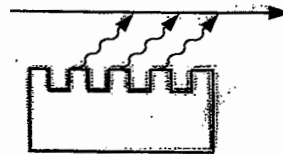
**Transition Radiation (TR)**



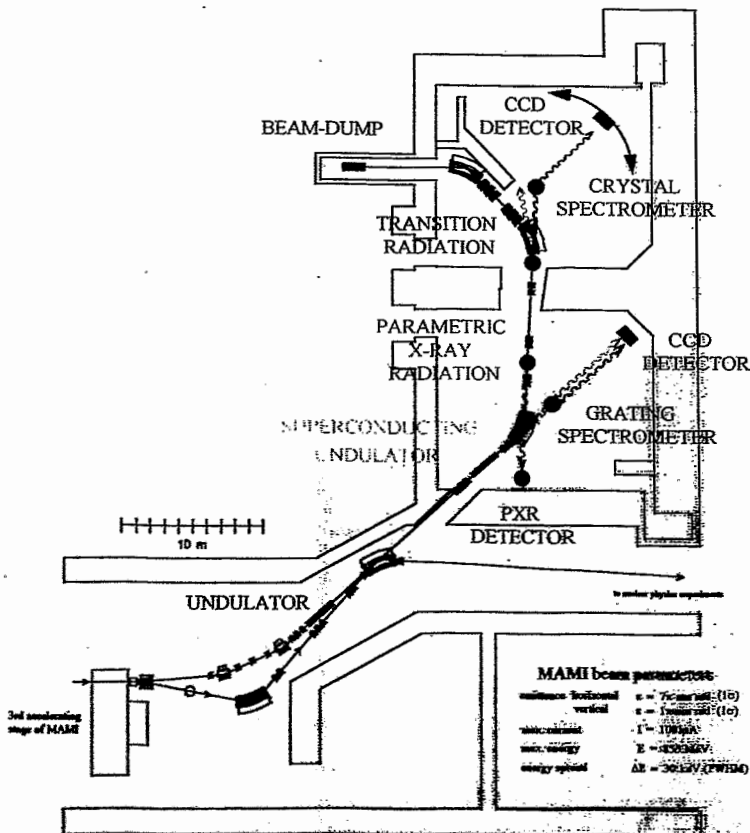
**Undulator Radiation (UR)**



**Smith-Purcell Radiation (SP)**



## X-ray Radiation Research at MAMI

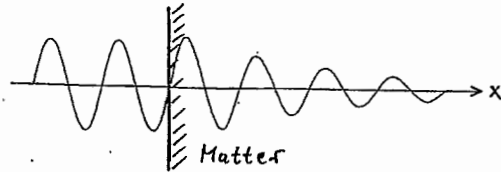


# The Complex Index of Refraction

$$n(\omega) = 1 - \delta(\omega) - i\beta(\omega)$$

Dispersion  $\uparrow$                        $\uparrow$  Absorption

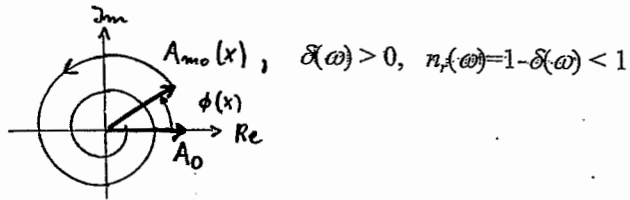
$$A_m(x, t) = A_0 e^{i\left(\omega t - n(\omega) \frac{\omega}{c} x\right)}$$



$$A_m(x, t) = \underbrace{e^{-\frac{\omega}{c}\beta(\omega)x}}_{A_{m0}(x)} \underbrace{e^{i\frac{\omega}{c}\delta(\omega)x}}_{\text{vacuum reference wave}} \cdot A_0 e^{i\left(\omega t - \frac{\omega}{c}x\right)}$$

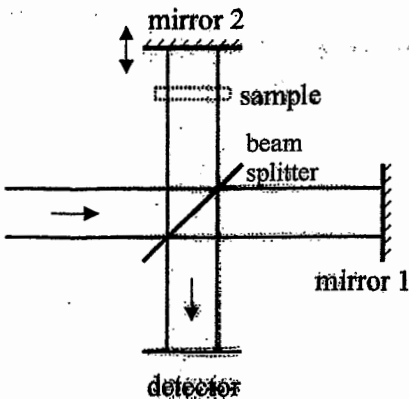
**Attenuation:**  $|A_{m0}(x)|/|A_0| = e^{-\frac{\omega}{c}\beta(\omega)x}$

**Phase Shift:**  $\phi(x) = \phi_{0m}(x) - \phi_{vac}(x) = \frac{\omega}{c}\delta(\omega)x$

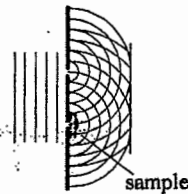


## Motivation - Interferometer

Michelson Interferometer

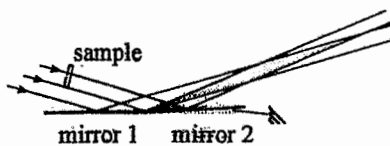


Young double slit



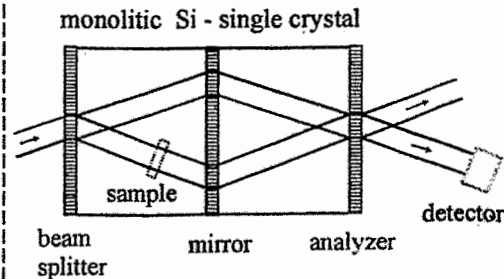
Fresnel bi-mirror

F. Polack et al., Rev. Sci. Instrum. 66, 2180 (1995)



X-ray Interferometer

U. Bonse and M. Hart, Appl. Phys. Lett. 6, 155 (1965)



IR, VIS, UV

62 nm  
20 eV

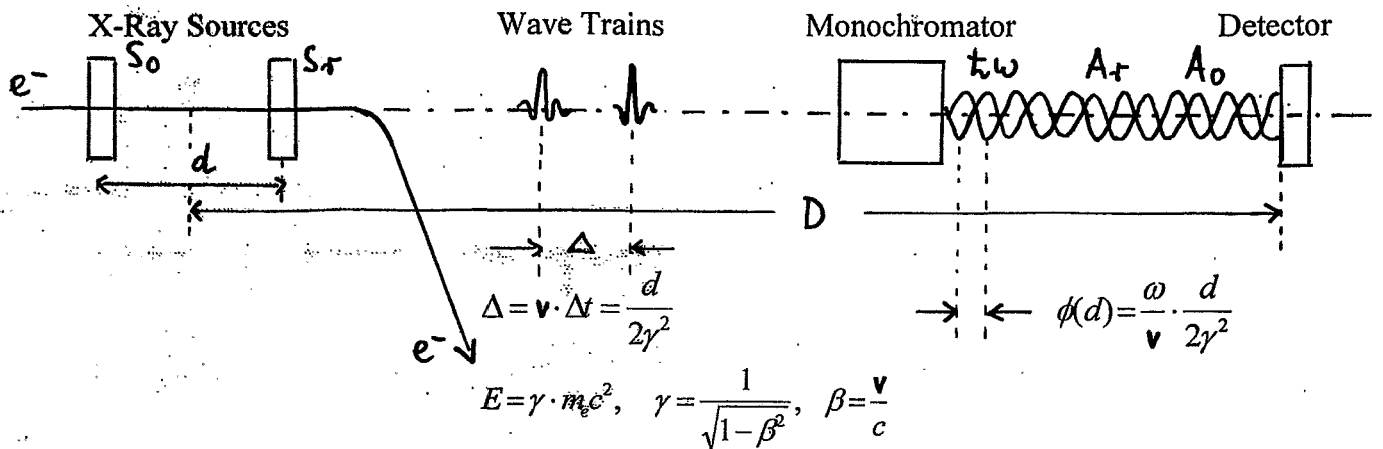
VUV, soft X-rays

0.6 nm  
2 keV

X-ray

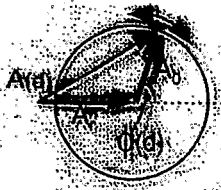
$\hbar\omega$

# Novel Interferometer with two Spatially Separated, Phase Correlated X-Ray Sources



## Interference Oscillations

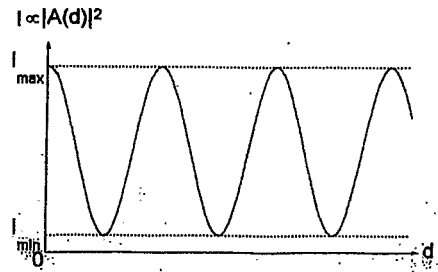
$$|A(d)|^2 = |A_r|^2 + |A_o|^2 + 2 \cdot |A_r| \cdot |A_o| \cos \phi(d)$$



## Coherence:

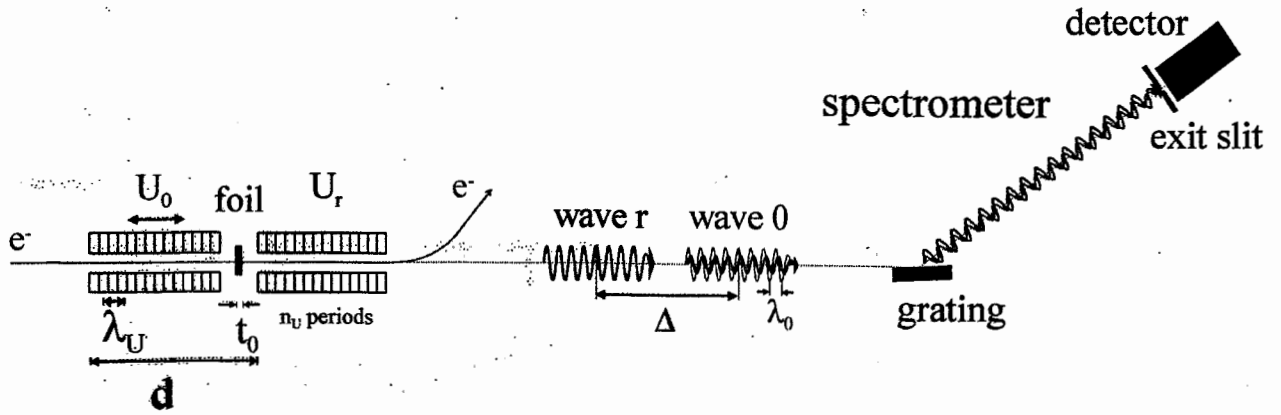
$$C = \frac{I_{\max} - I_{\min}}{I_{\max} + I_{\min}}$$

(C = 1 ideally)



## Soft X-Ray Interferometer with Undulator Radiation

# Basic Principle of the Interferometer

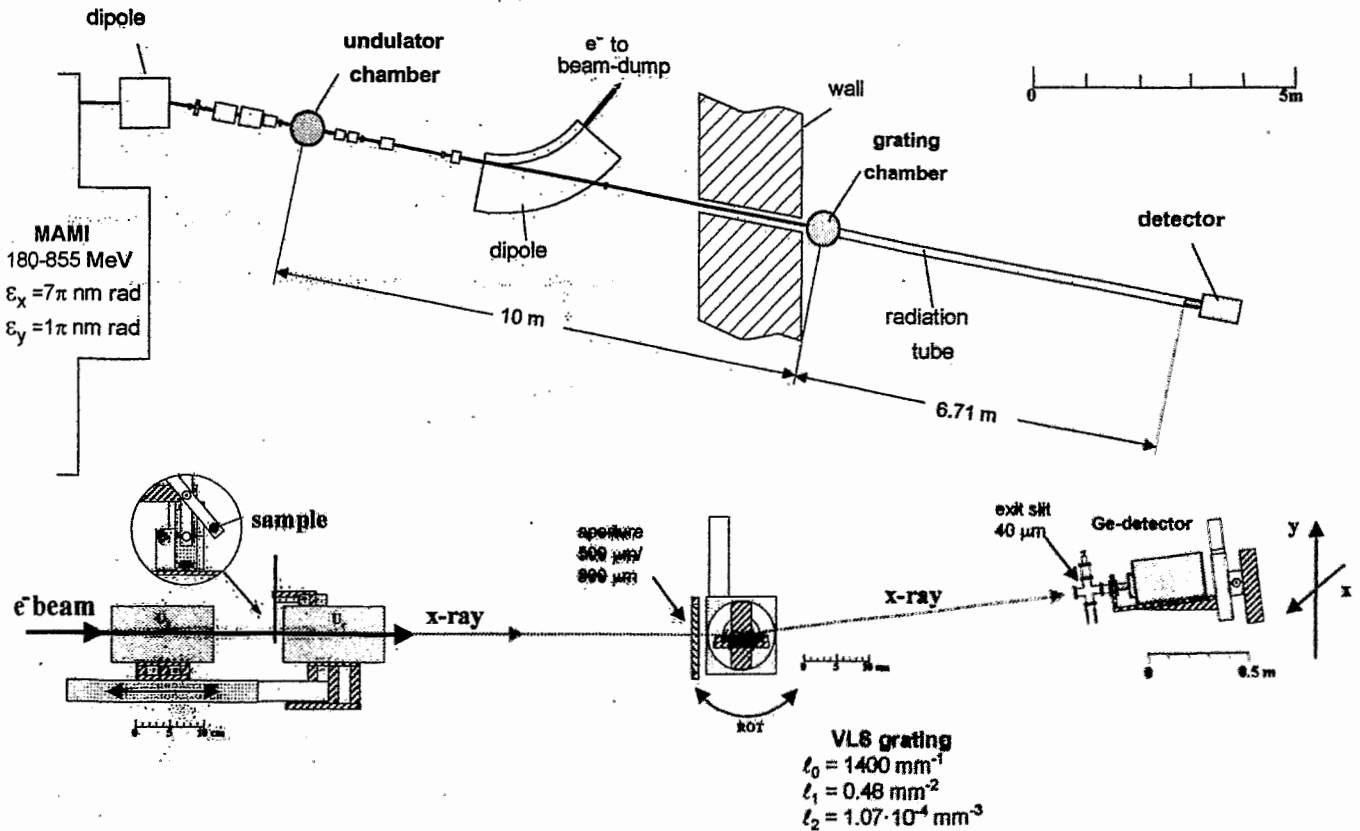


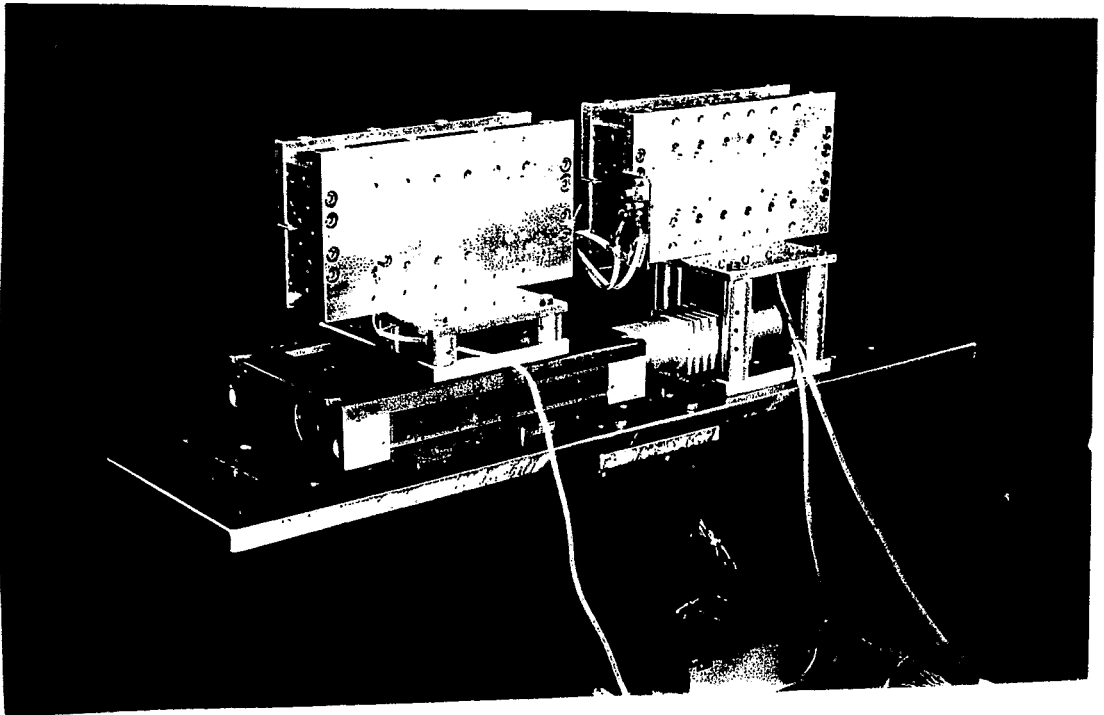
$$\Delta = \frac{d}{2\gamma^2} (1 + (\gamma\theta)^2) + \frac{K^2}{4\gamma^2} L_U \quad \lambda_0 = \frac{\lambda_U}{2\gamma^2} (1 + \frac{K^2}{2} + (\gamma\theta)^2)$$

Intensity:

$$I = |A_r|^2 + |A_0|^2 \exp\left[-2 \frac{\omega}{c} \beta(\omega) t_0\right] + 2 |A_r||A_0| \exp\left[-\frac{\omega}{c} \beta(\omega) t_0\right] \cos\left[\frac{\omega}{c} (\Delta + \delta(\omega) t_0)\right]$$

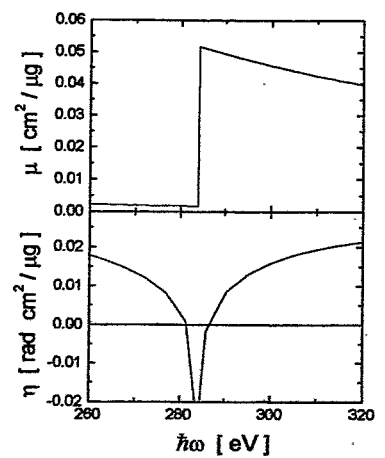
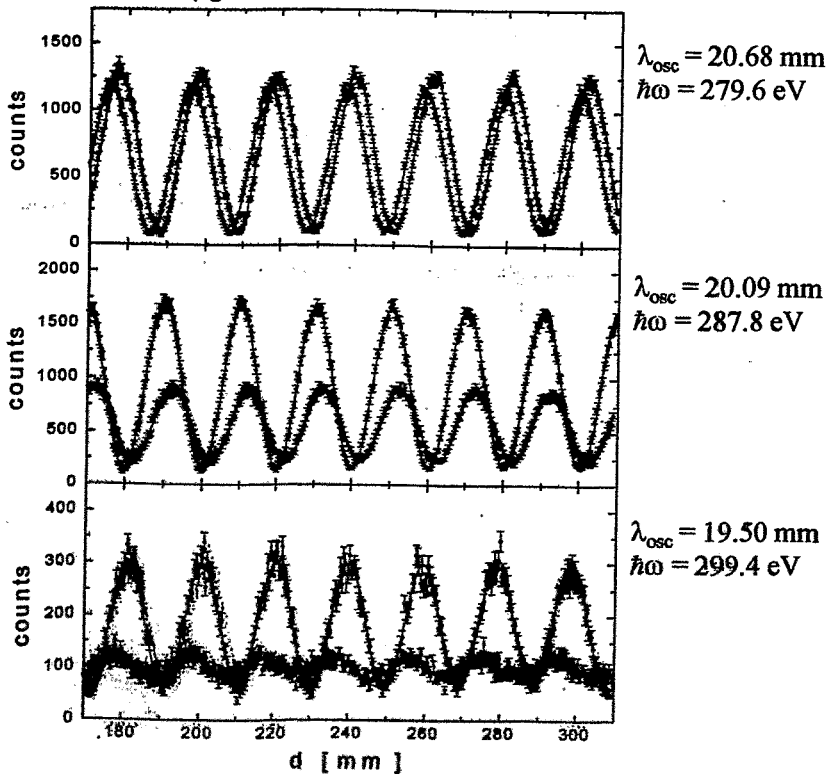
## Experimental Setup



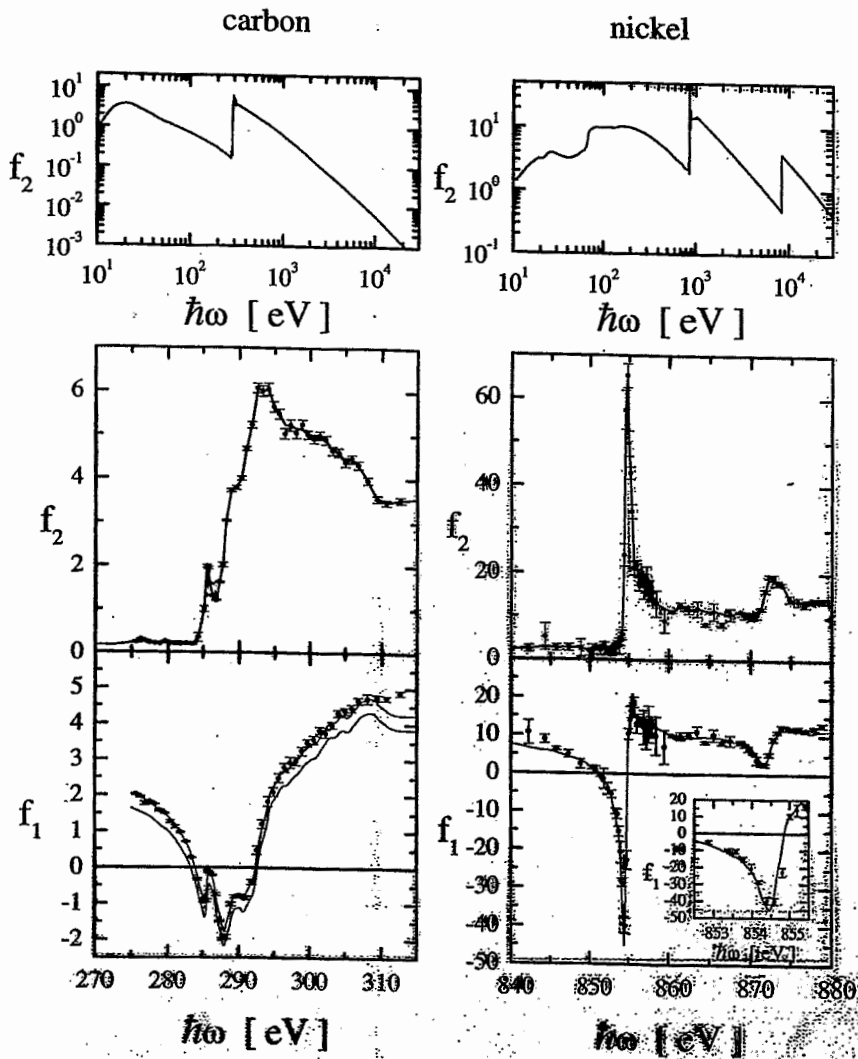


## Oscillations

black: without foil  
red:  $65 \mu\text{g}/\text{cm}^2$  C-foil



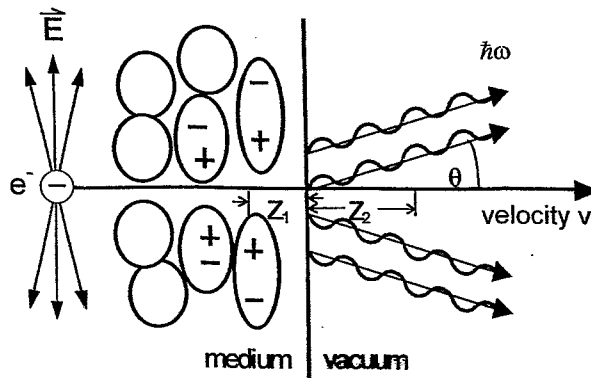
# Atomic Scattering Factors $f_1$ , $f_2$ and the Kramers-Kronig- Dispersion Relation



Development  
of a Hard X-Ray Interferometer  
with Transition Radiation

## Transition Radiation

from single interface



single interface intensity:

$$I_0 = \frac{d^2 N_0}{(d\hbar\omega/\hbar\omega) d\Omega} = \frac{\alpha\theta^2\omega^2}{16\pi^2 v^2} (Z_1 - Z_2)^2$$

formation length:

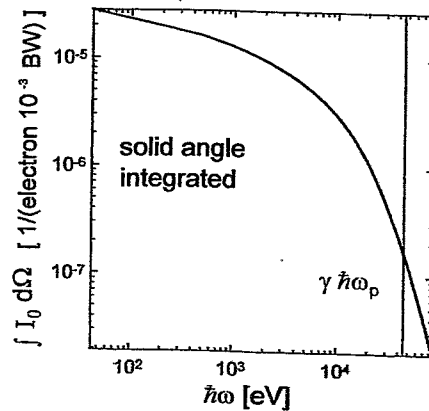
$$Z_1 = \frac{4c}{\omega} (\gamma^2 + \theta^2 + \omega_p^2/\omega^2)^{-1}$$

plasma frequency  $\omega_p^2 = 4\pi r_e c^2 n_a Z$

atomic density  $n_a$

classical electron radius  $r_e = 2.818 \text{ fm}$

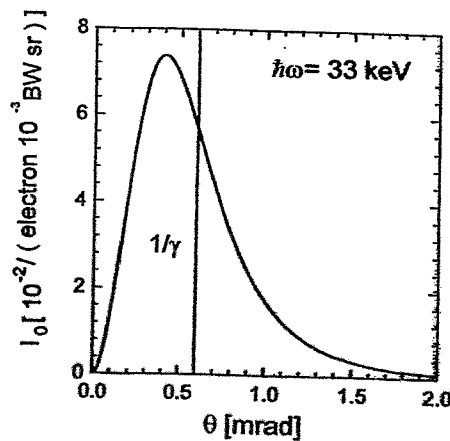
### Features of Transition Radiation (single interface)



$\hbar\omega_p = 26.2 \text{ eV (Be)}$

$\gamma = 1673$

$\gamma \hbar\omega_p = 44 \text{ keV}$

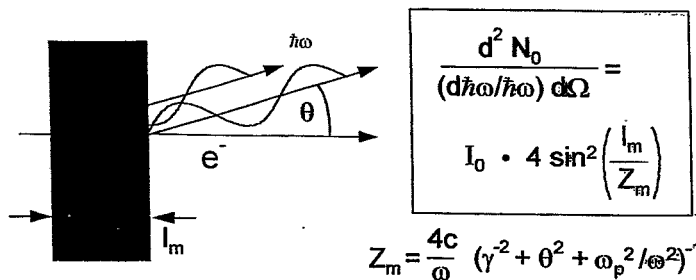


$\theta_{\max} \approx 1/\gamma$

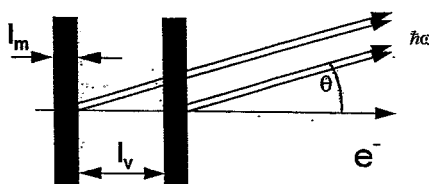
$\approx 0.6 \text{ mrad}$

## Transition Radiation

from single foil:



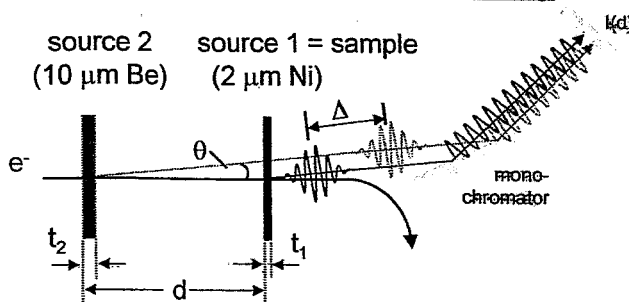
from two foils:



$$\frac{d^2 N_0}{(d\hbar\omega/\hbar\omega) d\Omega} = I_0 \cdot 4 \sin^2\left(\frac{l_m}{Z_m}\right) \cdot 4 \cos^2\left(\frac{l_m}{Z_m} + \frac{l_v}{Z_v}\right)$$

## Transition Radiation Interferometry

source 2 (10 μm Be)    source 1 = sample (2 μm Ni)



$$I(\theta, d) = |A_1|^2 + |A_2|^2 e^{-\sigma_1} + 2|A_1||A_2| e^{-\sigma_1/2} \times \cos\left\{ \frac{\omega}{v} \Delta'(\theta, d) + \phi_1 + \arctan\left\{ \frac{e^{-\sigma_1/2} \sin \phi_1}{1 - e^{-\sigma_1/2} \cos \phi_1} \right\} - \arctan\left\{ \frac{e^{-\sigma_2/2} \sin \phi_2}{1 - e^{-\sigma_2/2} \cos \phi_2} \right\} \right\}$$

$$\Delta'(\theta, d) = 1/2 (\gamma^2 + \theta^2) (d - t_2) \Rightarrow d = 0.01 \text{ mm} \dots 10 \text{ mm}$$

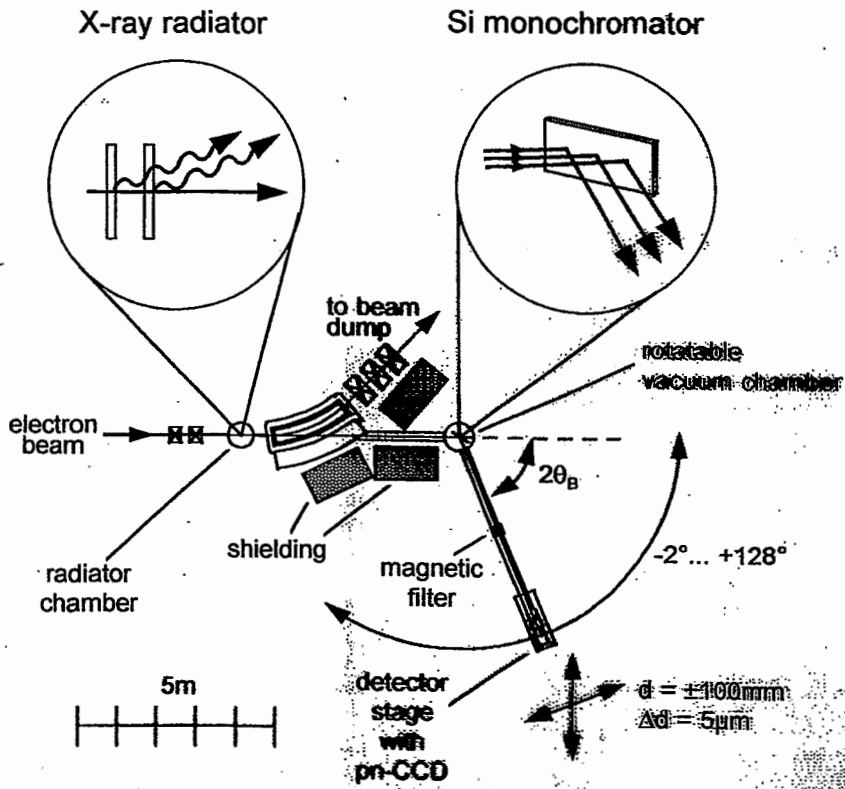
$$A_1 = \frac{\sqrt{\alpha}}{\pi} \theta \left\{ \frac{1}{\gamma^2 + \theta^2 + 2\delta_1} - \frac{1}{\gamma^2 + \theta^2} \right\} (1 + e^{-\sigma_1} - 2e^{-\sigma_1/2} \cos \phi_1)$$

$$\phi_1 = \frac{\omega t_1}{2v} (\gamma^2 + \theta^2 + 2\delta_1) \qquad \sigma_1 = 2 \frac{\omega}{c} \beta_1 t_1$$

absolute measurement of foil distance **d** necessary



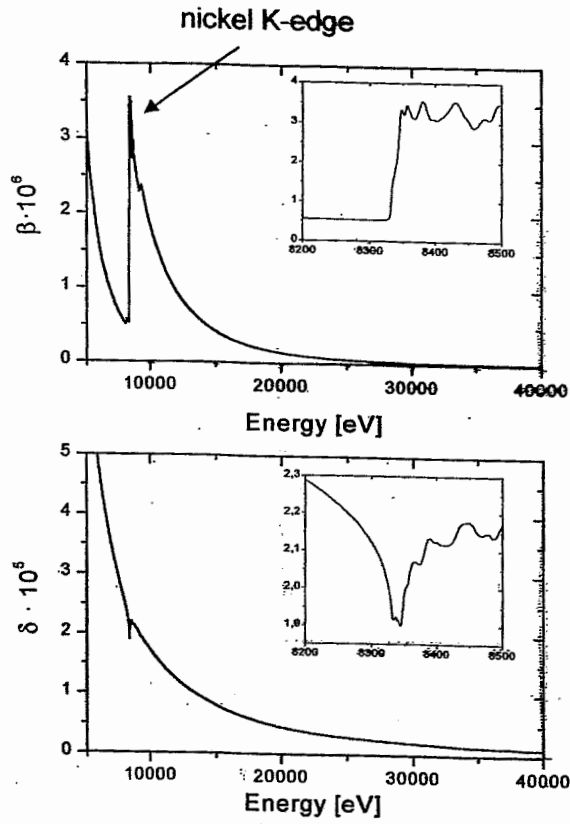
# Experimental Set-up



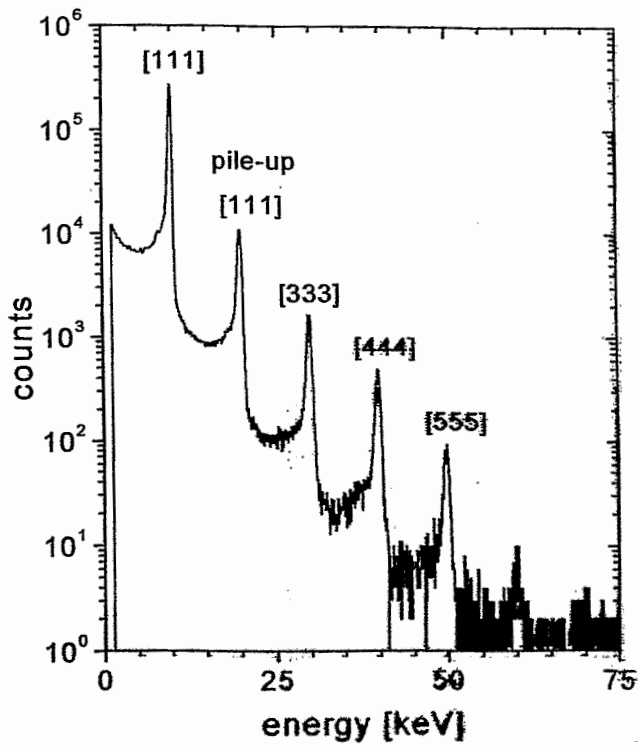
## Complex Index of Refraction

$$n(\omega) = 1 - \delta(\omega) - i\beta(\omega)$$

dispersion  $\Rightarrow$  phase shift  
absorption  $\Rightarrow$  attenuation

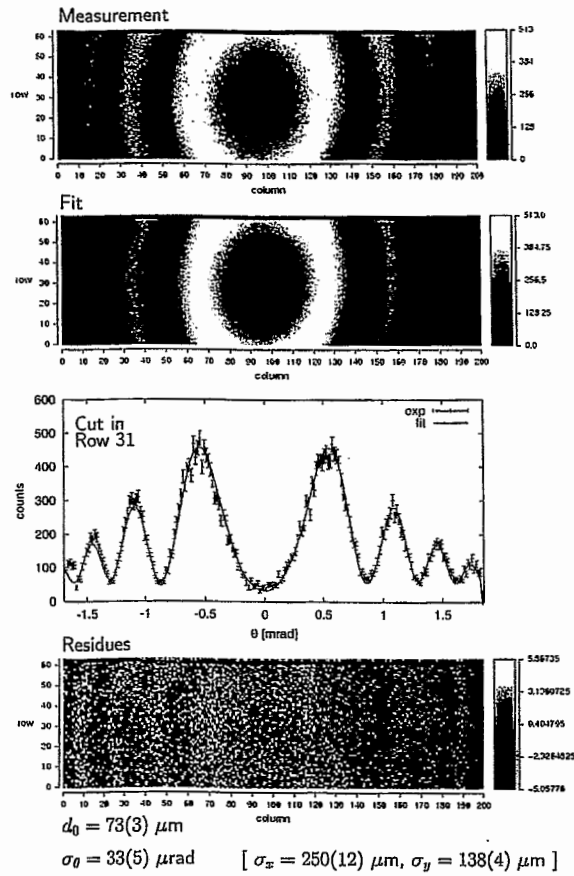


## Energy Spectrum



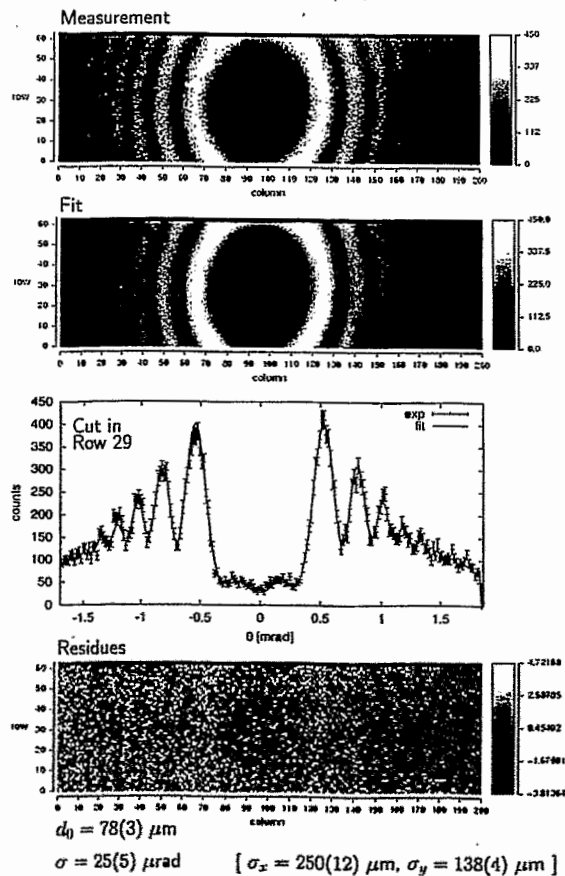
### Fit of two dimensional TR Distribution

10  $\mu\text{m}$  Be, 2  $\mu\text{m}$  Ni,  $d=263 \mu\text{m}$ ,  $h\nu = 9925 \text{ eV}$

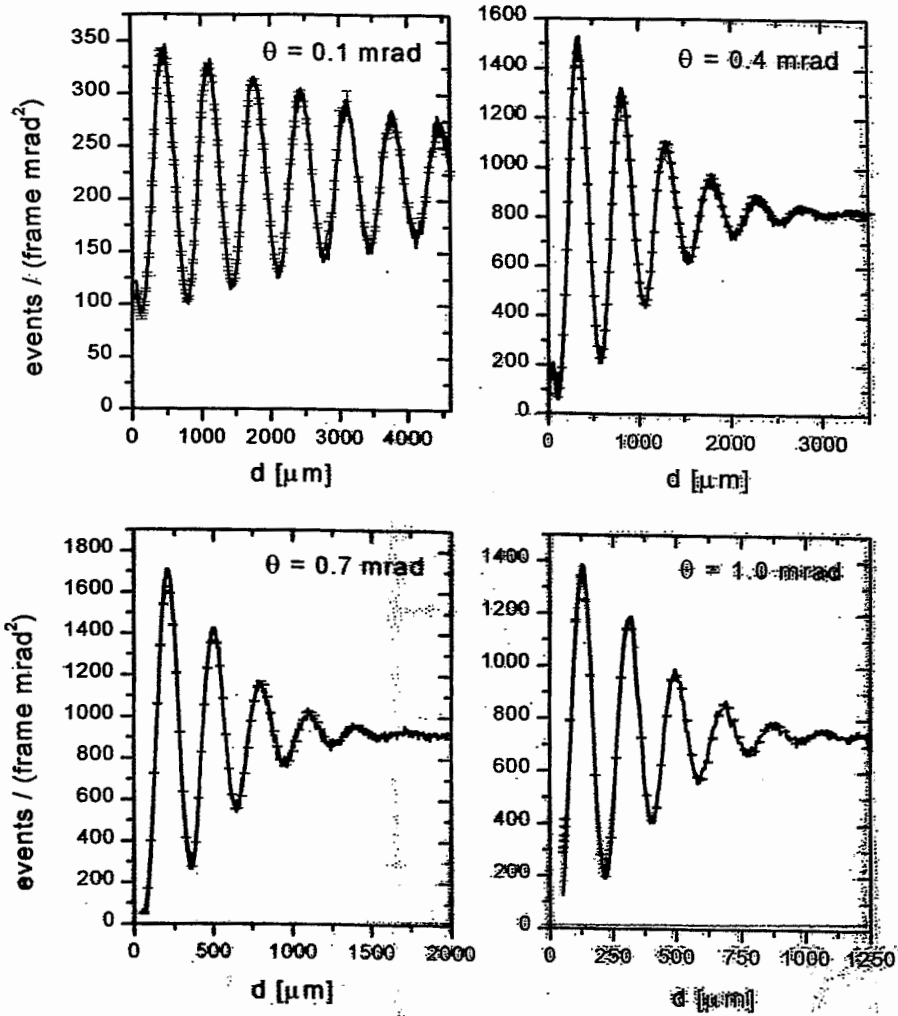


### Fit of two dimensional TR Distribution

10  $\mu\text{m}$  Be, 2  $\mu\text{m}$  Ni,  $d=668 \mu\text{m}$ ,  $h\nu = 9925 \text{ eV}$

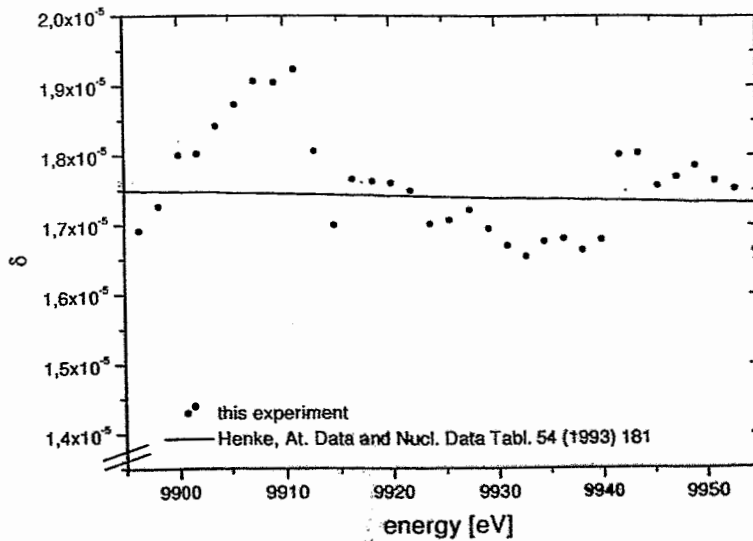


fits of simulations at fixed  $\theta$  and fixed  $\hbar\omega=9975$  eV

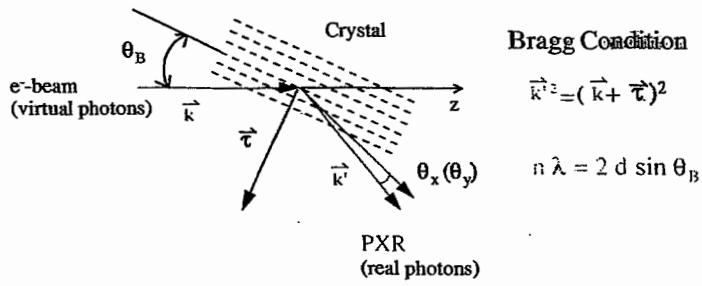


## Preliminary Results

### Dispersion $\delta$ of Nickel



# Parametric X-Ray Radiation



## Feranchuk-Ivashin Model

$$\frac{d^2 N}{d\theta_x d\theta_y} = \frac{\alpha}{\pi} \cdot |\chi_{\tau}|^2 \cdot L_{eff} \cdot \frac{\hbar \omega_B / \hbar \omega \cdot \theta_x^2 \cos^2(\theta_B) + \theta_y^2}{4 \sin^2 \theta_B \cdot (\theta_x^2 + \theta_y^2 + \theta_{ph}^2)^2}$$

- coupling strength
- crystal structure factor
- effective absorption length (self absorption)
- angular distribution

$$L_{eff} = \int e^{-f(z)/L_a} dz \quad \theta_{ph} = (1/\gamma^2 + |\chi_0|)^{1/2}, \quad |\chi_0| \approx \left(\frac{\omega_p}{\omega}\right)^2$$

$f(z)$  - distance to crystal surface ;  $\omega_p$  - plasma frequency  
 $L_a$  - absorption length

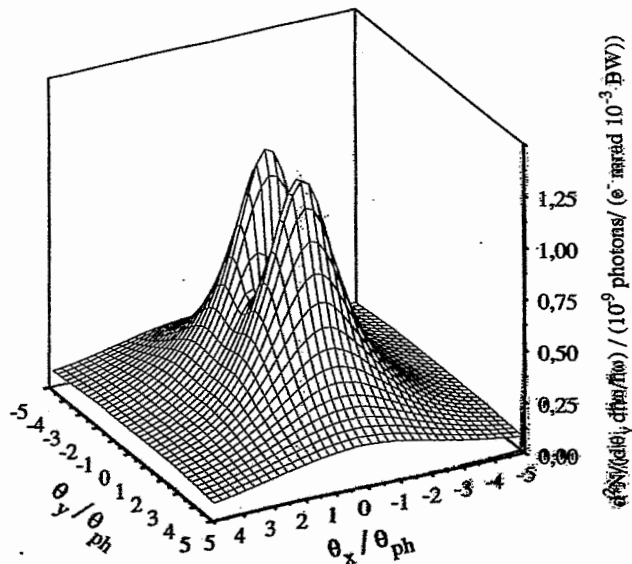
## Theoretical Angular Distribution

(I.D Feranchuk & A.V. Ivashin: J.Physique, 46 (1985)1981)

Si (111),  $\theta_B = 22.5^\circ$

$$\theta_{ph} = (1/\gamma^2 + |\chi_0|)^{1/2}, \quad |\chi_0| \approx \left(\frac{\omega_p}{\omega}\right)^2, \quad \hbar\omega_p = 31\text{eV}$$

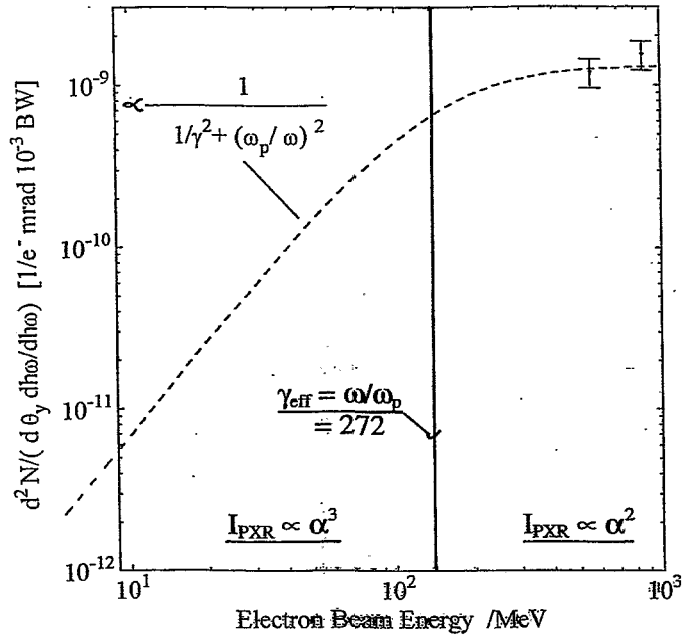
$\gamma = 1673$



# Investigation of the Production Mechanism of Parametric X-ray Radiation

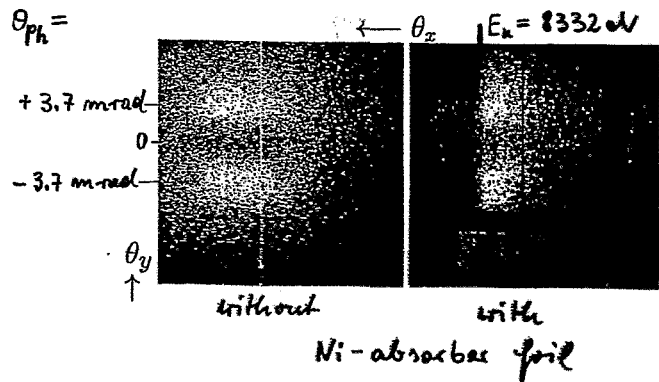
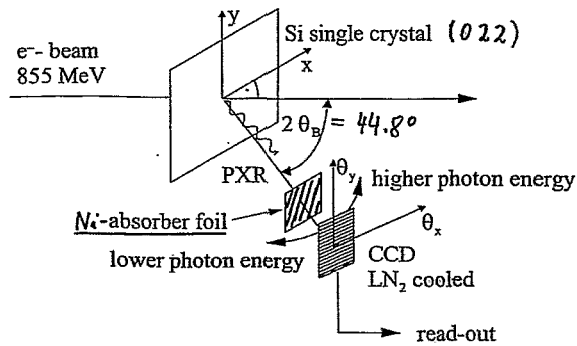
K.-H. Brenzinger et al., Z. Phys. A 358 (1997) 107

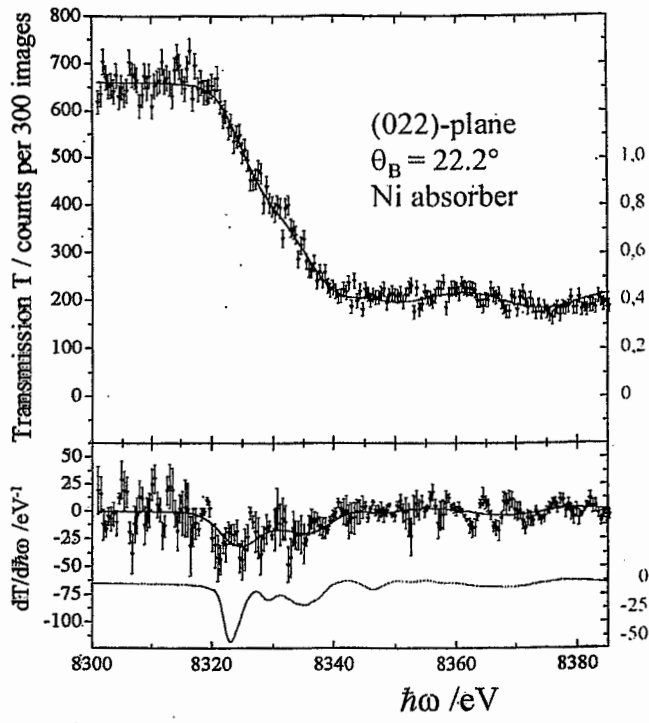
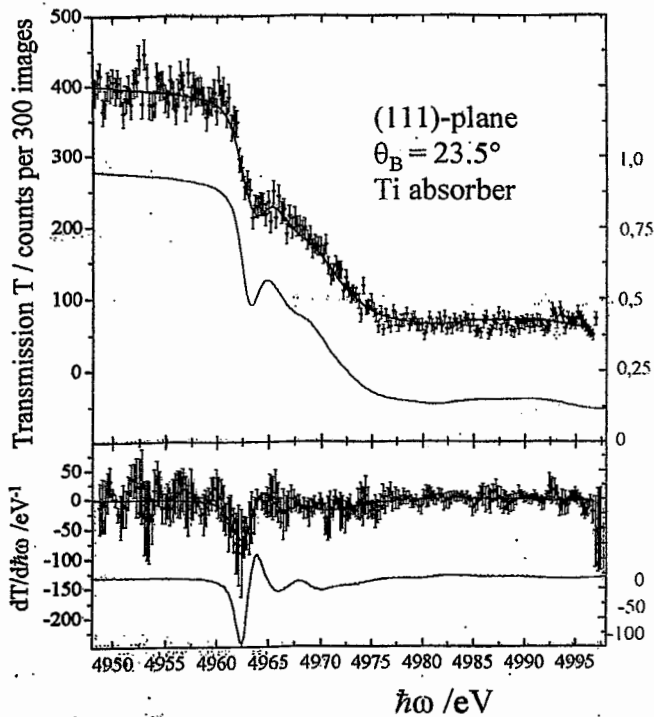
Silicon (220);  $\theta_B = 22.5^\circ$ ;  $\hbar\omega = 8420$  eV at maximum



## How Narrow is the Line Width of Parametric X-ray Radiation

K.-H. Brenzinger et al., Phys. Rev. Lett. 79 (1997) 2462





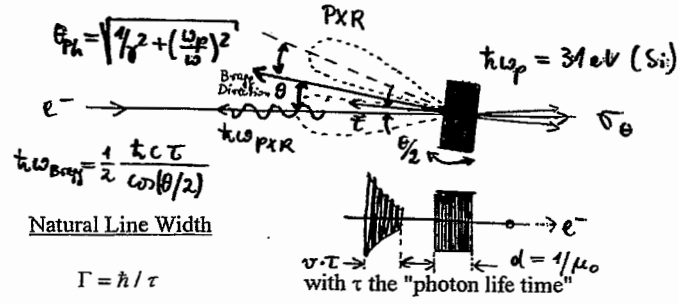
Fitfunction:

$$I_{fit}(E) = N \int [T_{pa}(E') \otimes g(E', \theta_x)] I_{PXR}(\theta_x, \theta_y) d\theta_y$$

$$\Delta E_{PXR} = \Delta E_{intr.} \otimes \Delta E_{geo}$$

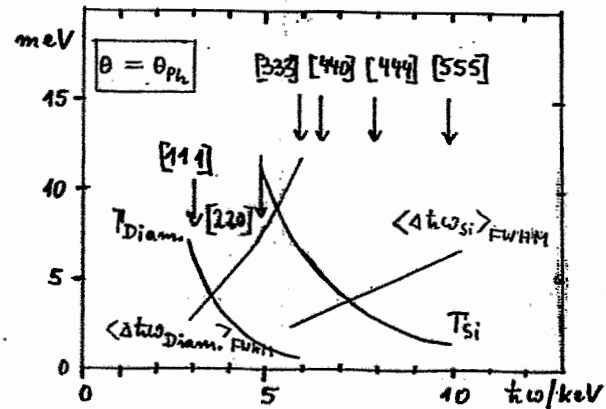
$\Delta E_{PXR,(111)} \leq 1.2 \text{ eV (95\%CL)}$   
 $\Delta E_{PXR,(022)} \leq 3.5 \text{ eV (95\%CL)}$

**Line Width of Backward PXR**  
 for Diamond and Silicon at  $E = 855 \text{ MeV}$



Multiple Scattering ( $\sigma_\theta$  space angular divergence)

$$\frac{\Delta \hbar\omega}{\hbar\omega}_{FWHM} = 0.6 \sqrt{\ln 2} \cdot \sqrt{(\theta \cdot \sigma_\theta)^2 + \frac{1}{2} \sigma_\theta^4}, \quad \frac{\Delta \hbar\omega}{\hbar\omega}_{FWHM} \approx 0.5 \cdot \sigma_\theta \cdot \frac{\omega_p}{\omega} \text{ at } \theta = \theta_{ph}$$

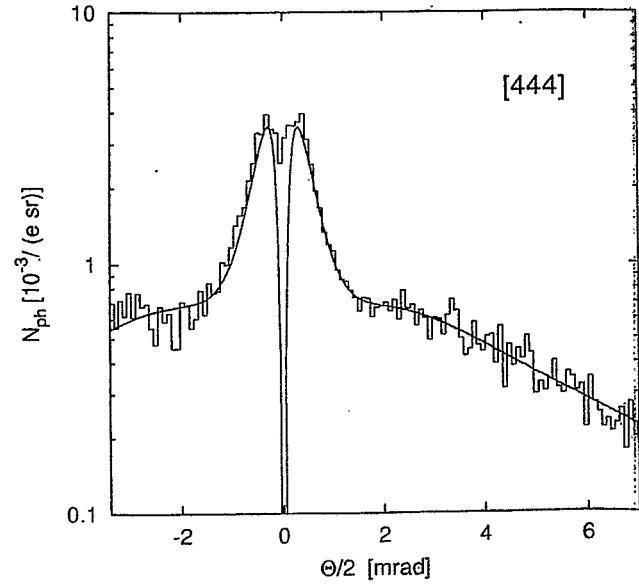
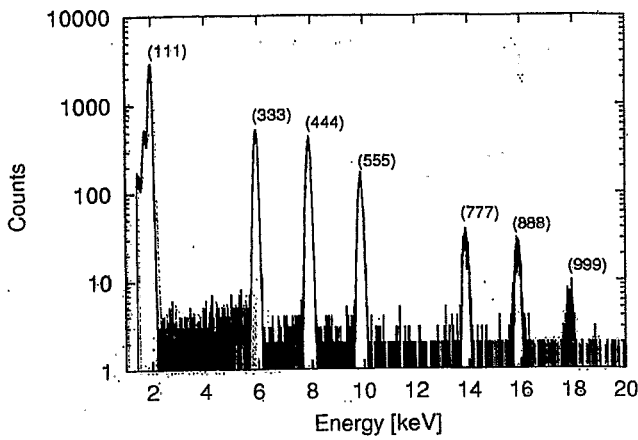


# Backward PXR

Crystal: Silicon Single Crystal, Thickness 525  $\mu\text{m}$   
 Beam: 855 MeV, 10 nA

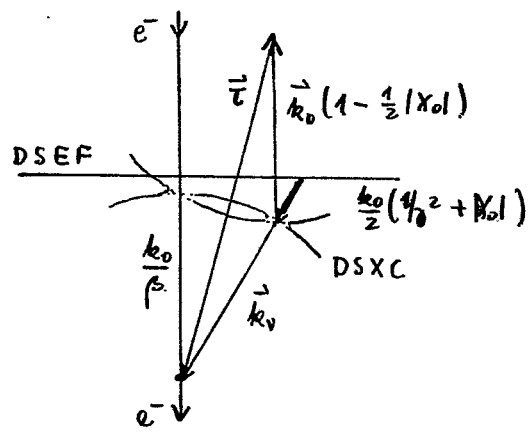
## Angular Distribution

### Energy Spectrum

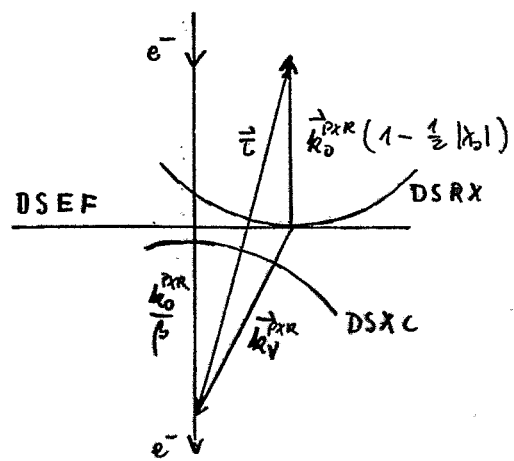


A. Caticha, Phys. Rev. A **40** (1989), 4322

### Diffracted Transition Radiation (DTR)



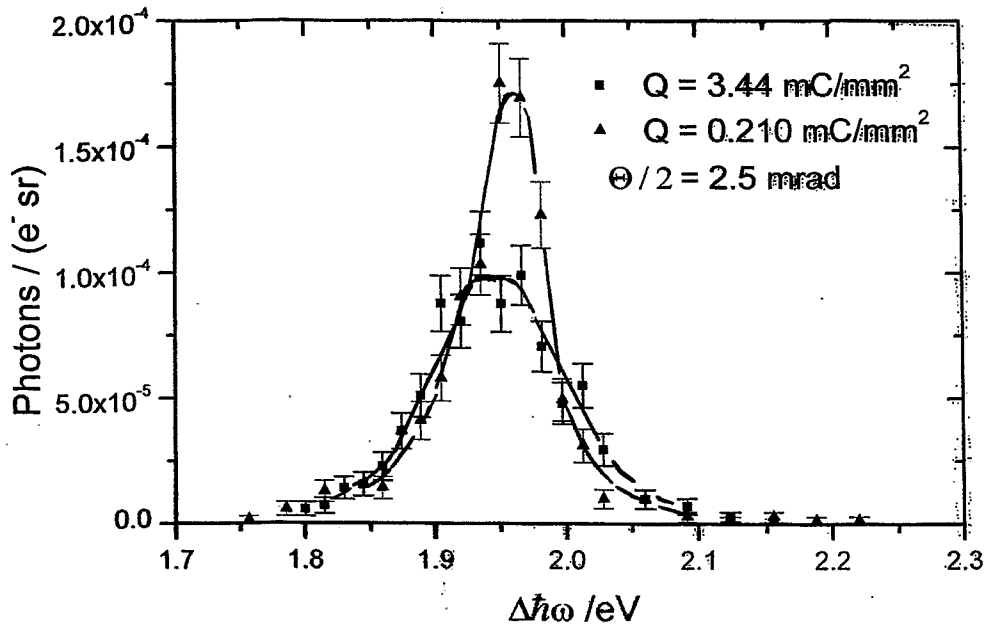
### Parametric X Radiation (PXR)



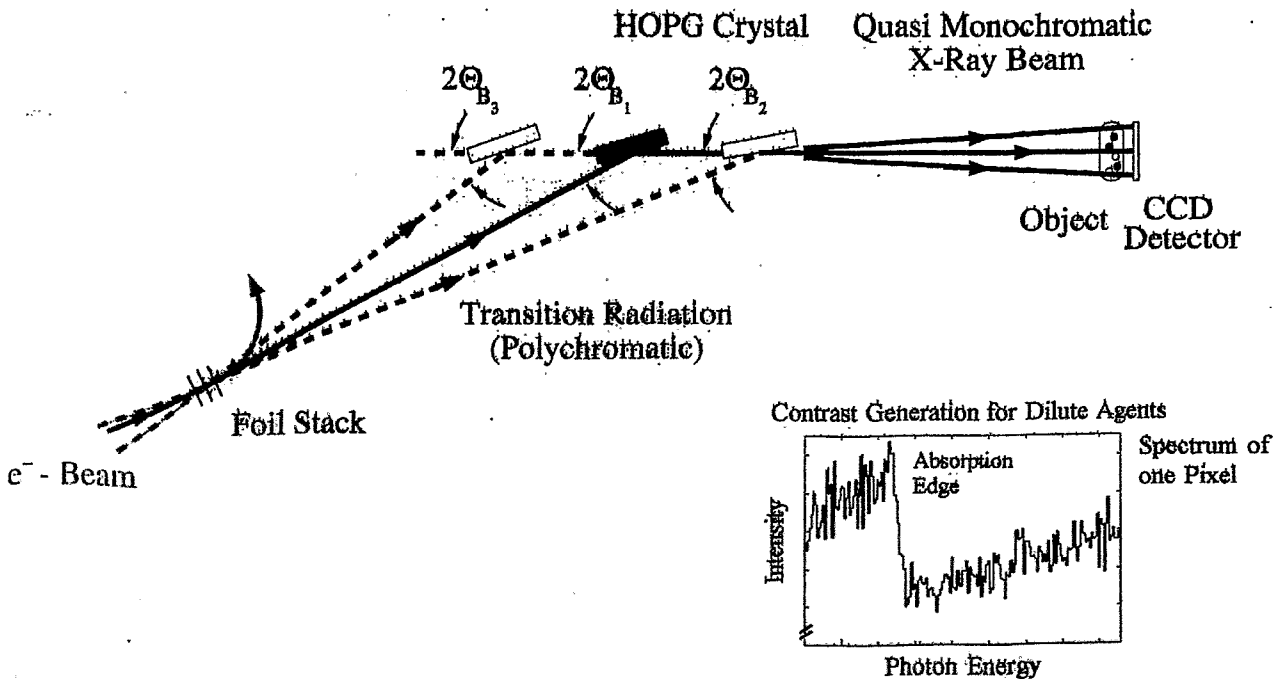


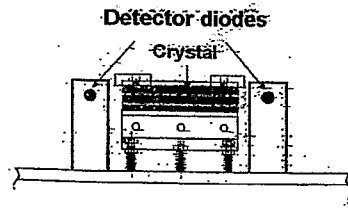
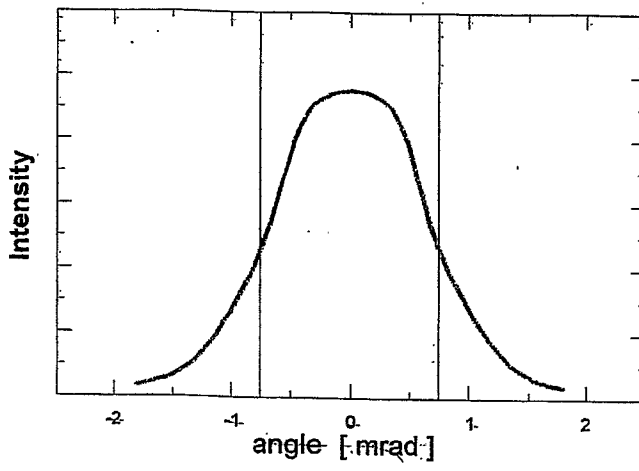
## Radation Damage

Si (444),  $t = 525 \mu\text{m}$ ,  $E = 855 \text{ MeV}$

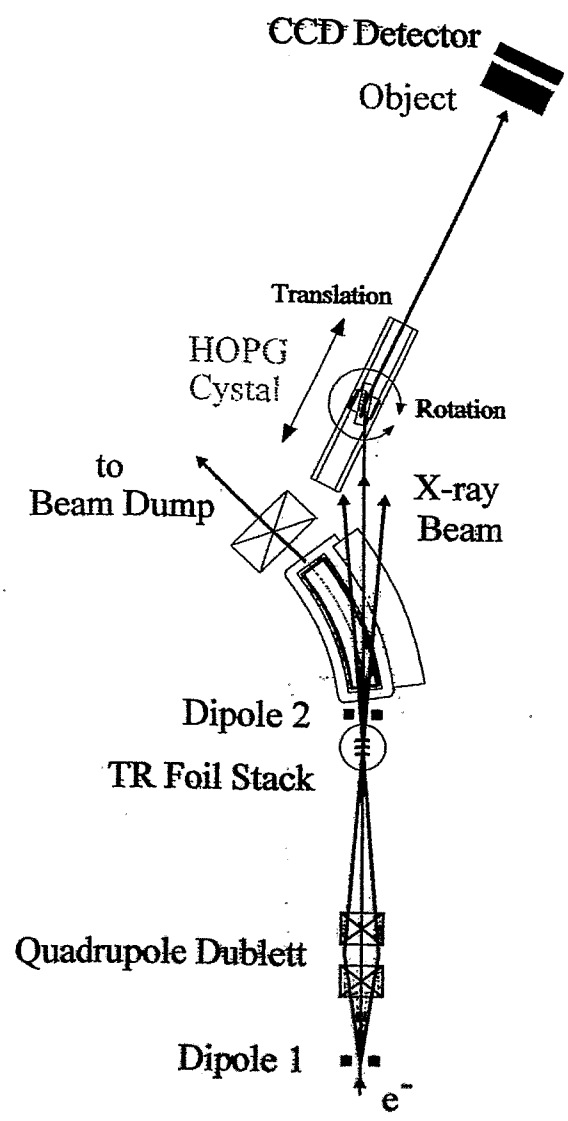


## Principle of the Fast Tunable Monochromator for Digital Subtraction Imaging

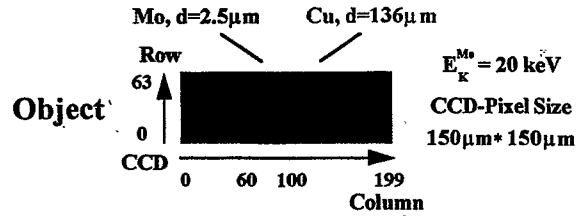




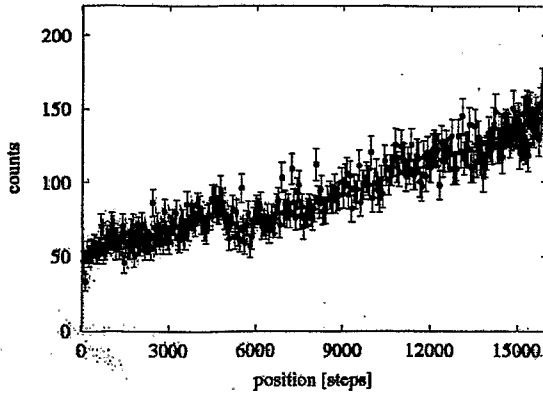
## Experimental Set-up



# Contrast Generation

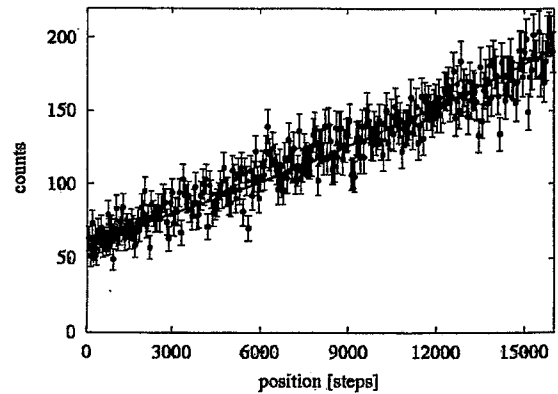


Column 69



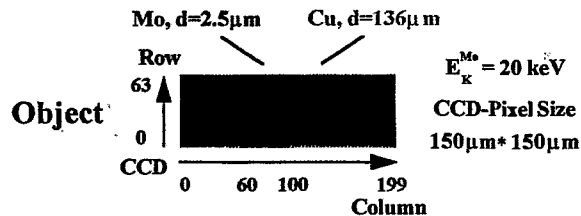
$$d = (2.4 \pm 0.2) \mu\text{m}$$

Column 111



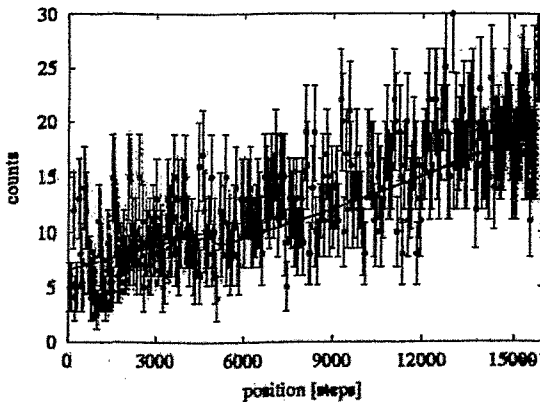
$$d = (0.00 \pm 0.04) \mu\text{m}$$

# Contrast Generation



3 \* 3 Pixel

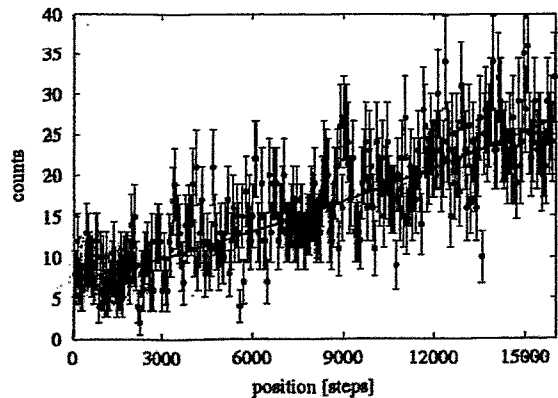
70



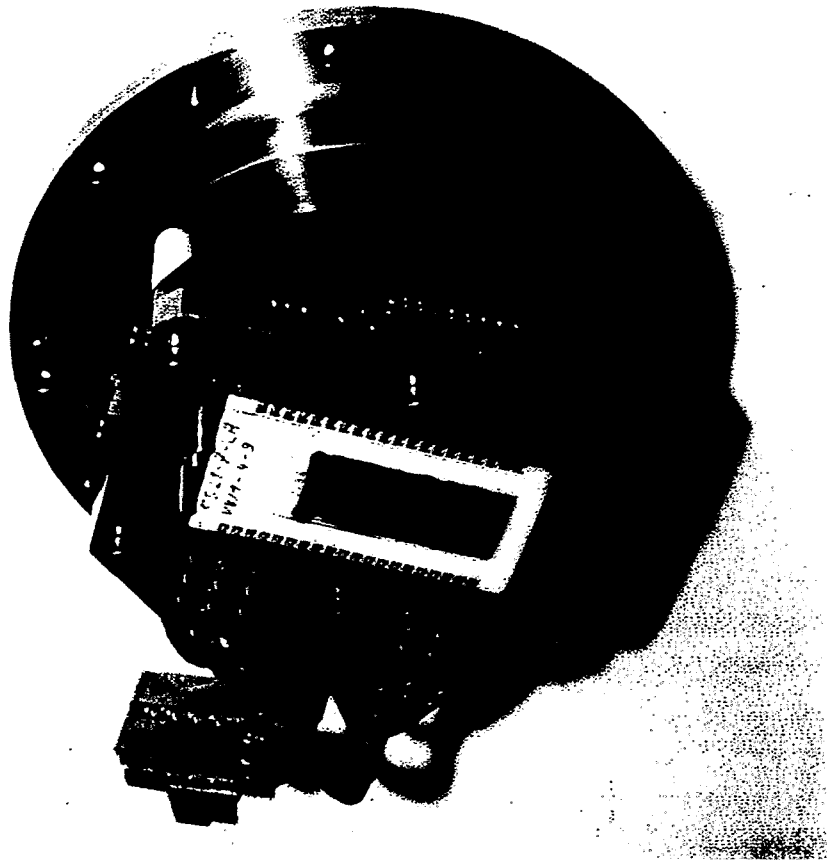
$$d = (2.5 \pm 0.6) \mu\text{m}$$

111

3 \* 3 Pixel



$$d = (0.0 \pm 0.1) \mu\text{m}$$



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*P. Rullhusen:*

**Radiation produced by relativistic electrons  
interacting with flat and modulated surfaces**

# Radiation produced by relativistic electrons interacting with flat and modulated surfaces

P.Rullhusen

Rosendorf, 24.2.2000



## Motivation:

Transition  
radiation:  
advantage:

intense ( $P \propto i$ )

but:

continuous spectrum ( $\omega_e = \gamma \omega_p$ ) for single foil  
interference (stack of foils)  $\Rightarrow$  absorption losses

Smith-Purcell effect:

advantage:

monochromatic

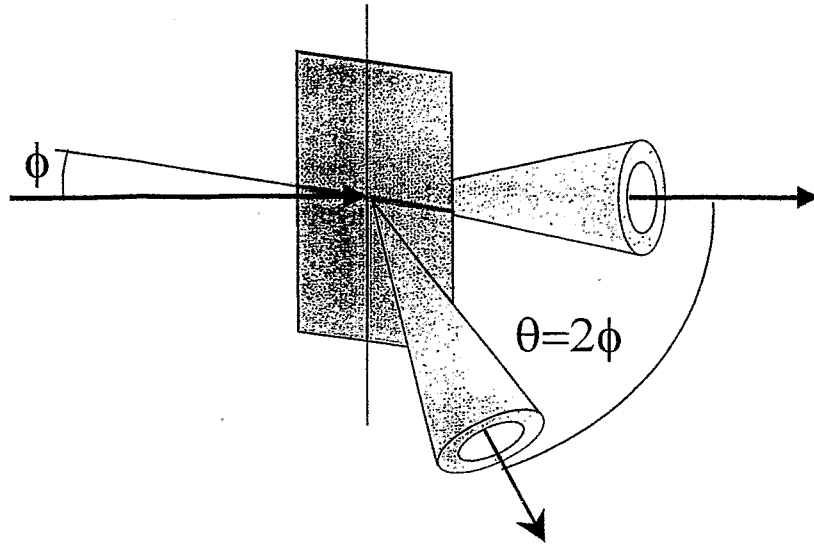
$$\frac{\Delta\lambda}{\lambda} = \frac{\sin\theta}{(\beta^{-1} - \cos\theta)} \Delta\theta$$

but:

intensity  $\propto \exp(-z/h_{\text{int}})$  ,  $h_{\text{int}} = \frac{1}{2} \beta \gamma \lambda$  ( $\zeta = 0$ )

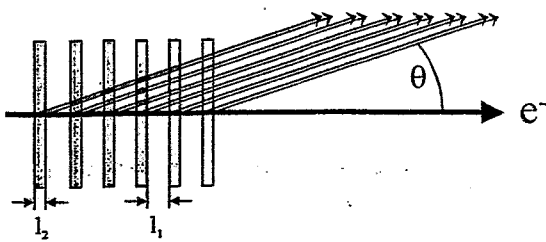


## Transition Radiation:



*irm*

**XTR:** neglect reflections,  $\epsilon \approx 1 - (\omega_p/\omega)^2$



$$F = \frac{d^2W}{d\omega d\Omega} = F_1 F_2 F_3$$

formation length:

$$L_f = \frac{1}{\frac{\omega}{c} (\beta^{-1} - \sqrt{\epsilon} \cos \theta)}$$

$\gamma^2 \lambda_f$  for  $\theta = \gamma^{-1} \ll 1, \omega \gg \omega_p$   
 $\approx \beta \lambda_f$  for  $\theta \rightarrow \pi/2$

single interface:

$$F_1 \propto |L_f - L_f^0|^2$$

single foil:

$$F_2 = |1 - e^{i\pi/2 L_f}|^2 \approx 4 \sin^2(\pi/2 L_f)$$

$$\left\{ \begin{array}{l} l_2 \ll L_f \Rightarrow F \ll F_1 \\ l_2 \gg L_f \Rightarrow F \approx 2 F_1 \end{array} \right.$$

N foils:

$$F_3 = \left| \frac{1 - C^N}{1 - C} \right|^2 \Rightarrow N \text{ for } l_1 \gg L_f^0$$

*irm*

## Calculations of Transition Radiation:

1.) classical (e.g., Ter-Mikaelyan):

Fourier transf.  $(x,y,z,t) \rightarrow (k_x, k_y, k_z, \omega) = (\alpha, \beta, \gamma, \omega)$   
 Maxwell eqs. + boundary conditions

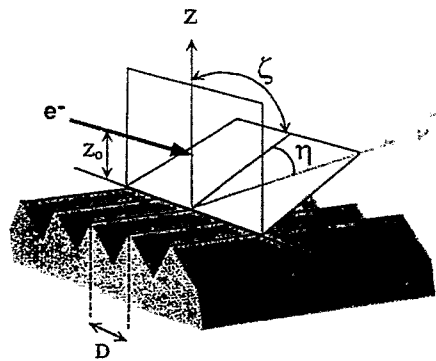
➔ Fresnel coefficients

2.) reciprocity theorem (e.g., Ginzburg-Frank, Pafomov, Wartski):  
 observer at large distances  $\rightarrow$  incident plane wave

➔ use results from optics

*irm*  
*m*

## Smith-Purcell



$$\left(\frac{dP}{d\Omega}\right)_n = \frac{e^2 D^2}{2\epsilon_0 |n| \lambda^3} \cos^2 \eta \cos^2 \zeta |R_n(\eta, \zeta)|^2 \exp\left(-\frac{z_0}{h_{\text{int}}}\right)$$

$$h_{\text{int}} = \frac{\lambda}{4\pi (\beta^{-2} - 1 + \cos^2 \eta \sin^2 \zeta)^{1/2}} = \frac{1}{2} \beta \gamma \lambda \text{ for } \zeta = 0$$

*irm*  
*m*



**incident field: Fourier components**



$$J(x, z; \beta, \omega) = q \exp(i \alpha_0 x) \delta(z - z_0) i_x$$

$$E_y^i(x, z, \beta, \omega) = \frac{q}{2} (\mu_0 / \epsilon_0)^{1/2} (\beta / k_0) (\alpha_0 / \gamma_0) \exp(i \alpha_0 x + i \gamma_0 |z - z_0|)$$

$$H_y^i(x, z, \beta, \omega) = -\frac{q}{2} \text{sign}(z - z_0) \exp(i \alpha_0 x + i \gamma_0 |z - z_0|)$$

$$\alpha_0^2 + \beta^2 + \gamma_0^2 = k^2$$

$$\alpha_0 = \frac{\omega}{v} = \frac{c}{v} k > k$$



$\gamma_0$  imaginary (evanescent wave)

**reflected field above grating:**

$$\alpha_n^2 + \beta^2 + \gamma_n^2 = k^2$$

$$\alpha_n = \alpha_0 + n \frac{2\pi}{D}$$

**radiation condition**

$\gamma_n$  real (propagative wave)

**Helmholtz equations**

$$(\partial_x^2 + \partial_z^2) E_y + (k^2 - \beta^2) E_y = \frac{\mu_0 \beta}{\epsilon_0 k} \partial_x J_x$$

$$(\partial_x^2 + \partial_z^2) H_y + (k^2 - \beta^2) H_y = -\partial_x J_x$$

**boundary conditions**

$$\mathbf{n} \times \mathbf{E} = 0 \quad \mathbf{E} = \mathbf{E}' + \mathbf{E}'$$

$$\mathbf{n} \cdot \nabla H_y = 0 \quad \mathbf{H} = \mathbf{H}' + \mathbf{H}'$$

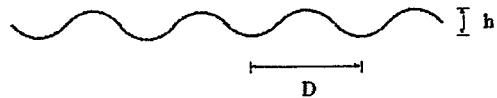


*irm*

**Solutions of the grating problem**

**Shallow sinusoidal gratings (h/D < 0.14) : Rayleigh method**

$$\sum_{n=-\infty}^{\infty} E_{y,n}^r e^{i(xk_{x,n} + zk_{z,n})} = -E_y^i(x, z)$$



**Integral method:**

calculate  $E_{y,n}^r(x, z), H_{y,n}^r(x, z)$  inside the grooves

from  $E_{y,n}^r(x_p, z_p), H_{y,n}^r(x_p, z_p)$  on the grating surface

using Greens theorem -> system of coupled integral equations of 2nd kind :

$$\mathbf{n} \cdot \nabla E_y^i(r_p) = \frac{1}{2} \mathbf{n} \cdot \nabla E_y^r(r_p) - P \int_L (\mathbf{n} \cdot \nabla E_y^r) (\mathbf{n} \cdot \nabla G) ds$$

$$H_y^i(r_p) = \frac{1}{2} H_y^r(r_p) - P \int_L H_y^r (\mathbf{n} \cdot \nabla G) ds$$

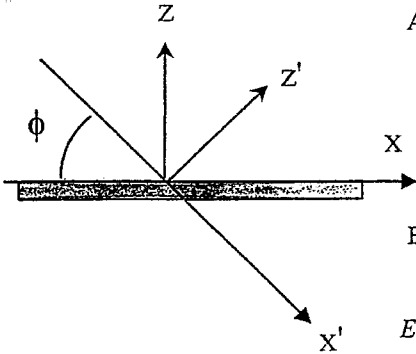
*irm*

problem with inclined beam:

$$E_y^i(x', y', z'; \omega) = -i \frac{q}{2} \frac{c}{v} \left( \frac{\mu_0}{\epsilon_0} \right)^{1/2} \int_{-\infty}^{\infty} \frac{d\beta}{2\pi} \frac{\beta}{(\beta^2 + g^2)^{1/2}} \exp(i A)$$

$$H_y^i(x', y', z'; \omega) = -\frac{q}{2} \operatorname{sgn}(z') \int_{-\infty}^{\infty} \frac{d\beta}{2\pi} \exp(i A)$$

$$A = \alpha_0 x' + \beta y' + \gamma_0 |z'|, \quad g^2 = \alpha_0^2 - k^2, \quad \alpha_0 = kc/v, \quad k = \omega/c = 2\pi/\lambda, \quad \gamma_0 = i(\alpha_0^2 + \beta^2 - k^2)^{1/2}$$



rotation  $(x', y', z') \Rightarrow (x, y, Z), y=y'$

trick: Fourier transform in z

$$B = \alpha_0 x' + \beta y' + sz' = (\alpha_0 \cos\phi + \sigma \sin\phi)x + \beta y + (-\alpha_0 \sin\phi + \sigma \cos\phi)z$$

$$E_y^i(\phi, x, y, z; \omega) = -iq \frac{c}{v} \left( \frac{\mu_0}{\epsilon_0} \right)^{1/2} \int_{-\infty}^{\infty} \frac{d\beta}{2\pi} \int_{-\infty}^{\infty} \frac{d\sigma}{2\pi} \frac{\beta}{\sigma^2 + \beta^2 + g^2} \exp(i B)$$

$$\frac{n\lambda}{D} = \frac{c}{v} \cos\phi = \sin\eta + \frac{\sigma}{k} \sin\phi$$

$$H_y^i(\phi, x, y, z; \omega) = iq \int_{-\infty}^{\infty} \frac{d\beta}{2\pi} \int_{-\infty}^{\infty} \frac{d\sigma}{2\pi} \frac{\sigma}{\sigma^2 + \beta^2 + g^2} \exp(i B)$$

but: "plane waves" with  $\alpha_0'^2 + \beta^2 + \sigma^2 > k^2$ !?

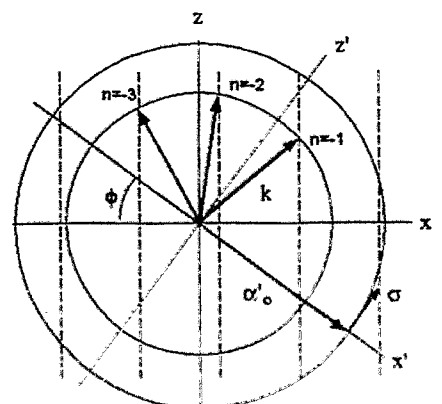
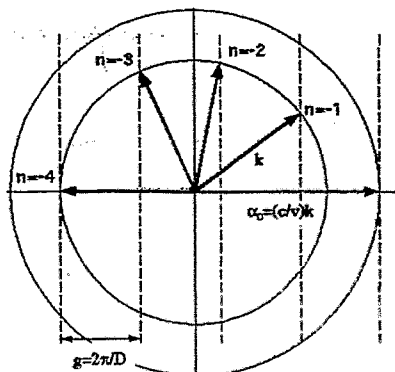
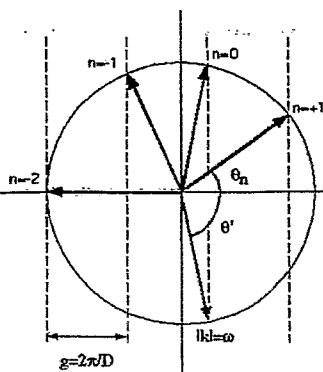
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## grating diffraction

optics

Smith-Purcell

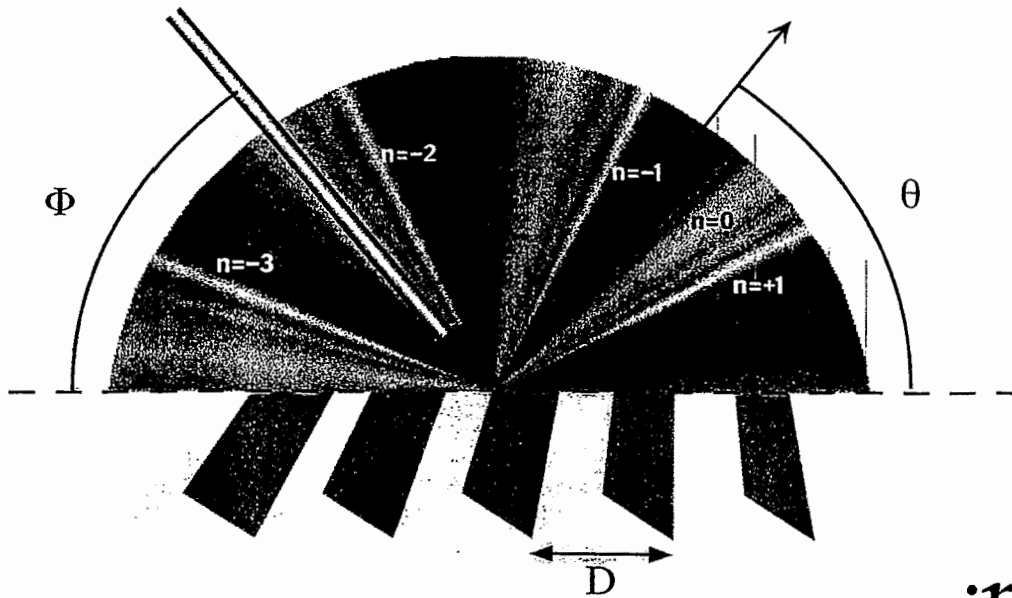
GTR



*irm*

# Grating diffraction

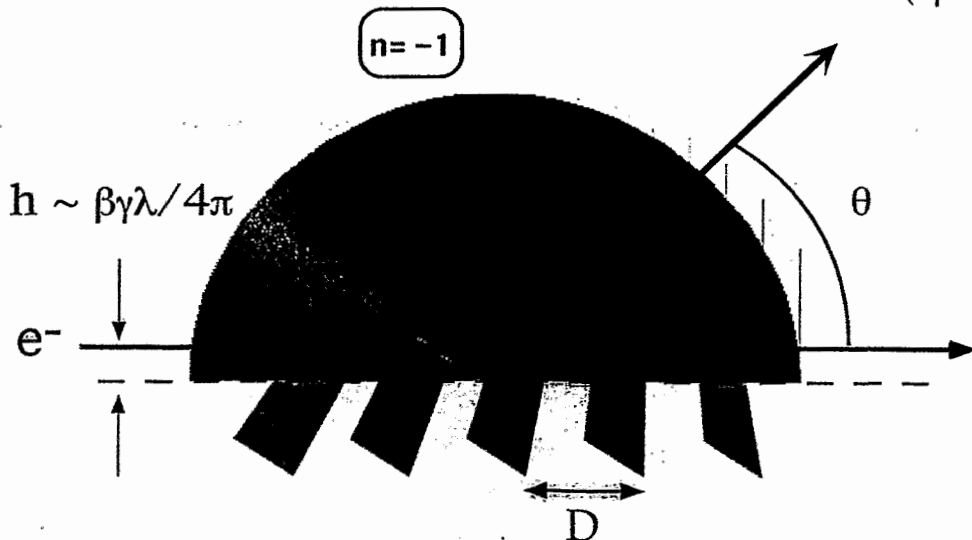
$$-n\lambda = D (\cos\Phi - \cos\theta)$$



*irm*  
*m*

# Smith-Purcell effect

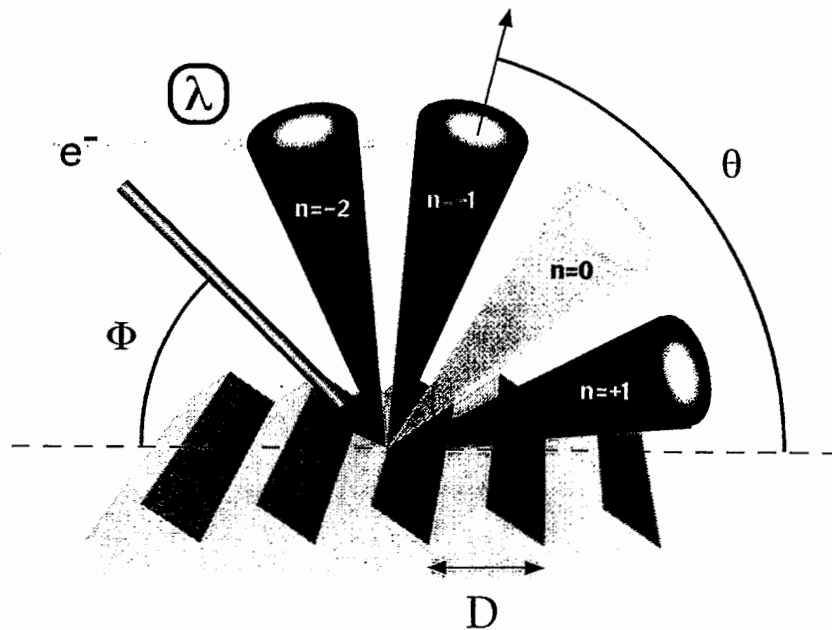
$$-n\lambda = D (\beta^{-1} - \cos\theta)$$



*irm*  
*m*

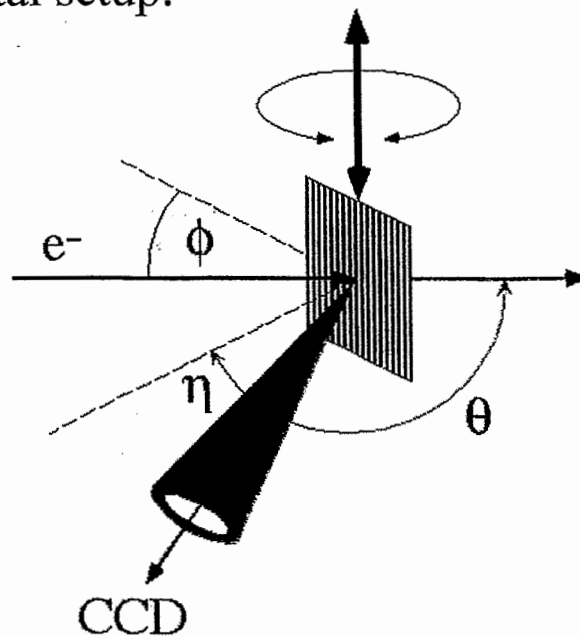
# Grating Transition Radiation

$$-n\lambda = D ( \beta^{-1}\cos\Phi - \cos\theta + \sigma\sin\Phi ) ; \sigma \sim \gamma^{-1}$$

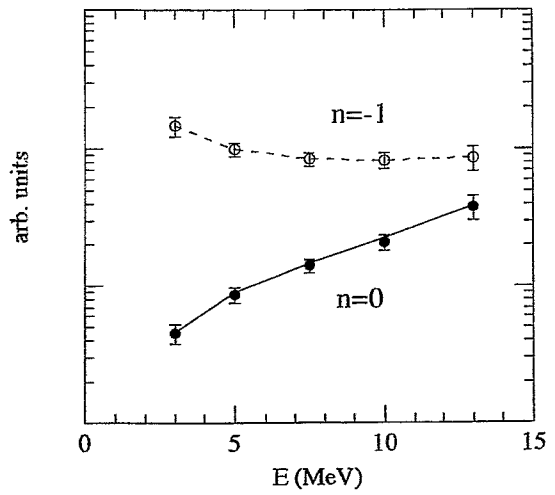
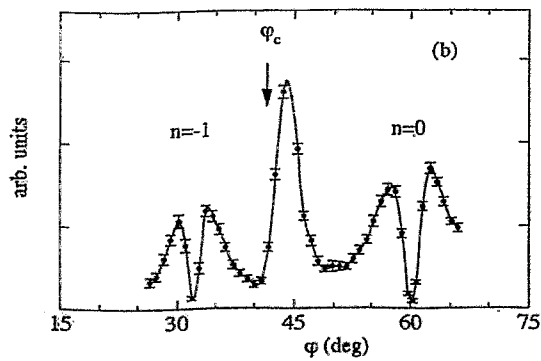
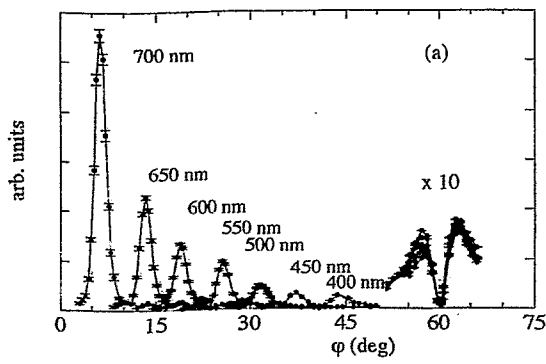
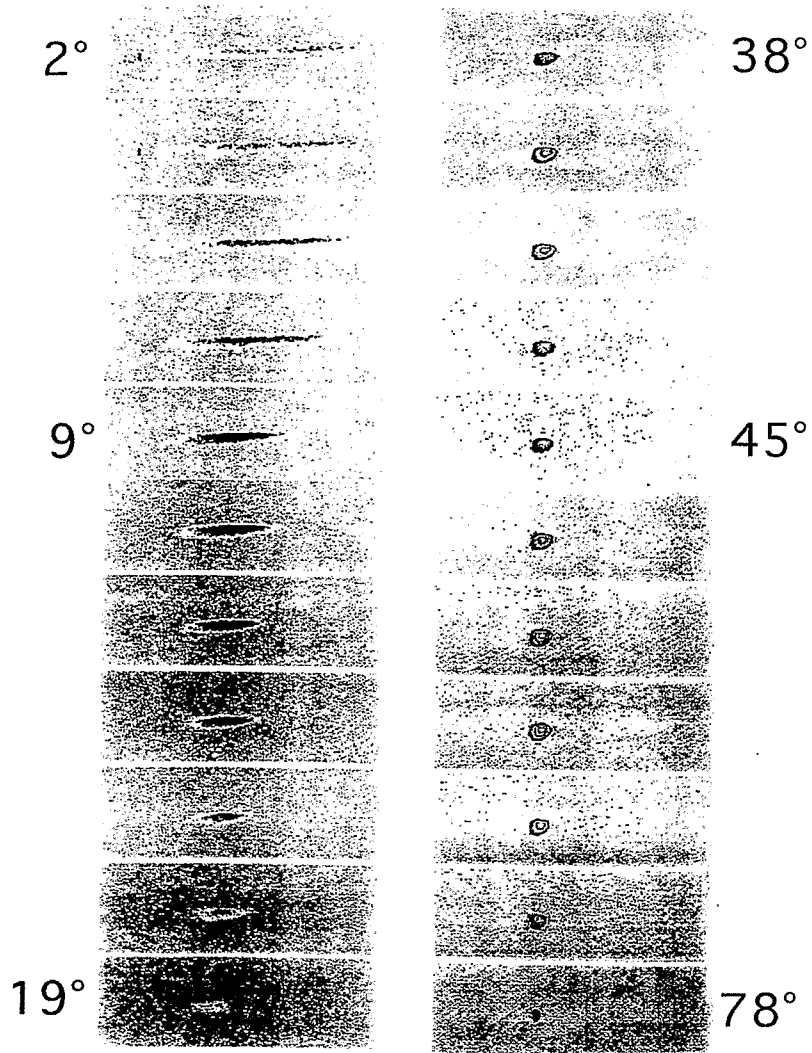


*irm*  
*m*

experimental setup:

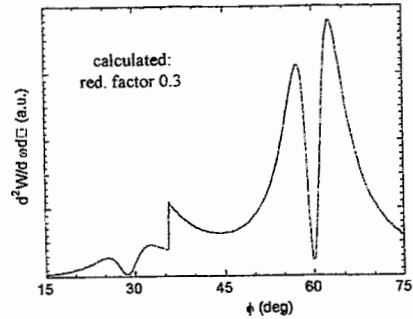
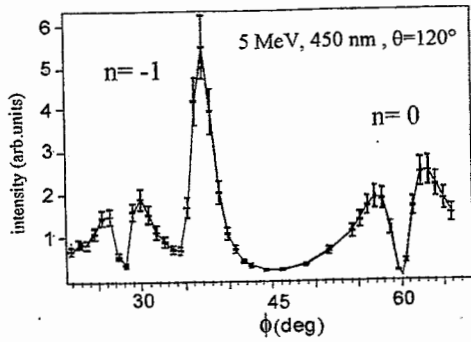
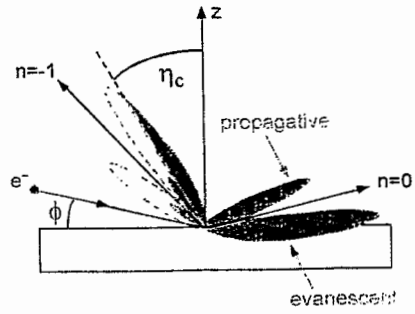


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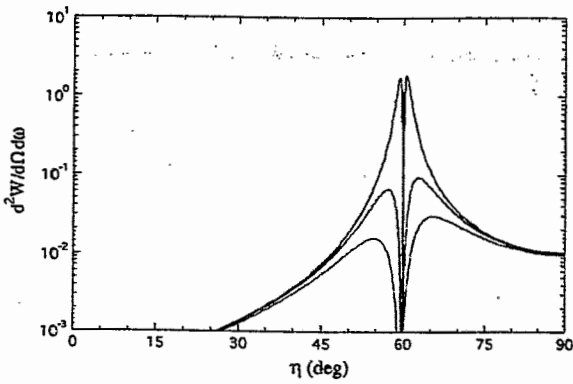
# Wood-Rayleigh anomalies:



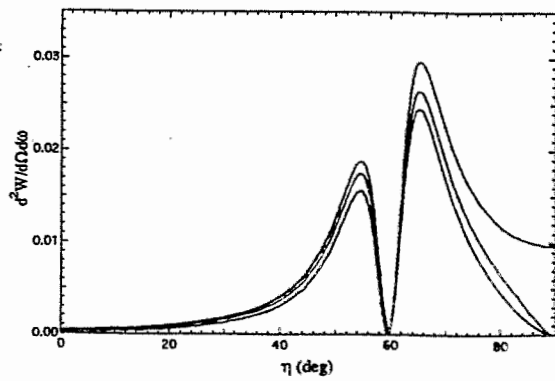
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# OTR , $\phi=30^\circ$ , $\eta$ -dependence

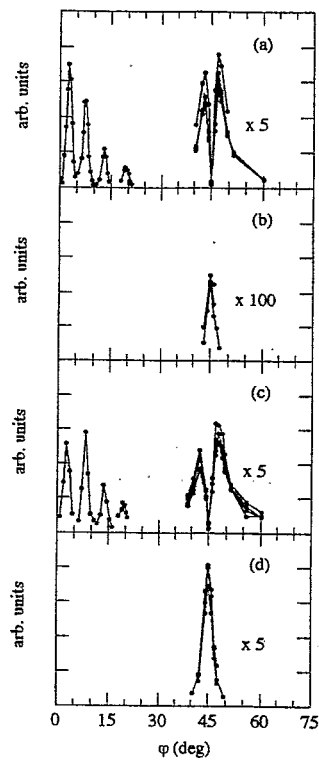
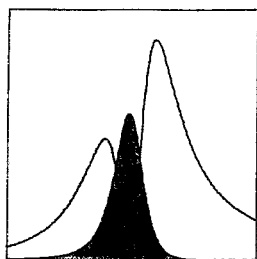
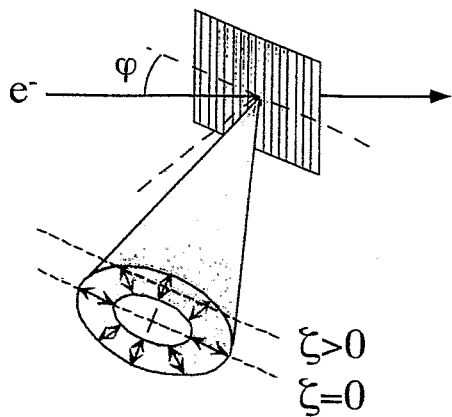
Al (perf.cond.) 5,10,50 MeV



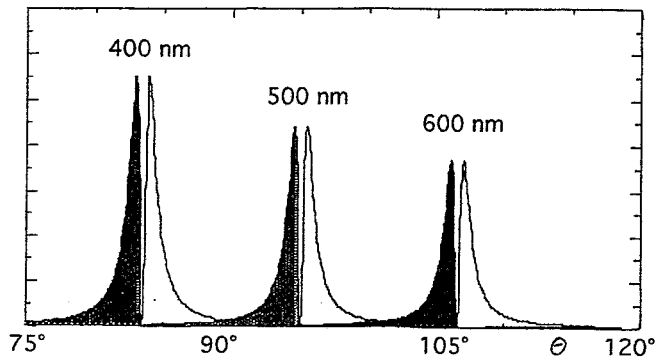
Al, 5 MeV  
400 nm, 700 nm, perf.cond.



*irm*



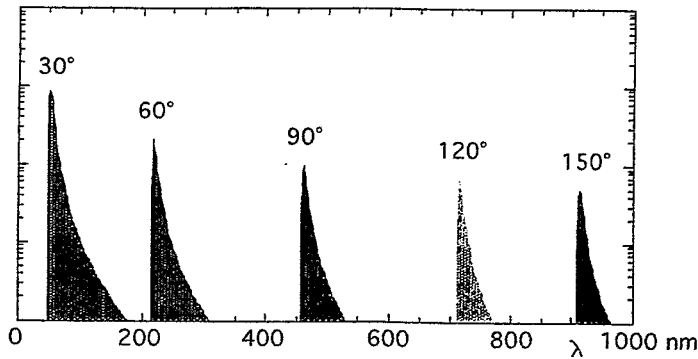
*irm*



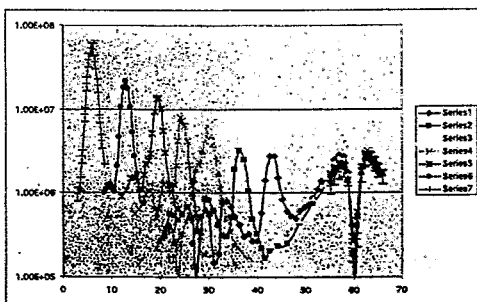
2000 lines/mm

5 MeV

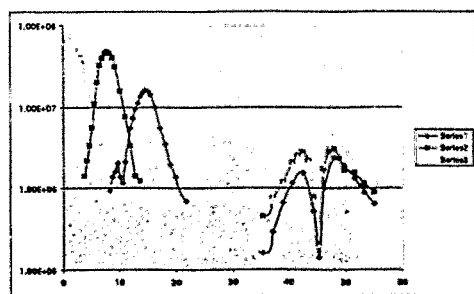
$\varphi = 5^\circ$



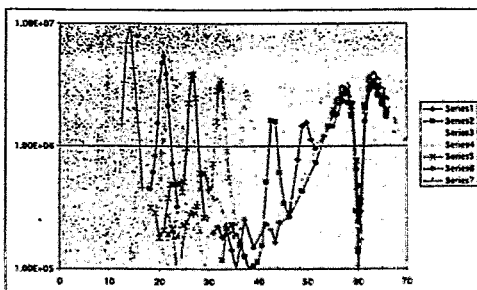
5 MeV, D=500 nm,  $\theta=120^\circ$



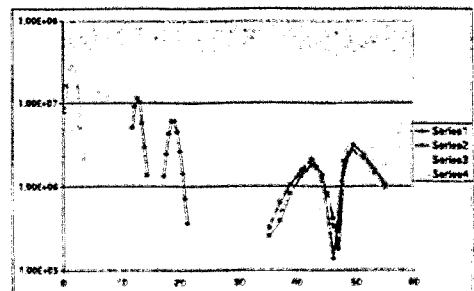
5 MeV, D=500 nm,  $\theta=90^\circ$



5 MeV, D=556 nm,  $\theta=120^\circ$



5 MeV, D=556 nm,  $\theta=90^\circ$

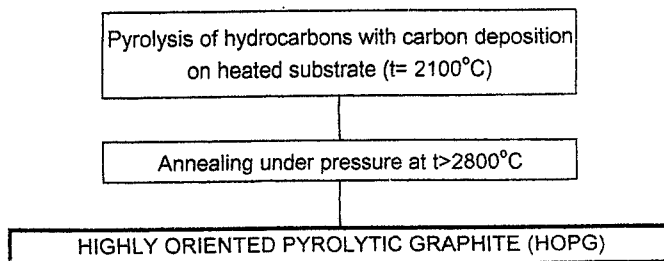




*I. G. Grigorieva:*

**Highly oriented pyrolytic graphite  
for X-ray bending and focusing**

## Production



## Structure



Block structure of commercially produced HOPG.  
Acoustic microscope "Elzam",  $f = 100$  MHz  
Scale 1000x800 microns, 125 microns under the surface  
(in collaboration with V.M.Levin, Institute of Chemical Physics of RAS)

## Peculiarities of HOPG monochromators

### 1. High integral reflectivity

002 reflection

$$R_i \approx 1 \cdot 10^{-2} \text{ rad}$$

004 reflection

$$R_i \approx 1.5 \cdot 10^{-3} \text{ rad}$$

### 2. Wide mosaic spread

Standard crystals

1.0 (+/-0.2) degree

Best commercially available crystals

0.4 degree

Best crystals available in scientific research

0.3 degree

### 3. Carbon 99.99% with thermoconductivity 1400 Wm/K Good thermo- and radiative stability as the result

### Short focus HOPG

4. Arbitrary shape

5. Large solid angle of detection (about 90%)

6. Wide transmission band

7. Thickness variable with a step of 20 microns

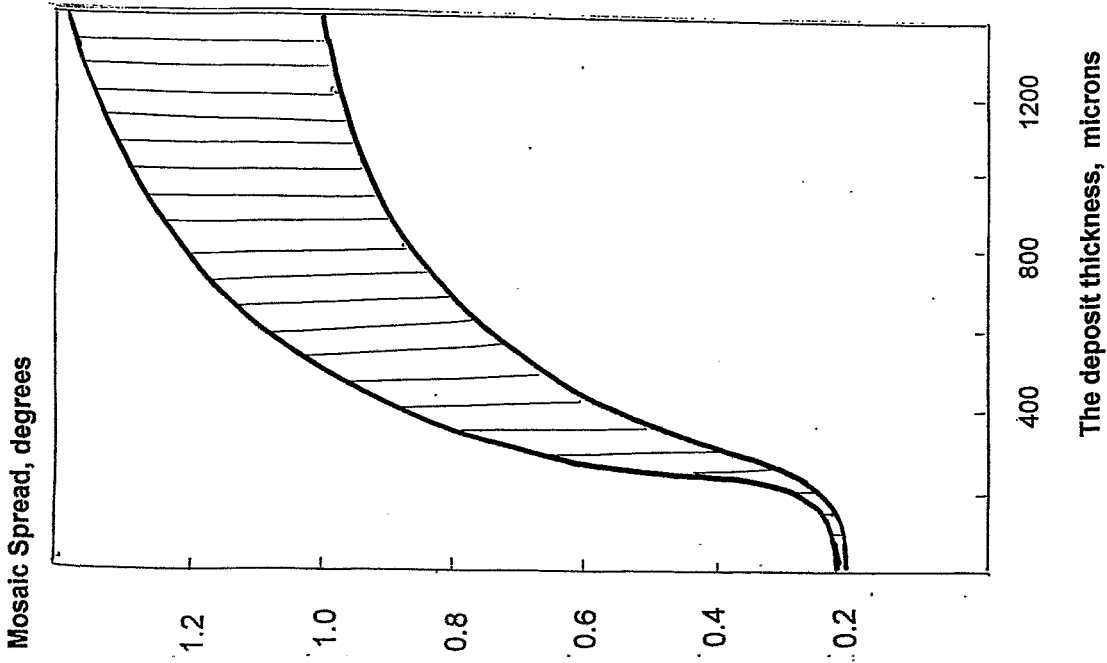


FIG. 2. Mosaic spread of the HOPG crystal deposited on a flat mould as a function of thickness

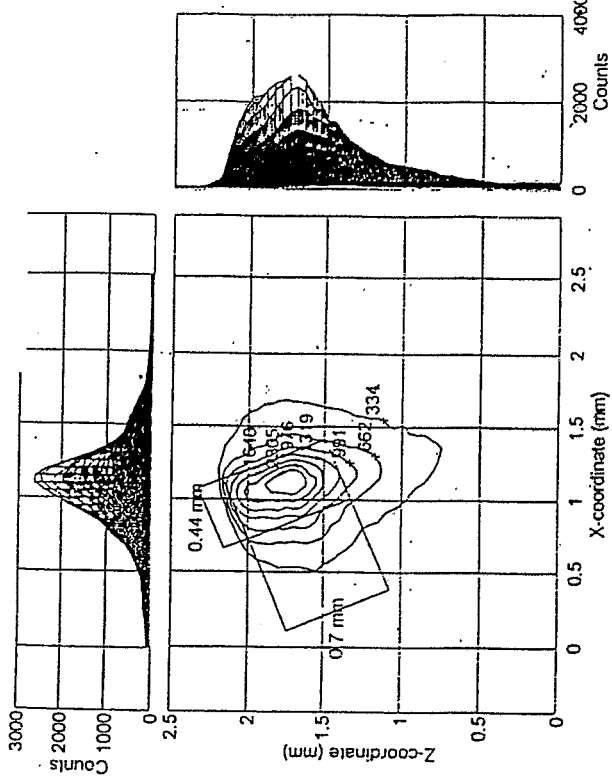
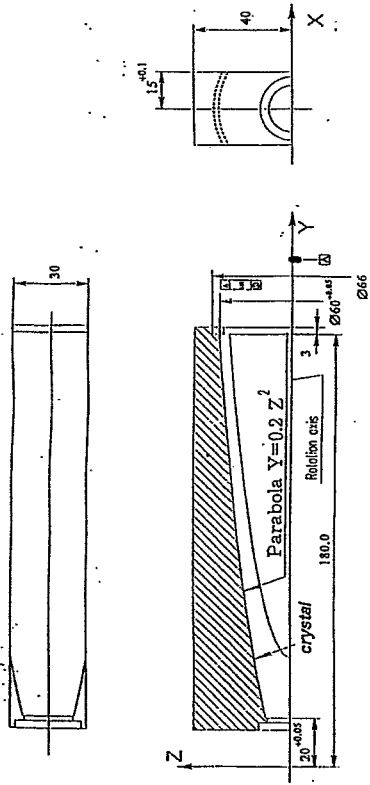


FIG. 4. Parabolic HOPG crystal and the intensity distribution in the focal spot.

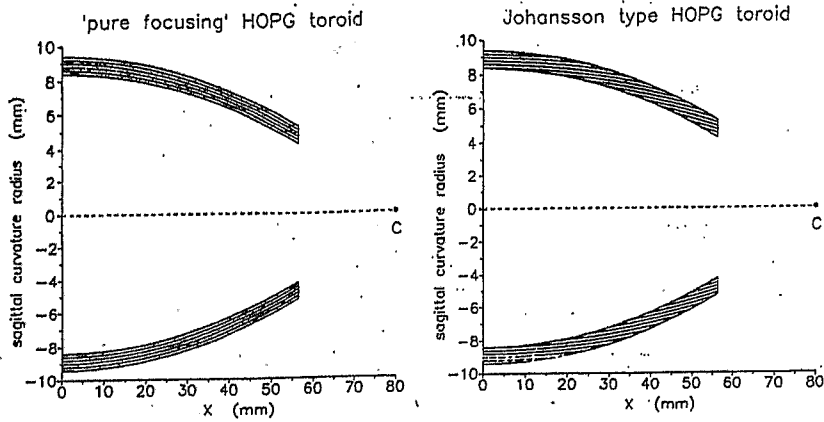


FIG.5A. Shape and schematical layer orientation of the 'pure focusing HOPG-' and the Johansson type toroid

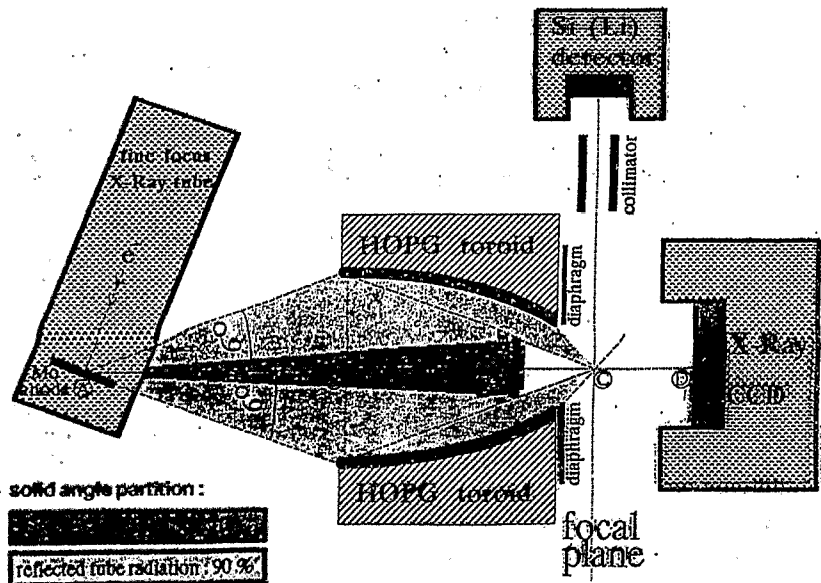


FIG.5B. Schematical sketch of experimental set-up for the measurements spatial intensity and energy distribution in focal spot.

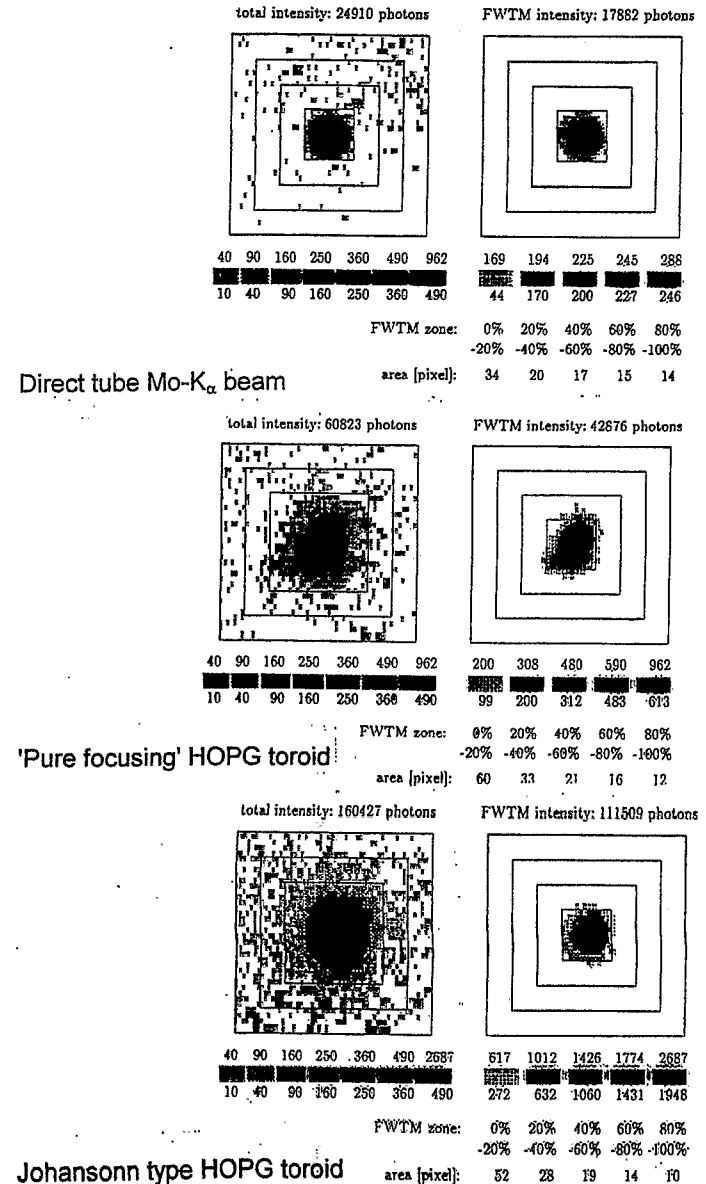


FIG. 6 Spatial intensity distribution in the focal spot in two different intensity scales. The four squares have side lengths of respectively 2mm, 4mm, 6mm and 8mm

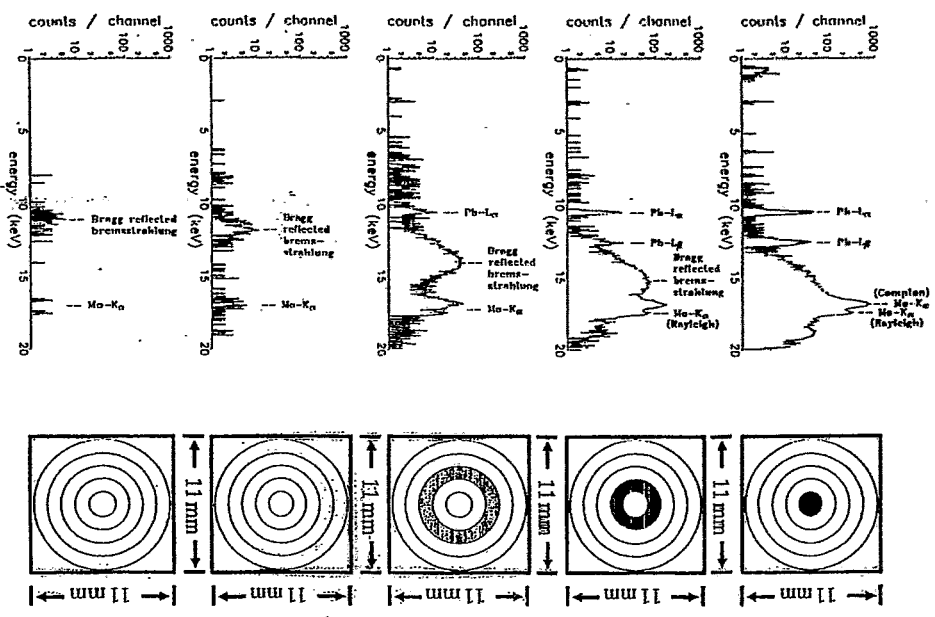
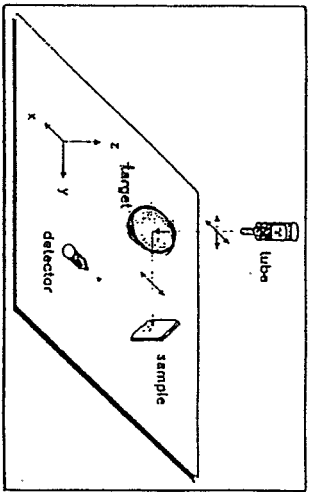


FIG. 7 Energy distribution of different spatial zones in the focal spot of the Johansson type HOPG toroid, obtained by a low Z scatterer.

Spherical HOPG as a polarization target



used in  
 SPECTRO XEPOS  
 - a benchtop X-ray fluorescence spectrometer

- \* Low powered X-ray tubes with a power of 50 W as the radiation source
- \* Simultaneous analysis of the elements Na- U.
- \* Detection limits of between 1 and 7 mg/g for the elements P, S, Cl, Ca, Cu, Zn and Ba are achieved within 300s in helium atmosphere.

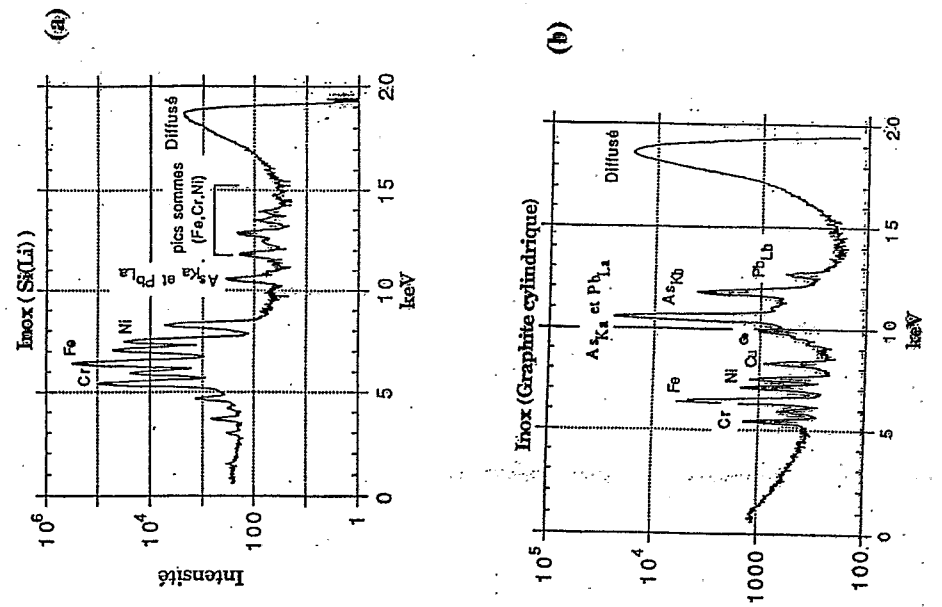
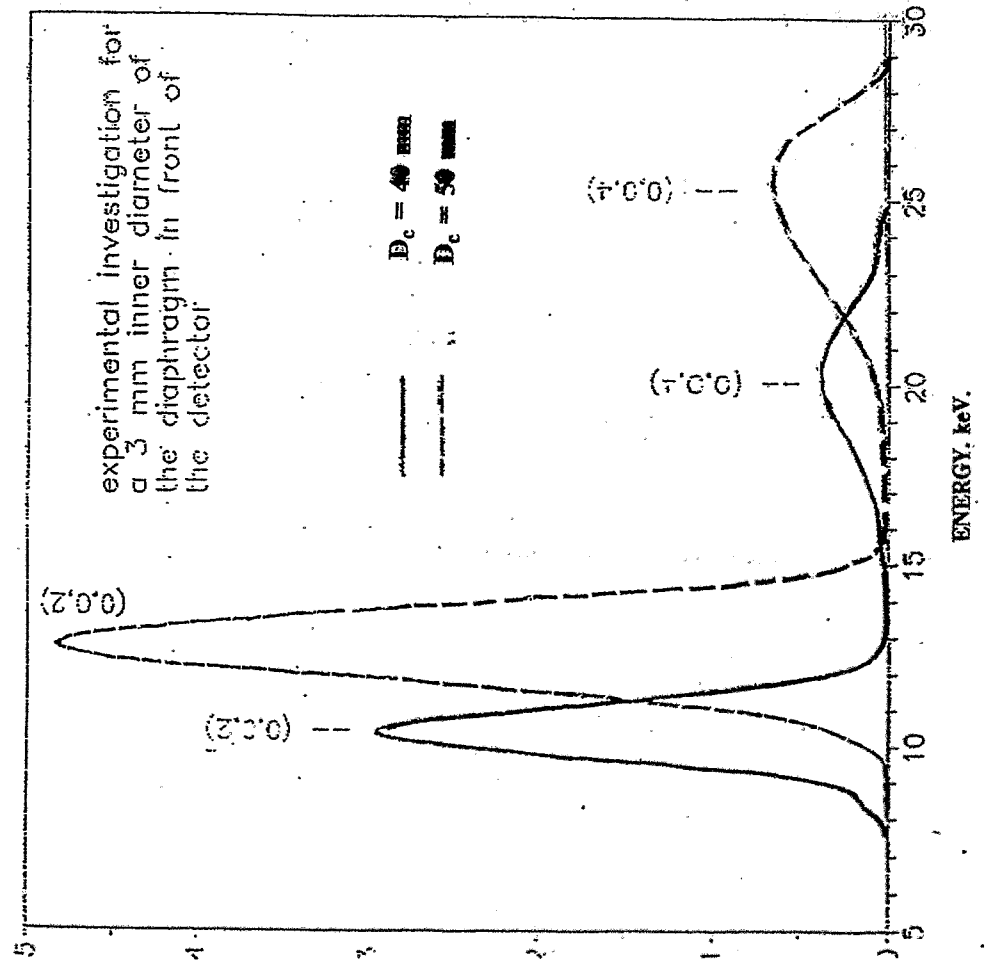


FIG.15. The spectra of stainless steel obtained without (a) and with the help of the dispersion filter. Filter made from a graphite cylinder of 16,2 mm of inner diameter

FIG.14. Energy band pass of a graphite cylinder for different sample-to-detector distance.

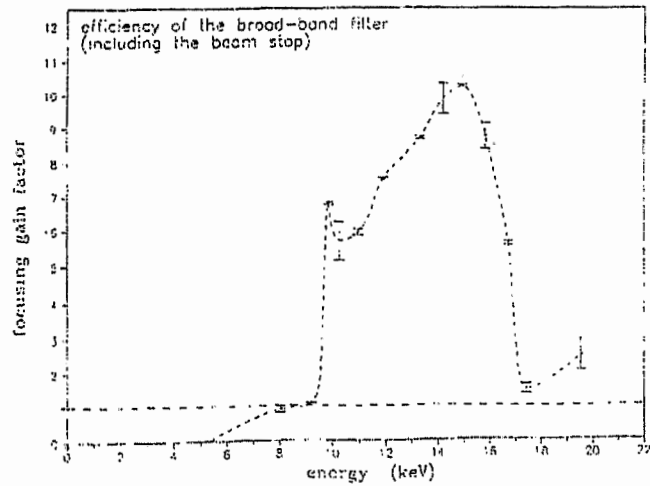
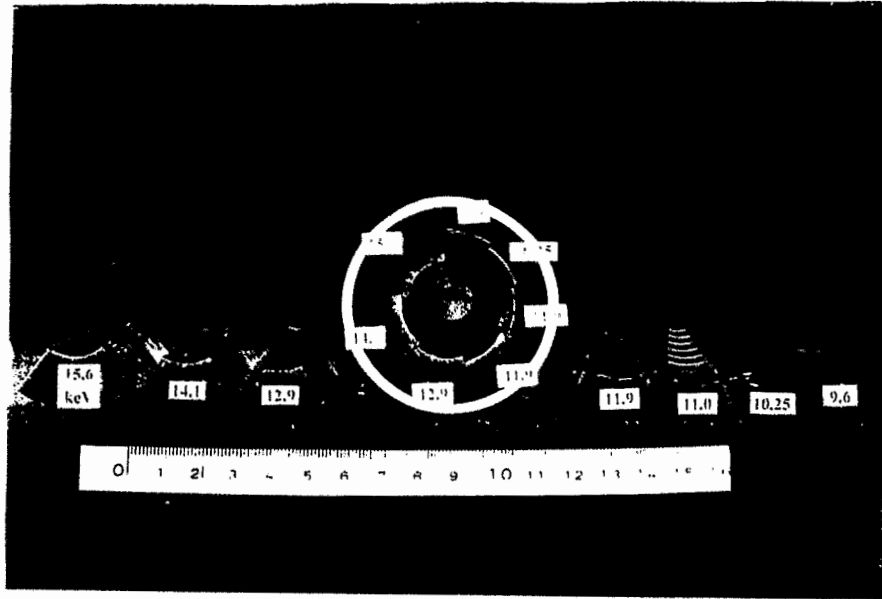


FIG. 9. The view of broad-band filter made from seven stepped toroidal segments and energy dependence of its focusing gain.

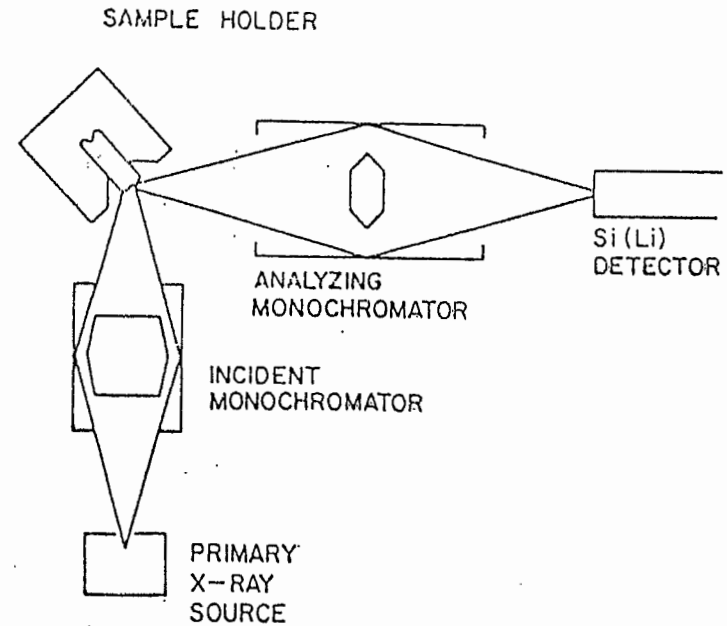


FIG. 11. The scheme included primary and secondary monochromatization by two HOPG cylinder of 10mm inner diameter (45 kV, 30 mA Molybdenum and Silver anode X-ray tube).

Yu.P.Kolmogorov  
 Institute of Geology, 630090  
 Novosibirsk, Russia

Table 3. The element content of ecological standards, analysed with the 'two cylinders' scheme.

The set-up includes:

45kV, 30 mA Molybdenum and Silver anode X-ray tube

25mm<sup>2</sup> 165 eV resolution Si(Li) detector with analogue electronics

Multichannel amplitude analyser (IBM-286 + 300 MHz Wilkinson type ADC)

Graphite-optical device consists of primary monochromator and energy band filter from pyrolytic graphite.

A set of medico-biological standards has been examined. The results are represented in

Table 3.

	IAET reference samples				NIES		SU reference samples		
	A11 Milk Powder	A13 Animal Blood	H-9 Mixed Human Diet	SOIL-7 Soil	VEP-8 Vehicle Exhaust Particulates	PS-2 Soil	Human Hair	CBMT-01 Grass Mixture	CBMT-02 Ash of Grass Mixture
K	17200	2500	8300	12100	1150	6800			
Ca	12900	286	2310	163	5800	8100			
Ti	48			3000		6400	70		122
V	0.1*			66	17	260			5
Cr	0.257*		0.15*	60	25.5	75	2		10
Mn	0.377*		11.8	631		770	0.5	108	1317
Fe	3.65	2400	33.5	25700		65300	50	200	2440
Ni	0.93	1	0.27	26	18.5	40	0.8		8
Cu	0.838	4.3	2.9	11	67	210	11	2.3	28
Zn	38.9	13	27.5	104	1040	343	150	34	415
Ga				10					
As	0.048*		0.088*	13.4	2.6	12	2.6	0.18	2.2
Se	0.034*	0.24	0.11	0.4	1.3		0.15		
Br	14	22	7.5	7	56	17	4.1	8	98
Rb	30.8	2.3	8	51	4.6	42	0.14	6.5	79
Sr	5.4		3	108	89	110	9.2	25	305
Y				21					5
Zr				185					
Nb				12					
Mo	1.3		0.24	2.5	6.4				
Ag					0.2				
Cd	0.526			1.3	1.1	0.82			
Sb				1.7	6	2			
Hg							0.06		
Pb	0.27	0.18	0.16	80	219	105	1.9	1.3	16

Elements with amount marked \* were obtained in ash residuum.

The energy band filter from pyrolytic graphite was not used for elements with amount > 1 ppm.

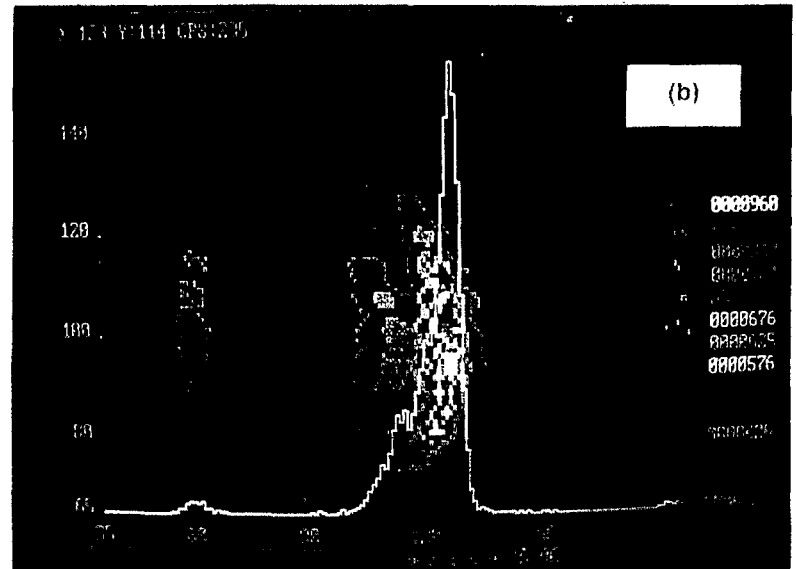
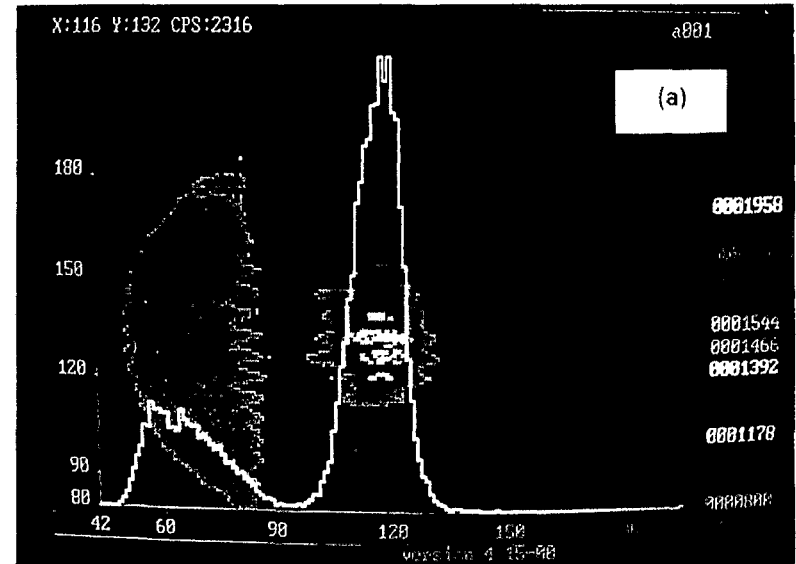


FIG. 7 Spectra of Fe samples on ellipsoidal HOPG as crystal-analyser: (a)Screw of 4mm diameter energy resolution 123 eV (b) Nail of 2mm diameter energy resolution 70 eV

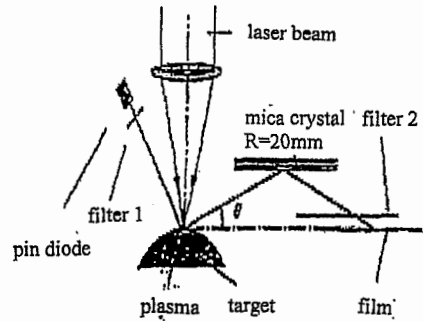
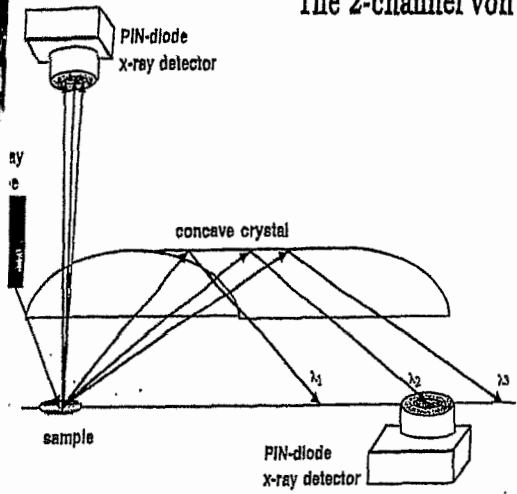


# The 2-channel von Hamos spectrometer



Shevelko

D.M. Pease  
Physics Department,  
University of Connecticut  
Storrs, Connecticut



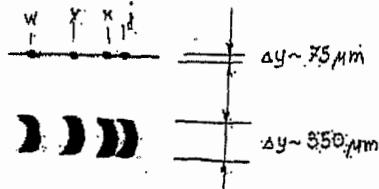
## EXPERIMENT

X-ray source: Ti laser-produced plasmas (0.53 m / 2.5 J / 2 ns /  $10^{15}$  W/cm<sup>2</sup>)  
Hamos spectrograph: R=20 mm; orientation, detector - RAR 2492 photofilm  
Dispersion D=0.02324 Å/mm

Spectra:

Mica (III order of reflection)

Graphite (200 microns)



## RESULTS

Maximum intensity:

$I_{max} / I_{min} = \text{Graphite} / \text{Mica} = 4 - 6$

Integral intensity  $I_{max} \Delta x \Delta y$ :

Graphite / Mica = 60

Integral reflectivity:

Graphite / Mica = [120 - 300]  $10^{-5}$  rad. (Gilfrich - 120; good agreement)

Spectral resolution (observed):

Mica  $\lambda/\Delta\lambda = 1080$

Graphite  $\lambda/\Delta\lambda = 460$  (line broadening)

$\lambda/\Delta\lambda = 764$  (J and K satellites)

mosaic  $\tan \theta / \delta\theta = 50$  for  $\delta\theta = 0.5^\circ$

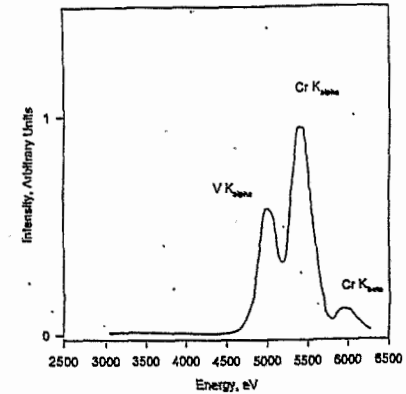
$\Delta y$  broadening  $\rightarrow 0.24^\circ$

## CONCLUSIONS

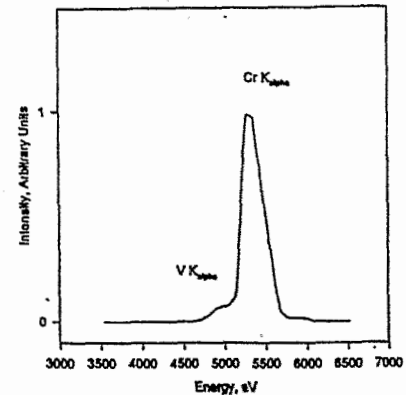
1. Maximum intensity - 4-6 times
2. Mosaic focusing (observed spectral resolution / mosaic = 460 - 764 / 50 = 10 - 15 times)!
3. Integral intensity - almost 2 orders (60 times); very perspective for fluorescence analysis!

## Log Spiral HOPG Monochromator for Fluorescence XAFS

Fluorescence emitted from Cr50V50 Sample  
Excitation due to tuning the incident beam energy  
just above the Cr edge



Direct fluorescence (detected by energy dispersive detector)



The spectrum is monochromatized with the log spiral.  
(energy dispersive detector detects monochromatized fluorescence)

## CONCLUSIONS

Short focus HOPG crystals is a **X-rays optic elements** **of a high intensity** based on unique brightness of the material and large solid angle of the accepted radiation.

They are an **efficient device at a reasonable price** for

- modification of the excitation beam
- filtration of characteristic radiation
- analysis of characteristic radiation in detection systems

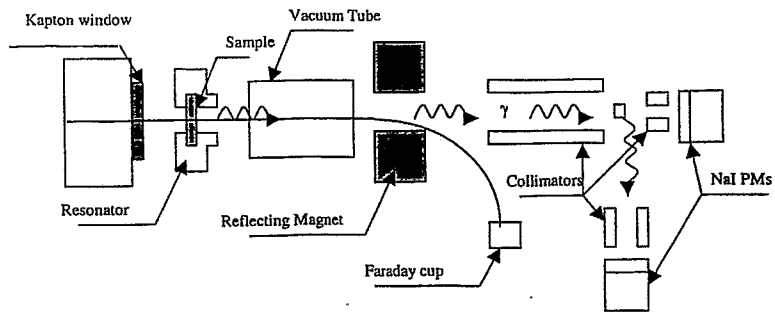
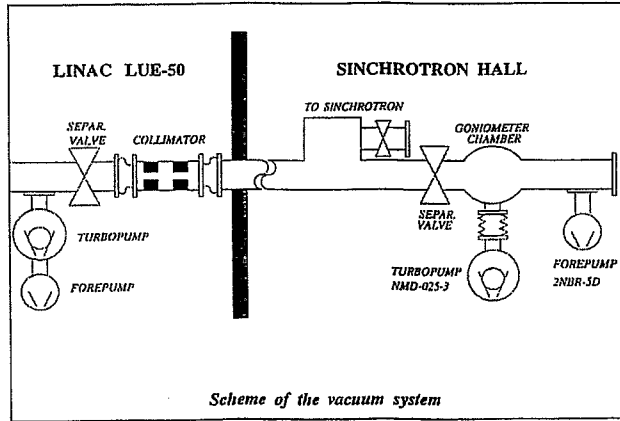
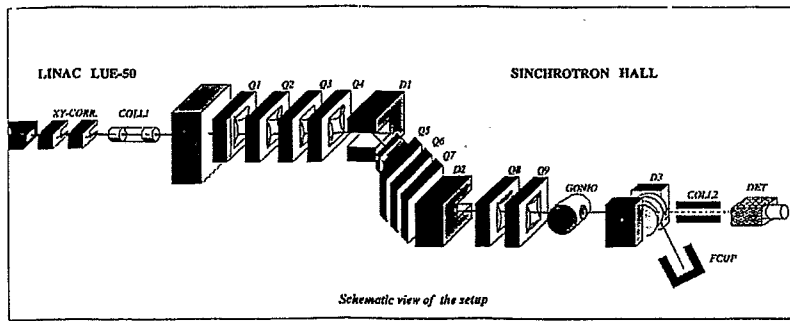
It opens the **possibility to use HOPG:**

- in microprobing;
- in trace element XFA of objects with small mass or with heavy or radioactive matrix;
- in analysis of ultra thin films and coatings;
- in medical applications including irradiation of small pathological seat in human body without deterioration of the adjacent tissues.

The high efficiency of HOPG optics gives a chance to decrease the intensity and, hence, the radioactive hazard of the X-ray sources used for scientific and medical purposes.

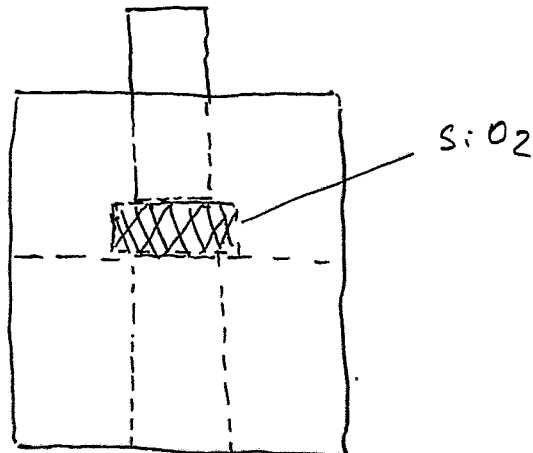
*A. R. Mkrtchyan:*

**Investigation of channeling radiation under the  
influence of acoustic waves**



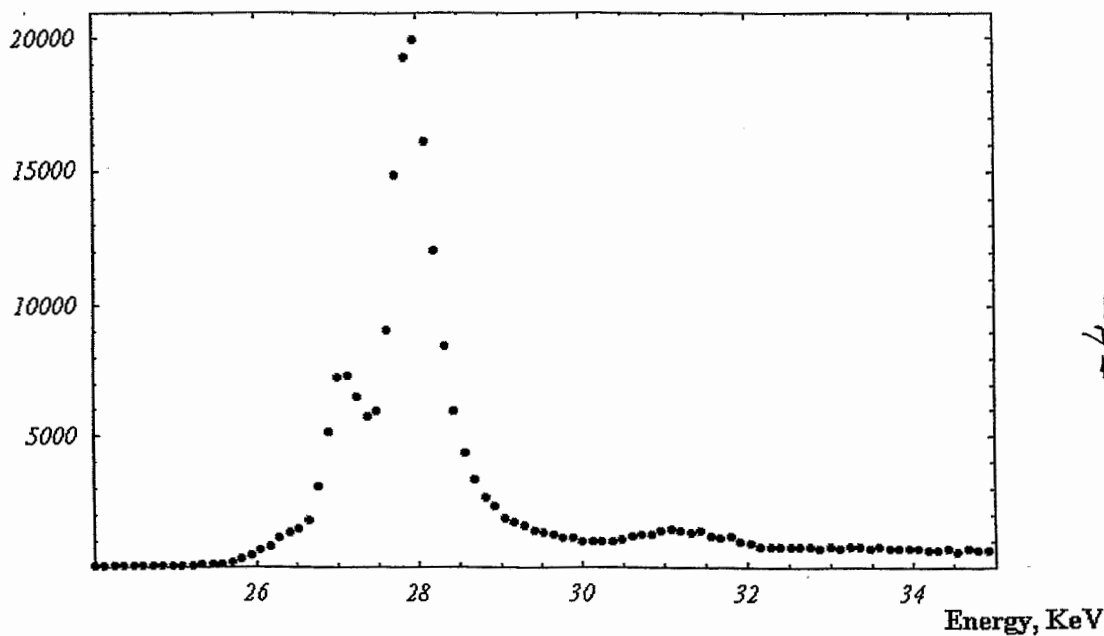
- 1 -

HF resonator.

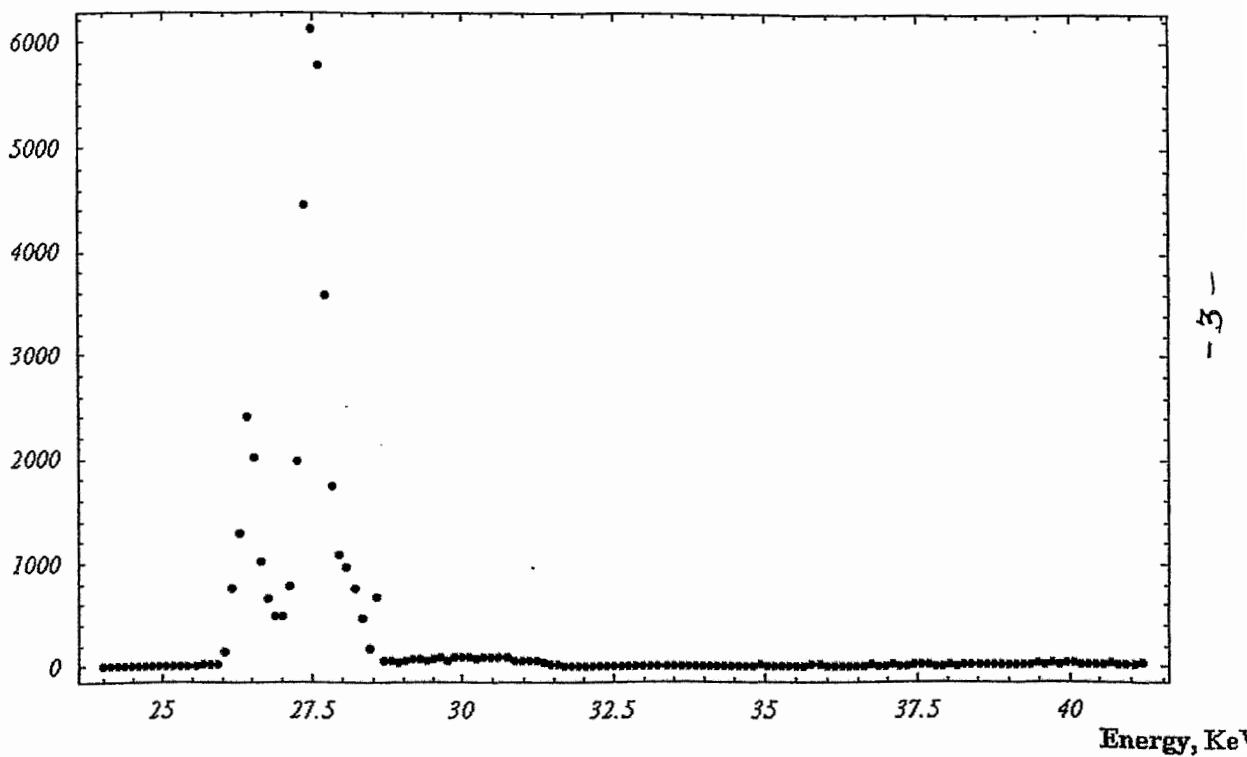


- 2 -

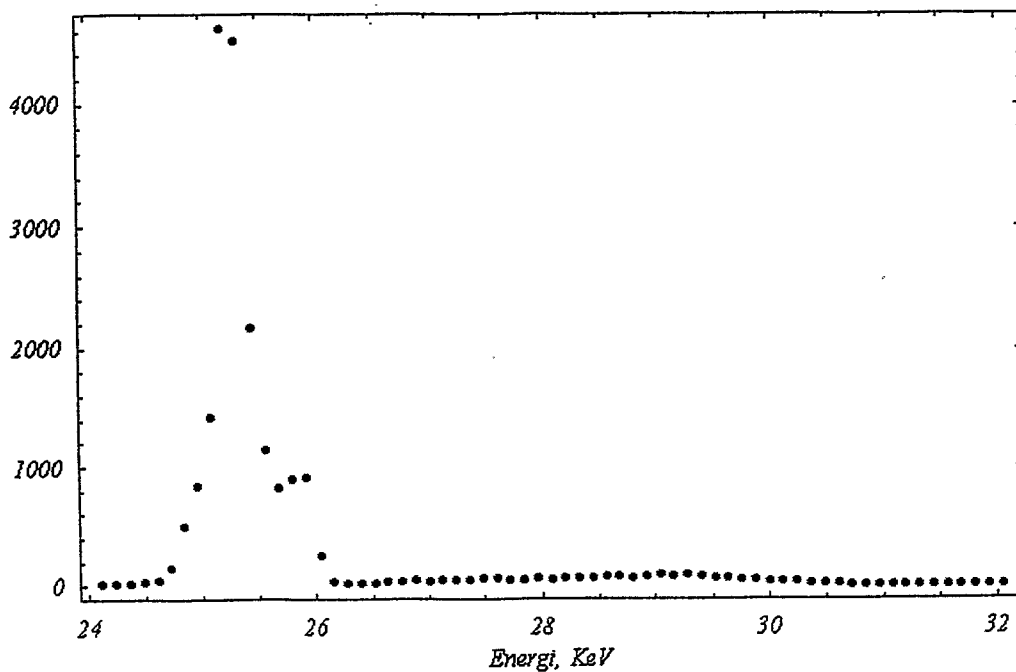
20MeV channeled electron radiation for X-cut single quartz crystal  
 under the influence of hypersonic waves ( $\theta_x=2500, \theta_y=5300, \omega=10024\text{KHz}, P=10\text{W}, M=5 \cdot 10^5$ )



20MeV channeled electron radiation for X-cut single quartz crystal  
 under the influence of hypersonic waves ( $\theta_x=2500, \theta_y=5300, \omega=0\text{kHz}, P=0\text{W}, M=5 \cdot 10^5$ )

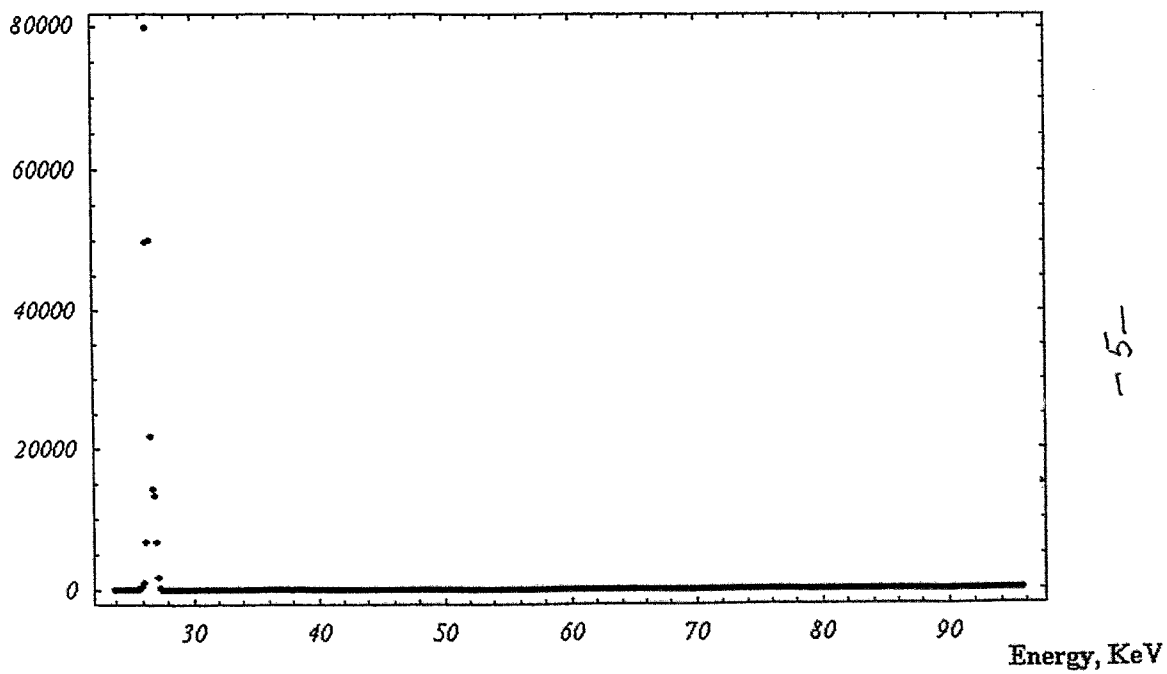


20MeV channeled electron radiation for X-cut single quartz crystal  
 under the influence of hypersonic waves ( $\theta_g=2400, \theta_v=5300, \omega=0\text{KHz}, P=0W, M=5 \times 10^5$ )



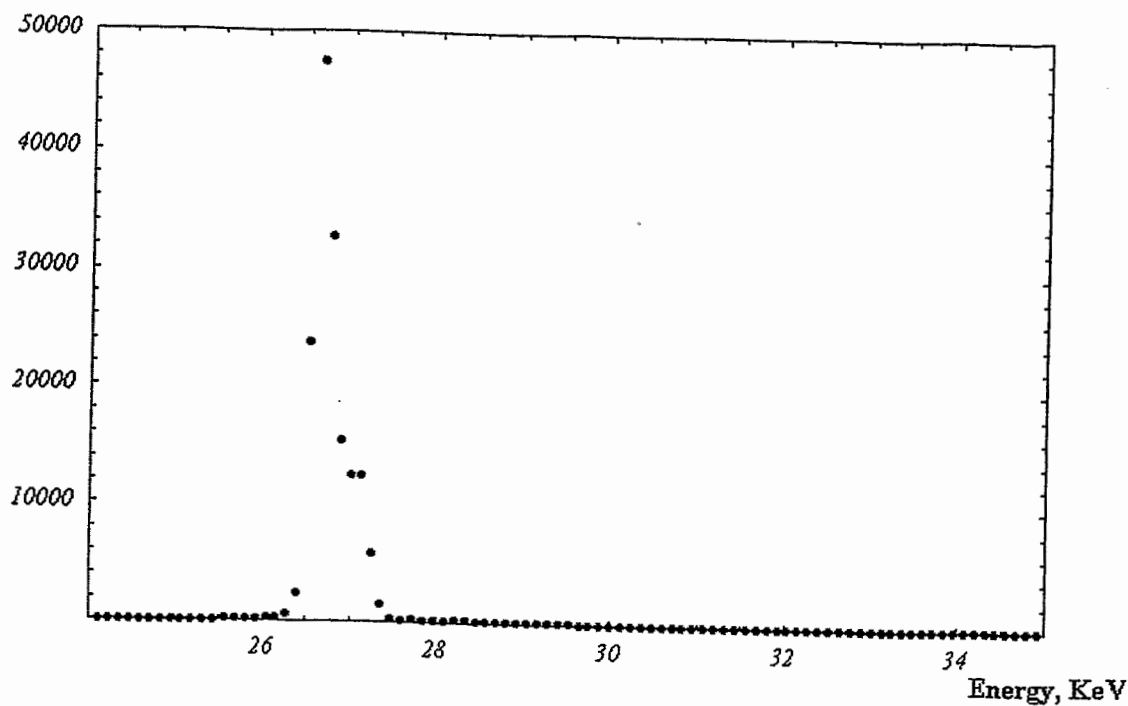
-6-

20MeV channeled electron radiation for X-cut single quartz crystal  
 under the influence of hypersonic waves ( $\theta_g=2500, \theta_v=5300, \omega=10024\text{KHz}, P=15W, M=5 \times 10^5$ )

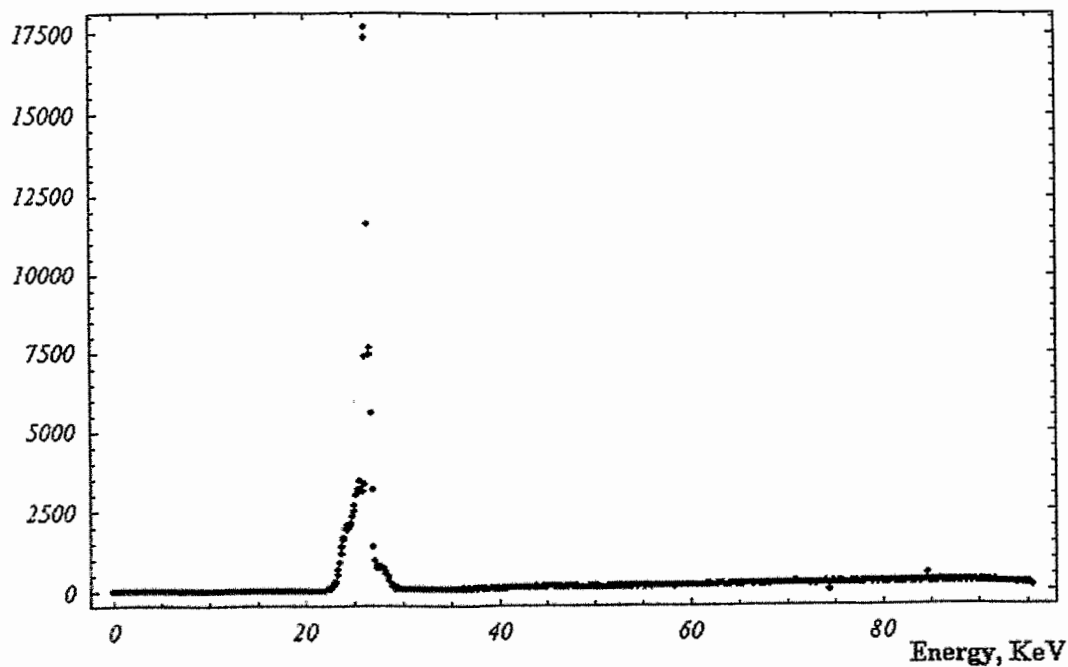


-5-

20MeV channeled electron radiation for X-cut single quartz crystal  
under the influence of hypersonic waves ( $\theta_g=2400, \theta_v=5300, \omega=10024\text{KHz}, P=15W, M=5 \cdot 10^5$ )



20MeV channeled electron radiation for X-cut single quartz crystal  
under the influence of hypersonic waves ( $\theta_g=2400, \theta_v=5300, \omega=10024\text{KHz}, P=10W, M=5 \cdot 10^5$ )

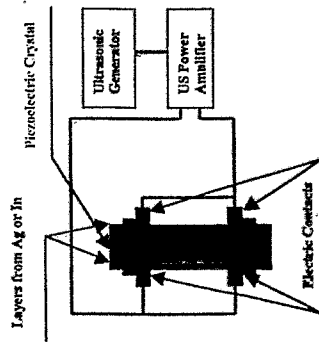
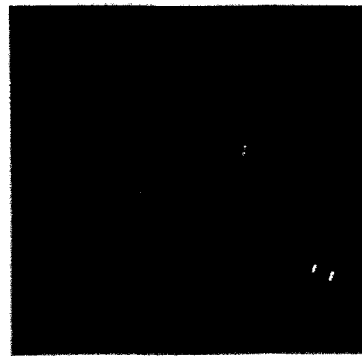
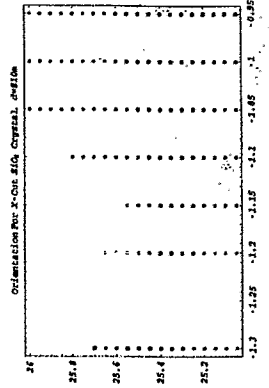
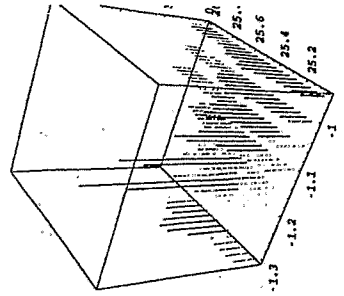
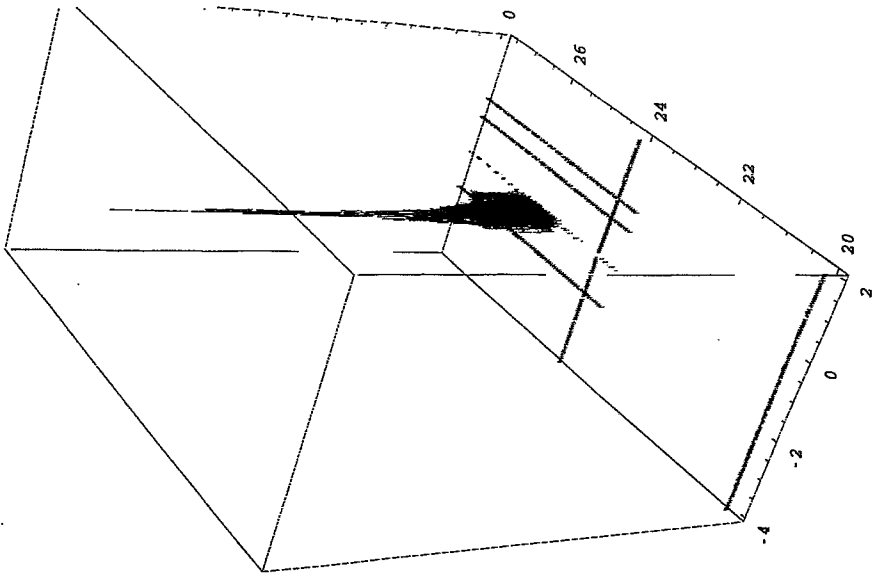
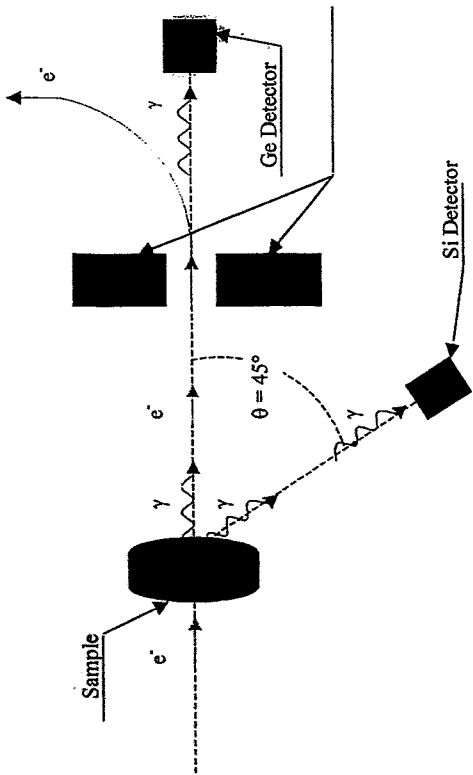


*A. H. Mkrtchyan:*

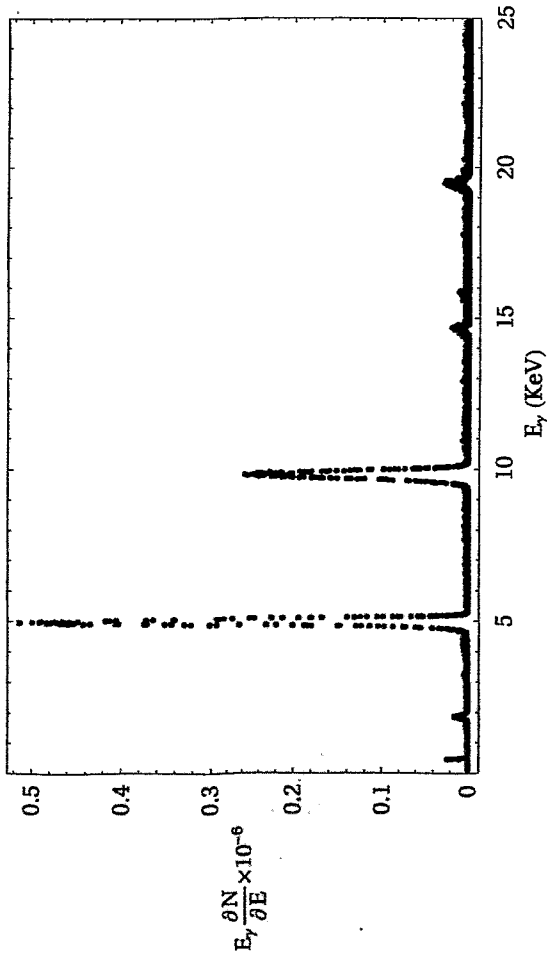
**Investigation of PXR under the  
influence of acoustic waves**



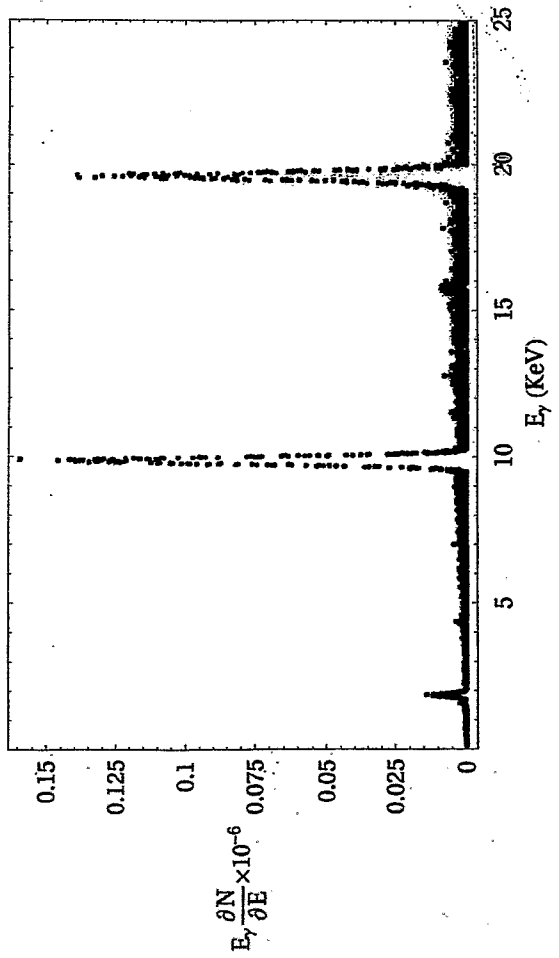
Orientation Scan For X-Cut  $\text{SiO}_2$  Crystal,  $d=810\text{m}$



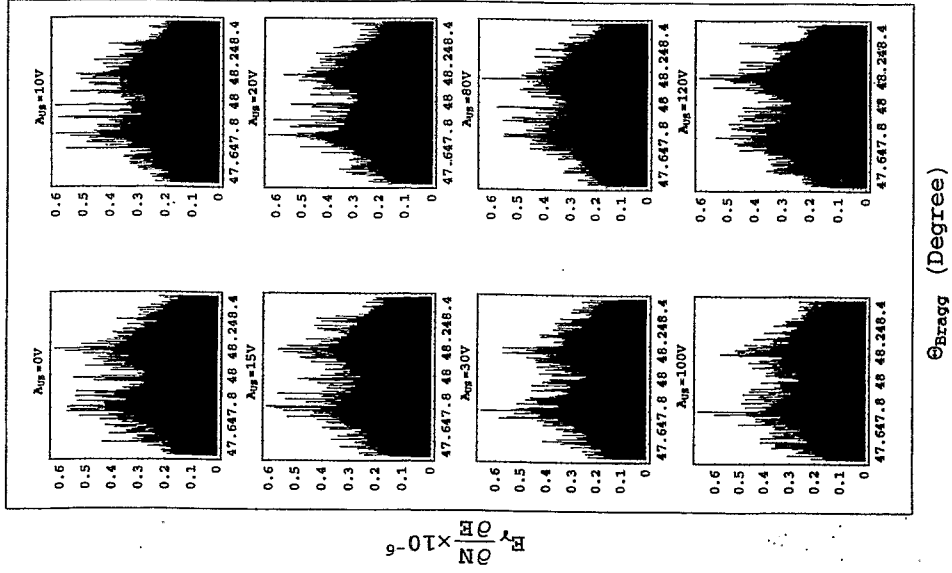
Energy Distribution For X-Cut SiO<sub>2</sub> Crystal  
d=0.795mm Working Planes Family 1011



Energy Distribution For X-Cut SiO<sub>2</sub> Crystal  
d=0.795mm Working Planes Family 1012



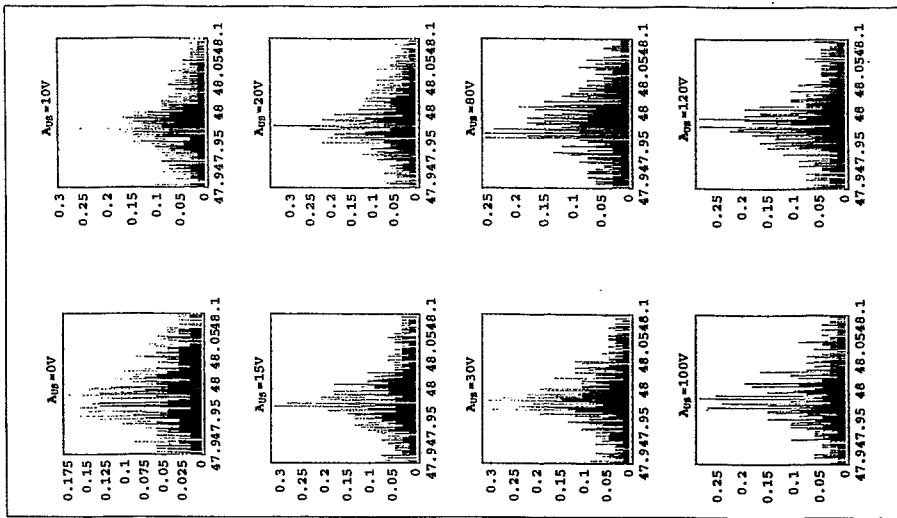
Rocking Curve For X-Cut SiO<sub>2</sub> Crystal,  
d=0.795mm Working Plane 1011



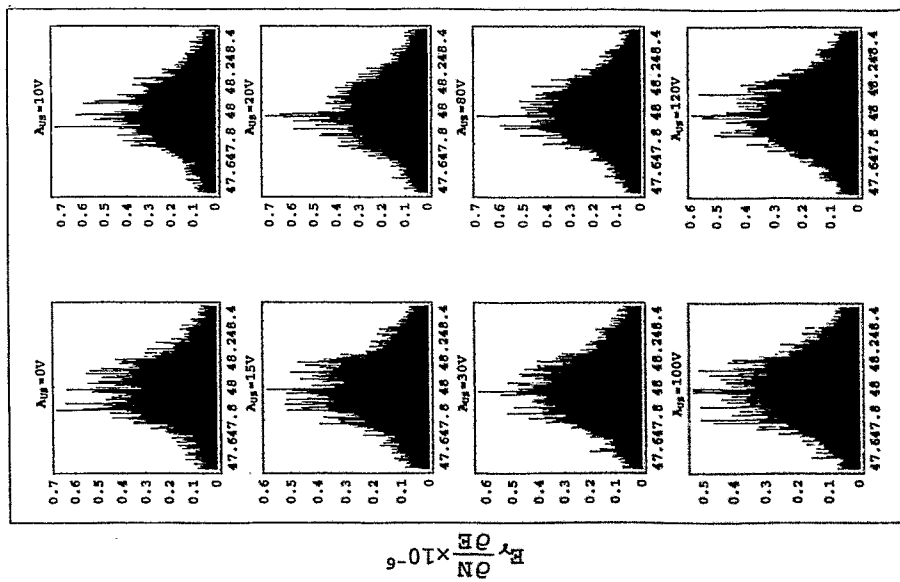
$\frac{\partial N}{\partial E} \times 10^{-6}$

$\theta_{Bragg}$  (Degree)

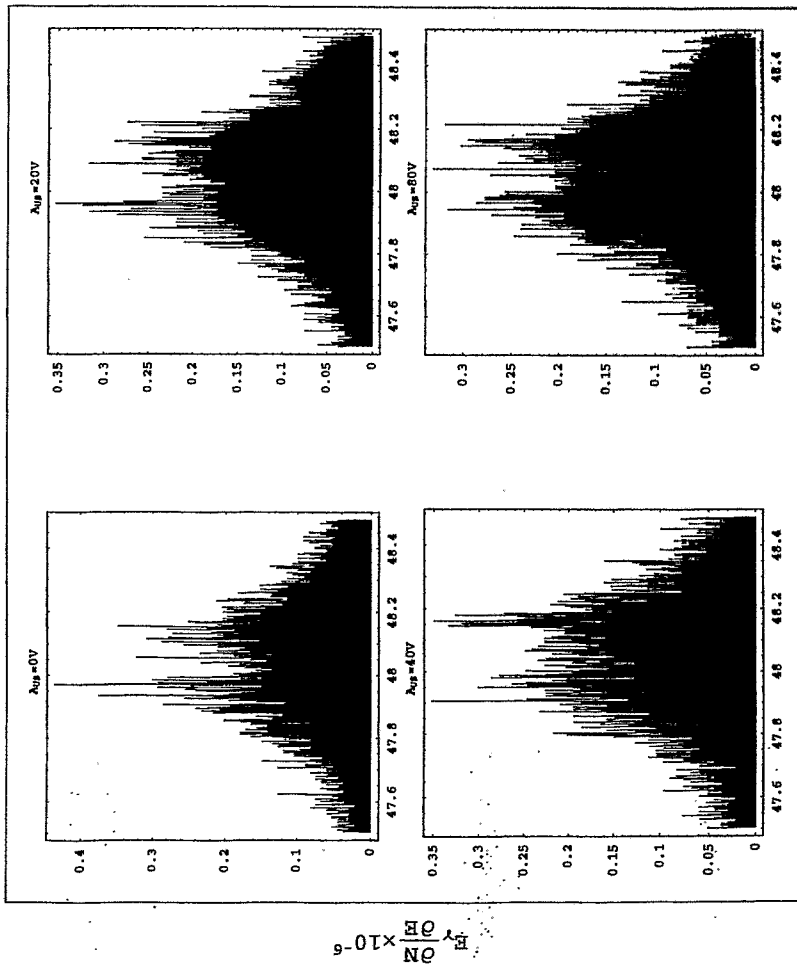
Rocking Curve For X-Cut SiO<sub>2</sub> Crystal,  
d=0.795mm Working Plane 1011



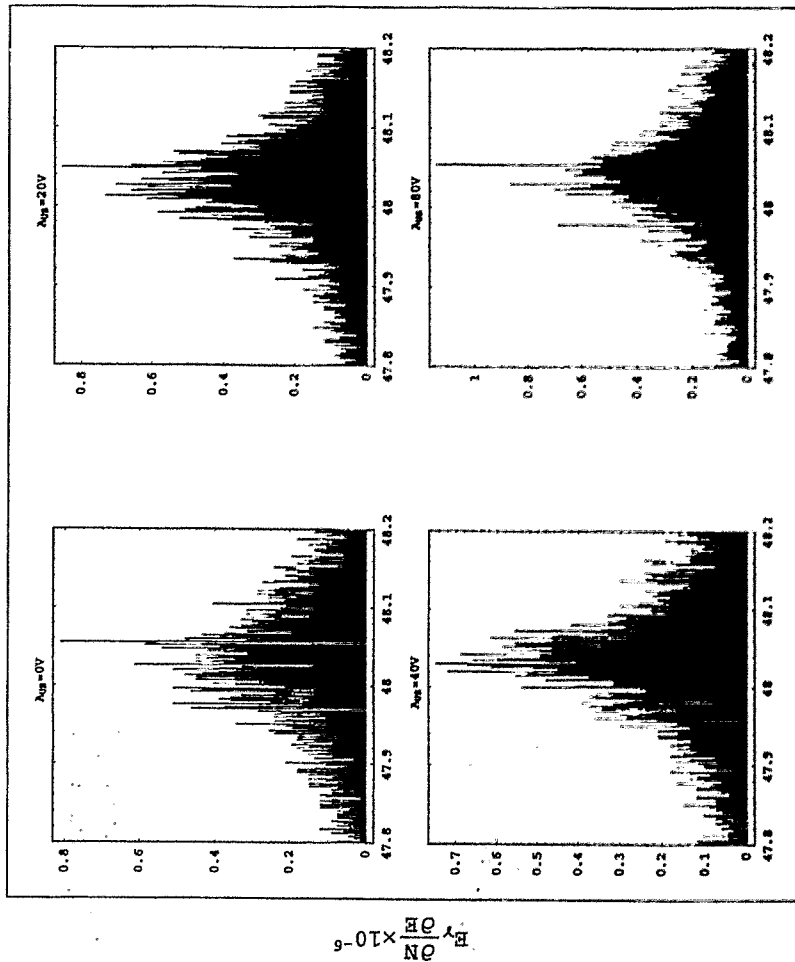
Rocking Curve For X-Cut SiO<sub>2</sub> Crystal,  
d=0.795mm Working Plane 2022



Rocking Curve For X-Cut SiO<sub>2</sub> Crystal,  
d=0.795mm Working Plane 1012



Rocking Curve For X-Cut SiO<sub>2</sub> Crystal,  
d=0.795mm Working Plane 2024

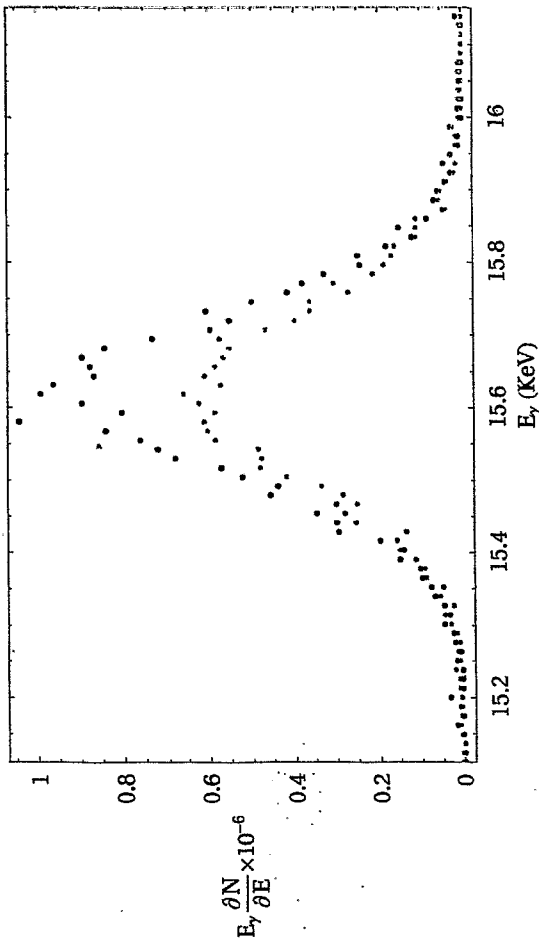
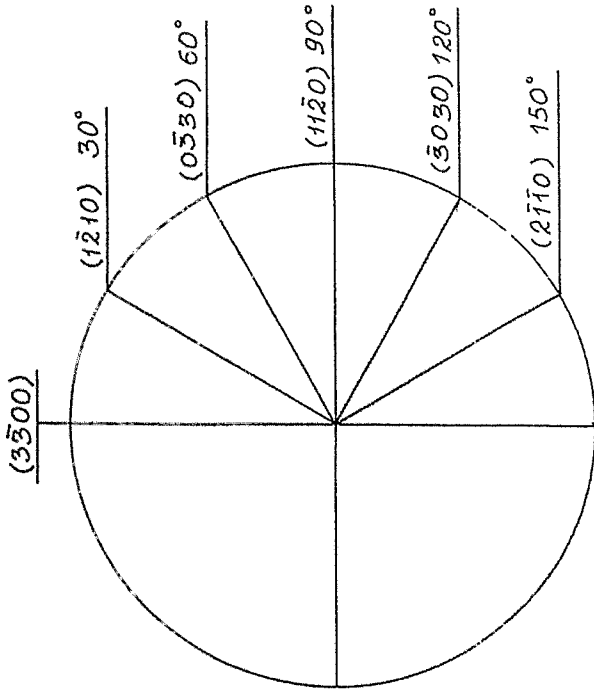


θ<sub>Bragg</sub> (Degree)

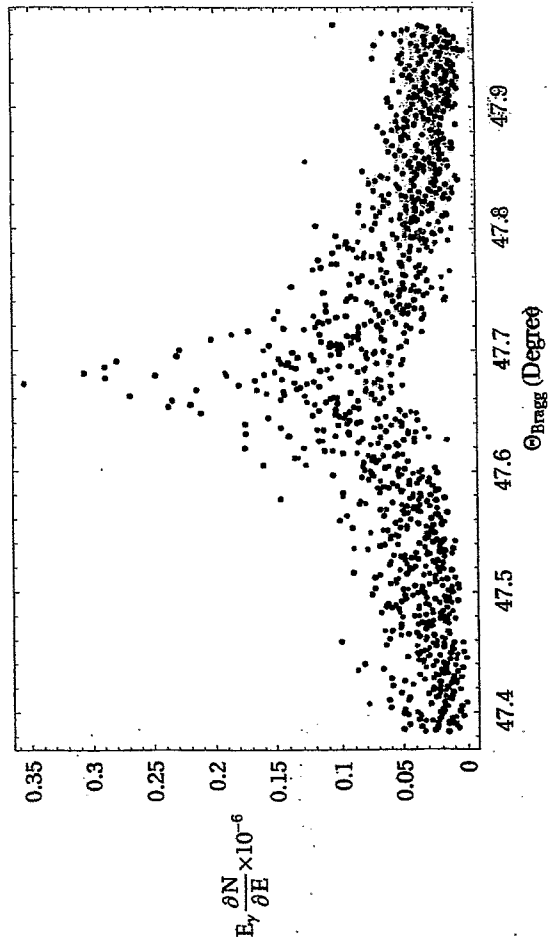
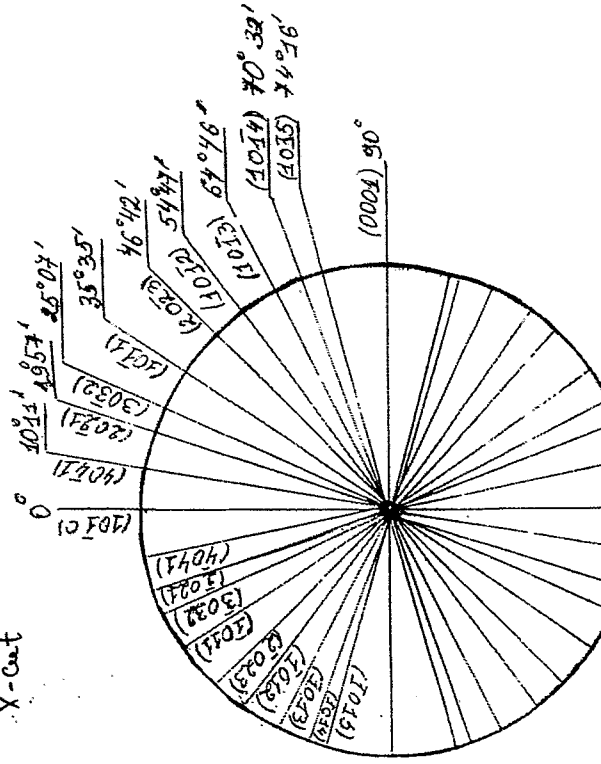
θ<sub>Bragg</sub> (Degree)

LiNbO<sub>3</sub>

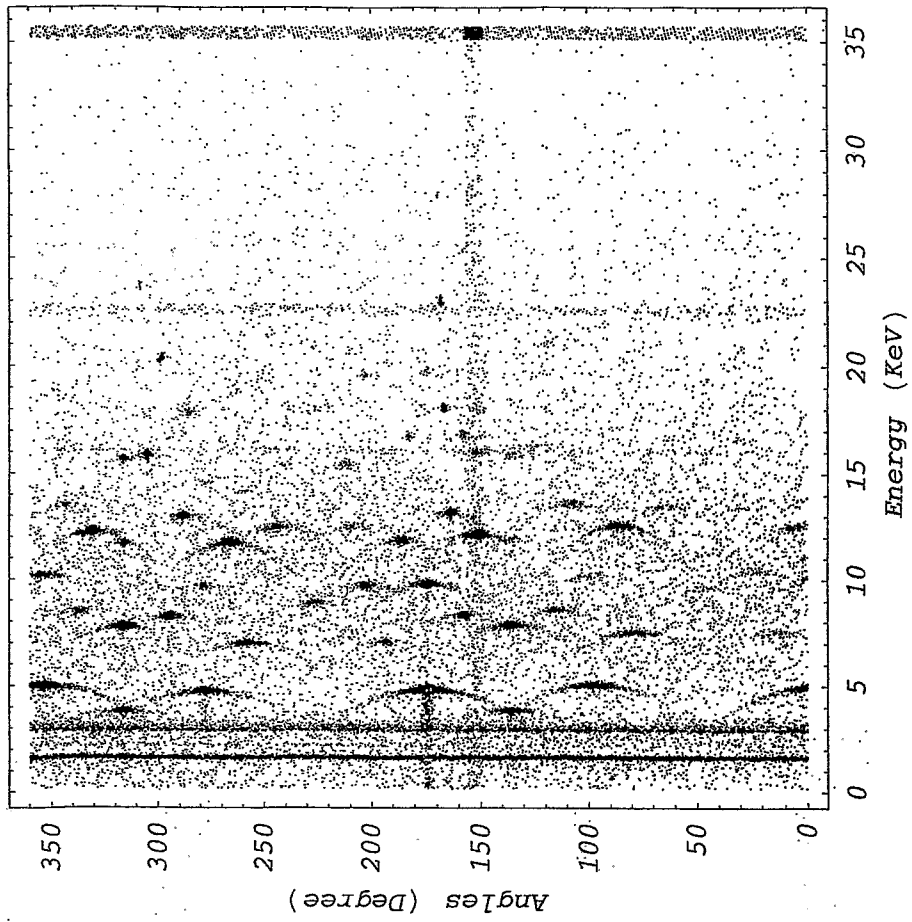
Z - cut



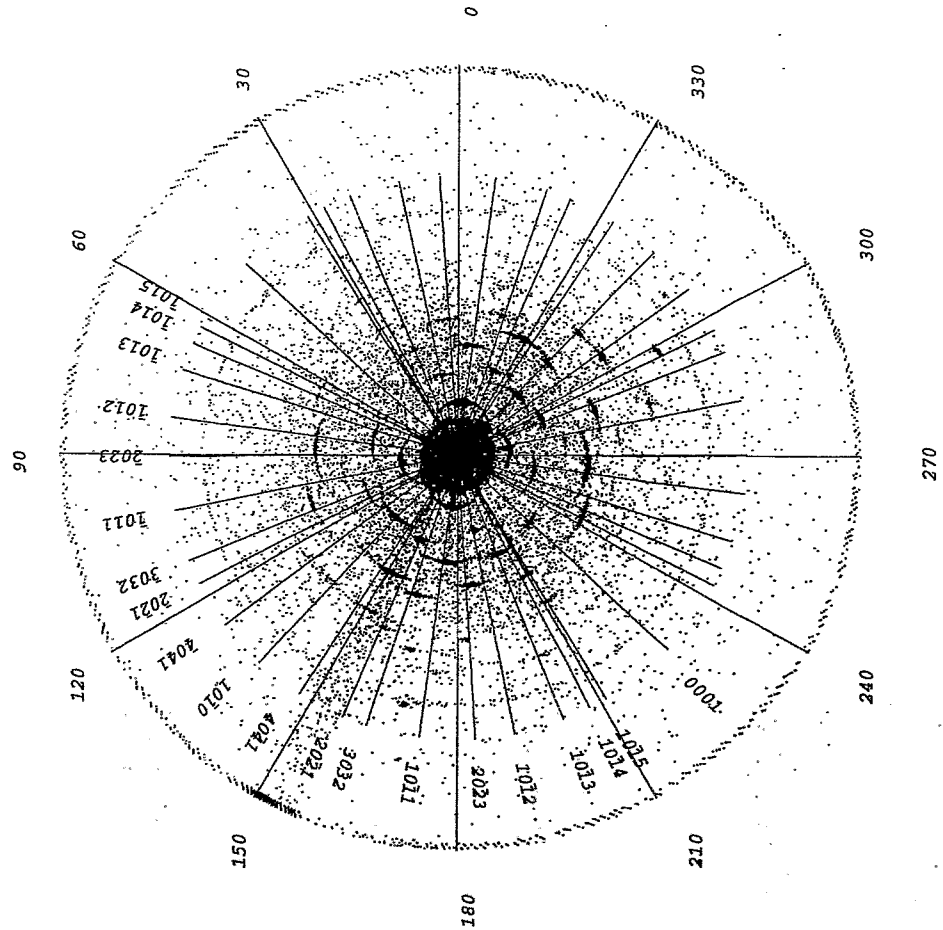
SiO<sub>2</sub> X-cut



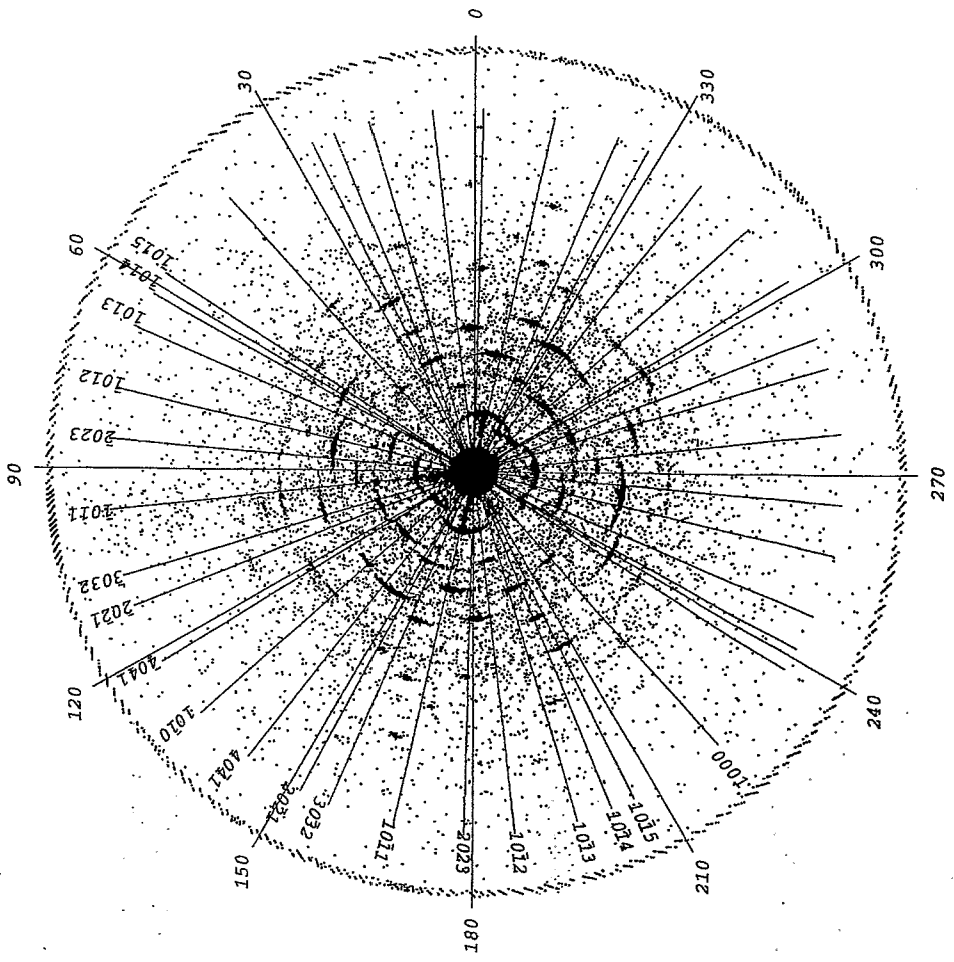
Alpikogramma for X-Cut  $\text{SiO}_2$   $d=290\text{m}$



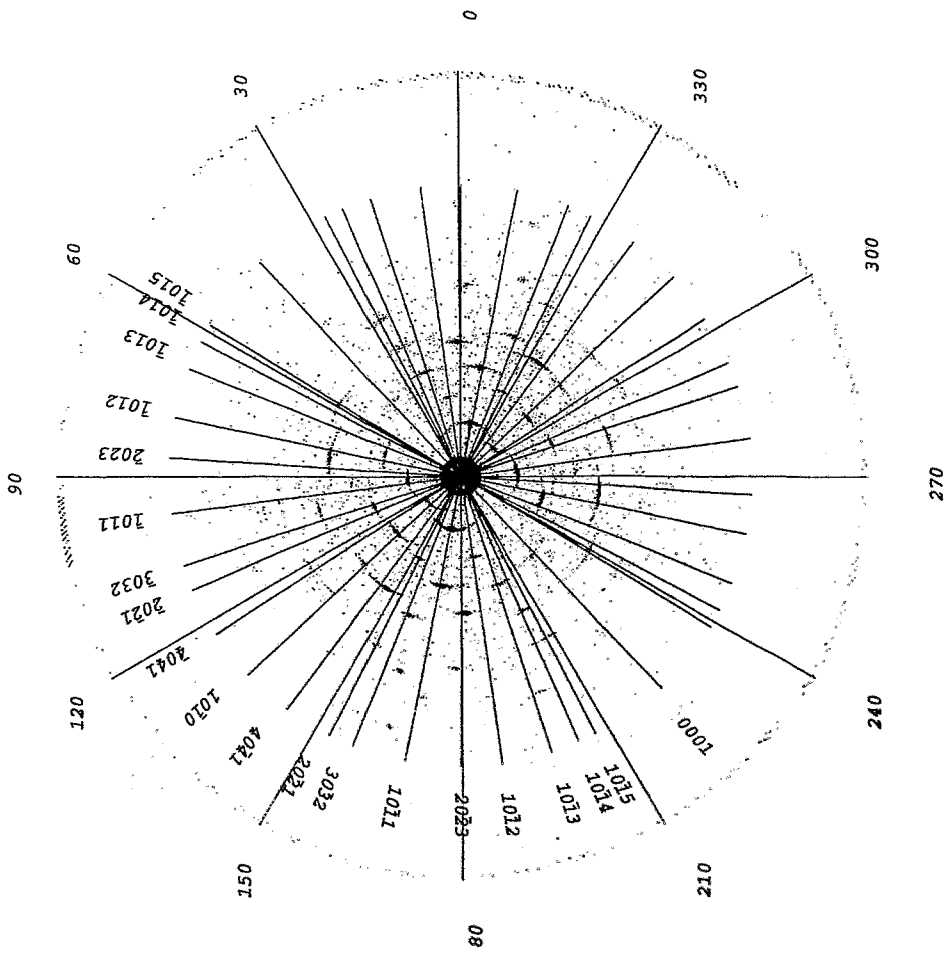
Alpikogramma For X-Cut  $\text{SiO}_2$  Crystall.  $d=290\text{m}$



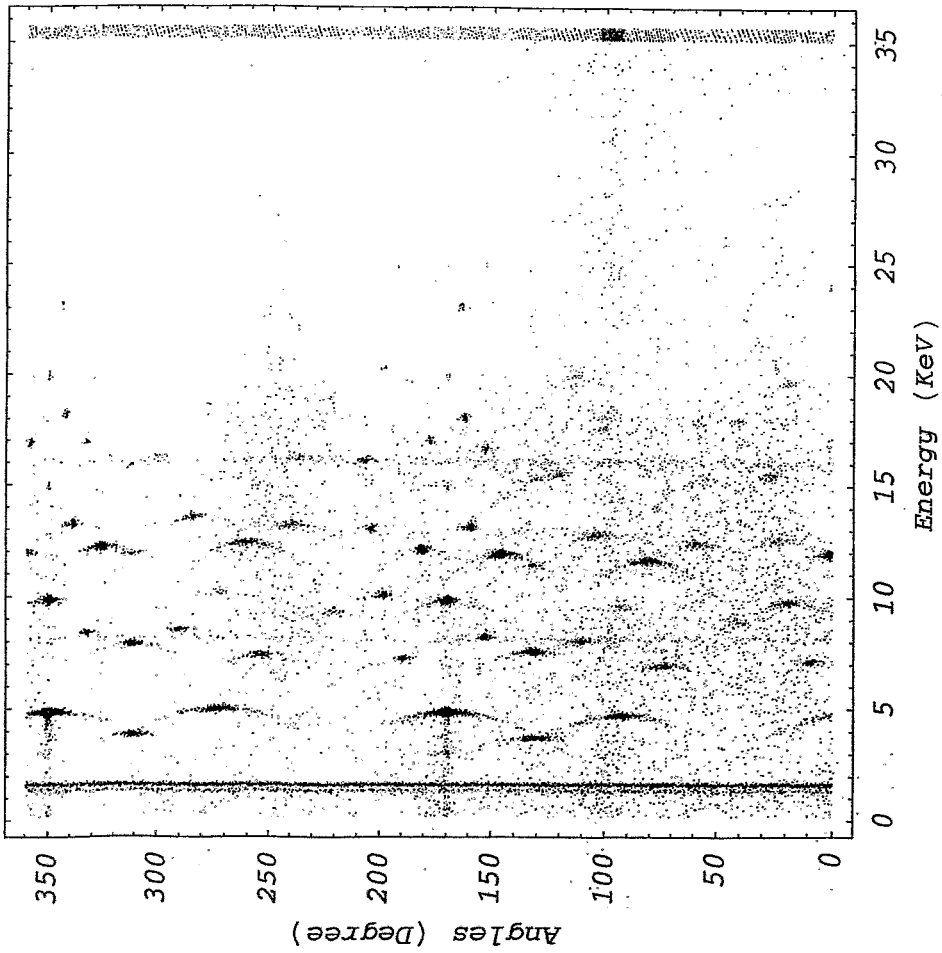
Alpikogramma For X-Cut SiO<sub>2</sub> Crystall, d=810m



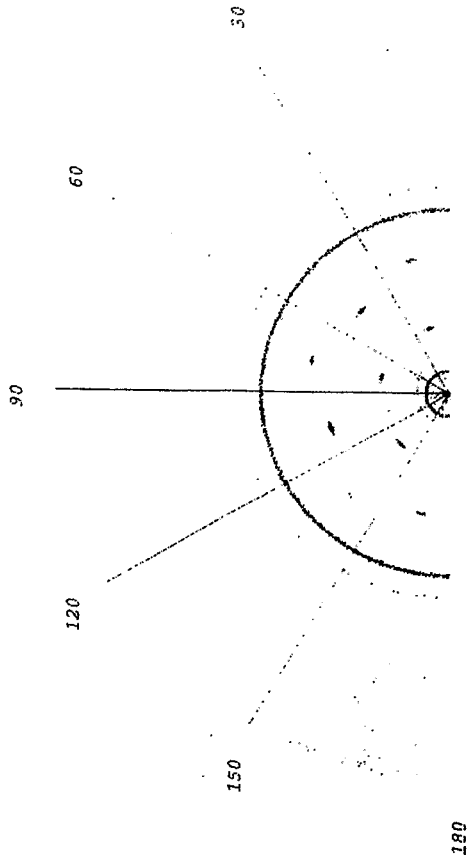
Alpikogramma For X-Cut SiO<sub>2</sub> Crystall, d=810m



Alpikogramma for X-Cut SiO<sub>2</sub> d=810m



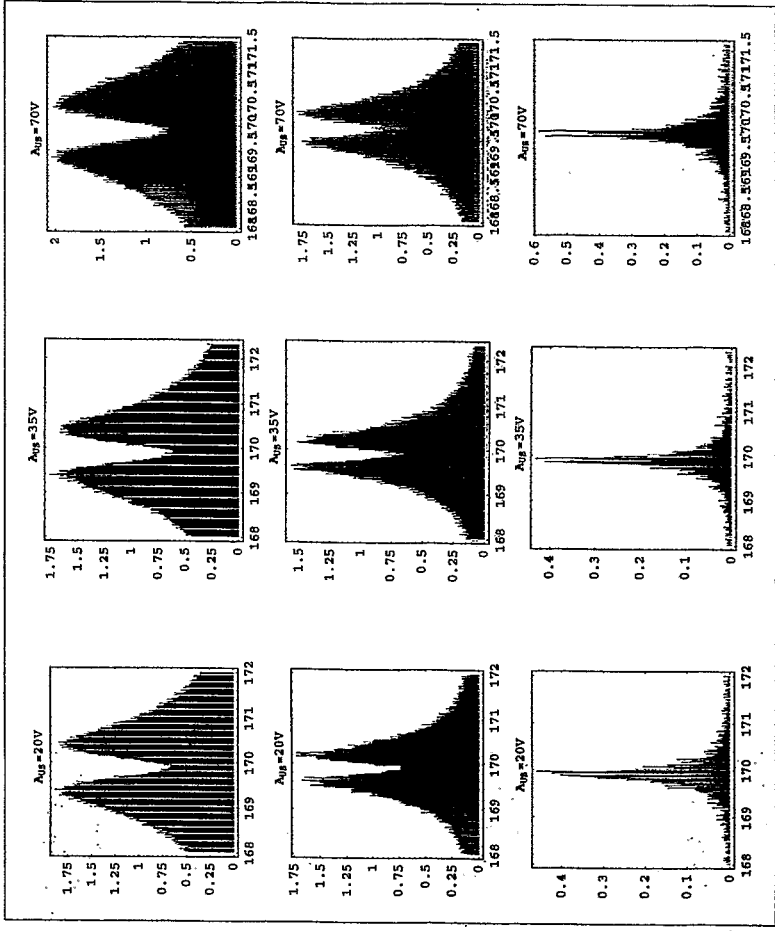
Alpikogramma For Z-Cut LiNbO<sub>3</sub> Crystall, d=650m



180

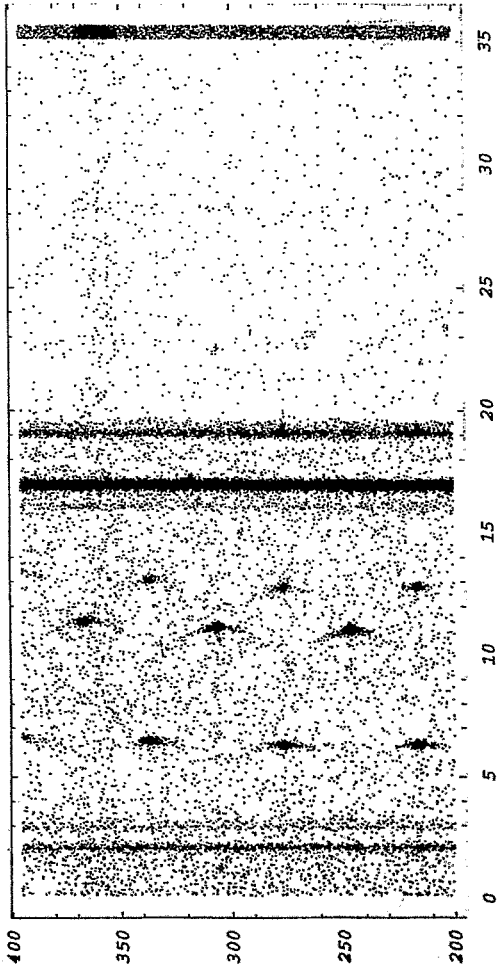


Rocking Curve For X-Cut SiO<sub>2</sub> Crystal,  
 $d=0.810\text{mm}$  Working Plane 1012

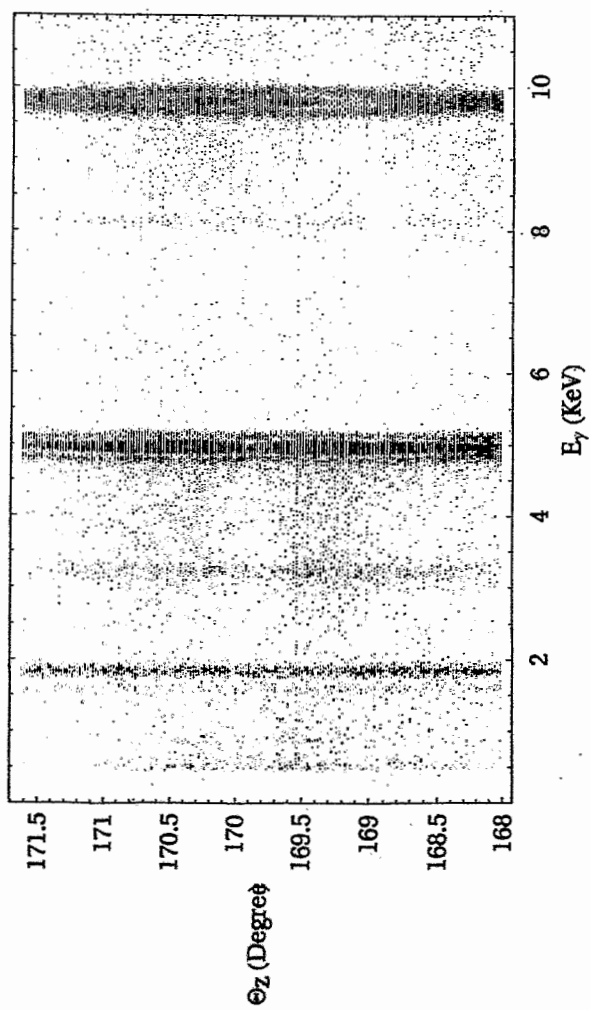
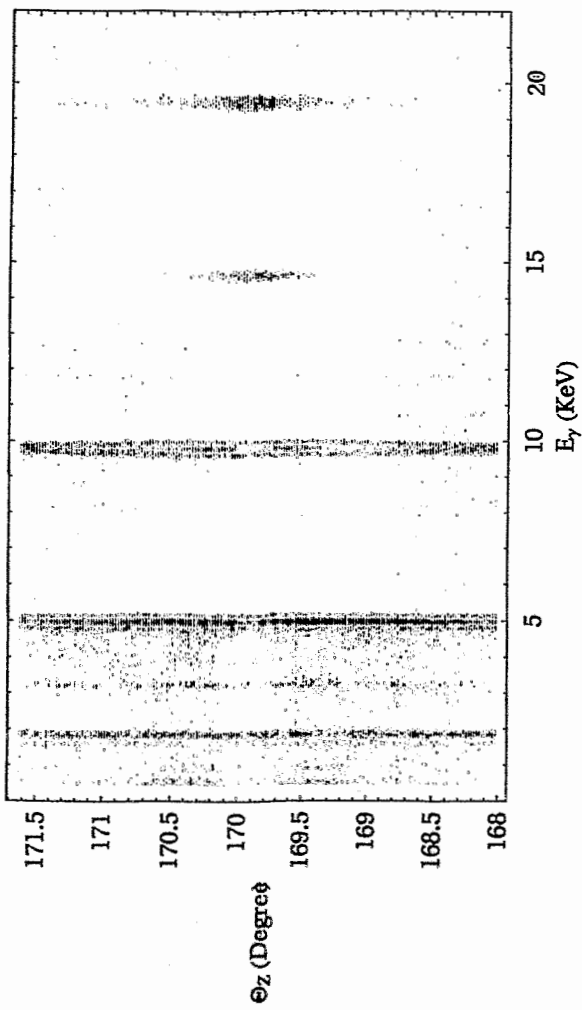


$\theta_z$  (Degree)

Alpikogramma For Z-Cut LiNbO<sub>3</sub> Crystall,  $\theta=650m$



Y ON X10<sup>-6</sup>



*H. W. Barz:*

**Compton backscattering of laser light off  
the ELBE electron beam**

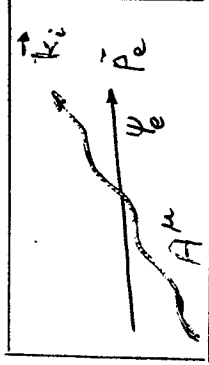
Compton backscattering of laser light off  
the ELBE electron beam

H.W. Barz

Institut für Kern- und Hadronen-  
physik  
Forschungszentrum Rossendorf

1. Electrons in laser fields
2. Differential cross section and polarization
3. Effective cross sections, production rates

## 1. Electron in laser field



Compton wave length:  $\lambda_c = 0.4 \cdot 10^{-12} \text{ m}$

classical  $e^-$  radius:  $r_0 = \alpha \lambda = 2.8 \cdot 10^{-15} \text{ m}$

green laser:  $\hbar\omega = 2.35 \text{ eV}$

Dirac - Equation:

$$[i\partial - eA - m] \psi_e = 0$$

plane wave solution:

$$\psi_E = \left(1 + \frac{e}{2} \frac{kA}{(kP)}\right) e^{-i\int dx' \left[ e \frac{pA}{pk} - \frac{e^2 A^2}{2kp} \right]} e^{ipx} u$$

D.M. Volkov 1935  
N. Kishov, Ritus 1964  
Brown, Kibble 1964

Non-perturbative effects if  $A > A_{crit} = \frac{m}{e}$

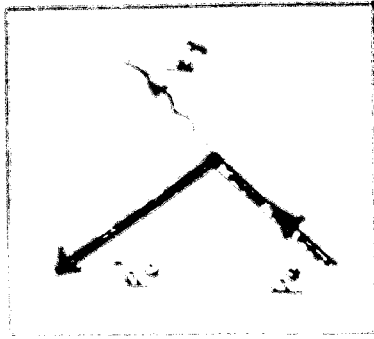
electric field strength  $\frac{e E_{crit}}{\omega c} = m$

$$E_{crit} = 0.56 \cdot 10^{18} \text{ V/cm}$$

$$j_{crit} = 10^{18} \text{ W/cm}^2$$

parameters

$$\eta = \frac{E}{E_{\text{cut}}} = 0 \dots 0.3$$



$\eta \sim 1$  Cooperative effects.

$$s k_i + p_e \rightarrow k_f + p_e'$$

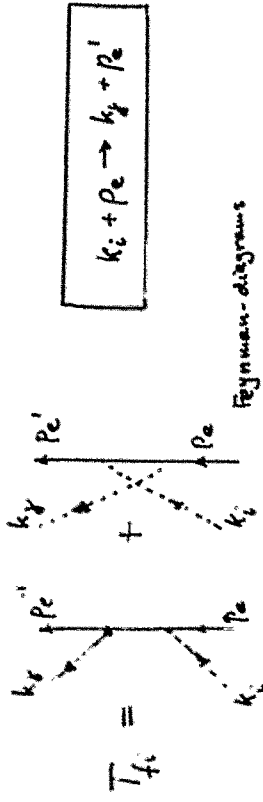
$$\sigma \sim \eta^{2(5-1)} \cdot \tau_0^2$$

$$\left. \begin{aligned} & \sigma + 5k_i \rightarrow e' + e^- \\ & \sigma_{\text{Compton}} + 4k_i \rightarrow e^+ e^- \end{aligned} \right\}$$

$$(2.5 \text{ GeV}) + (4 \cdot 2.3 \text{ eV}) \rightarrow 1 \text{ MeV}$$

SLAC, 1987

## 2. Compton scattering



$$\frac{1}{k_f} \frac{d\sigma}{d\Omega_f} = \frac{2}{s'} \sum_{ff'ii'} \epsilon_{ff'ii'} T_{fi} T_{f'i'}^* S_{ii'}$$

$$\begin{aligned} s' &= 2p_e k_i \\ u' &= 2p_e k_f \rightarrow (R_T) \\ q &= 2u' \left( \frac{1}{s'} - \frac{1}{u'} \right) \end{aligned}$$

$$\sum_{fi} |T_{fi}|^2 = \frac{\alpha^2}{s'} \left[ \frac{u'}{s'} + \frac{s'}{u'} + 2g + g^2 \right]$$

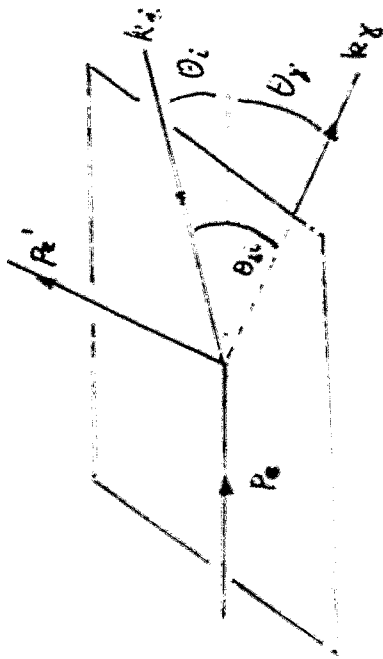
in lab-system: Klein-Nishina 1929

$$\frac{d\sigma}{d\Omega} = \frac{r_0^2}{2} \left( \frac{k_f}{k_i} \right)^2 \left[ \frac{k_f}{k_i} + \frac{k_i}{k_f} - (1-\beta) \sin^2 \Theta \right]$$

$$\sigma \rightarrow \left( \frac{8\pi}{3} r_0^2 \right) = 667 \text{ mb}$$

Thomson cross section

Compton Scattering



$$k_f = k_i - p_e + p_e' \cos \theta_f$$

$$E_e + p_e \cos \theta_f = E_e' + p_e' \cos \theta_f'$$

$$\omega_f = \omega_i \frac{1 - \beta \cos \theta_f}{1 - \beta \cos \theta_f' + \frac{h\nu_i}{m c^2}}$$

$$E_e = \gamma m c^2$$

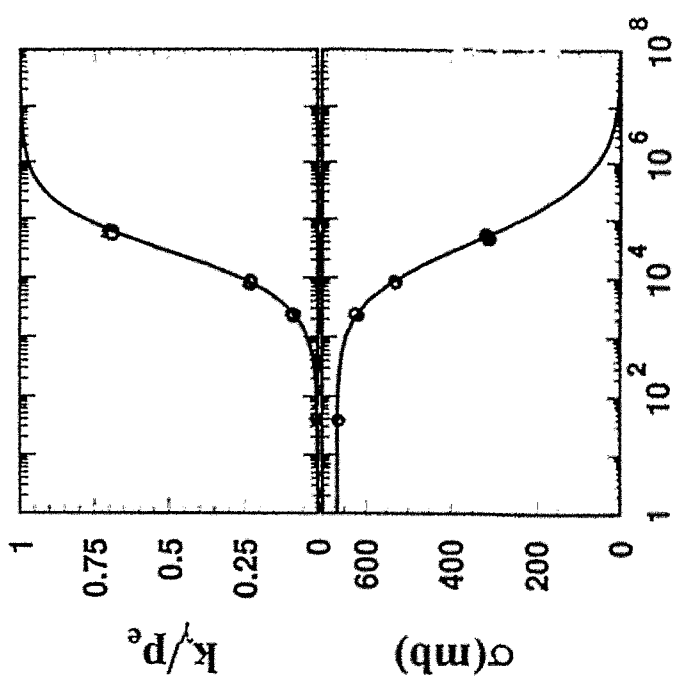
$$\theta_f = 0$$

$$\beta_f \ll 1$$

• Scattering centered in backward cone  $\Delta \theta \sim \frac{1}{\gamma}$

•  $\left(\frac{dN}{d\Omega}\right)_f \sim \gamma^2 \frac{dN}{d\Omega}_i$

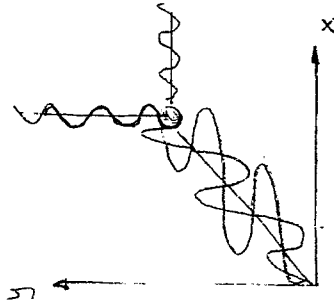
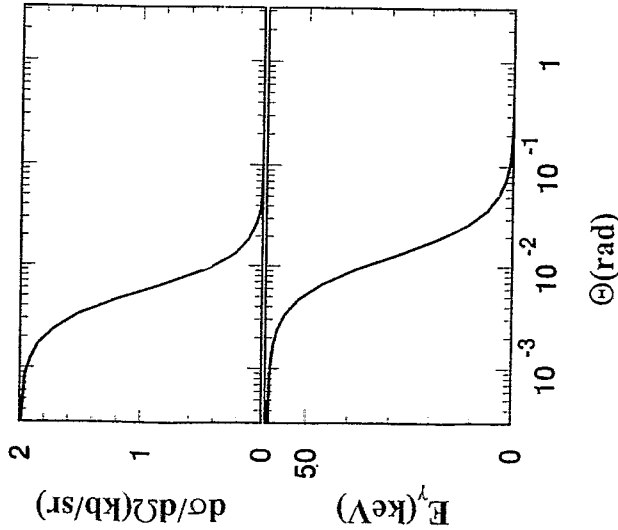
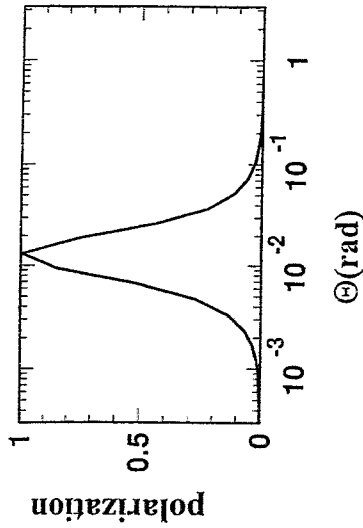
• This requires  $E_e \ll \frac{m^2 c^4}{4 \pi k_i} \sim 30 \text{ GeV}$   $\theta_f \approx \pi$



$E_e$  (MeV)

$E_e$	$E_\gamma$
ELBE	40 MeV
LEGS	2.6 GeV
SPRING8	8 GeV
SLAC	46 GeV
	29 GeV

# Scattering of unpolarized laser of 40 MeV electrons



## Polarization

$$S_{ii'} = \frac{1}{2} (1 + \vec{\xi} \cdot \vec{\sigma}) = \frac{1}{2} \begin{pmatrix} 1 + \xi_3 & \xi_1 + i\xi_2 \\ \xi_1 - i\xi_2 & 1 - \xi_3 \end{pmatrix}$$

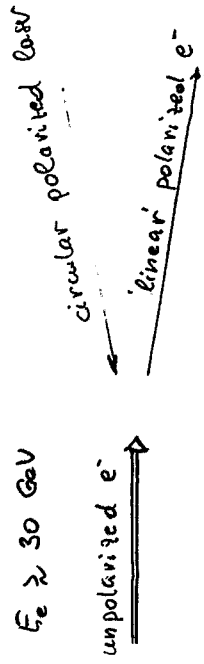
$$= \begin{pmatrix} \uparrow\uparrow & \uparrow\downarrow \\ \downarrow\uparrow & \downarrow\downarrow \end{pmatrix}$$

$$P = |\vec{\xi}|^2 < 1$$

convention:  $e^-$  beam direction  
 $\gamma$   $\perp$  beam direction  
 $\vec{\xi}_2$ : circular polarization:

Effects:

- electrons reflect polarized laser light  
 $\theta \ll 1$  ;  $\vec{P} = P_i$



$$\text{Compton polarimeter} : \sigma \sim 1 + \alpha(P)$$

### 3. Production rates

Production rates  $\frac{dN_f}{dE_f dt}$  depend on

- Luminosity  $L$
- energy resolution  $\Delta E_e$
- angle spread  $\Delta \theta_e$

$$\dot{N}_f = L \sigma$$

Luminosity

bunch - crossing

$$L = \int dV [(j_1^2 + j_2^2)^{1/2}]^2 = \frac{1}{A} N_e \cdot N_i \cdot f$$



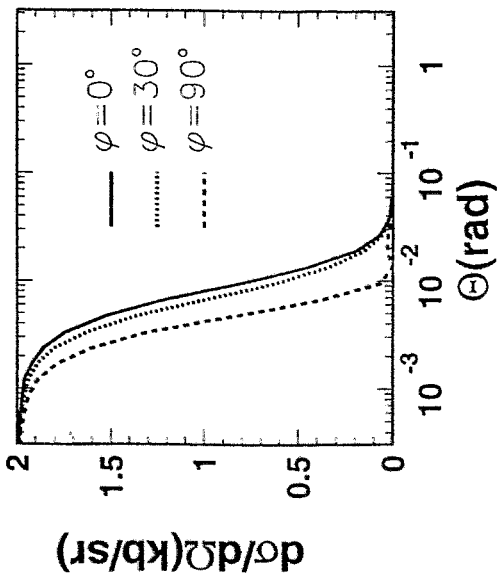
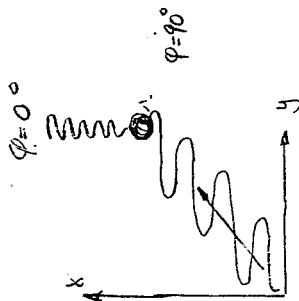
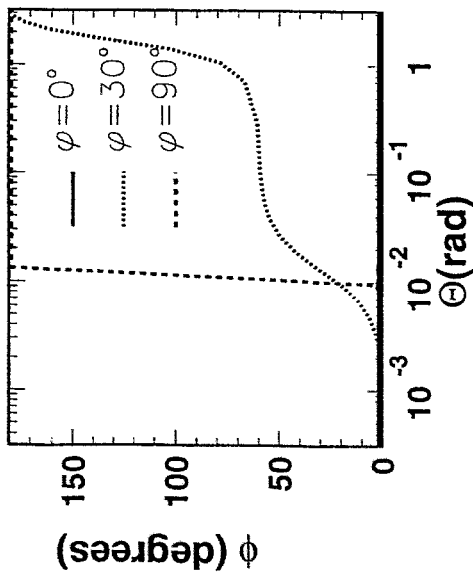
$f$ : frequency

$A$ : crossing area

$$L = L_0 \frac{1}{k_0 (2.35\text{eV})} \frac{1}{A (\text{mm}^2)} \frac{1}{f (\text{MHz})} \frac{I_e}{\text{mA}} \frac{P_{\text{beam}}}{W}$$

$$L_0 = 10^{30} \frac{1}{\text{cm}^2 \text{s}} = \frac{10^3}{\text{mb} \cdot \text{s}}$$

### Scattering of linearly polarized ( $\phi=90^\circ$ ) photons





Effective Cross section

$$\frac{d^2\sigma}{dE_\gamma d\Omega_\gamma} \sim \int d\Omega_e e^{-\frac{(R_e - R_0)^2}{2\Delta E_e^2}} \int d\Omega_e e^{-\frac{\theta_e^2}{2\Delta\theta_e^2}} \times \frac{d\sigma}{d\Omega_\gamma} \cdot \int (E_\gamma - k_\gamma (P_e, \Omega_e, \Omega_\gamma))$$

ELBE:  $\Delta E_e = 25 \text{ keV}$      $\Delta\theta_e = 0.07 \text{ mrad}$

$A = \pi \text{ mm}^2$ ;     $\sigma_{\text{eff}} = 15 \text{ mb}$ ;     $\dot{N}_\gamma = 10^3 / \text{s}$   
 $E_\gamma = 60 \text{ keV}$

For comparison: Vanderbilt, Nashville

• macro pulse    25 Hz,     $\tau = 2.5 \mu\text{s}$

• micro pulses

$f = 2 \cdot 10^8 \text{ s}^{-1}$

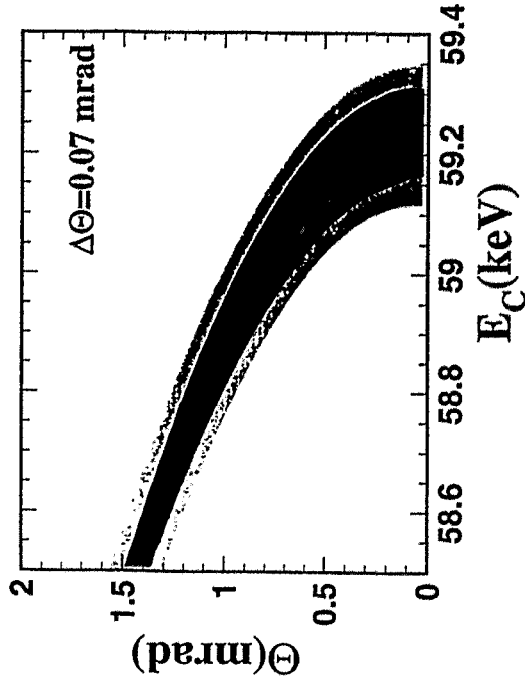
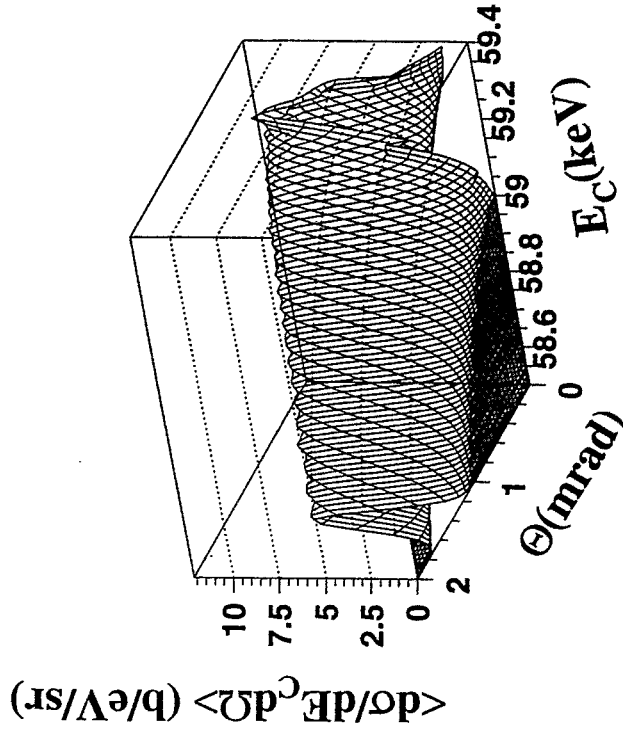
$A = \pi (0.15 \text{ mm})^2$

$N_e = 4 \cdot 10^8$

$N_i = 2 \cdot 10^{13} \text{ (IR, } \tau_{\text{fo}} = 0.5 \text{ s)}$

$L = 4 \cdot 10^{34} / \text{cm}^2 \text{ s}$   
 $\dot{N}_\gamma = 10^9 / \text{s}$

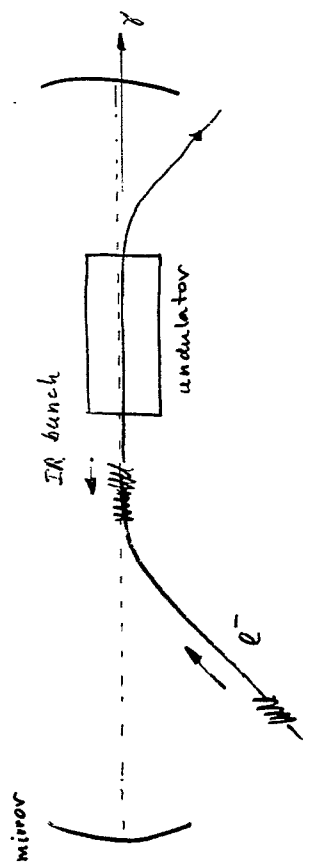
$(\dot{N}_\gamma)_{\text{macro}} = 10^5 / \text{s} \text{ (exp: } 4 \cdot 10^4 \text{ s}^{-1})$



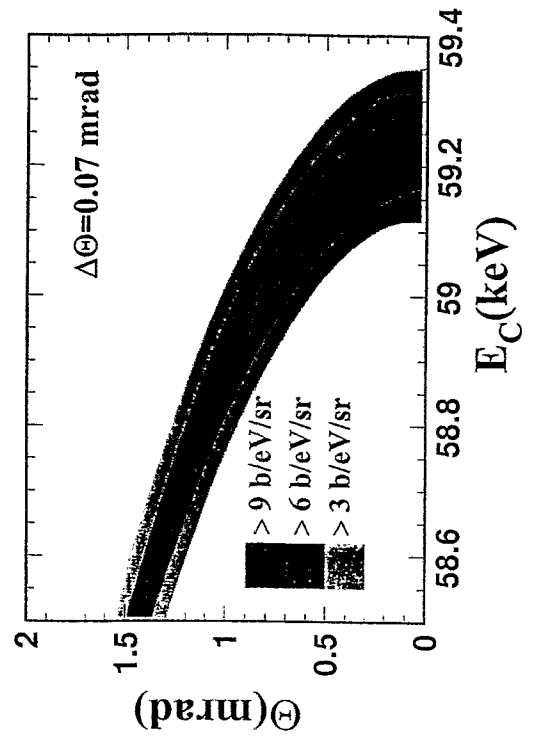
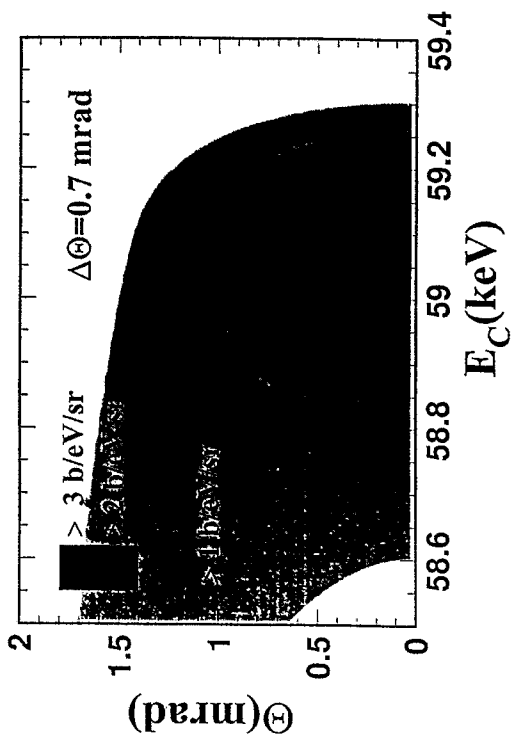
higher intensity

- narrow focus  $A = \pi (0.1 \text{ mm})^2$
- $\Delta\theta_e = 0.7 \text{ mrad}$
- $\dot{N}_g = 10^5 / \text{s}$

• internal IR laser field in the optical resonator



$$\begin{aligned} \bar{P} &= 10^4 \text{ W} \\ E_i &= 0.2 \text{ eV (Synch)}; E_f = 6 \text{ keV} \\ \dot{N}_g &= 10^8 / \text{s} \end{aligned}$$



## Summary

	<u>Expected rates:</u>	<u><math>\Delta E/E</math></u>
usual	$\sim 10^3 \text{ s}^{-1}$	0.5 % (beam)
strongly focused	$\sim 10^5 \text{ s}^{-1}$	1.5 %
intense FEL	$\sim 10^8 \text{ s}^{-1}$	$\sim$

*M. A. Piestrup:*

**Compound refractive lenses for  
novel X-ray sources**



# *Compound Refractive Lenses for Novel X-ray Sources*

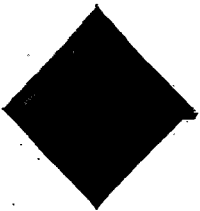
Melvin A. Piestrup, H. Raul Beguiristain,  
Charles K. Gary, Richard H. Pantell\*,  
J. Theodore Cremer, Roman Tatchyn\* \*

Adelphi Technology, Inc.  
2181 Park Blvd.  
Palo Alto, California, 94306

\*Stanford University

\*\* Stanford Synchrotron Radiation Laboratory

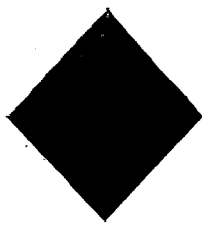
*Adelphi Technology, Inc.*



## *D Publications and Patents*

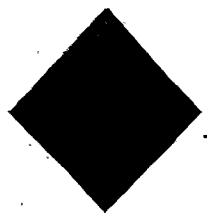
- ❖ Toshihisa Tomie, US Patent #5,594,773 "X-ray Lens" (Jan. 14, 1997) filed Jan. 1994.
- ❖ A. Snigivrev, V. Kohn, I. Snigireva and B. Lengeler, "A compound refractive lens for focusing high-energy X-rays, Nature 384, 49 (1996).
- ❖ J. T. Cremer, M. A. Piestrup, H. R. Beguiristain, C. K. Gary, R. H. Pantell, R. Tatchyn "Refractive X-ray Lenses using Low Density Plastics," Rev. of Scientific Instruments 70, (Sept. 1999).

*Adelphi Technology, Inc.*

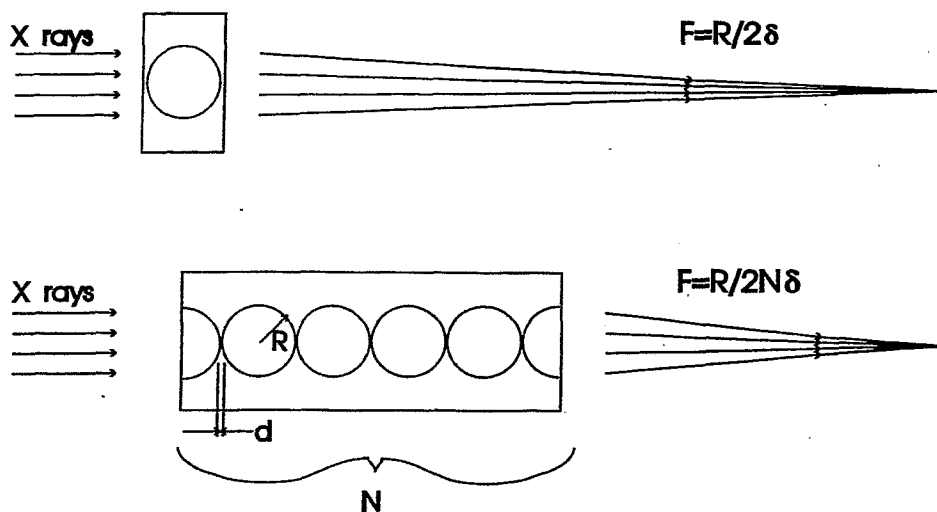


## *Focusing: Papers and Patents*

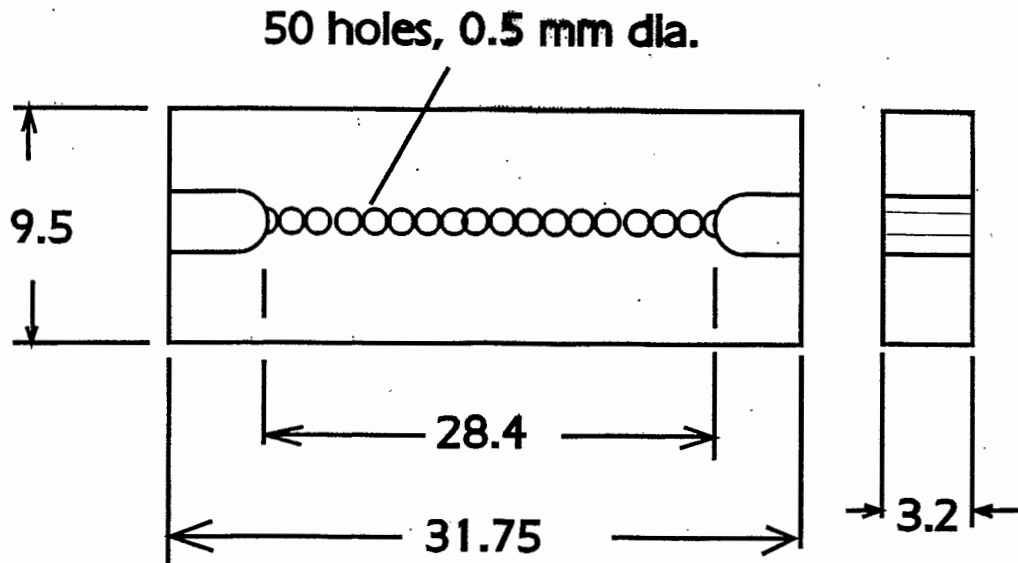
- ❖ M. A. Piestrup, R. H. Pantell, J. T. Cremer and H. R. Beguiristain, "Refractive X-ray Lenses," US Patent submission (filed May 1999).
- ❖ B. Lengeler, C. G. Schroer, M. Richwin, J. Tömmeler, M. Drakopolulos, A. Snigirev and I. Snigireva, "Appl. Phys. Lett. 74, 3924 (1999).
- ❖ H. R. Beguiristain, M. A. Piestrup, R. H. Pantell, C. K. Gary and J. T. Cremer "Development of compound refractive lenses for x-rays," Conference proceedings, 11th US National Synchrotron Radiation Instrumentation Conference (Oct. 1999).
- ❖ M. A. Piestrup H. R. Beguiristain, R. H. Pantell, C. K. Gary, J. T. Cremer and R. Tatchyn, "Compound Refractive Lenses for Novel X-ray Sources," Conference proceedings, RREPS(99) submitted to Nucl. Instrum. Methods A. (1999)



## *Holes Work as Lenses!!*



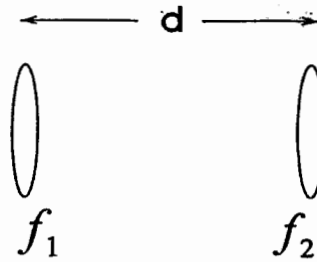
# Example CRL capable of 1-D focusing



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## Two lenses

Pedrotti  
"Introduction to  
Optics" 1987  
p. 60



$$\frac{1}{f_{ev}} = \frac{1}{f_1} + \frac{1}{f_2} - \frac{d}{f_1 f_2} \approx \frac{1}{f_1} + \frac{1}{f_2}$$

$$d \rightarrow 0$$

$$f_1 = f_2$$

$$f_{ev} = \frac{f}{2}$$

for N lenses  $\rightarrow f_{ev} = \frac{f}{N}$

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## *fraction at x-ray photon energies*

$$n = 1 - \delta + i\beta$$

$\delta$  is between  $10^{-5}$  and  $10^{-7}$

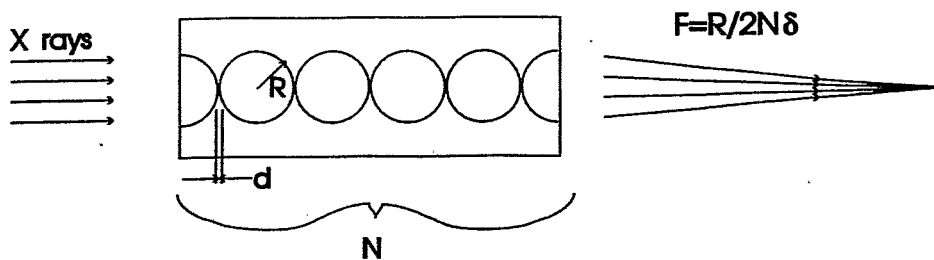
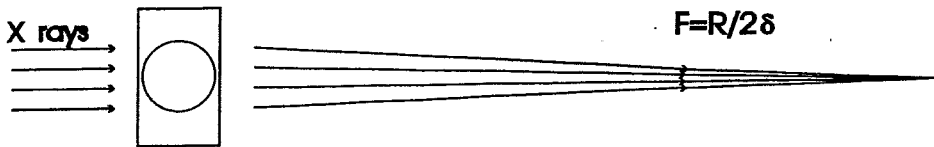
$n$  is less than 1

$$f = \frac{R}{2\delta} \quad \text{single lens, if } R = 0.3 \text{ mm, } d = 3 \times 10^{-6}, \text{ then } f = 50 \text{ meters}$$

$$f = \frac{R}{2N\delta} \quad N \text{ lenses, if } N = 100 \text{ then } f = 50 \text{ cm!}$$

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## *Holes Work as Lenses!!*

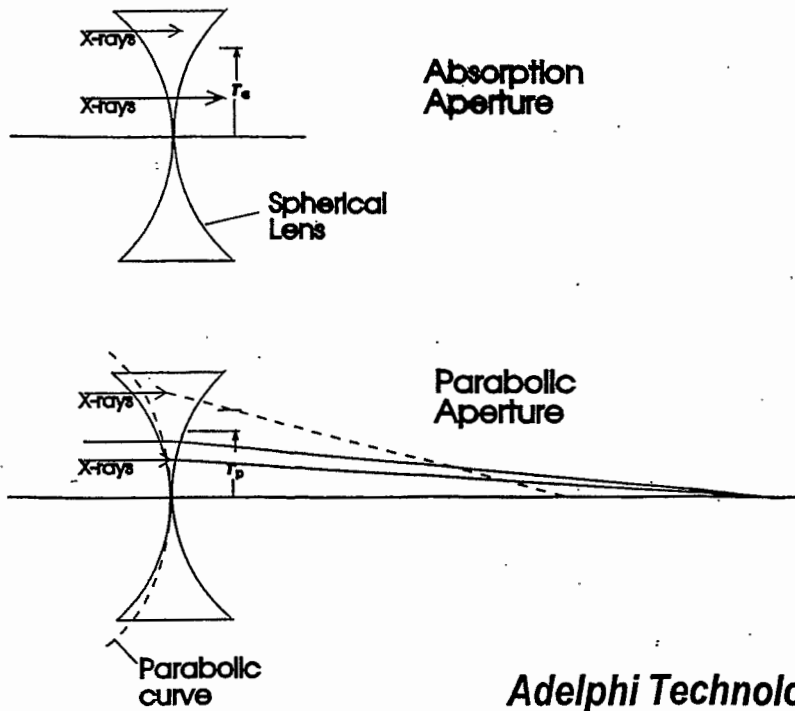


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# Apertures of Lenses



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## B. Aperture

1. Physical aperture =  $2R$

2. Spherical aberration aperture,  $A_s$

This occurs for a circular lens and not a parabolic lens.

The aperture is defined as twice the radius at which there is  $\pi$  phase shift error due to the non-parabolic curvature.

$$\frac{A_s}{2} = [4R^2 \lambda r_i]^{.25}$$

where  $r_i$  = distance between lens and image

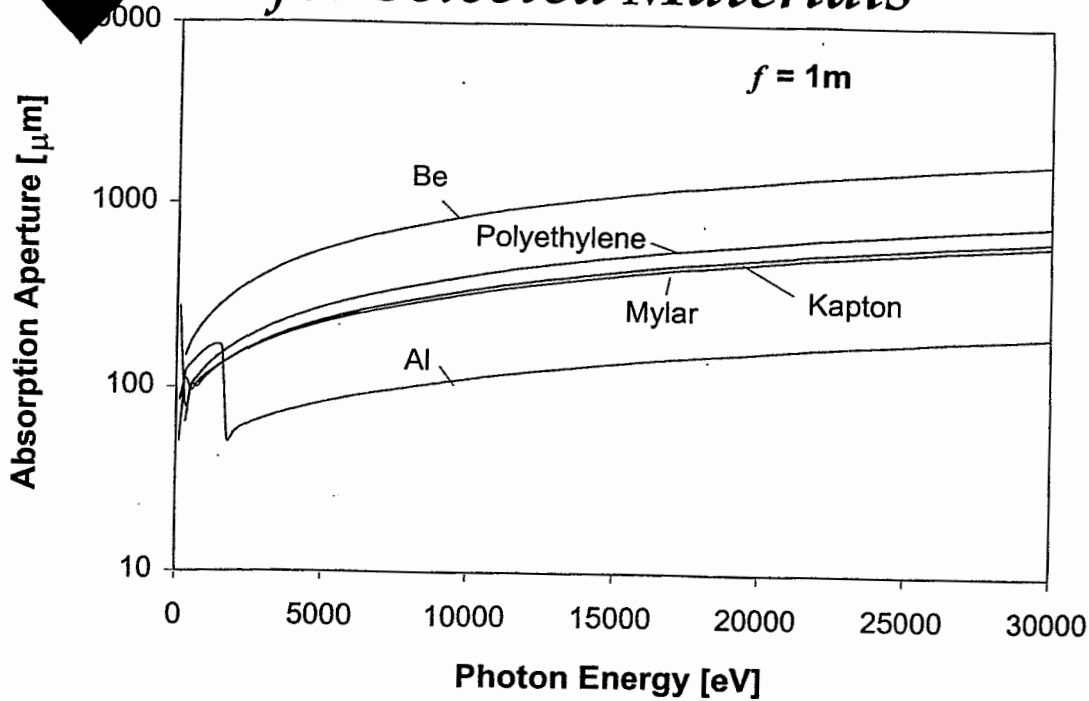
3. Absorption aperture,  $A_a$

$A_a / 2$  = radius at which the power through the lens is

reduced by the factor  $\text{Exp}[-2]$

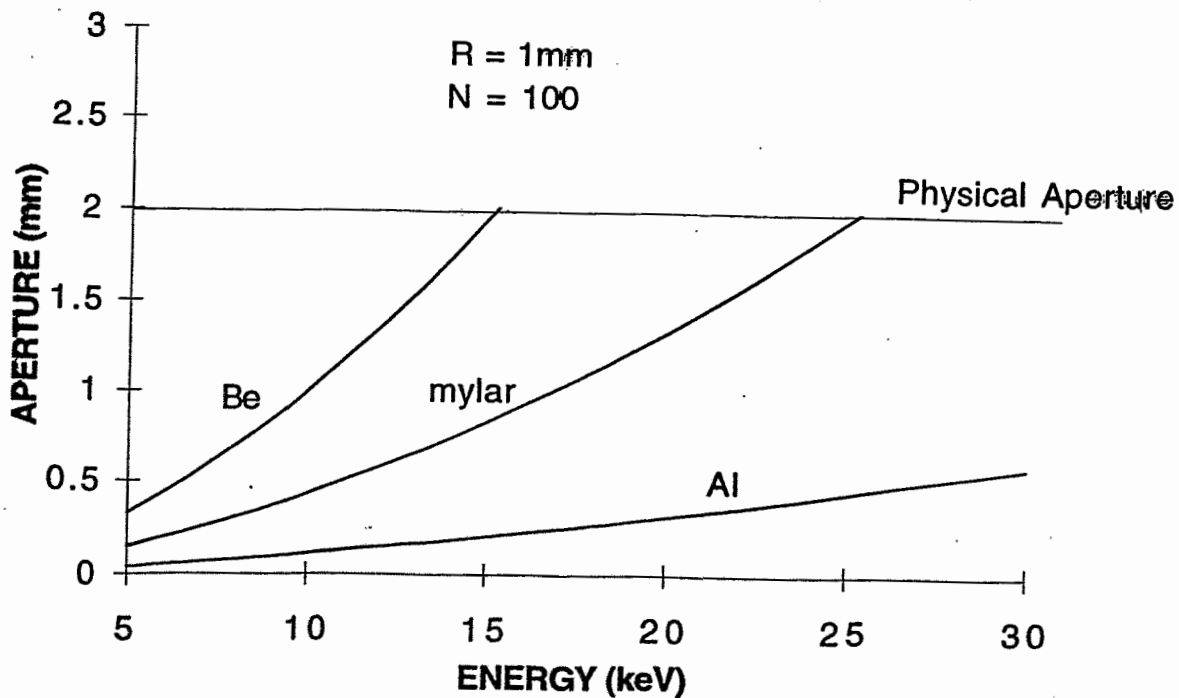
$$A_a = 4 \sqrt{\frac{f\delta}{\mu}}$$

# Absorption Aperture for Selected Materials

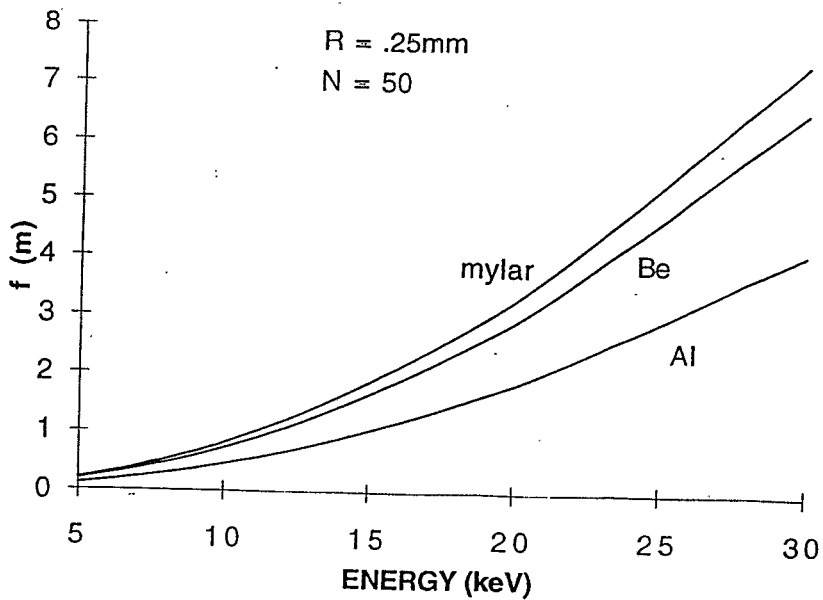


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## ABSORPTION APERTURE vs WAVELENGTH

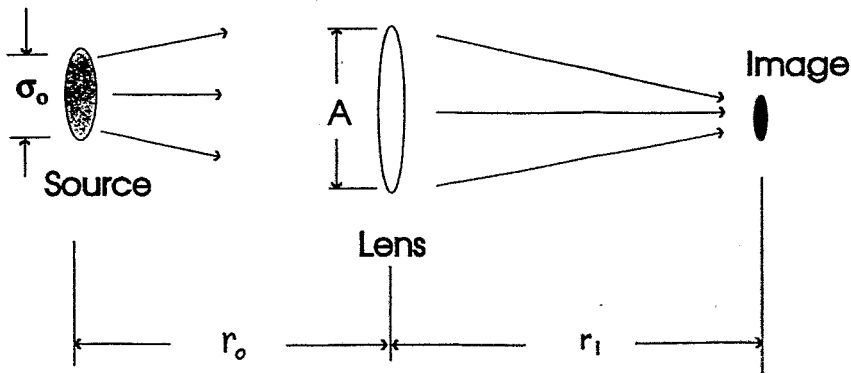


# Resolution Length



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**Gain:** the ratio of the on-axis intensity with and without the lens in place



$$G = T \left( \frac{A}{\sigma_o} \right) M$$

$T = \text{transmission through lens}$   
 $M = \text{Magnification} = r_i / f$

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### C. Gain

Gain = ratio of the on-axis intensity with and without the lens in place

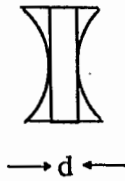
$$\text{1-d Gain for an incoherent source} = \frac{Ar_0}{\sigma_0 f}$$

where  $r_0$  = distance from source to lens

$\sigma_0$  = source size

For a symmetrical source, 2-d Gain = [1-d Gain]<sup>2</sup>

Including base absorption:



$$\text{Gain (with base)} = \text{Exp}(-\mu Nd) \times \text{Gain (without base)}$$

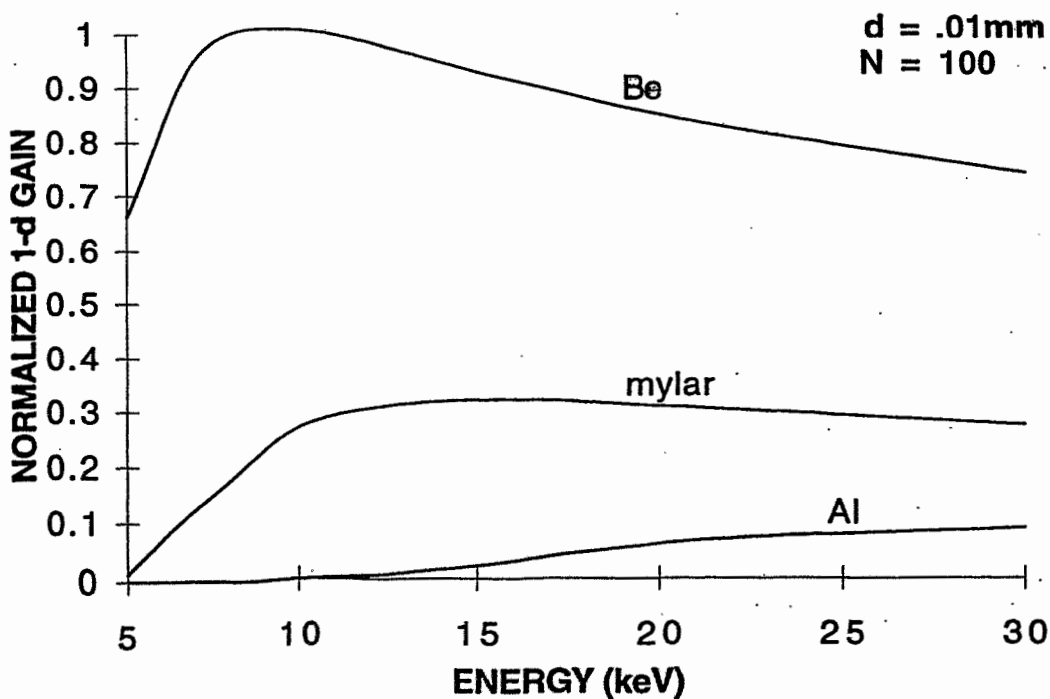
Typical parameters:  $\sigma_0 = .4\text{mm}$ ,  $r_0 = 17\text{m}$ ,  $f = 1\text{m}$ ,  $d = .01\text{mm}$ ,

$$N = 100$$

With Be at 8keV:  $\mu = .17\text{mm}^{-1}$ ,  $A_a = .71\text{mm}$

This gives: 1-d Gain = 25    2-d Gain = 760

### NORMALIZED GAIN vs WAVELENGTH



## VI. Testing the lens

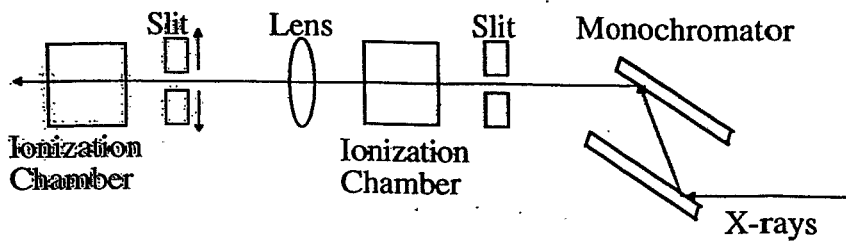
Source: synchrotron radiation (SSRL)

distance from source to lens = 16.8m

source size = .44 X 1.7mm

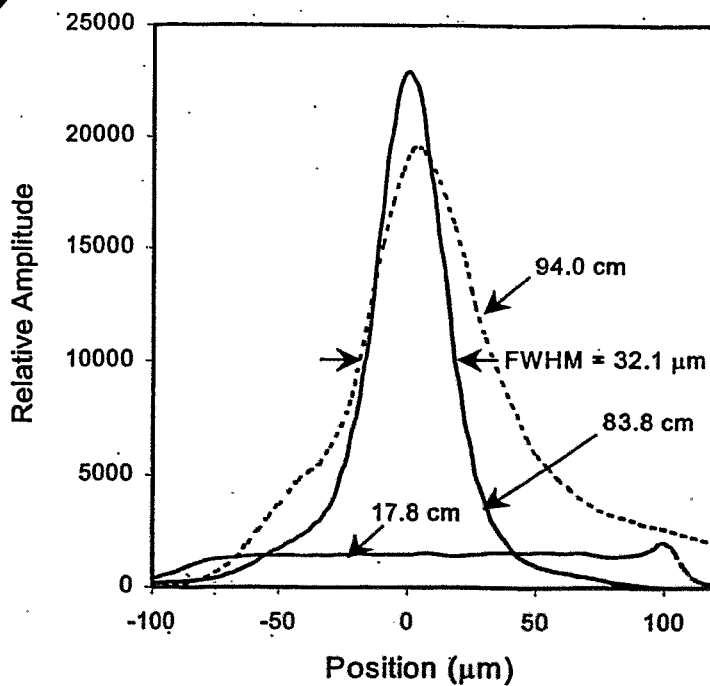
2.4 to 30 keV energy tuning

$5 \times 10^{-4}$  energy resolution



The intensity profile of the radiation is measured by the translatable slit on the left.

## 1-D Focusing of 19.5-keV X-rays



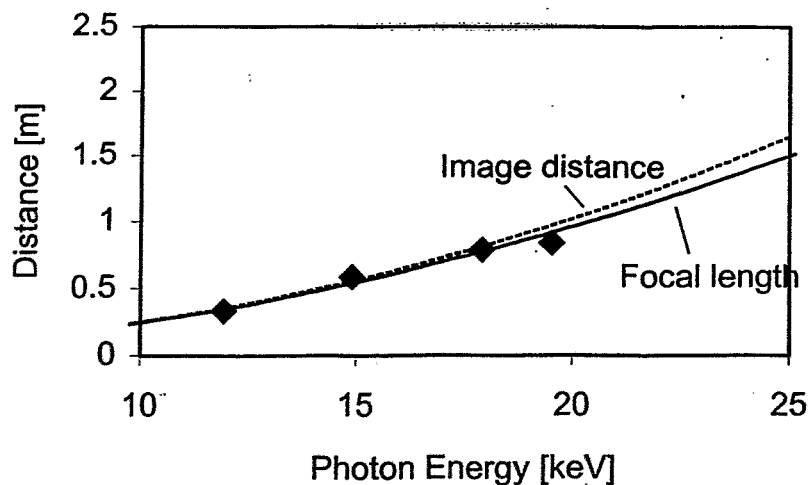
Source Size =  
0.45 mm

# 1-D Lens Parameters

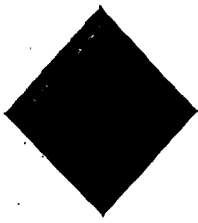
Lens Number Designation	1.2	3.1
Material	Acrylic (Lucite)	polyethylene
Chemical Formula	$C_5H_8O_2$	$CH_2$
$\rho$ , Density (gm/cm <sup>3</sup> )	1.2	0.96
$\delta$	$2.87 \times 10^{-9}$	$4.34 \times 10^{-7}$
$\mu$ (1/m)	498	18
$N$ , Numbers of holes	50	200
$R$ , Hole Radius ( $\mu$ m)	250	160
$f$ , Calculated Focal Length (cm)	87.1	93
$\Delta$ , Min. Wall thickness ( $\mu$ m)	50	75
$S_s$ , Vertical Source size <sup>b</sup> ( $\mu$ m)	445	445
Source-to-lens distance (m)	16.8	16.8
Photon Energy (keV)	9.0	19.5
$F_d$ , Calculated Focal Line Width ( $\mu$ m)	24.3	26.0
Measured Focal Line Width ( $\mu$ m)	70 (Fig. 3)	32.1 (Fig. 4)
$I_d$ , Calculated Image Distance (cm)	92	98
Measured Image Distance (cm)	68.6	84
$G$ , Calculated Gain	1.5	3
Calc. Ave. Transmission through Lens	24%	75%
$R_p$ , Calc. parabolic radius, ( $\mu$ m)	75	50
$R_a$ , Calc. absorption radius, ( $\mu$ m)	142	298

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# Focal Length as a Function of Photon Energy



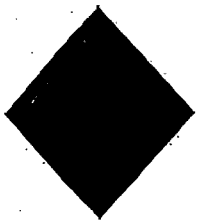
Adelphi Technology, Inc.



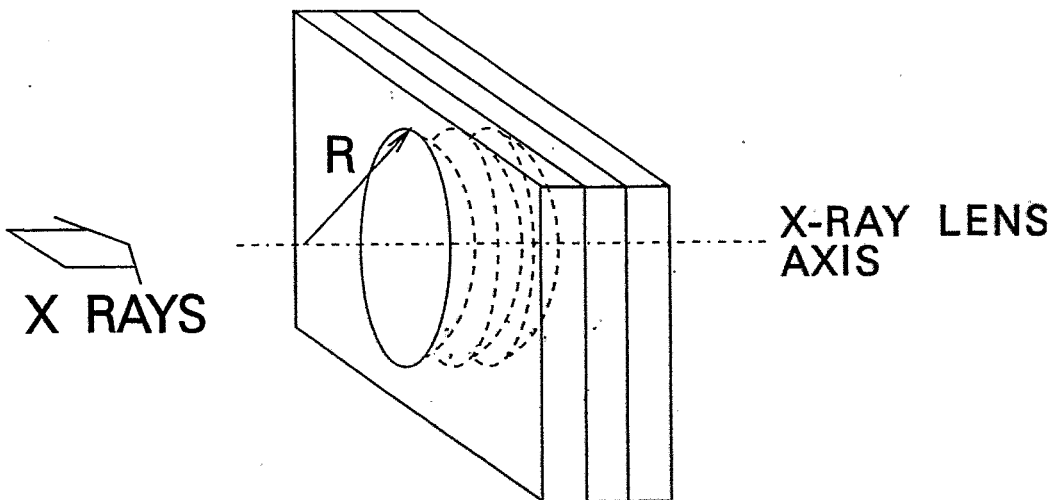
# Measure Image Distance and Image Spot Size for Lens

Photon Energy (keV)	Calculated Focal length [m]	Calculated Image Distance[m]	Measured Image Distance[m]	Measured Image Line Width [ $\mu\text{m}$ ]	Source Width from Image line width [ $\mu\text{m}$ ]
12	0.35	0.35	0.33	21	1070
15	0.55	0.56	0.58	25	720
18	0.79	0.82	0.79	30	640
19.5	0.92	0.97	0.84	32	644

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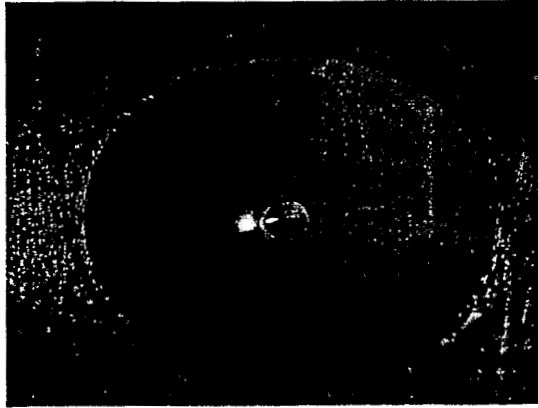
# Multilenses



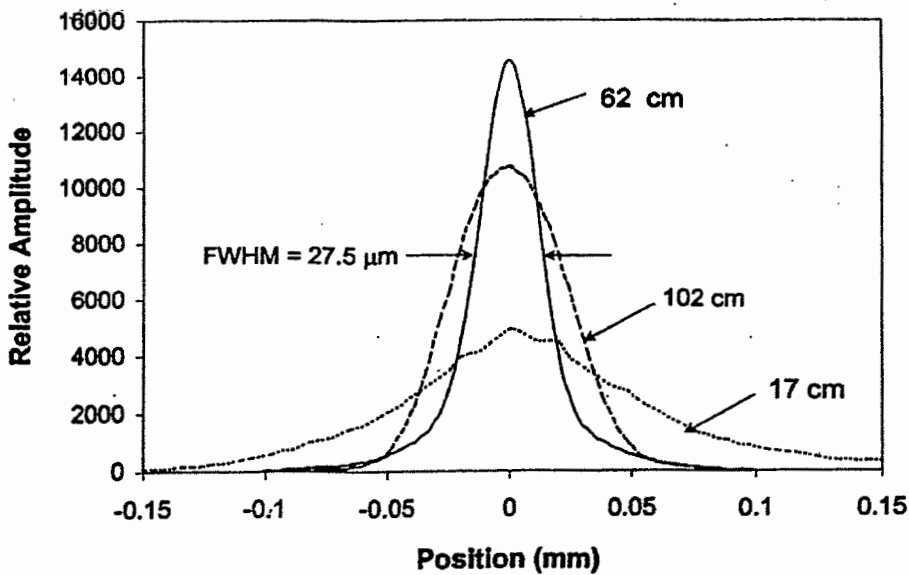
*Adelphi Technology, Inc.*

# Unit Lens

- ❖ 0.4 mm diameter
- ❖ Absorption aperture 180  $\mu\text{m}$
- ❖ 23  $\mu\text{m}$  thick
- ❖ 5  $\mu\text{m}$  minimum



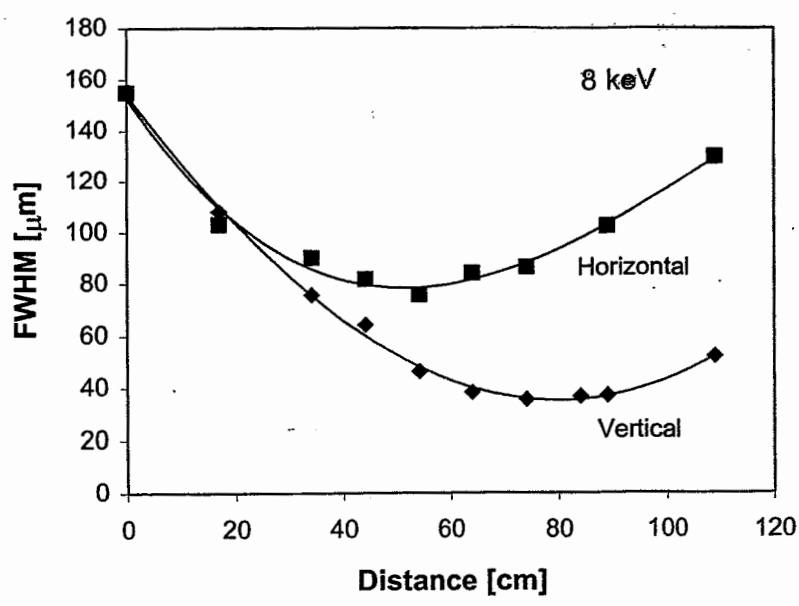
*Adelphi Technology, Inc.*



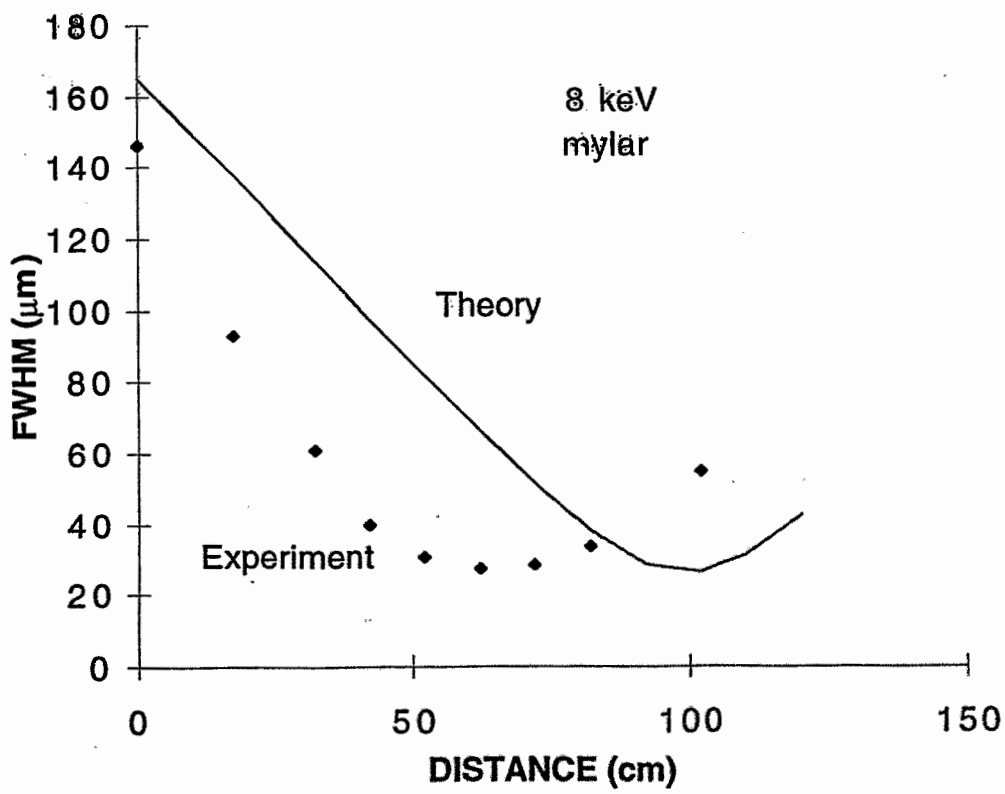




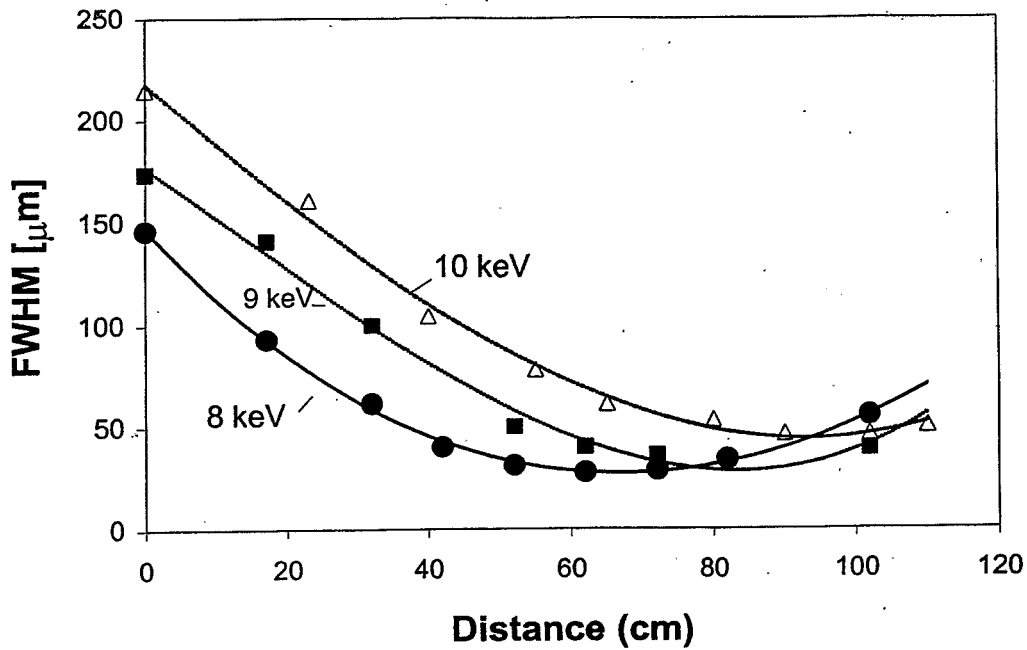
# Waist as a Function of Distance



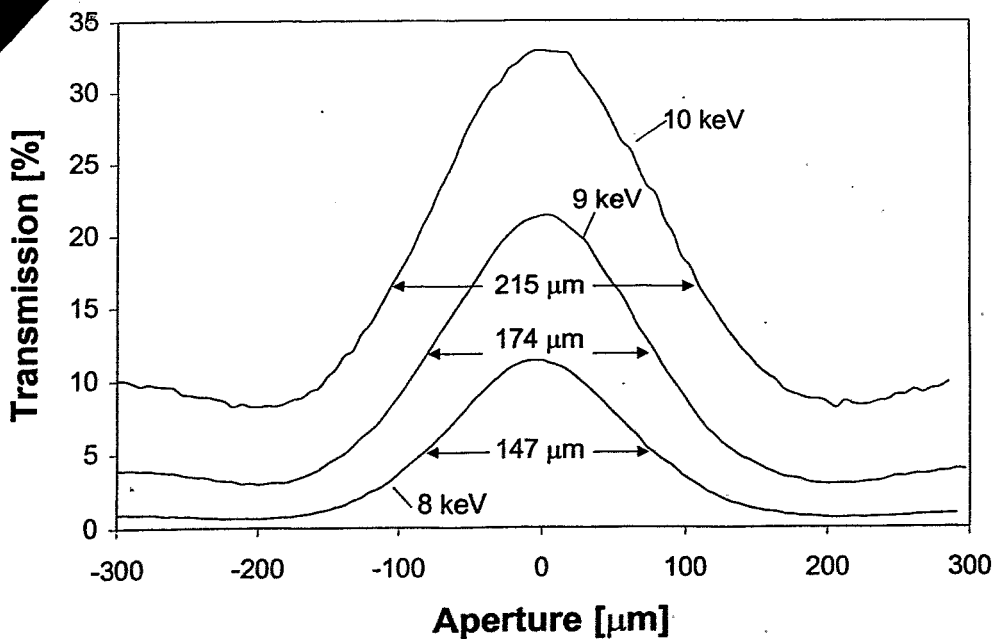
Adelphi Technology, Inc.



# Waist as a function of distance (Vertical)



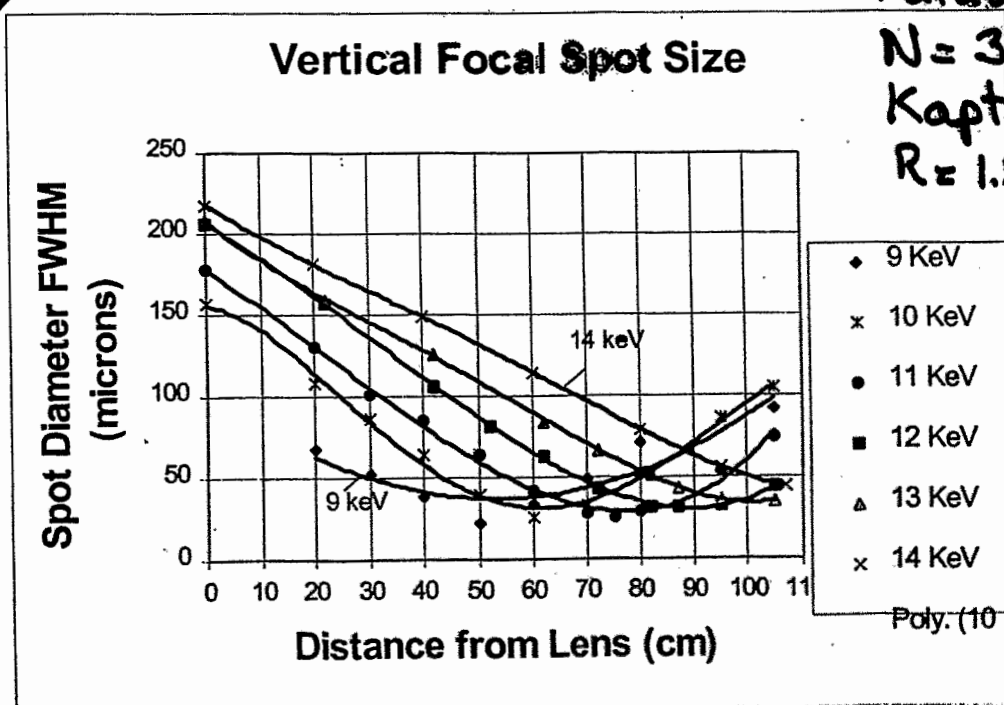
# Transmission through the 2-D Lens



## 2-d Gain for mylar lens

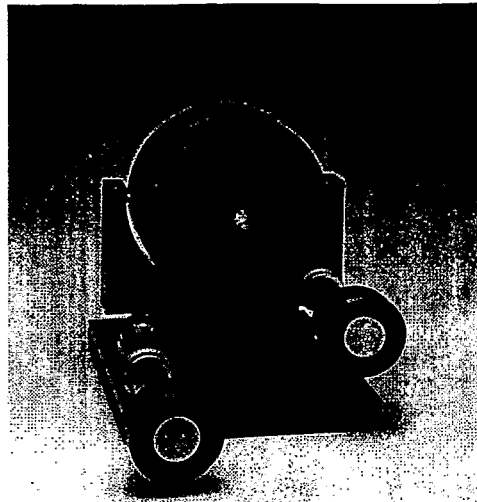
	<u>8 keV</u>	<u>10 keV</u>
Calculated	5.6	13.1
Measured	1.5	2.0

*Waist as a function of distance  
(Vertical)*



## *What Adelphi CRLs can give you!*

- ❖ Focal lengths below 1 meter
  - 20 cm has been done with 1-D
  - 60 cm has been done with 2-D
- ❖ Good transmission and gain at moderate photon energies!
- ❖ Expected apertures of 1 mm or larger!



*Adelphi Technology, Inc.*

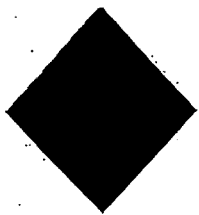
## *X-ray Lenses with Novel Sources (PXR, TR, CR, Combinations)*

- ❖ Only done experimental work with synchrotron radiation
- ❖ Should work well with novel sources
  - Source size can be small (size of electron beam)
  - Sources are collimated like synchrotron radiators
  - Near Field of TR source looks Gaussian

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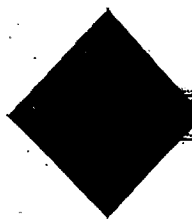
Cost  $\approx$  \$2000 - \$4000

6 KeV to 16 keV 2-D. lenses.



## *Benefits!*

- ❖ "In-line Optic"
- ❖ Works at Hard X-rays
- ❖ Works with Novel X-ray Sources
- ❖ Inexpensive
- ❖ Imaging Possible (Unlike Capillary Optic)



## *Compound Refractive Lens*

- ❖ Number of lenses 200
- ❖  $R = 1.6$  mm
- ❖ Mechanical Aperture = 0.4 mm
- ❖ Absorption Aperture = 0.31 mm  
at 8 keV
- ❖ Minimum Thickness = 5 microns
- ❖ Focal Length = 97 cm

*Adelphi Technology, Inc.*

*H. Genz:*

**Channeling radiation  
as a probe in the solidstate plasma accelerator  
regime**



# Channeling Radiation as a probe in the solid state plasma accelerator regime

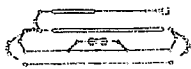
*Harald Genz*

*Institut für Kernphysik*

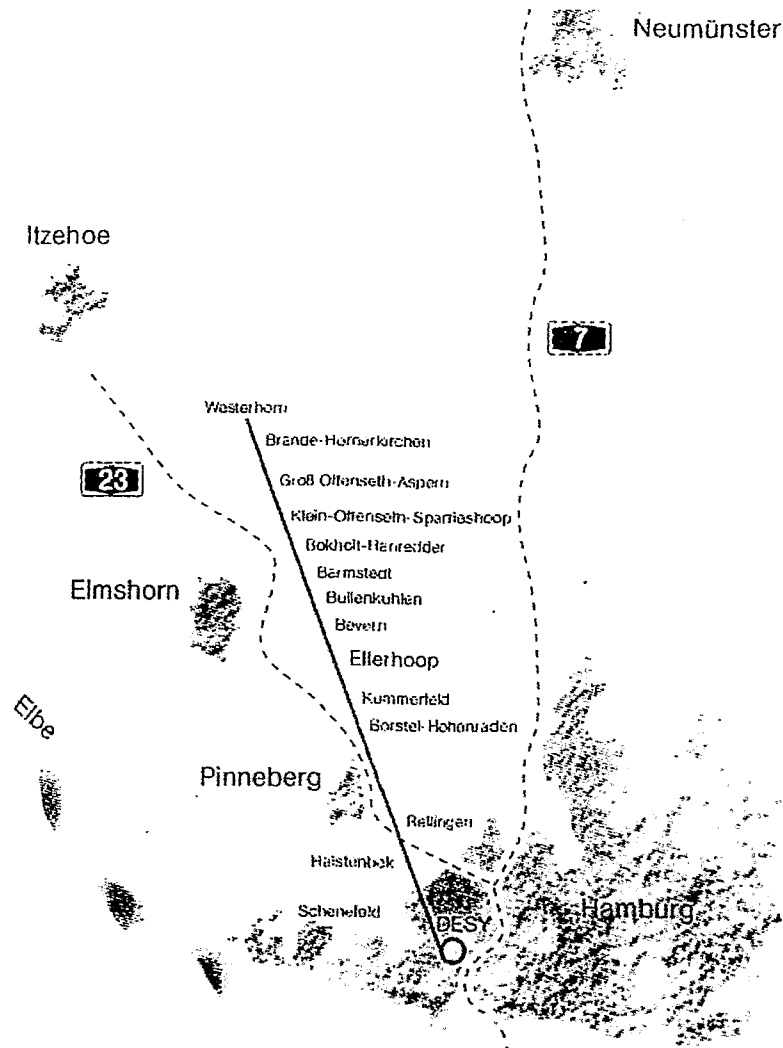
*Technische Universität Darmstadt*

- Motivation
- Channeling
- Experiment and first result

Rossendorf, February 24 - 26 2000; Workshop on X-rays from electron beams



S-DALINAC





# Progress in Energy

High energy physics: 12 orders of magnitude

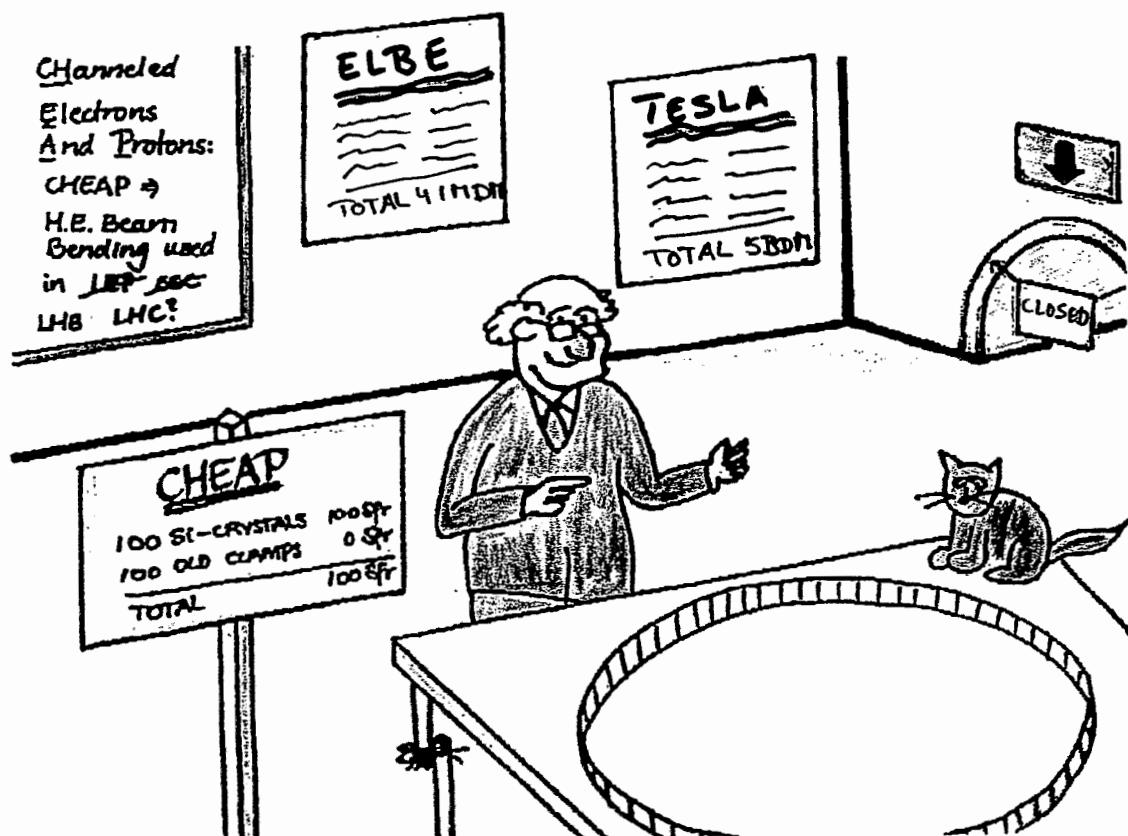
- Limit of electromagnetic acceleration: 35 MeV/m
- Large dimensions TESLA

R. Hofstadter (1968):

*"To anyone who has carried out experiments with a large modern accelerator there always comes a moment when he wishes that a powerful spatial compression of his equipment could take place. If only the very large and massive pieces could fit in a small room"*

---

S-DALINAC



## Importance of plasma acceleration

- Considerably larger gradients in plasma

$$eE_{\max} = 0,97 (n_0)^{1/2} \text{ eV/cm}$$

**Gaseous plasma:            1 GeV/cm**

**Solid state plasma:        100 GeV/cm**



## Gaseous plasma

- Acceleration of electrons
- Laser induced plasma wave

**'95: proof of principle**

**'99: short distances  
moderate acceleration**



# Solid state plasma acceleration

Chen, Noble:

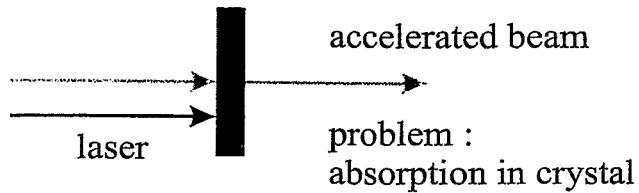
- Make use of plasma in solid state
- Plasma electron beam induced
- Channeling to guide the particles through the crystal
- Channeling process as cooler



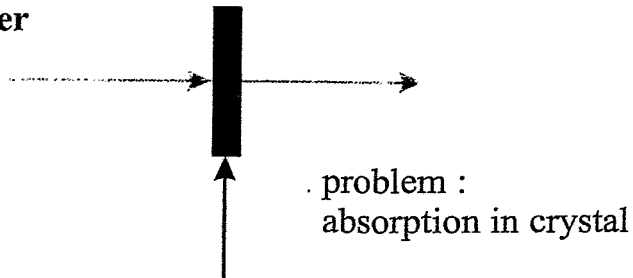
S-DALINAC

## Types of accelerators

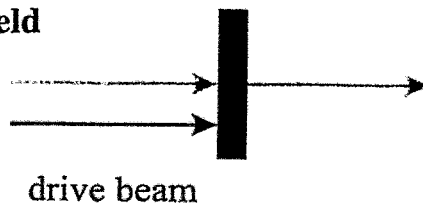
laser wakefield



side injected laser

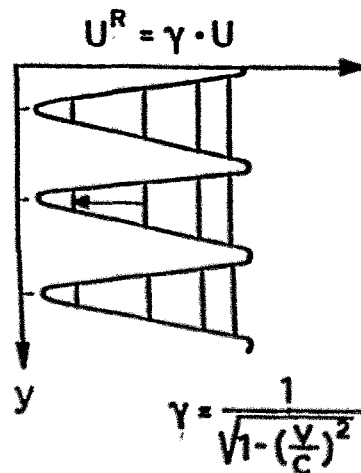
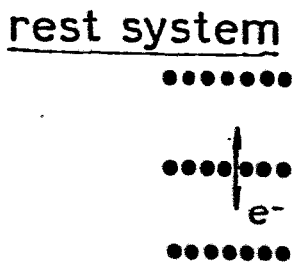
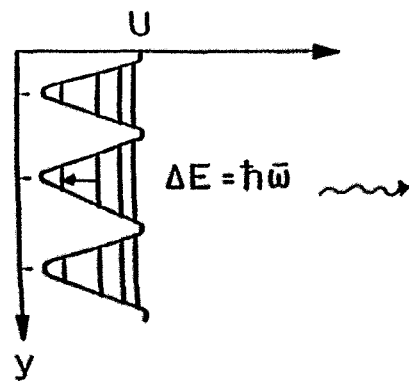
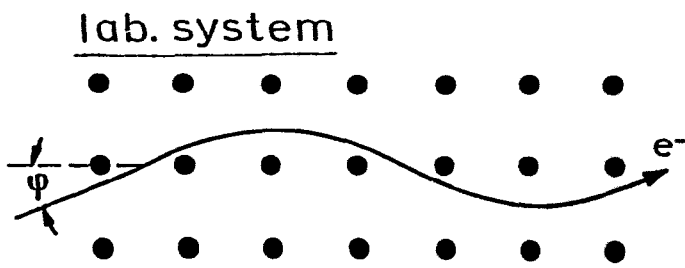


particle wake field



## Open questions:

- Can the electron couple to the field?
- Do the crystals stand the field?

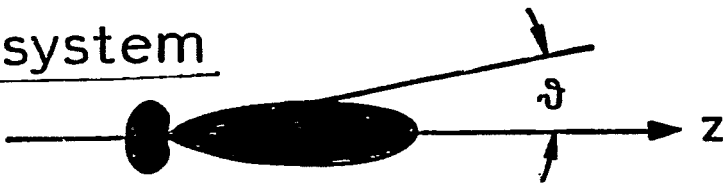


rest system

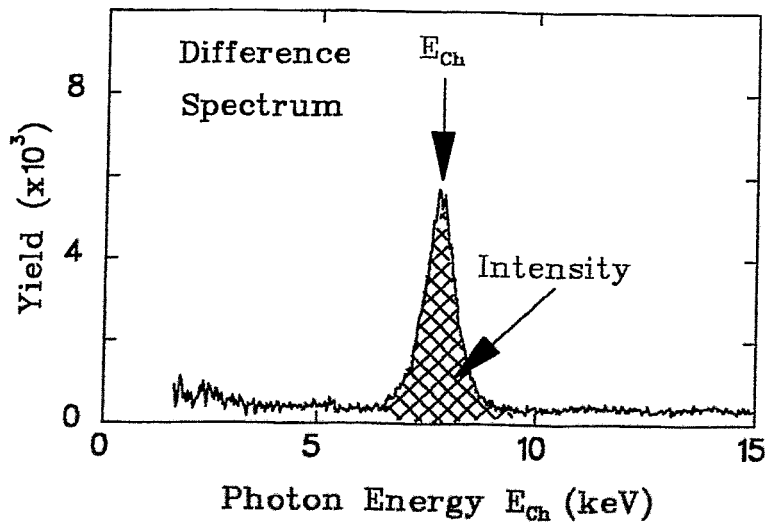
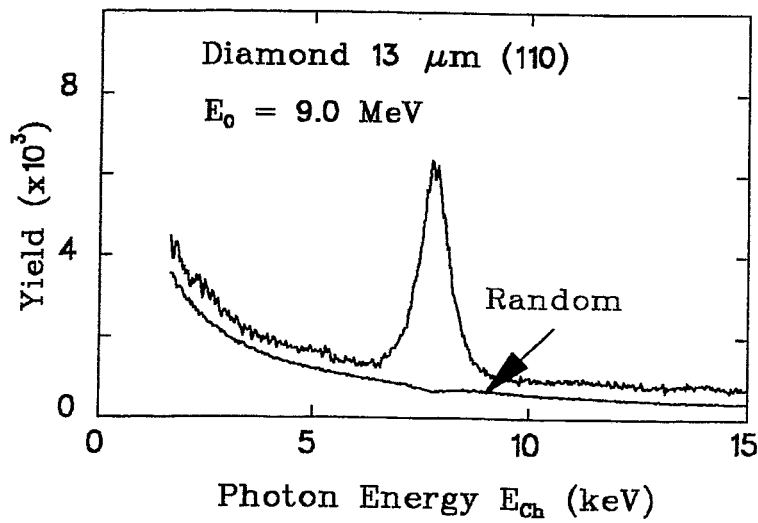


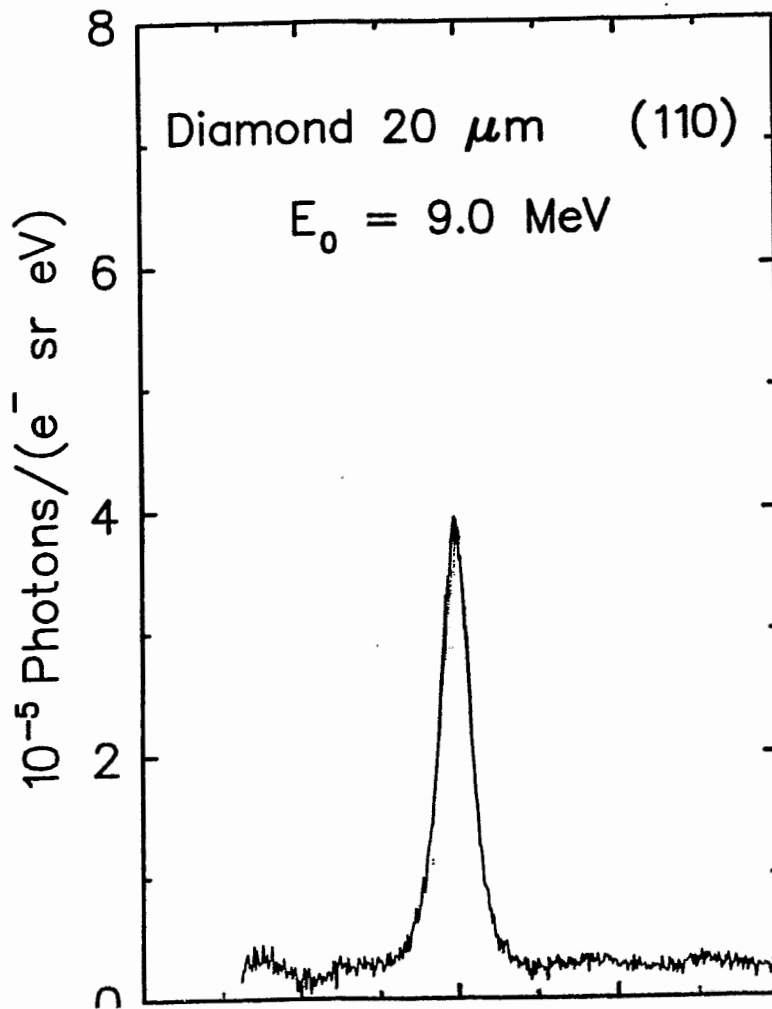
$$\hbar\omega^R = \gamma \hbar\bar{\omega}$$

lab. system



$$E_{\text{ch}} = \hbar\omega = 2\gamma^2 \hbar\bar{\omega}$$

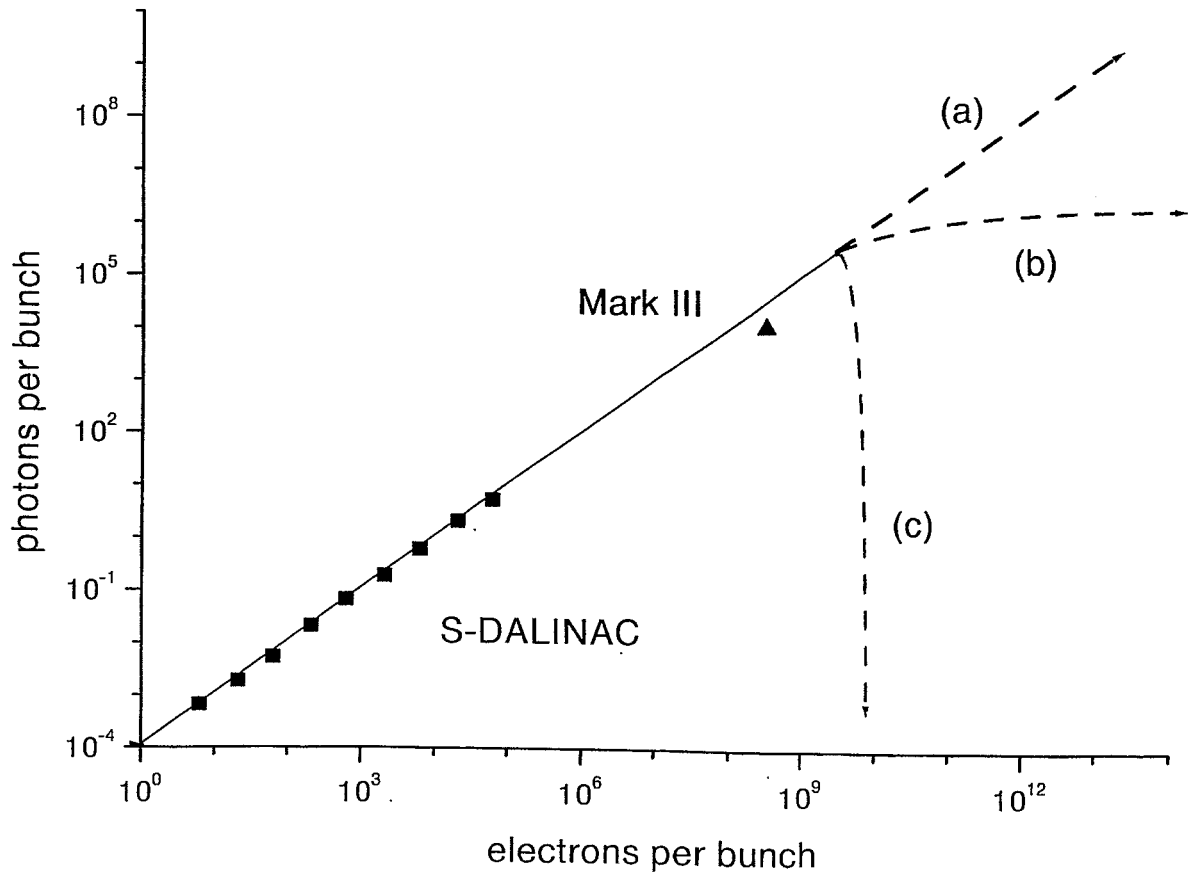




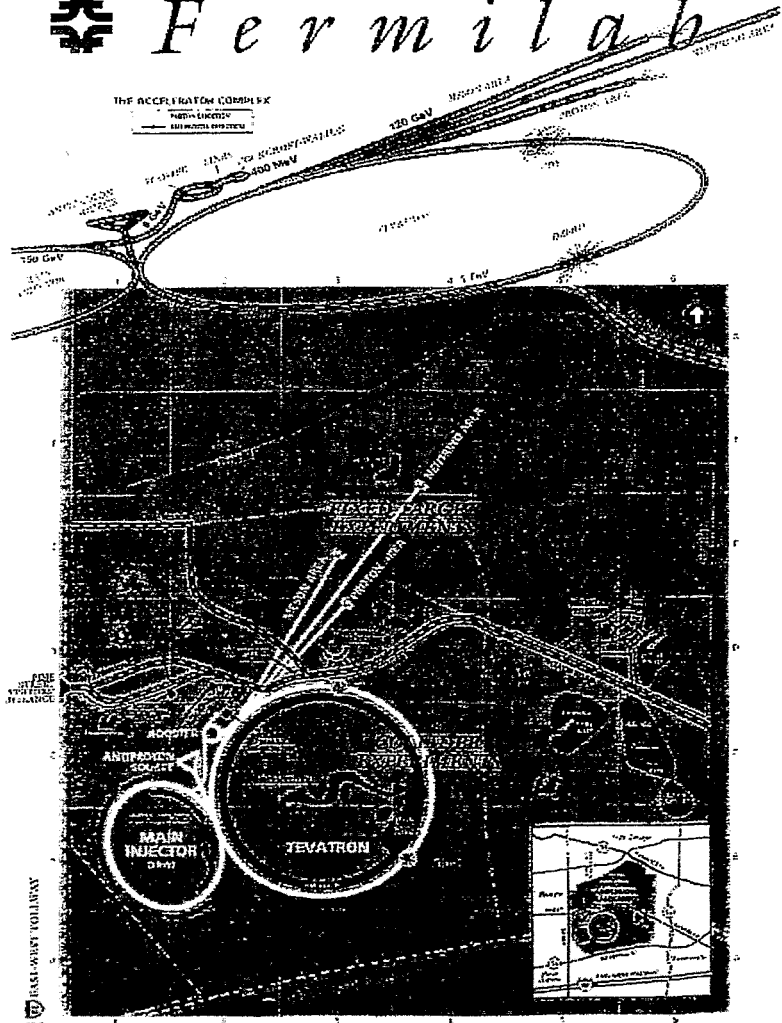
### Photon counting

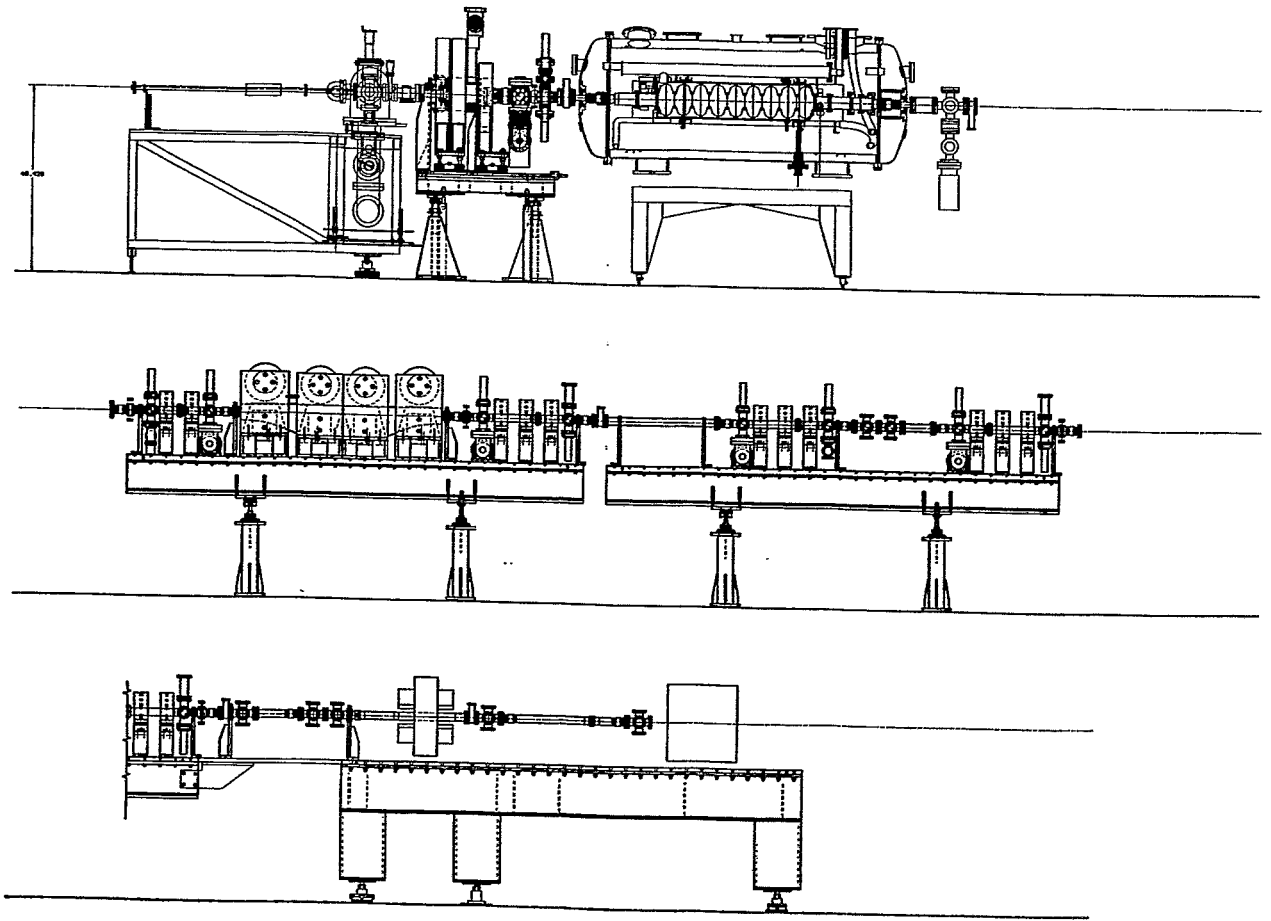
- 10 nC/puls x 500 pulses/macrobunch x 1/500  $\mu\text{s}$  = 10 mA average
- photon flux 3.1 10<sup>9</sup> photons/s sr
- peak photon flux 4.3 10<sup>20</sup> photons/sr (in 10 ps)
- photon energy 15 - 25 keV

photon flux 10<sup>5</sup> higher than at S-DALINAC

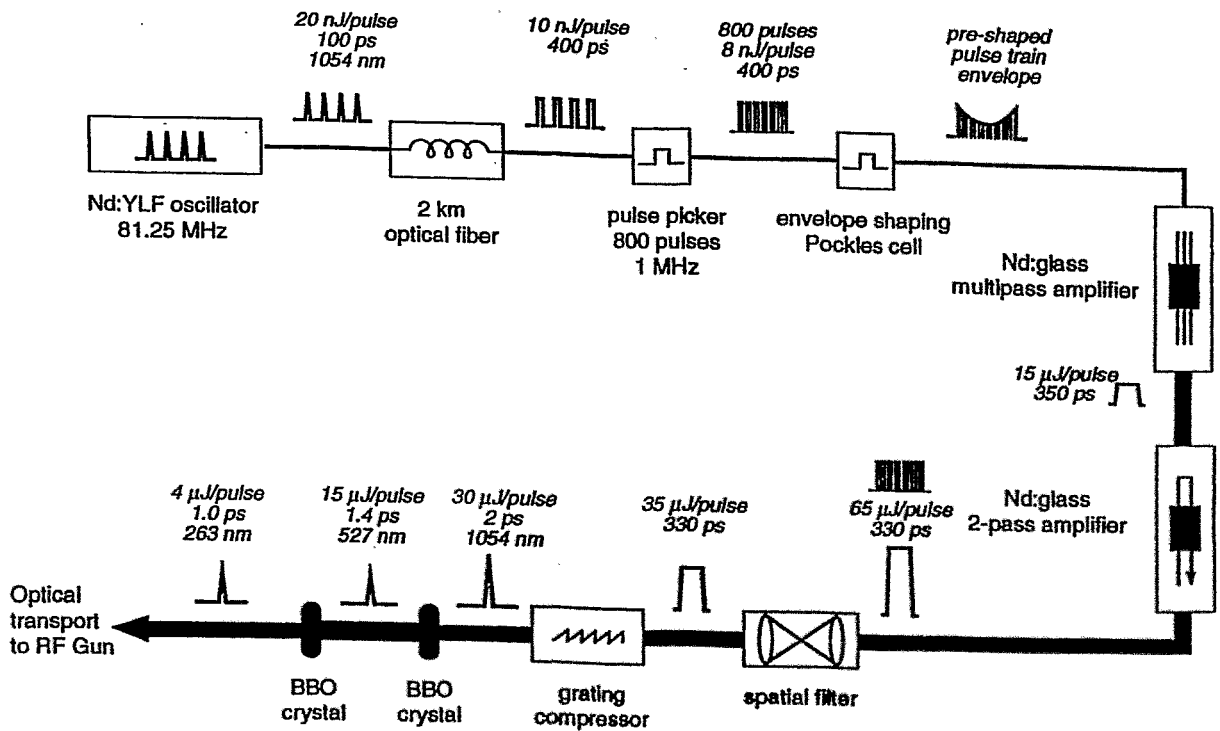


*Fermilab*





### Block Diagram of Laser System





## A0 photo injector

**Injector:** 1.625-cell rf Gun; 1.3 GHz, electric field up to 50 MV/m

**Cs<sub>2</sub>Te photo cathode**

**Superconducting 9-cell Nb structure, 1.3 GHz, 11 MeV/m**

**Magnetic chicane**

**four dipole magnets pulse compression by a factor 2-3**

**Laser:** Nd:YLF; 4<sup>th</sup> harmonic

**263 nm, 1 - 20 ps FWHM, 10 μJ pulse, 1 μs at 1 Hz**



S-DALINAC

### Strahleigenschaften

- *normalized transverse RMS emittance :*

$$\varepsilon_{n,y} = \beta\gamma (\langle y^2 \rangle \langle (y')^2 \rangle - \langle yy' \rangle^2)^{1/2} = \underline{5,5 \pm 0,8 \text{ mm mrad}}$$

- *bunch length :*

$$\sigma_t = \underline{7,1 \pm 0,2 \text{ ps}}$$

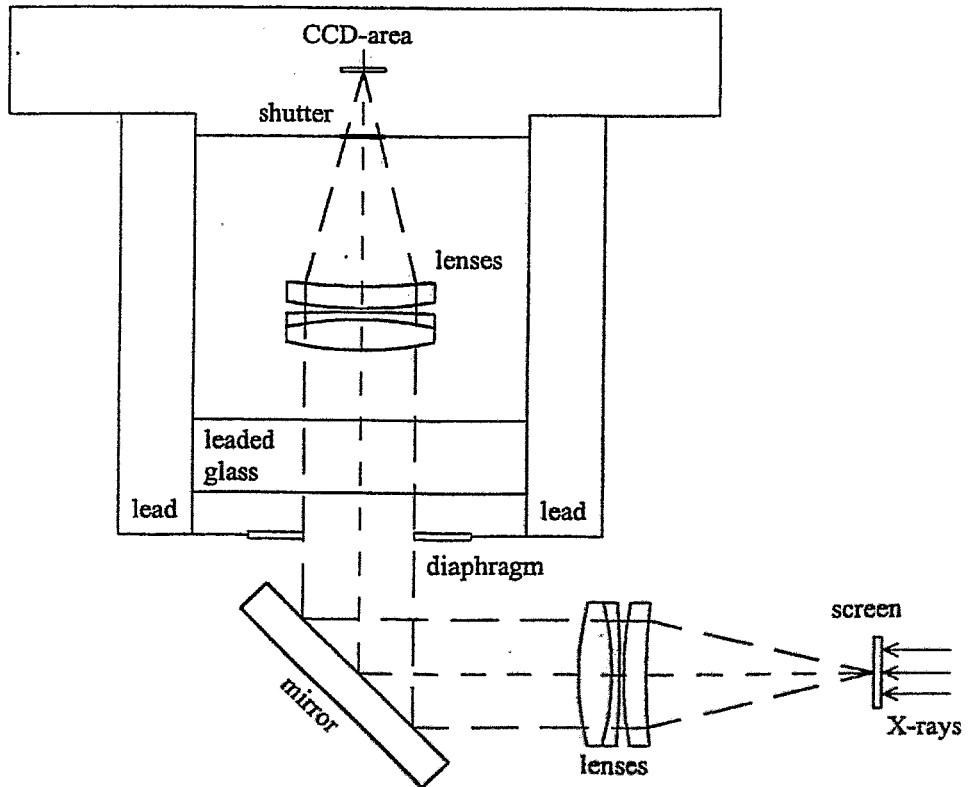
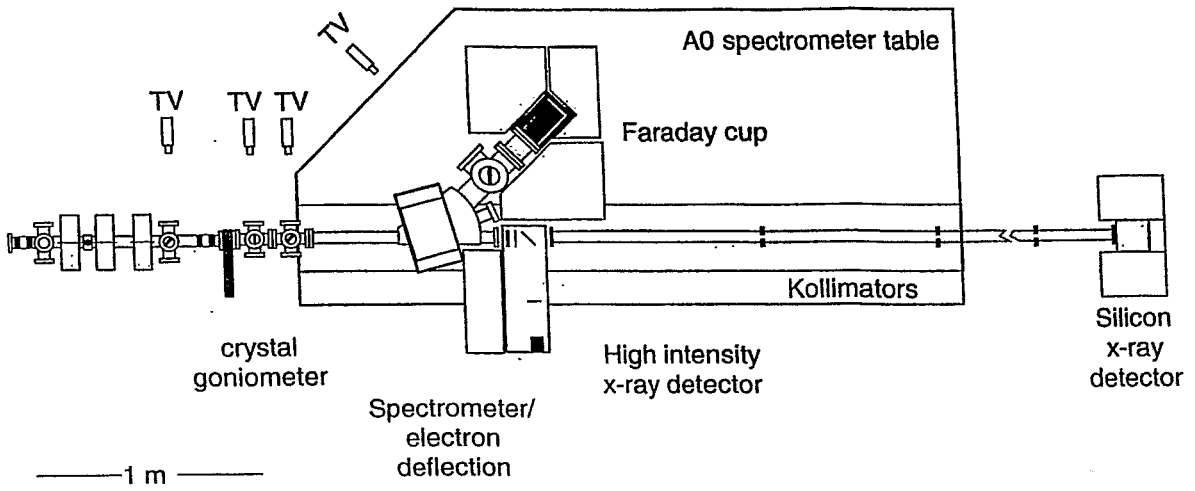
- *charge :*

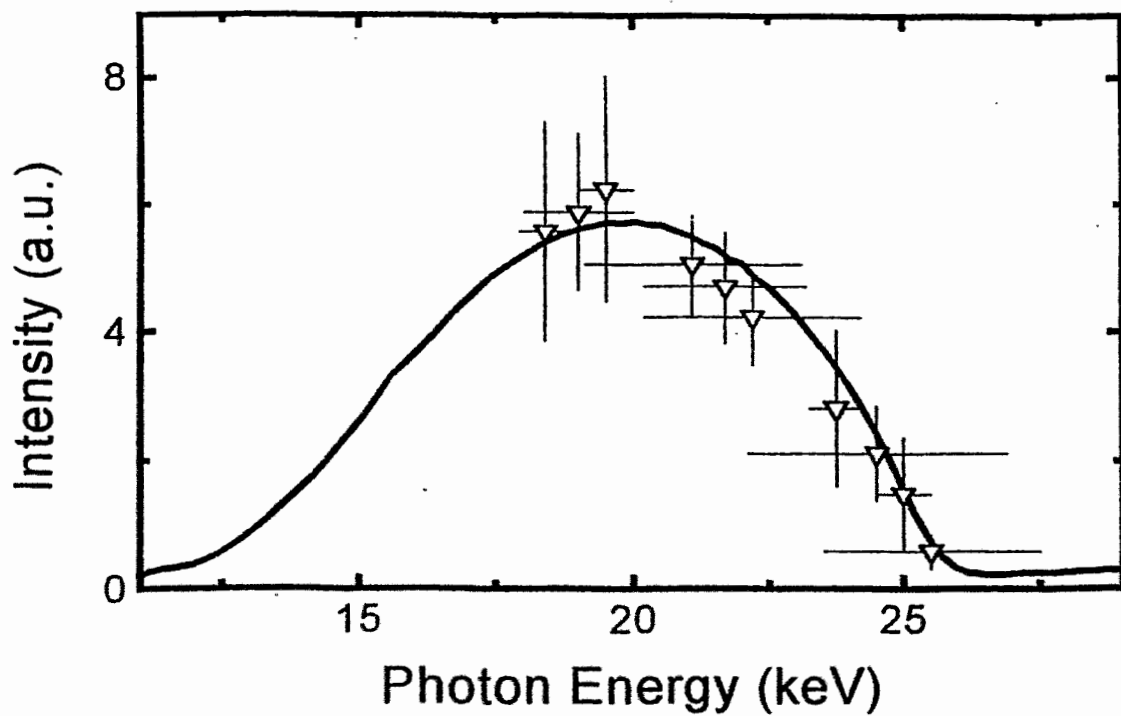
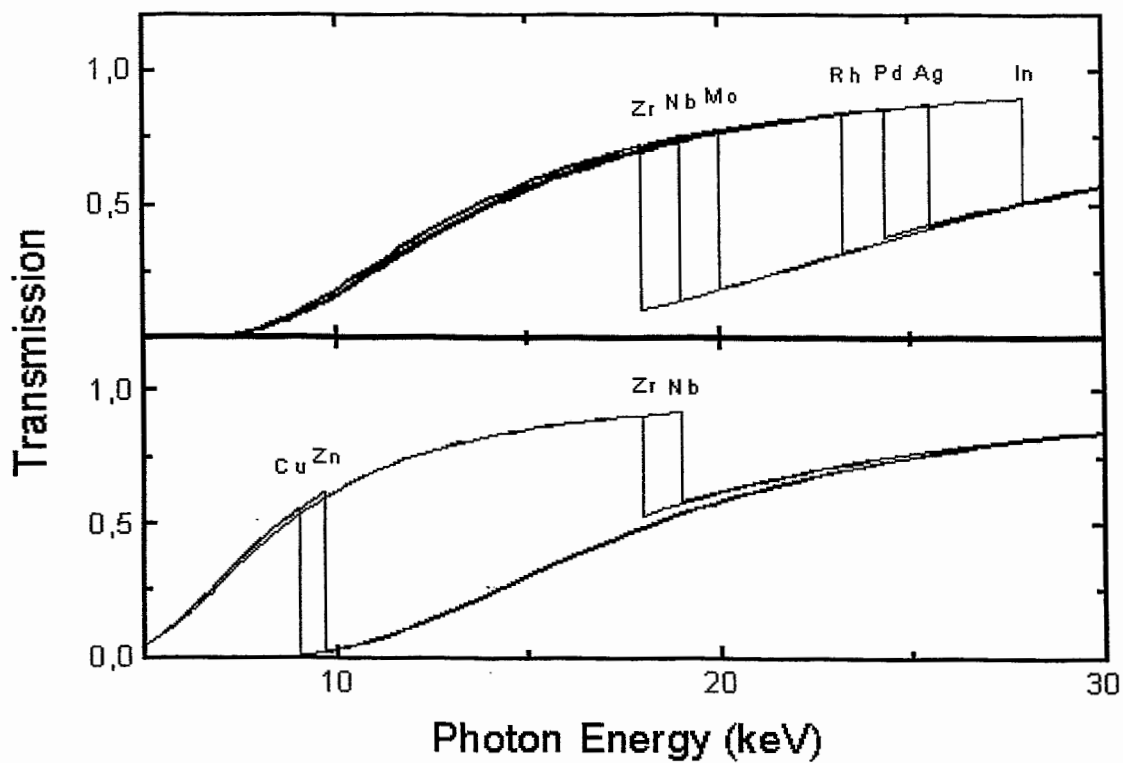
$$\underline{q = 1 \text{ nC} - 18 \text{ nC}}$$

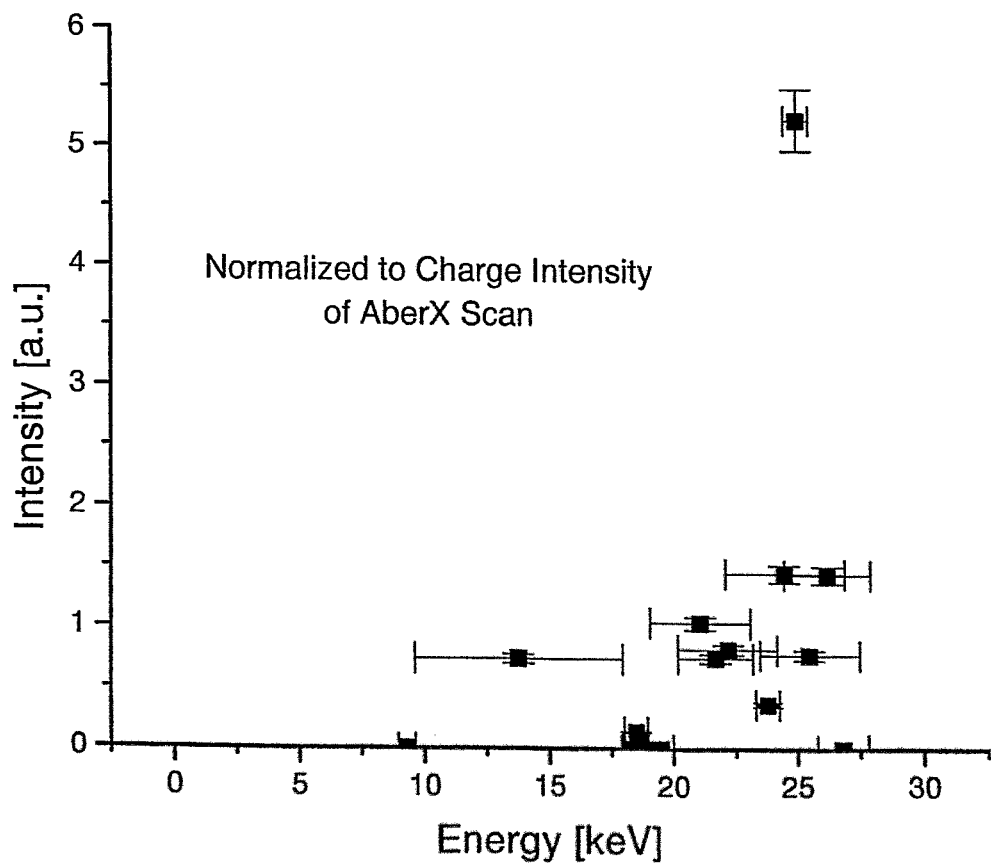
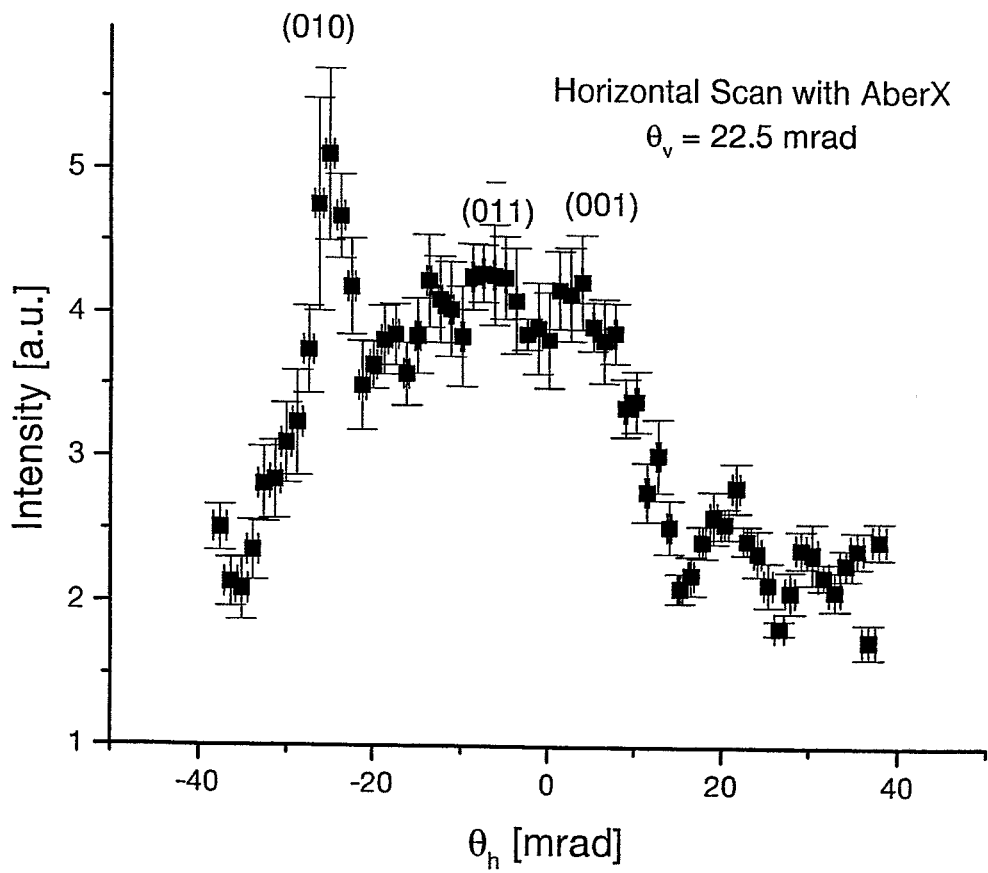
- *charge density :*

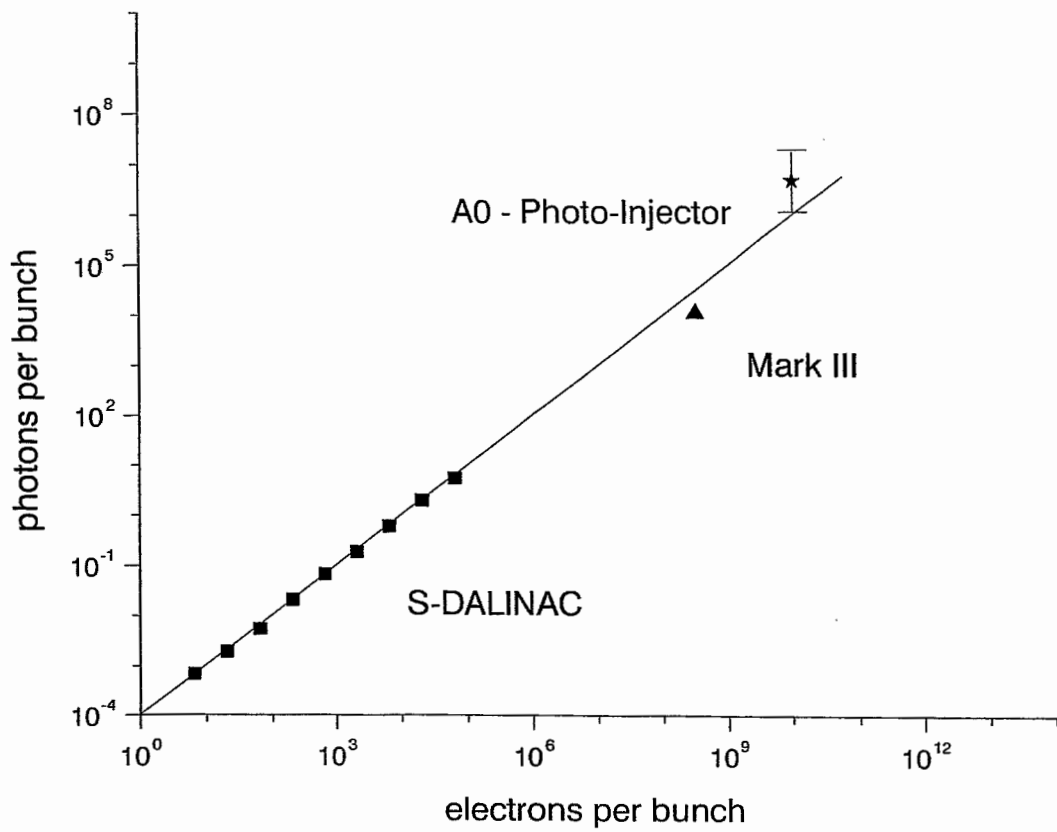
$$\rho_{\text{bunch}} = \underline{3 \times 10^{15} \text{ electrons / cc}} \quad (1 \text{ nC})$$

# Darmstadt-Fermilab experiment

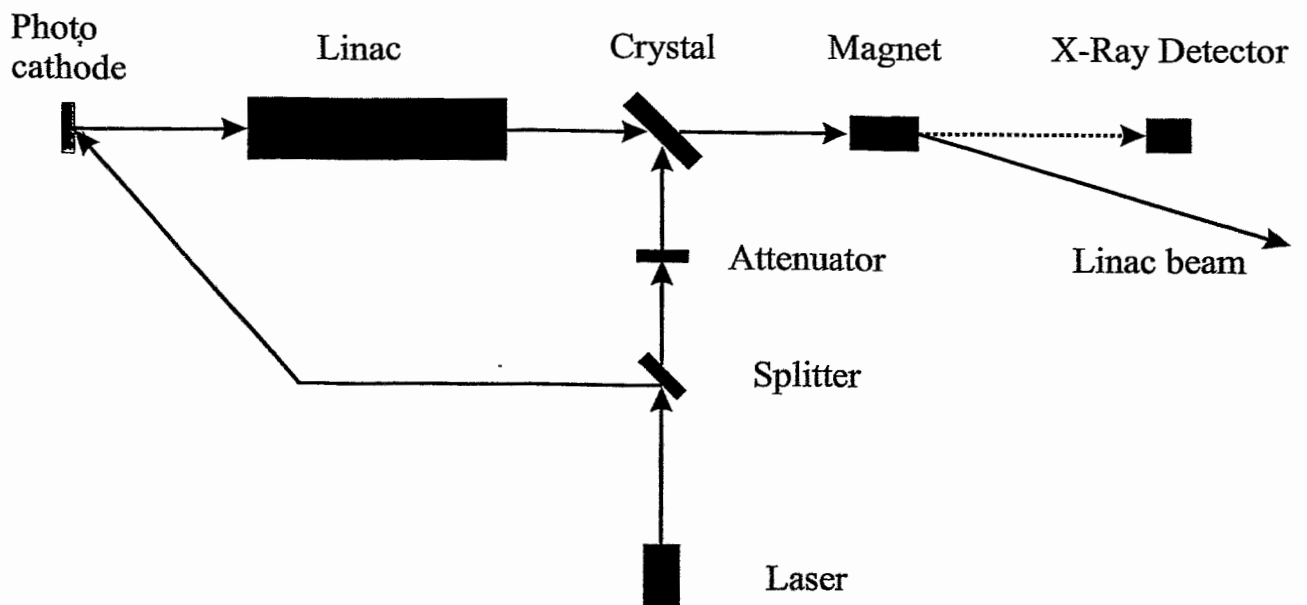








### A channeling radiation experiment



## **The Darmstadt-Fermilab-A0-team**

### ***Fermilab***

**R.A. Carrigan, Jr., J.-P. Carneiro, P.L. Colestock, H.T. Edwards, W.H. Hartung and K.P. Koepke**

### ***Rochester***

**M. J. Fitch**

### ***UCLA***

**N. Barov**

### ***Darmstadt***

**J. Freudenberger, S. Fritzler, H.G., A. Richter and A. Zilges**

### ***Johannesburg***

**J.P.F. Sellschop**

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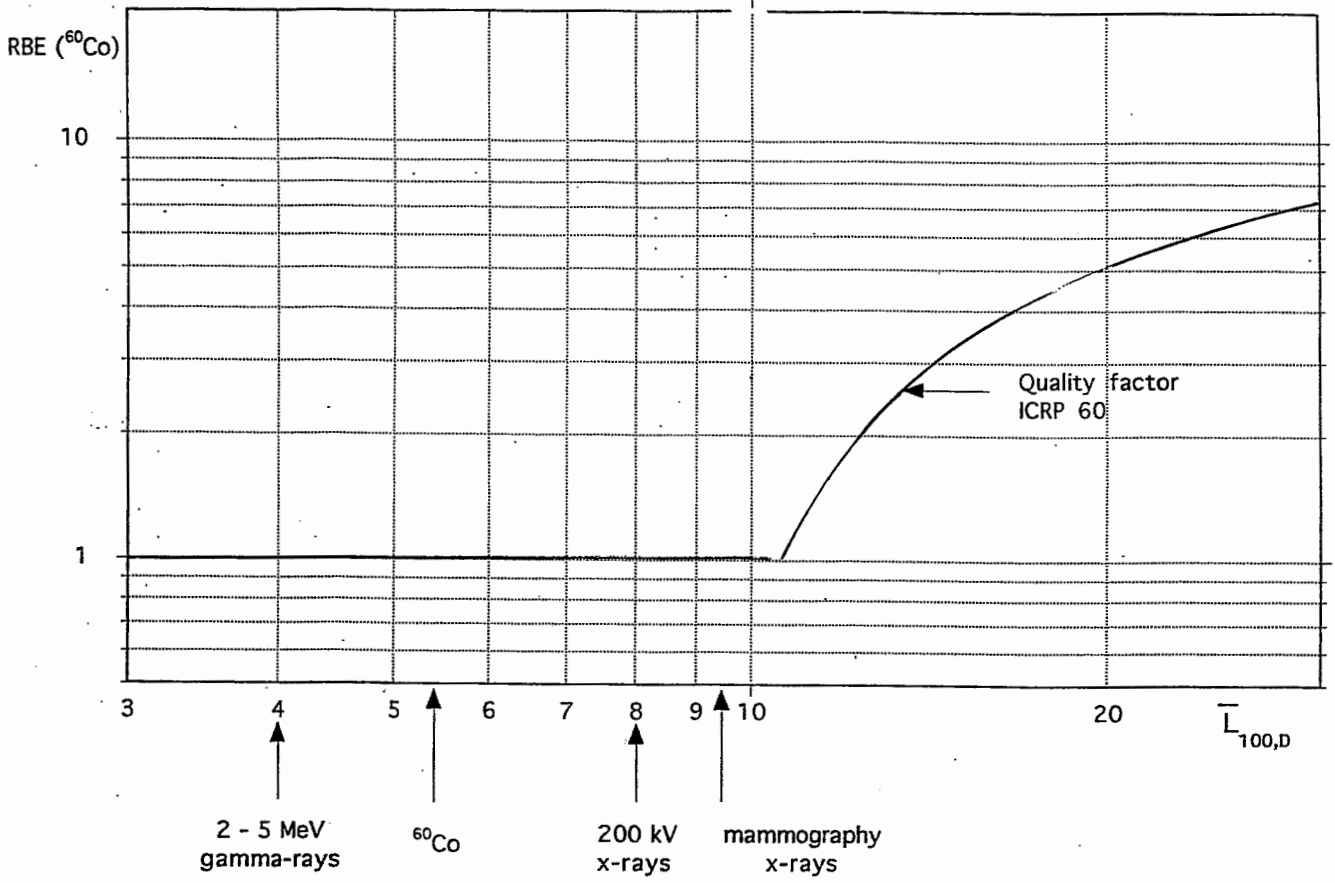
S-DALINAC

# Biomedical Applications of Quasi-Monochromatic X-Rays

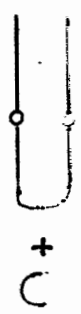
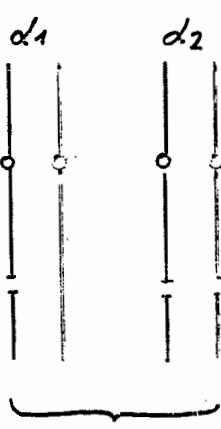
*D. Frankenberg:*

**Relative biological effectiveness of X-rays  
for mammography**

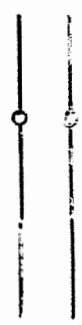
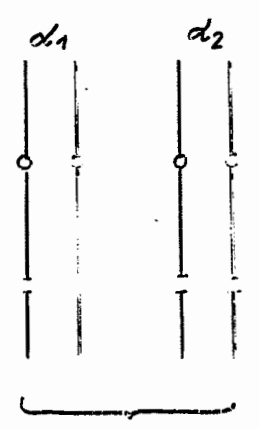




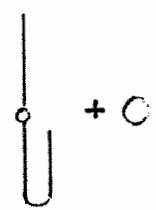
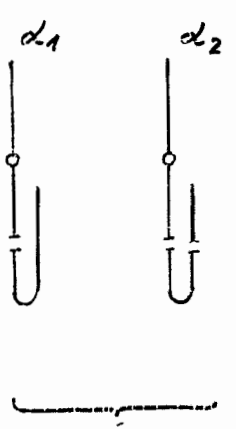
*dicentric*



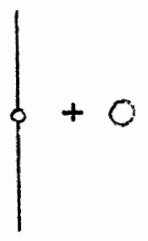
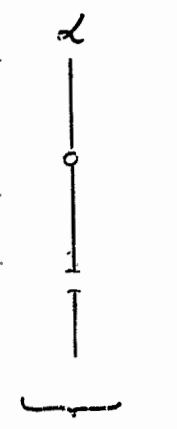
*translocation*



*interstitial deletion*



*deletion*



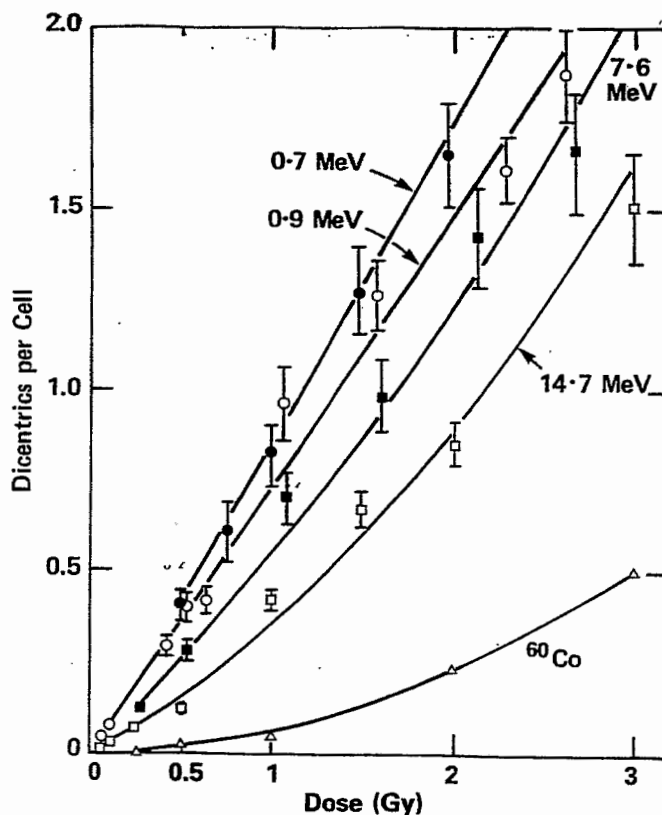


Fig. 2.7 Comparisons of dicentric induced in human lymphocytes exposed to <sup>60</sup>Co gamma radiation versus neutrons of four different energies (neutron spectra are mean energies for 0.7, 0.9 MeV). (Adapted from Lloyd *et al.*, 1976.)

$$Y = \alpha \cdot D + \beta D^2$$

Yield of exchange aberrations:

$$y = \alpha \times D + \beta \times D^2$$

Values of  $\alpha$  for <sup>60</sup>Co gamma rays (reference)

(12 values in the literature)

$$\bar{\alpha} = (2.26 \pm 1.6)10^{-2}Gy^{-1}$$

$$\text{Range: } (0.33 - 5.5)10^{-2}Gy^{-1}$$

Values of  $\alpha$  for x-rays (180 - 250 kVp)

(16 values in the literature)

$$\bar{\alpha} = (5.07 \pm 1.93)10^{-2}Gy^{-1}$$

$$\text{Range: } (2.38 - 9.50)10^{-2}Gy^{-1}$$

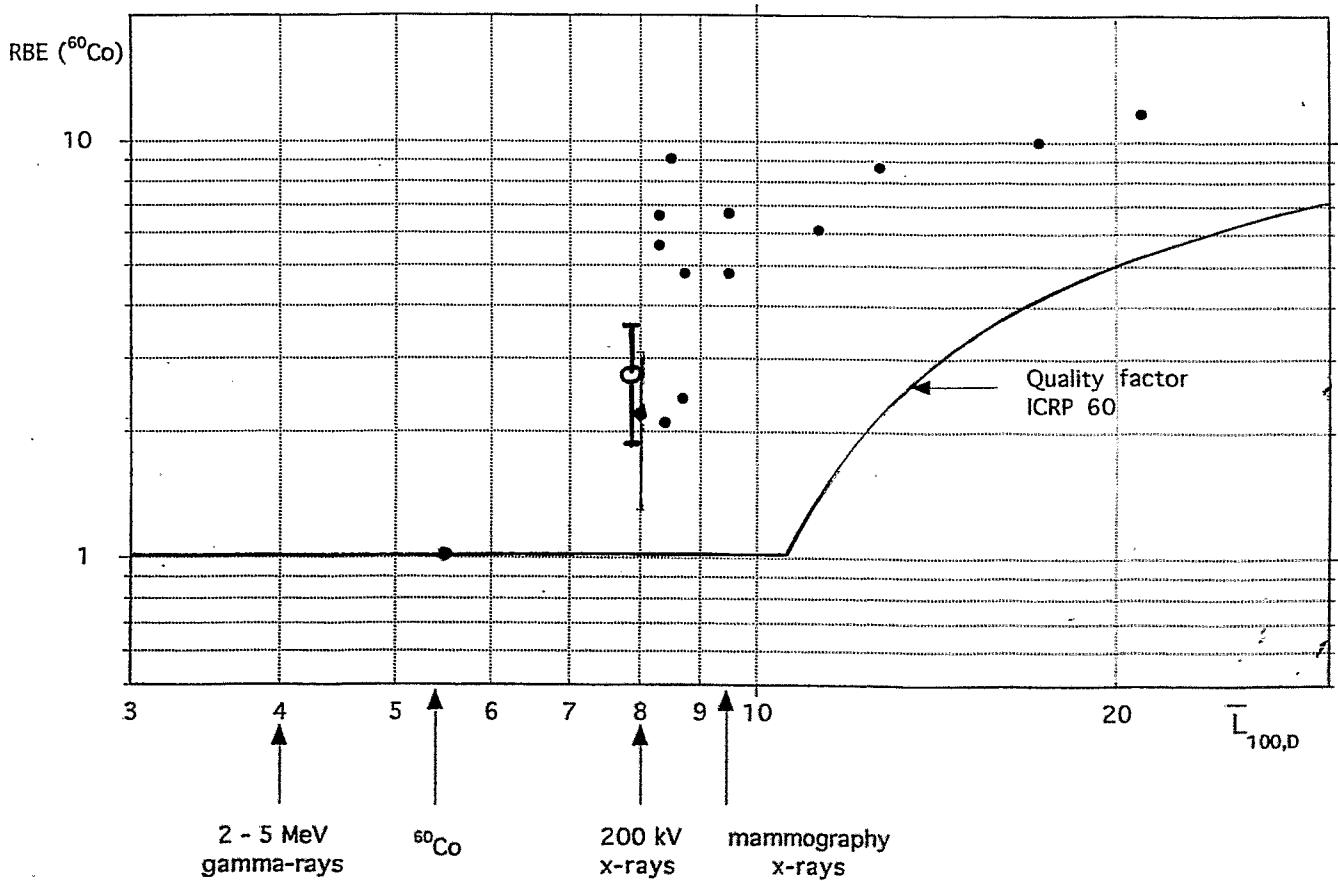
$$RBE_{60Co} = 2.26 \pm 2.5$$

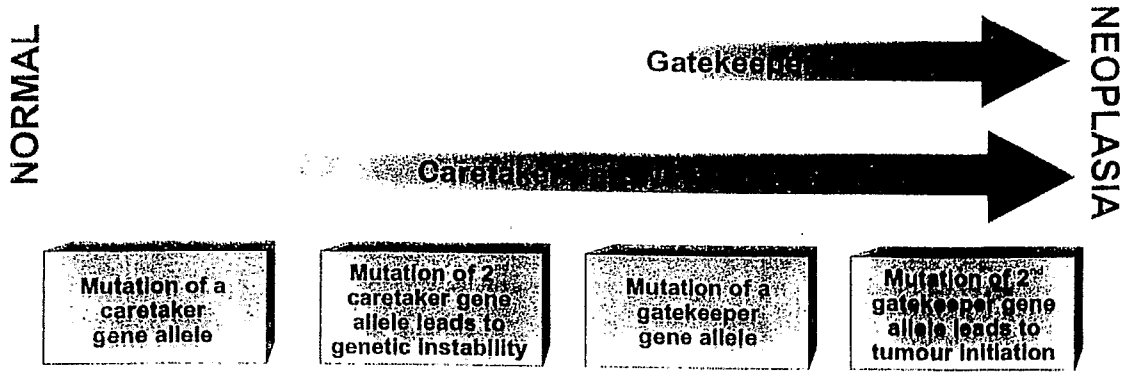
TABLE 2.8—Low Dose RBE<sub>M</sub> for x rays versus gamma rays for dicentric in human lymphocytes<sup>a</sup>

Radiation	Dose Range (Gy) Rate (Gy min <sup>-1</sup> )	$\alpha \pm \text{S.E.}$ ( $\times 10^{-2} \text{ Gy}^{-1}$ )	Limiting RBE ( $\alpha_x/\alpha_\gamma$ )	Reference
220 kVp X rays	0.5–4 (0.5 Gy min <sup>-1</sup> )	4.04 $\pm$ 0.3	3.8	Bauchinger (1984)
<sup>60</sup> Co $\gamma$ rays	0.5–4 (0.5 Gy min <sup>-1</sup> )	1.07 $\pm$ 0.41		
250 kVp X rays	0.05–2 (1.0 Gy min <sup>-1</sup> )	4.37 $\pm$ 0.99	1.5	Fabry <i>et al.</i> (1985)
<sup>60</sup> Co $\gamma$ rays	0.05–2 (acute)	2.97 $\pm$ 0.80		
250 kVp X rays	0.05–6 (1.0 Gy min <sup>-1</sup> )	3.64 $\pm$ 0.53	2.6	Lloyd (1986a)
<sup>60</sup> Co $\gamma$ rays	0.05–6 (0.5 Gy min <sup>-1</sup> )	1.42 $\pm$ 0.44		
220 kVp X rays	0.25–3.75 (0.5 Gy min <sup>-1</sup> )	4.34 $\pm$ 0.81	2.8	Littlefield <i>et al.</i> (1989)
<sup>60</sup> Co $\gamma$ rays	0.25–4 (0.5 Gy min <sup>-1</sup> )	1.57 $\pm$ 0.66		

<sup>a</sup>Data from scoring first division metaphases.

$$\overline{\text{RBE}} = 2.7 \pm 0.9$$

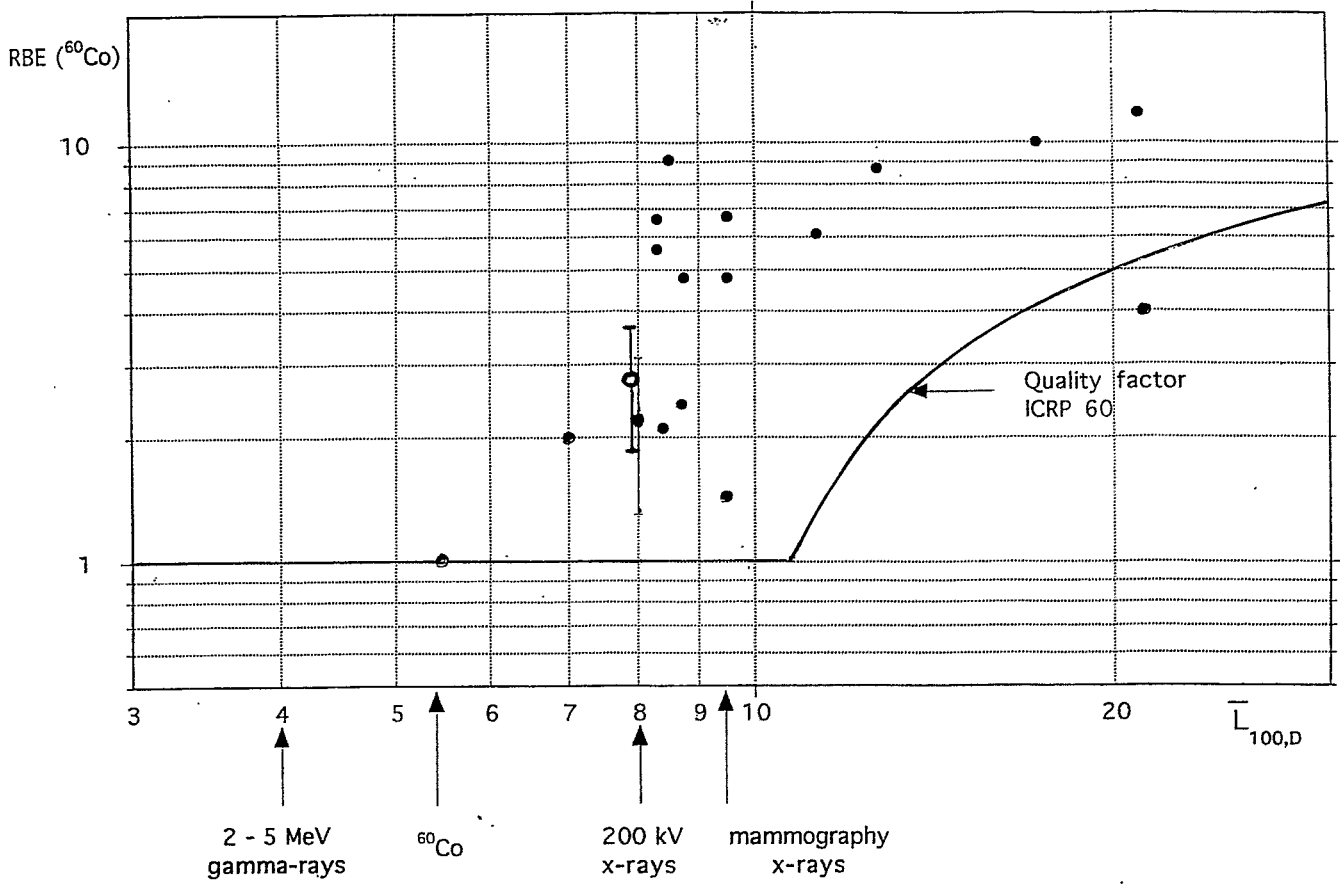




*KINZLER & VOGELSTEIN*

Cell systems available to investigate radiation -induced neoplastic transformation

- SHE (embryonic Syrian hamster cells)
- BALB/3T3 (embryonic mouse cells)
- C3H10T1/2 (embryonic mouse cells)
  
- CGL1 (hybrid HeLa x human skin fibroblast)



Relative photon number

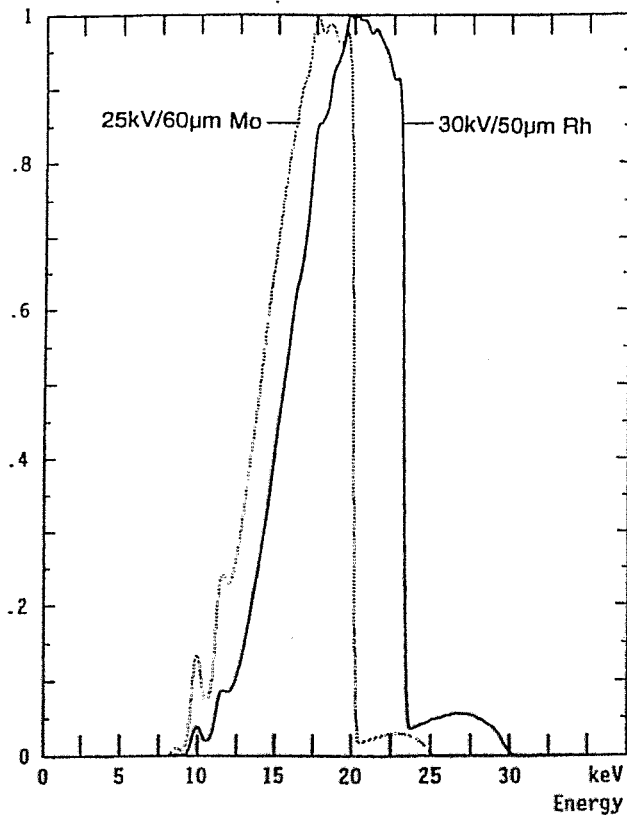


Figure 3. Molybdenum-filtered and rhodium-filtered x-ray spectra produced with a tungsten anode at 25 kV and 30 kV, respectively.

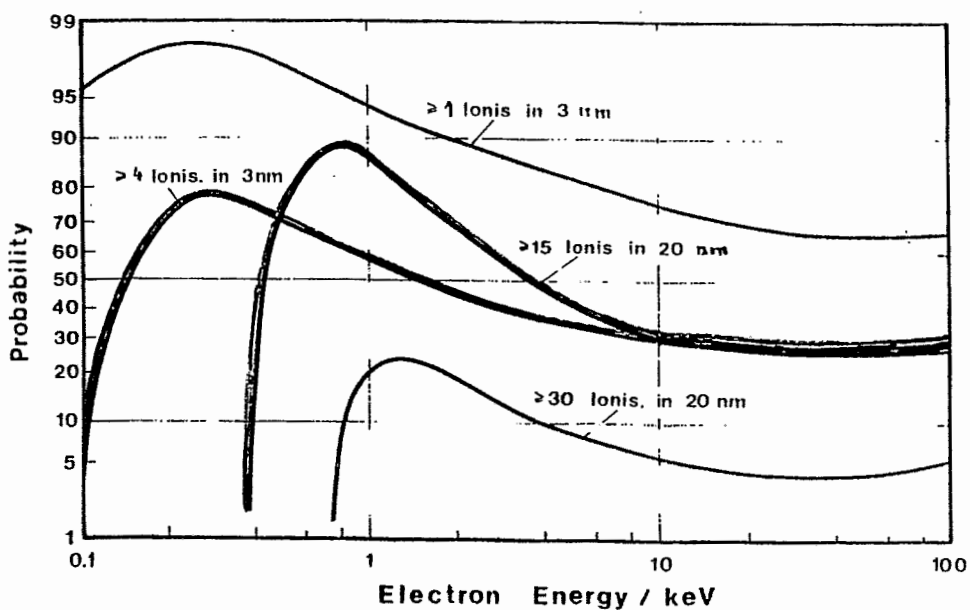
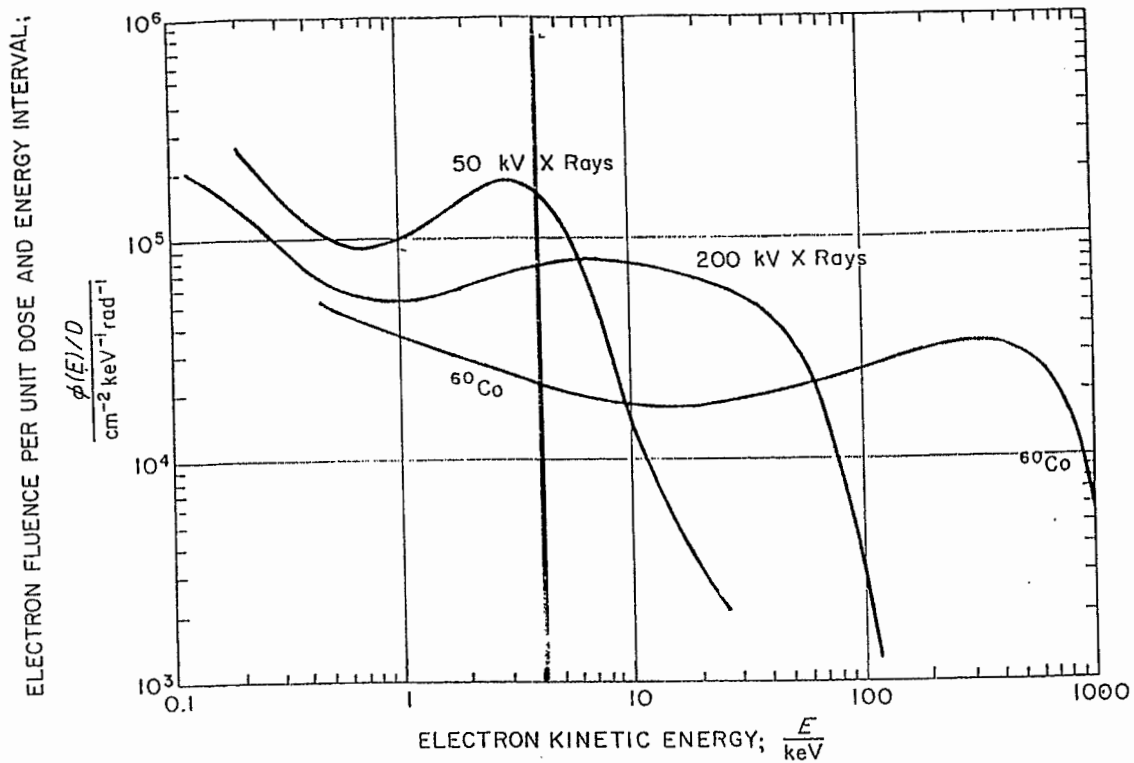
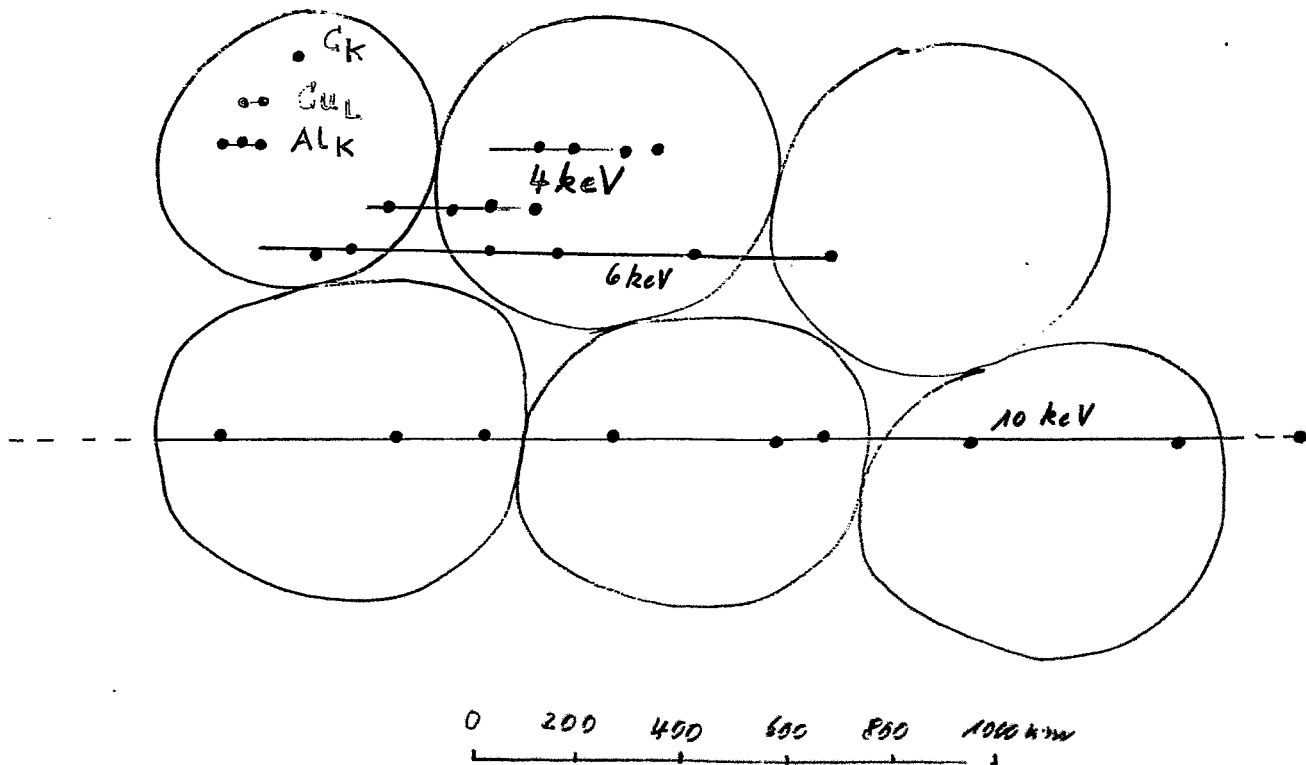
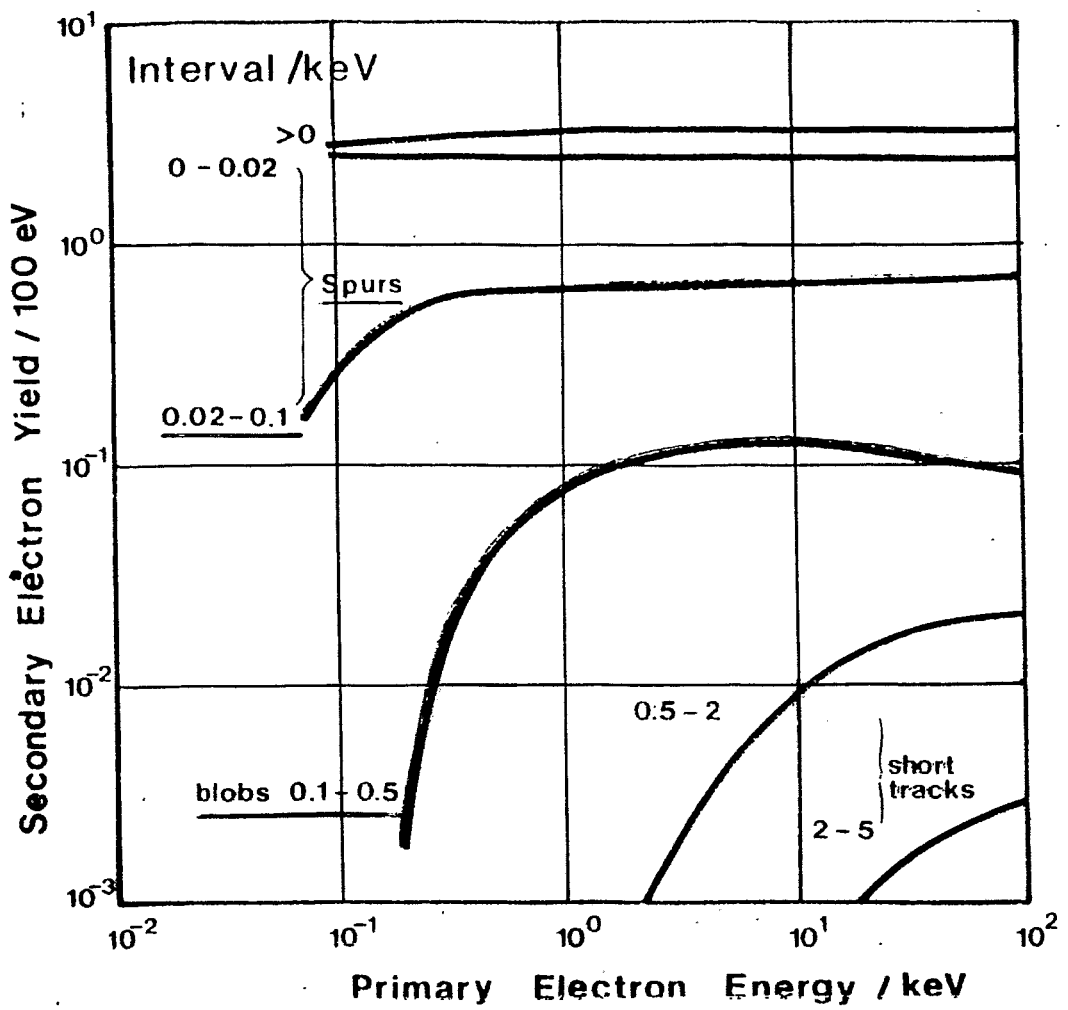
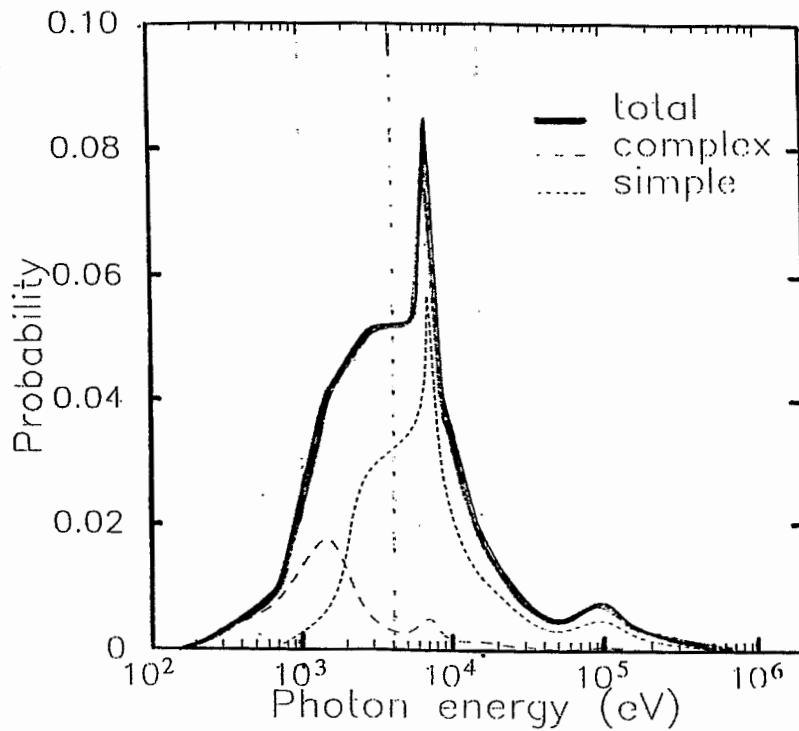
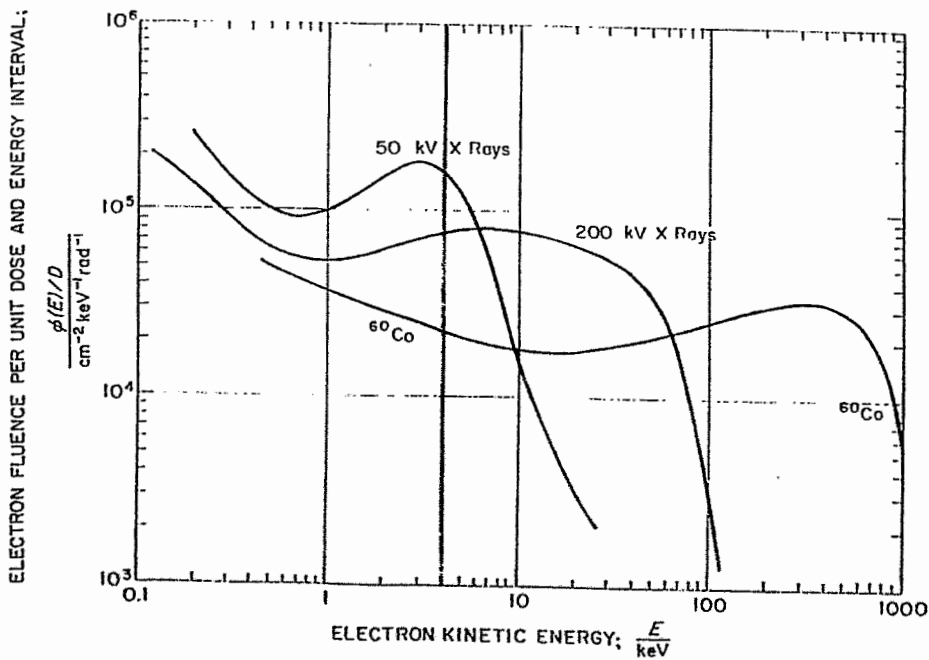


Fig.11 Probability to find a certain number of ionizations within a certain distance in  $e^-$ -tracks



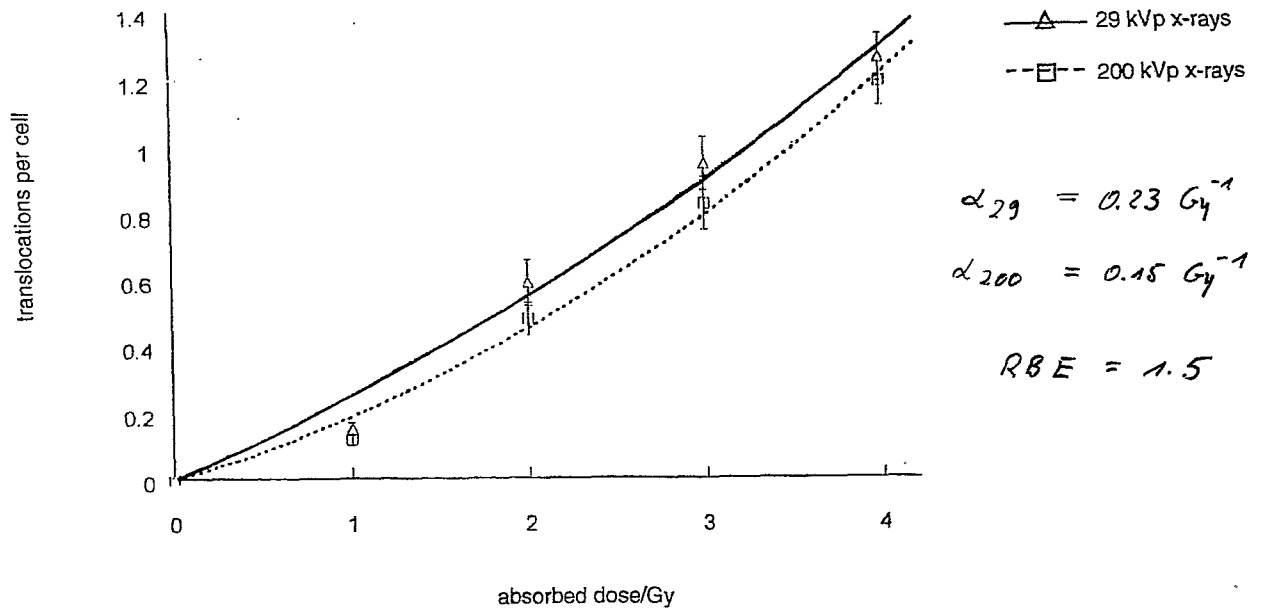


Probability of a photon to induce  
two dsb in a volume with a  
600  $\mu\text{m}$  cord length

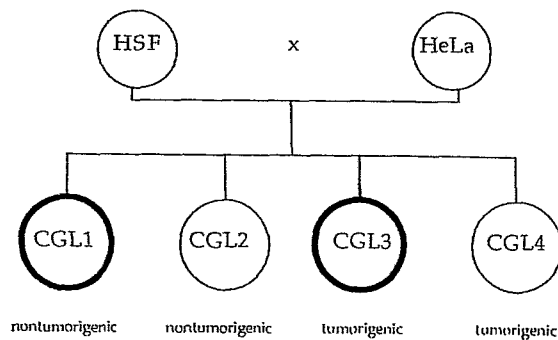




## Genomic frequencies of radiation-induced translocations in G<sub>0</sub>-lymphocytes using FISH



### The most important characteristics of the CGL system



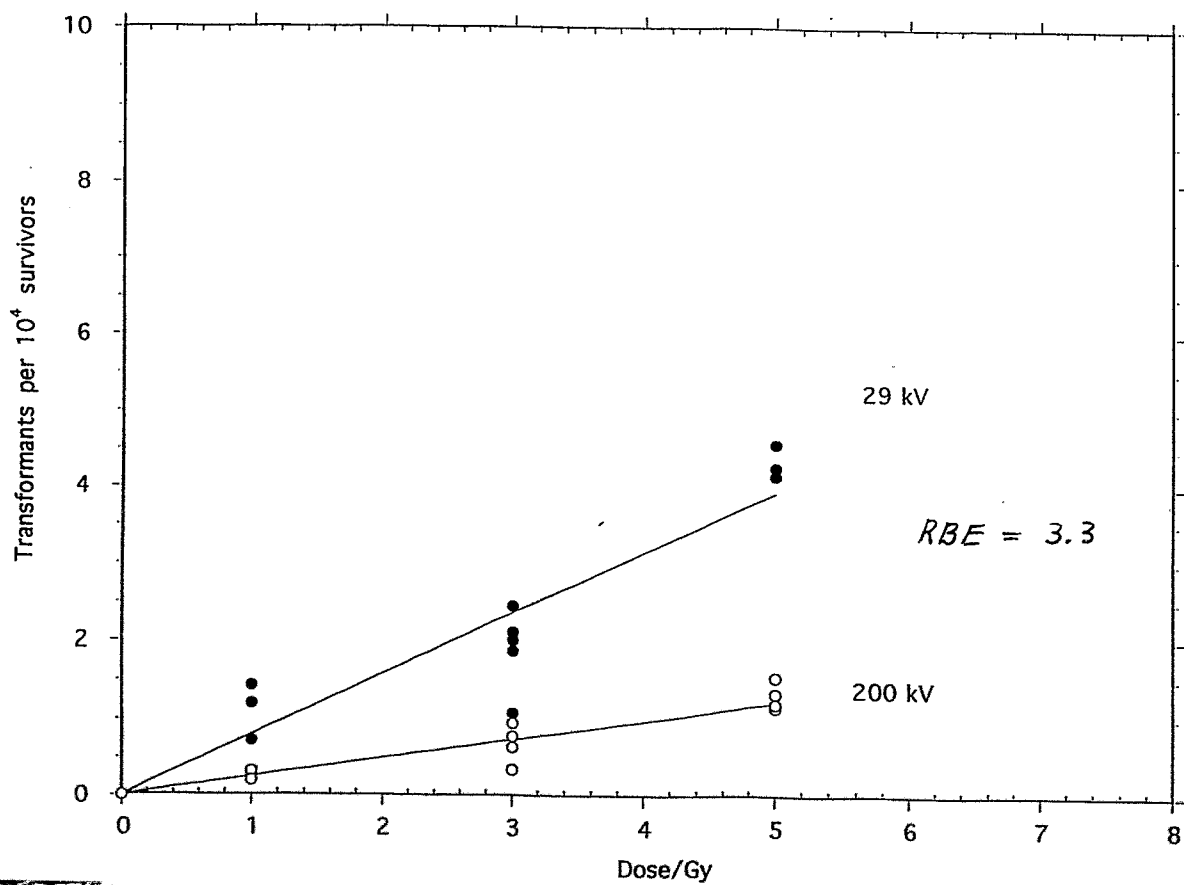
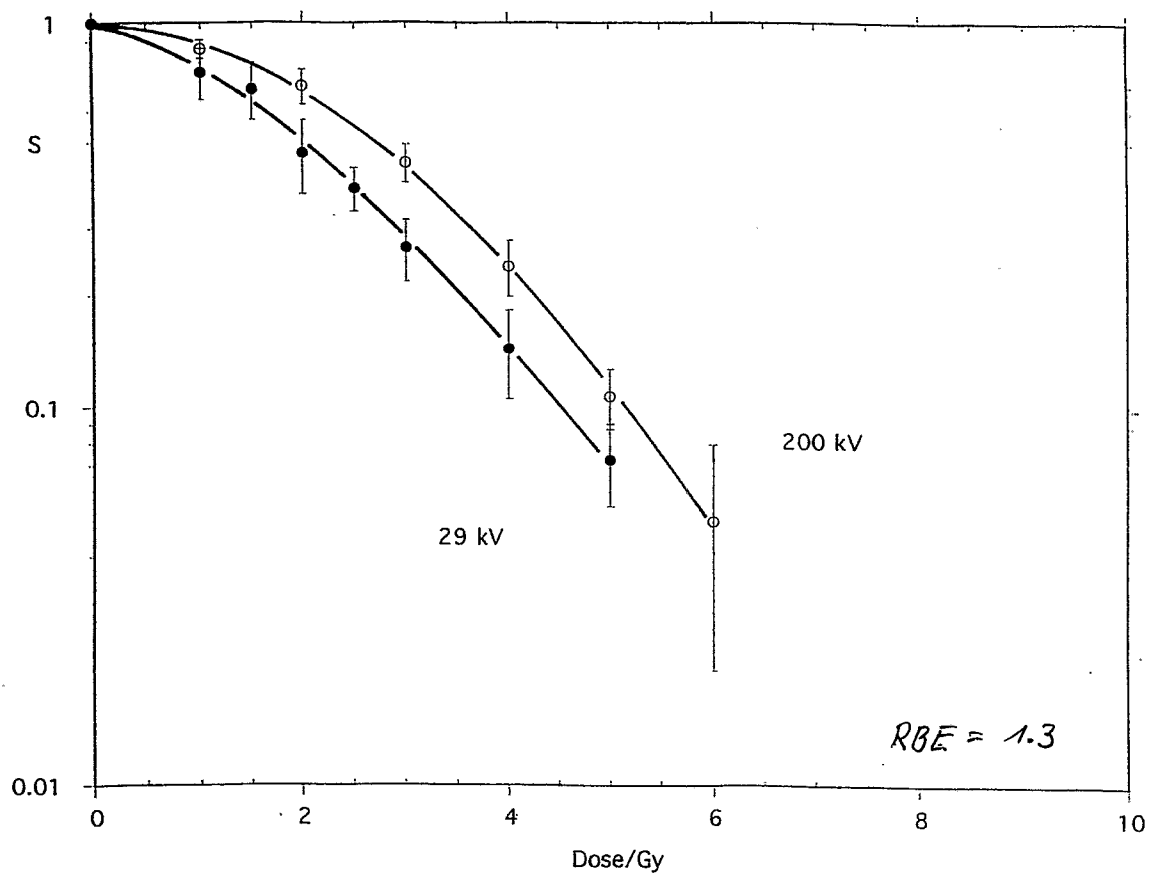
- Very stable cell lines with 90 - 100 chromosomes.
- On chromosomes 11 and 14 are loci of tumor suppressor genes.
- Tumorigenicity is induced when one ~~chromosome~~ <sup>chromosome</sup> or one tumor suppressor gene of each of the fibroblast chromosomes 11 and 14 is lost.
- Tumorigenicity is associated with the expression of the intestinal alkaline phosphatase (IAP). (IAP is expressed by the HeLa cells.) Thus, neoplastically transformed cells (or clones) can be identified by the expression of IAP.
- Expression of IAP can be detected simply and rapidly by a substrate of IAP, i. e. "Western Blue (WB)". This compound is cleaved by IAP leading to a blue insoluble precipitate.

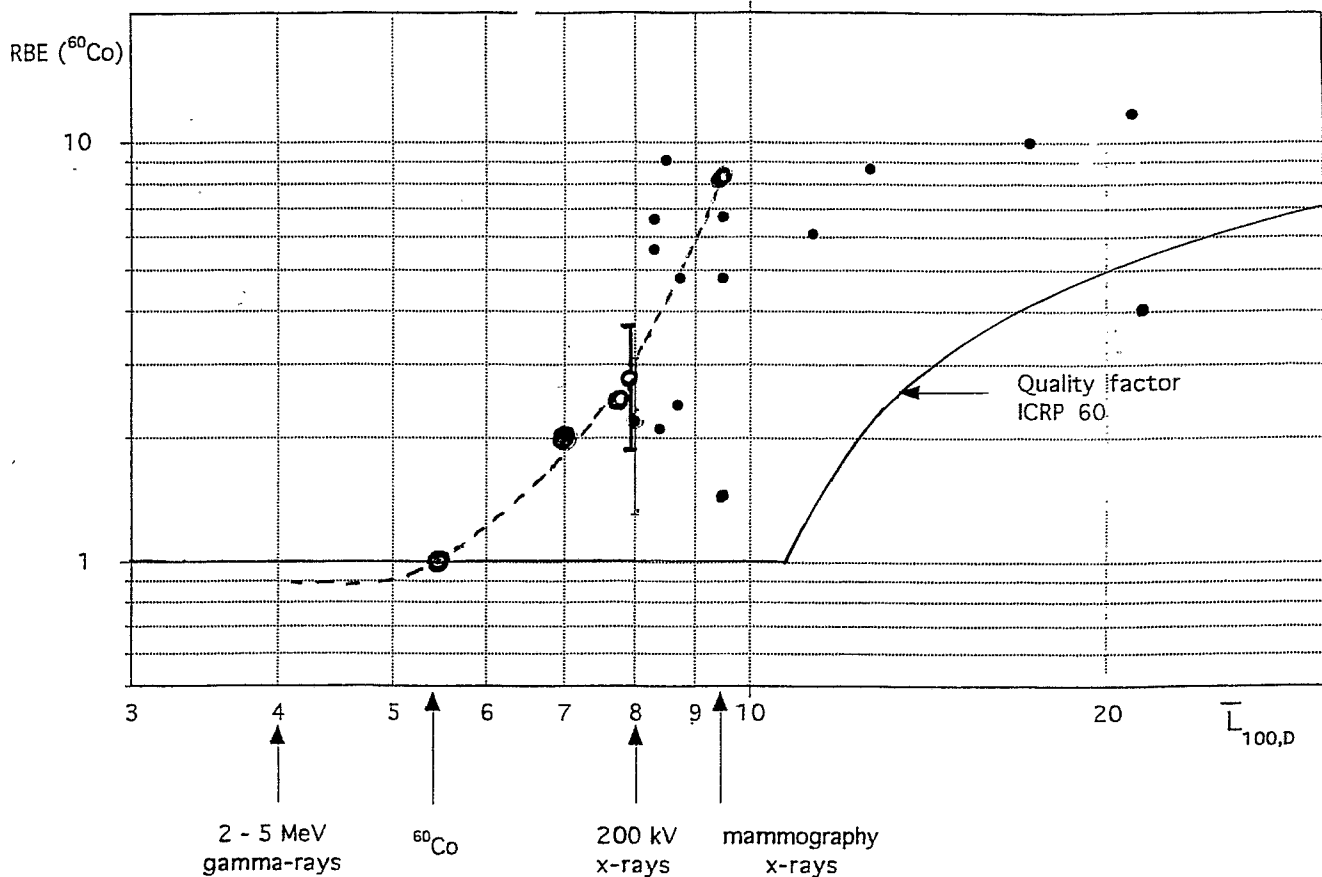
### References

Stanbridge et al., Somatic Cell Genet. **7**, 699-712, 1981

Redpath et al., Radiat. Res. **110**, 468-472, 1987

Mendonca et al., Radiat. Res. **131**, 345-350, 1992 und Radiat. Res. **149**, 246-255, 1998





### Summary

- Current radiation risk estimates are based on the efficiency of 2 - 5 MeV gamma rays.
- It is assumed that the efficiency of gamma- and x-rays as well as electrons with LETs up to  $11 \text{ keV}/\mu\text{m}$  are all equally effective.
- Data in the literature suggest that this assumption is not valid.
- Therefore, there is a need to determine the LET-dependence of the RBE up to  $11 \text{ keV}/\mu\text{m}$  (2 - 5 MeV gamma-rays up to mammography x-rays, i. e. 29 kVp x-rays) for the relevant end points.
- Using a human hybrid cell line the RBE of mammography x-rays relative to 200 kVp x-rays for neoplastic cell transformation amounts to 3.3.
- Considering the RBE of 300 kVp x-rays relative to  $^{60}\text{Co}$  gamma-rays an RBE of at least 7 can be expected for mammography x-rays relative to  $^{60}\text{Co}$  gamma-rays.
- This means an underestimation of mammography x-rays by a factor of at least 7.

**Project**  
**"Mammography x-rays"**

P. Virsik-Peuckert

K. Konstantin

K. Kelnhofer

K. Bär

M. Frankenberg-Schwager

D. Frankenberg

*D. Harder:*

**Chromosome aberrations by soft and ultrasoft  
X-rays: Microdosimetric and radiobiological  
aspects**

Proposed mechanisms  
of radiation-induced  
chromosome exchange:

$\beta$  component

Pairwise interaction between double-strand breaks of DNA via NHEJ (non-homologous end joining)

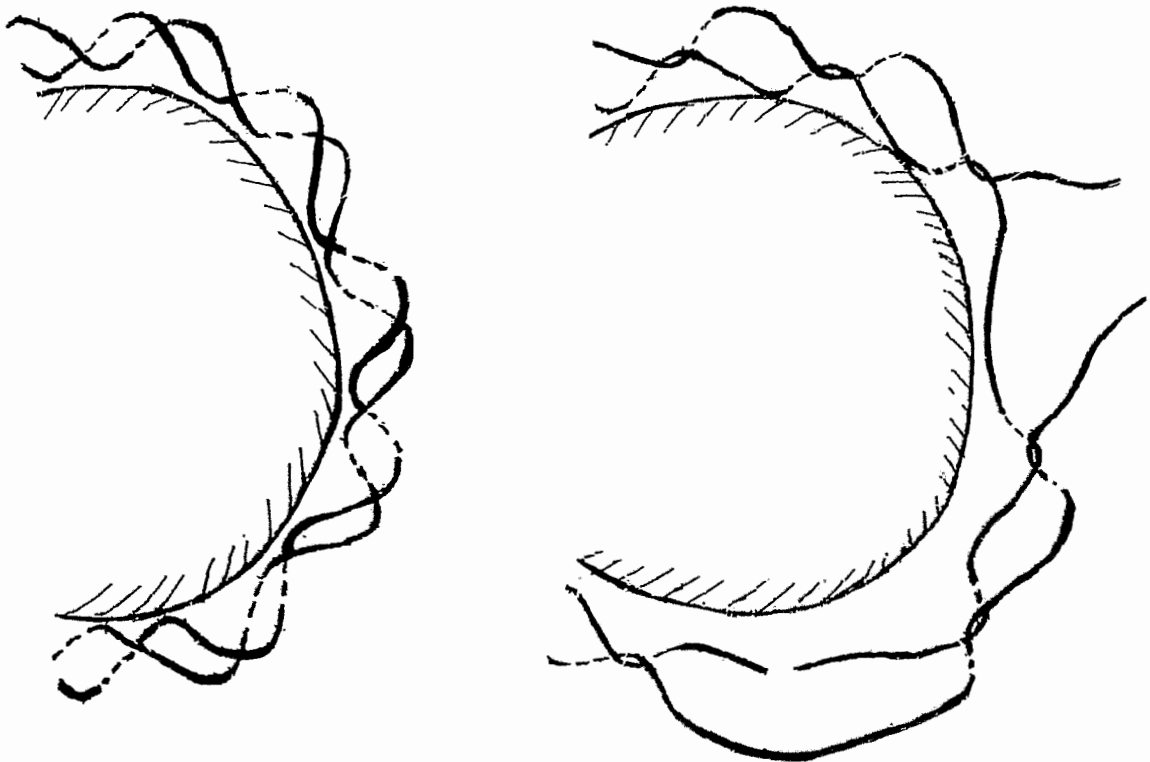
$\alpha$  component

a) Deletion of DNA segments  
+ single strand invasion

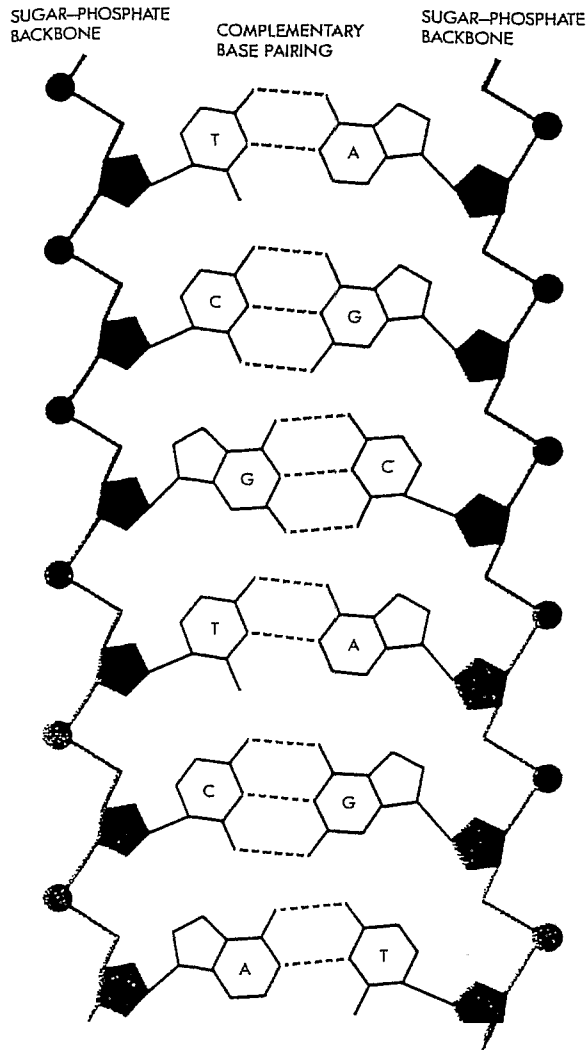
b) Denaturation of nucleosome  
by thermal spike  
+ single strand invasion.

Proposed experiments:

- 1) Acoustic pulse by 10 ps electron bunch
- 2) Ultra-soft X-rays: search for DNA segments
- 3) Tunable ultra-soft X-rays: energy requirement
- 4) Bragg experiments



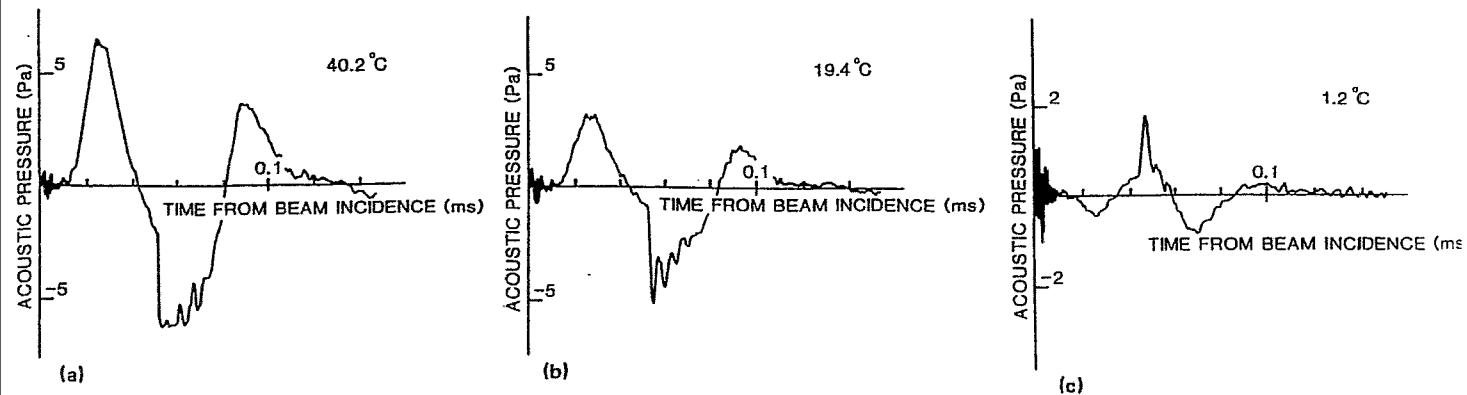
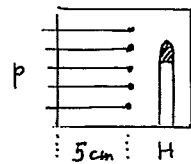
Proposed thermal dissociation of hydrogen and Van der Waals bonds in nucleosomal DNA



**Time resolved properties of acoustic pulses generated in water and in soft tissue by pulsed proton beam irradiation—A possibility of doses distribution monitoring in proton radiation therapy**

Junichiro Tada and Yoshinori Hayakawa  
*Institute of Basic Medical Sciences, University of Tsukuba, Tsukuba, Ibaraki 305, Japan*  
 Katsuhisa Hosono  
*Proton Medical Research Center, University of Tsukuba, Tsukuba, Ibaraki 305, Japan*  
 Tetsuo Inada  
*Institute of Basic Medical Sciences, University of Tsukuba, Tsukuba, Ibaraki, 305, Japan*

1100 Med. Phys. 18 (6), Nov/Dec 1991

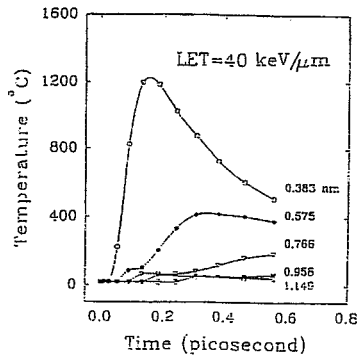


Acoustic pulses generated in water by pulsed proton beam irradiation at three different temperatures.

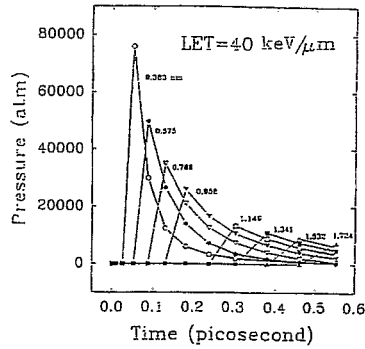
Pulse duration: 50 ns; proton energy: 100 MeV; absorbed dose (Bragg peak): 5 cGy/pulse.

Pressure wave generated by the passage of a heavy charged particle in water

Y. Y. Sun and Ravinder Nath  
 Department of Therapeutic Radiology, Yale University School of Medicine, 333 Cedar Street, New Haven, Connecticut 06510

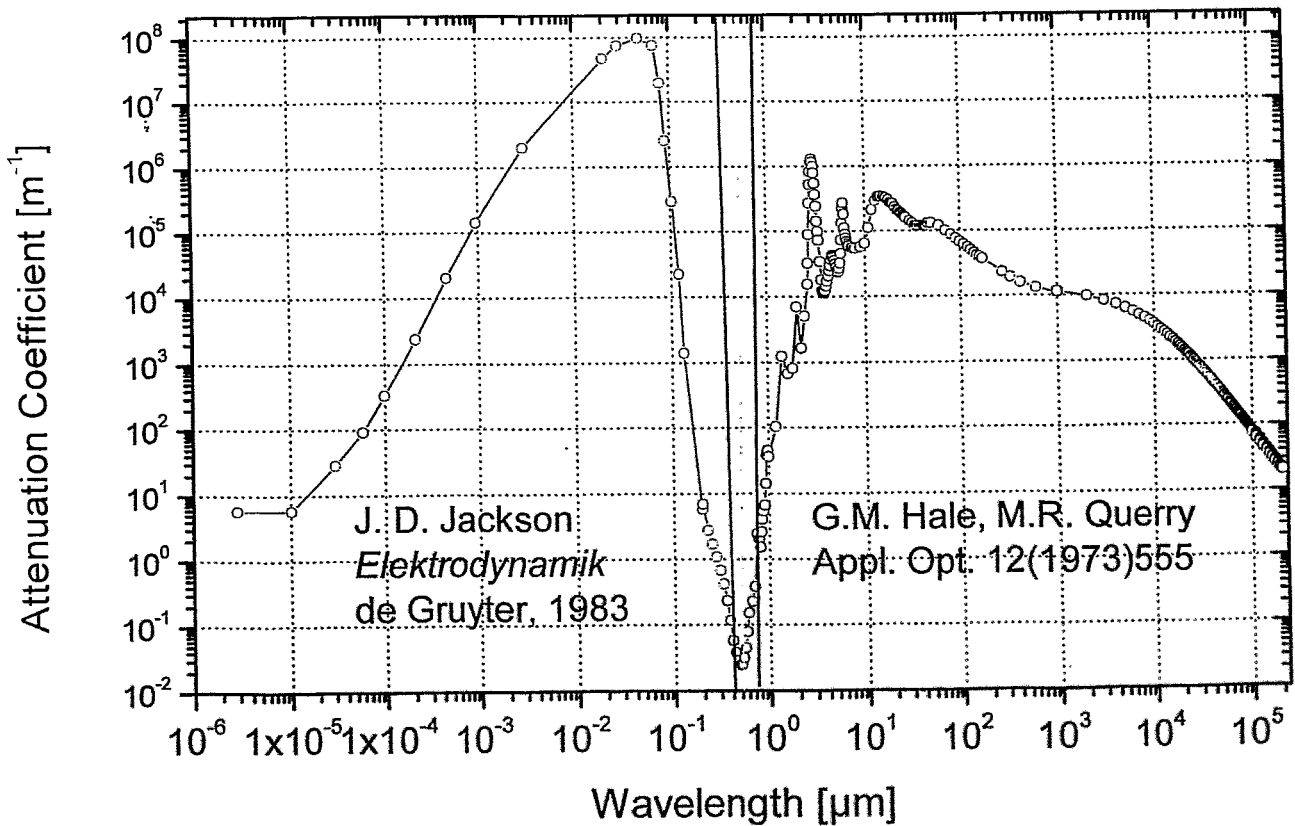


Temporal evolution of temperature distribution around the track of a charged particle with LET=40 keV/μm, traversing through water at 20°C.



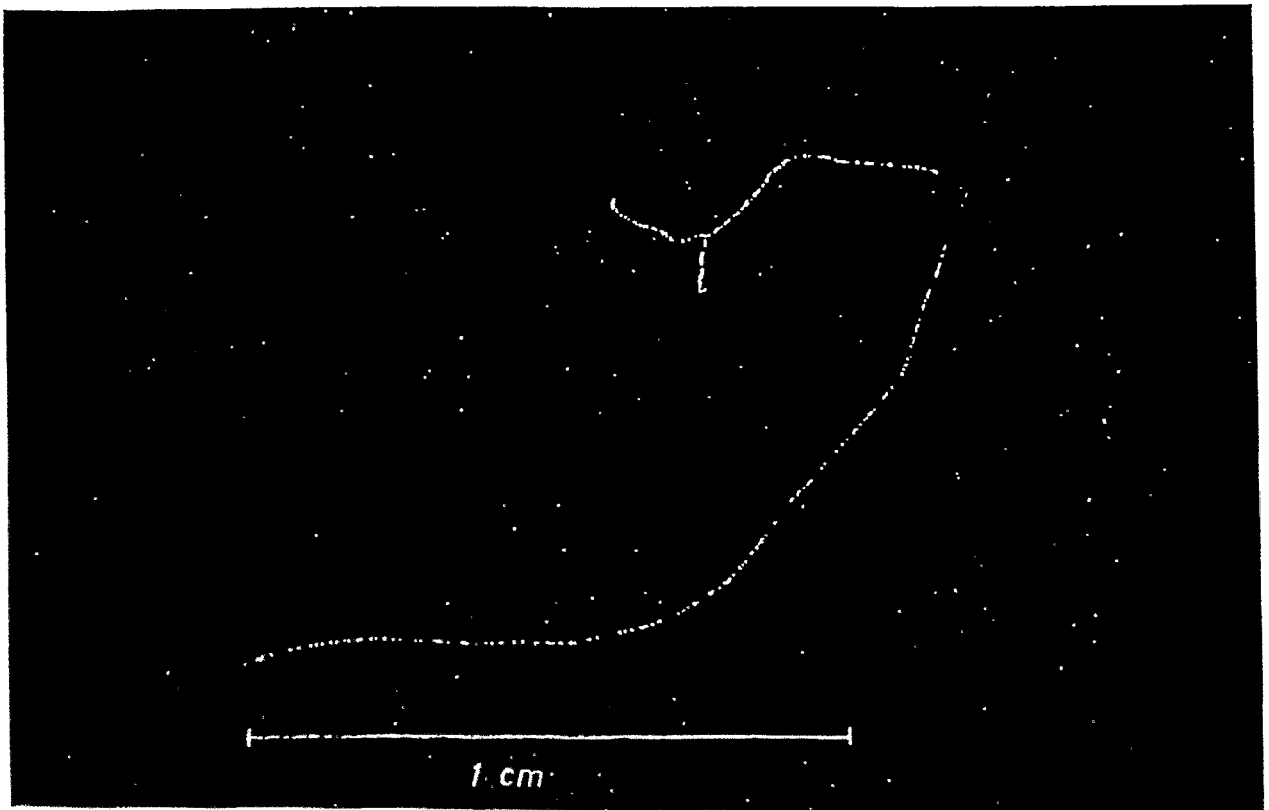
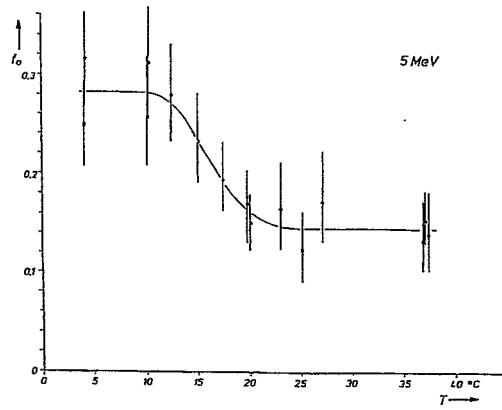
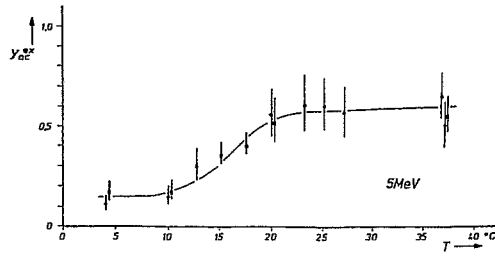
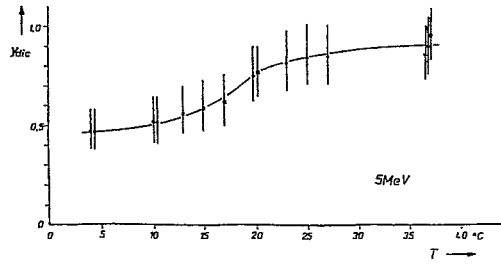
Temporal evolution of pressure distribution around the track of a charged particle with LET=40 keV/μm, traversing through water at 20°C.

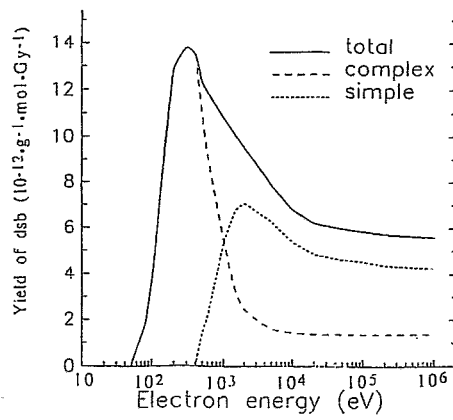
Attenuation of EM-Waves in Water



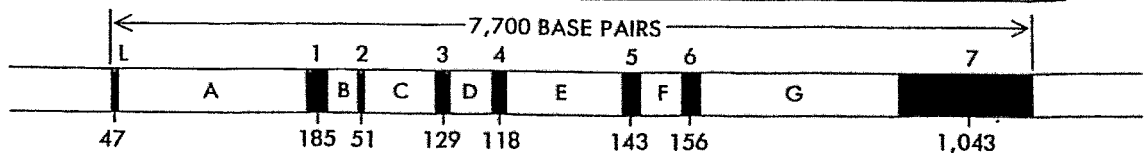
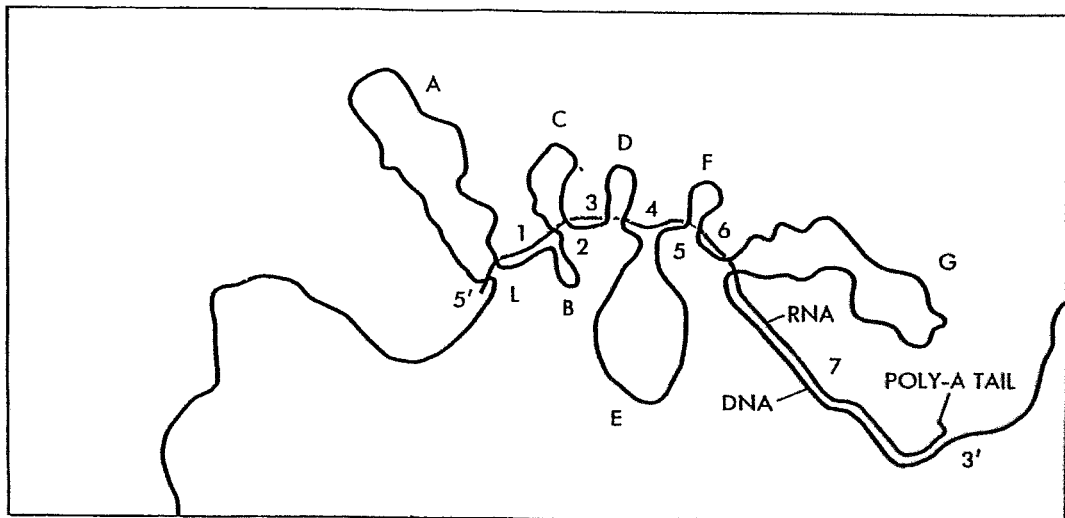
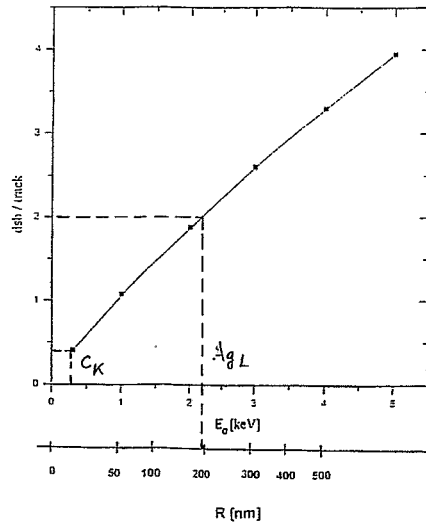


4.67 Gy of 5 MeV electrons at various irradiation temperatures





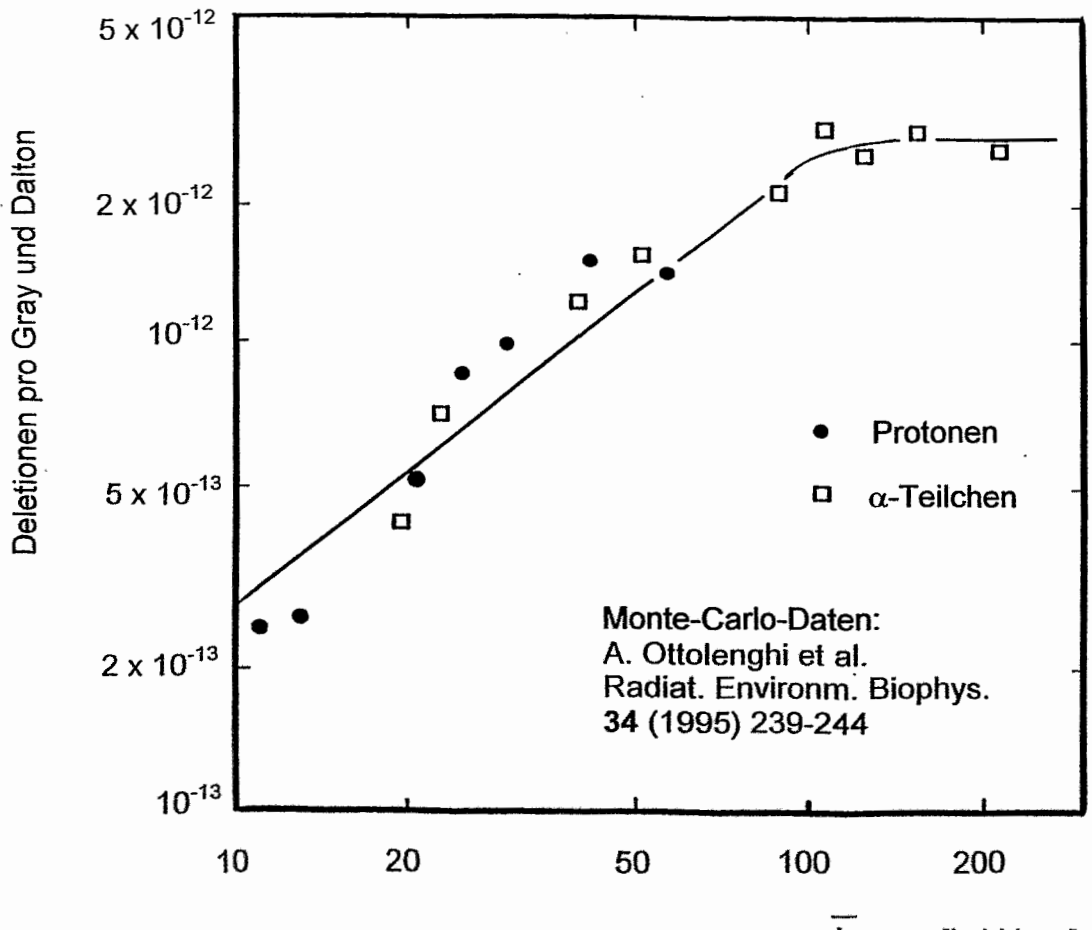
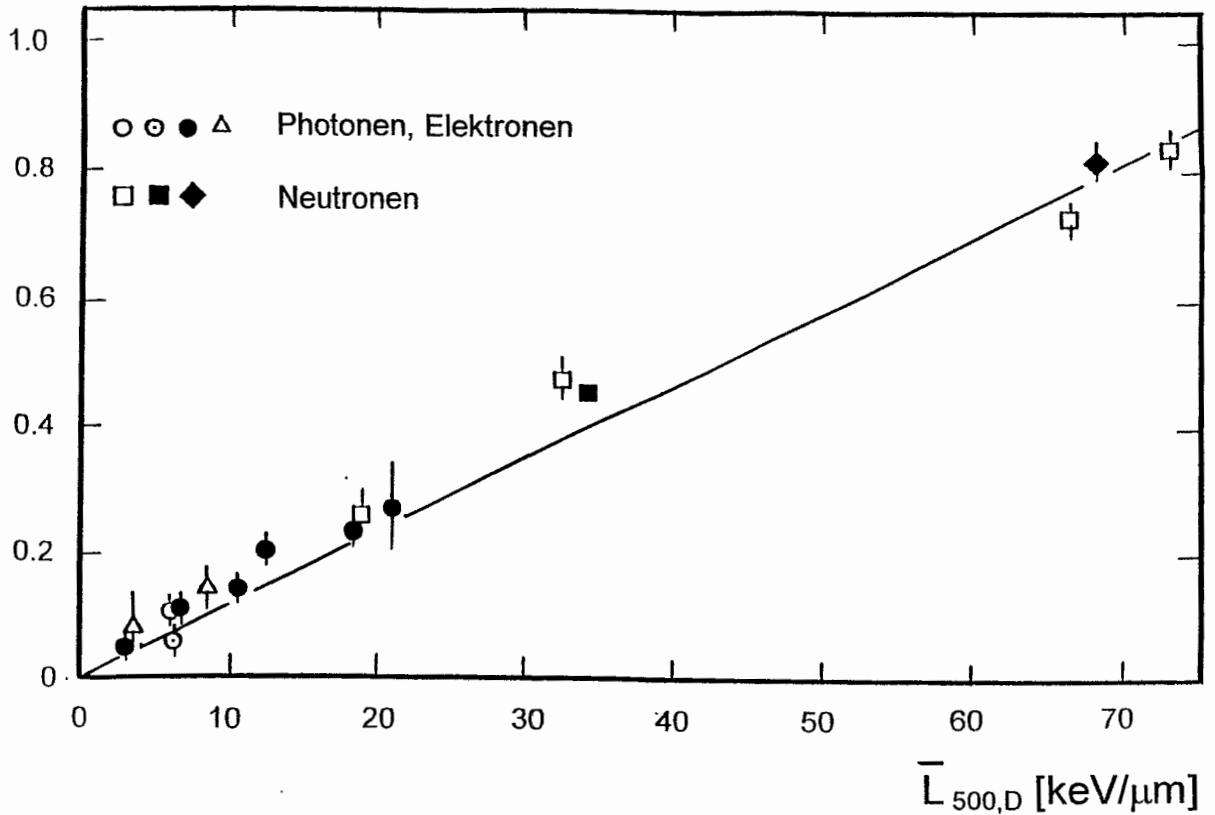
Yield of double-strand breaks in electron and photon tracks  
 and their relation to end-stage-type chromosome aberrations

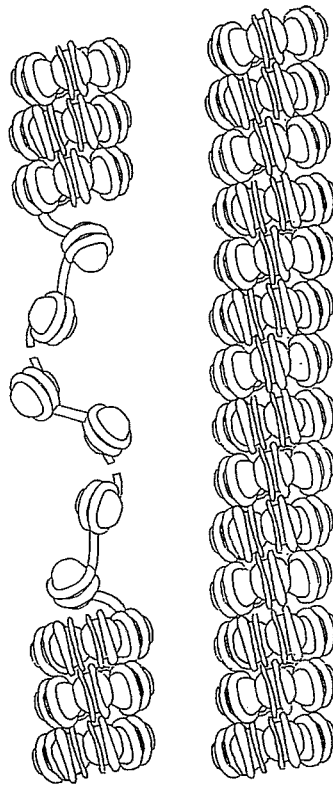


SINGLE-STRANDED DNA CONTAINING THE GENE FOR THE PROTEIN OVALBUMIN WAS ALLOWED TO HYBRIDIZE WITH OVALBUMIN MESSENGER RNA. THE EIGHT EXONS (L, 1-7) OF THE GENE ANNEAL TO THE COMPLEMENTARY REGIONS OF RNA, AND THE SEVEN INTRONS (A-G) LOOP OUT FROM THE HYBRID. THE 5' AND 3' ENDS OF THE MESSENGER ARE INDICATED, AS IS THE POLY-A TAIL.

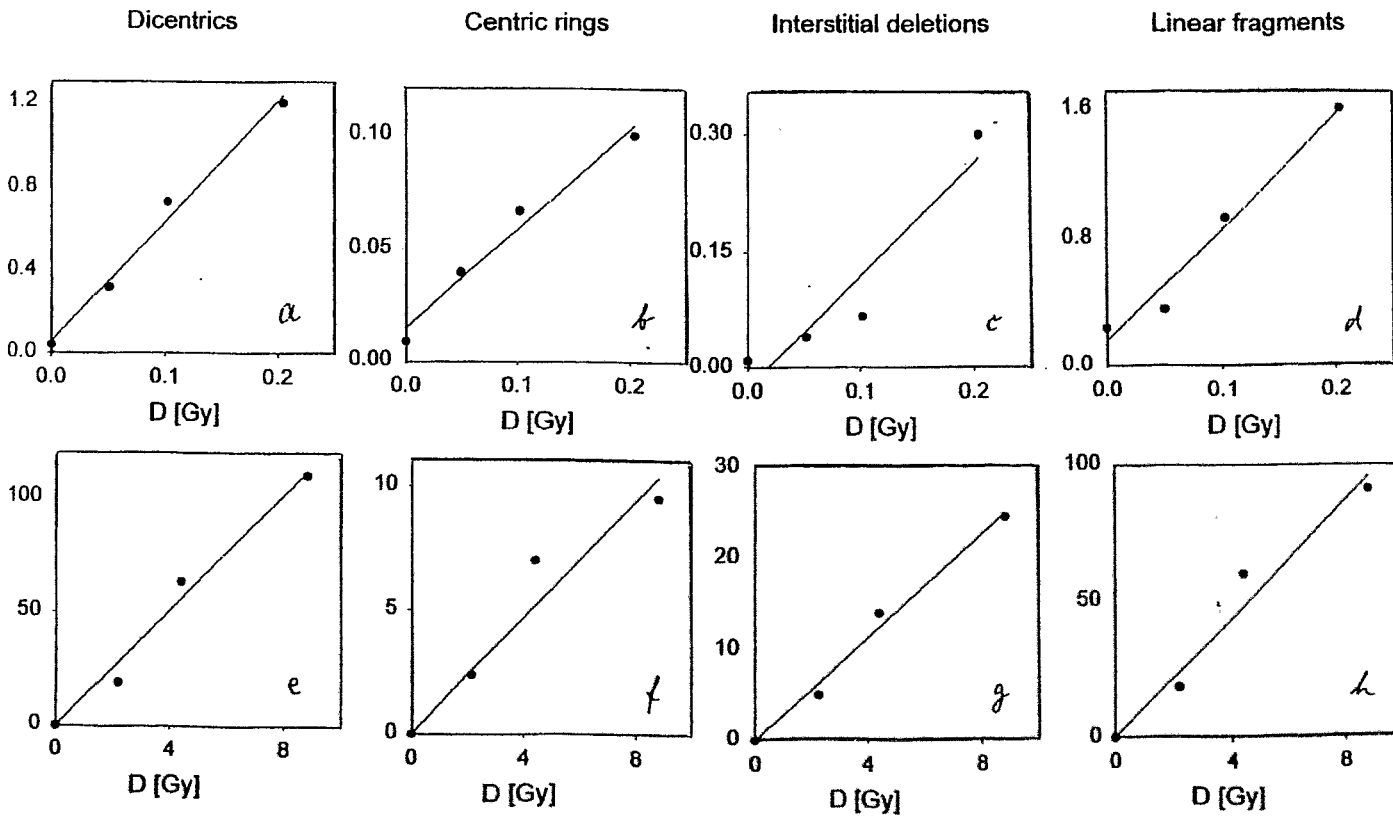
$\alpha_{dic} [\text{Gy}^{-1}]$

Dizentrische Chromosomen in humanen Lymphozyten



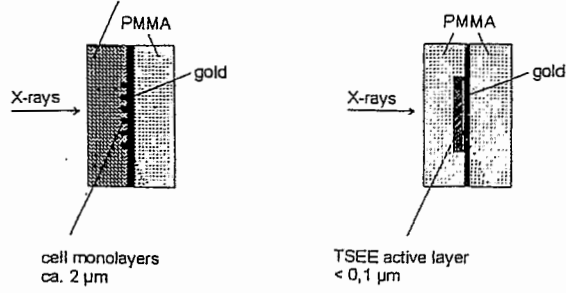


Suggested lesion-nonlesion interaction mechanism:  
DNA loops or free ends, originating from clustered  
damage, determine the range of interaction.

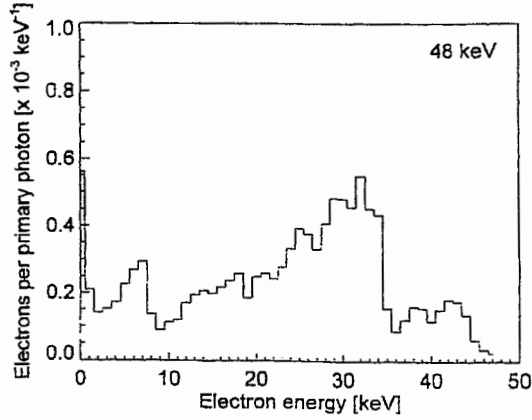


Chromosome aberration yields in 100 cells without (a-d) and with gold foil (e-h)

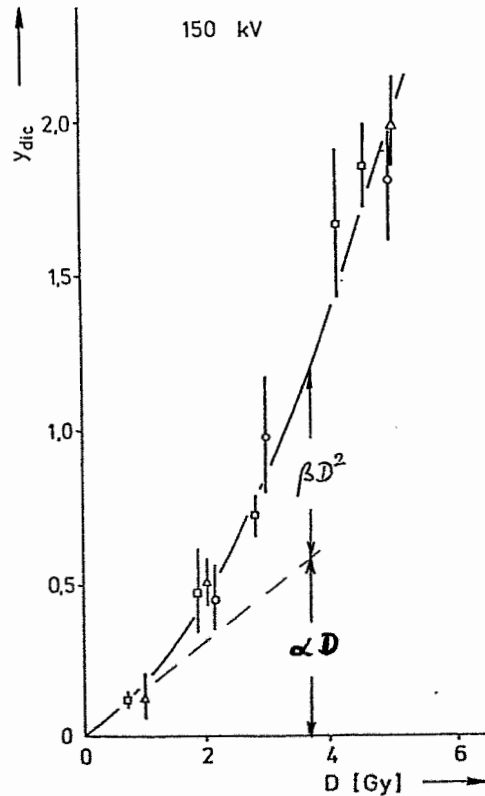
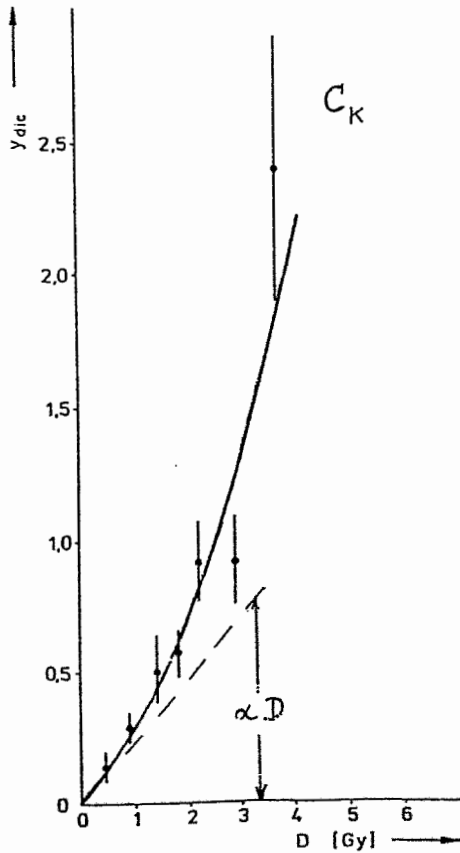
Nutrient medium (mouse fibroblasts)  
or air (human lymphocytes)

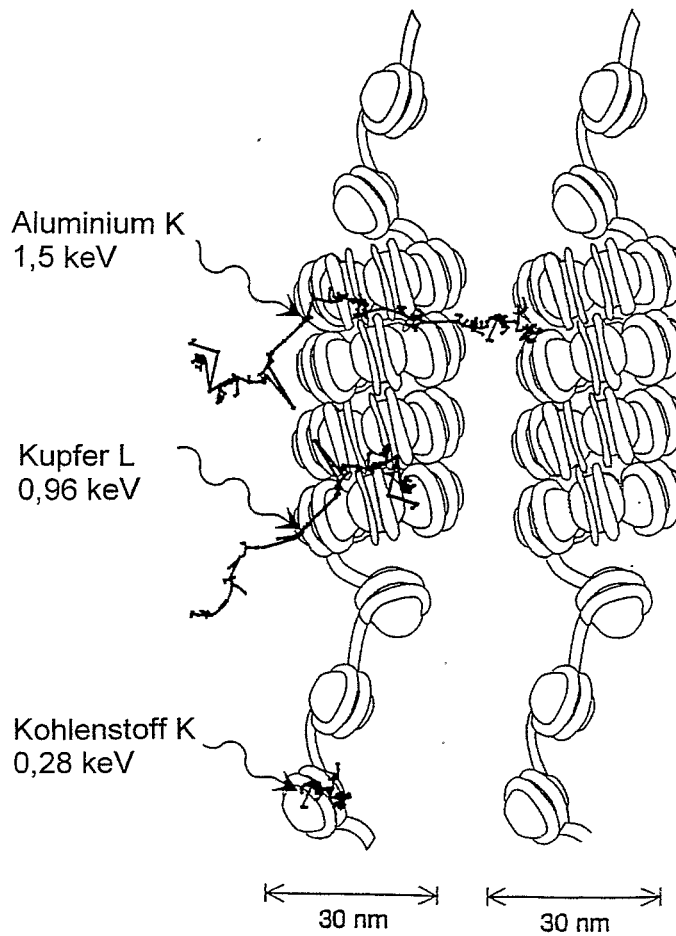


Schematic representation of the interface geometry  
for irradiation with x-rays.

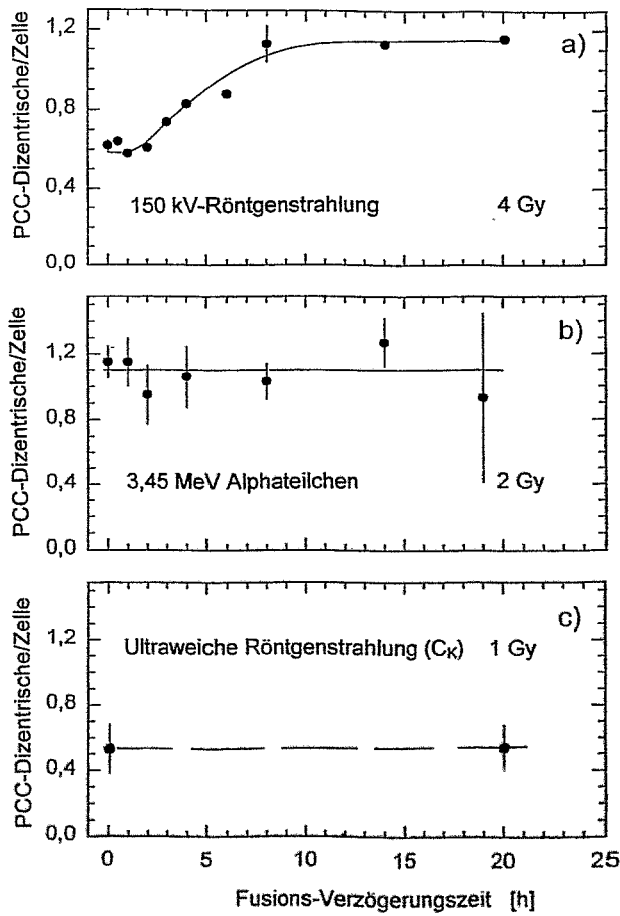


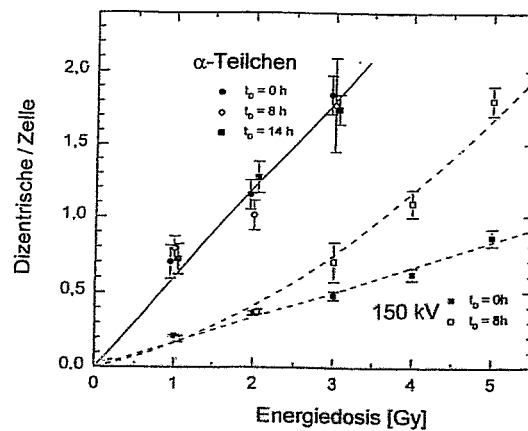
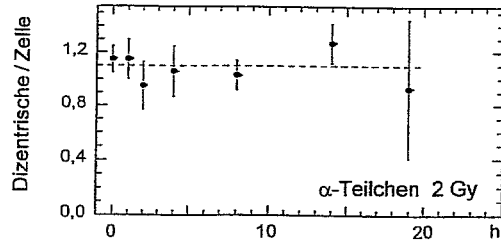
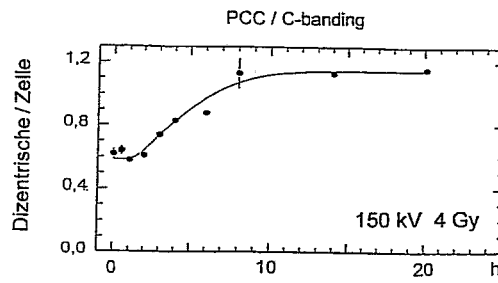
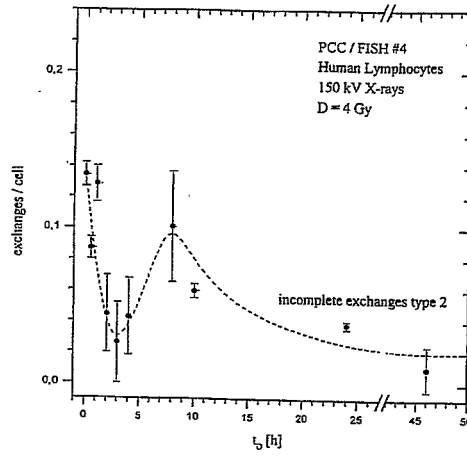
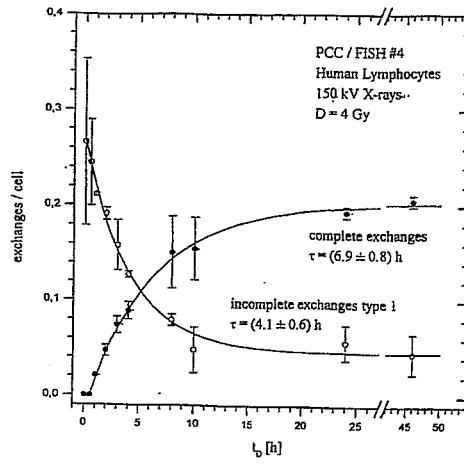
Electron spectrum at the gold surface: Photo- and Auger electrons





Chromatinfaser und Elektronenreichweite:  
Doppeltreffer bei niedriger Energie unmöglich





Direct analysis of radiation-induced chromosome fragments and rings in unstimulated human peripheral blood lymphocytes by means of the premature chromosome condensation technique

Gabriel E. Pantelias<sup>1,2</sup> and H. David Maillie<sup>1</sup>

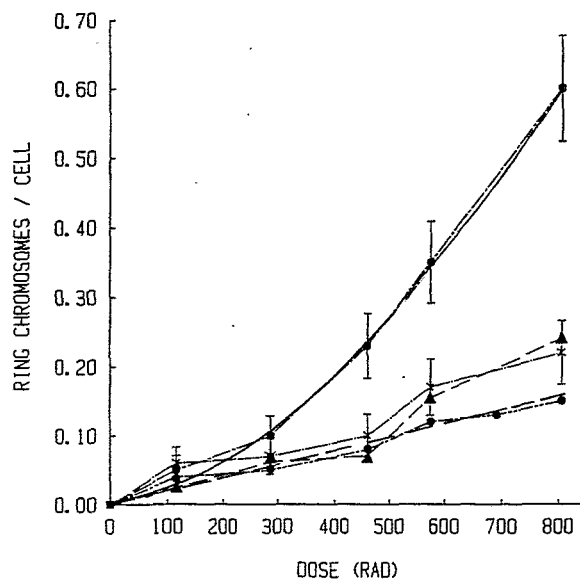


Fig. 2. Yield of ring chromosomes per cell in human peripheral blood lymphocytes exposed in vitro to varying X-ray doses up to 805 rad. Rings were analyzed immediately after exposure (●) or 1 h (×), 2 h (▲), or 24 h (●) after exposure. Bars indicate standard deviations calculated from 2-3 independent Expts.

Direct analysis of radiation-induced chromosome fragments and rings in unstimulated human peripheral blood lymphocytes by means of the premature chromosome condensation technique

Gabriel E. Pantelias<sup>1,2</sup> and H. David Maillie<sup>1</sup>

<sup>1</sup> Department of Radiation Biology and Biophysics, University of Rochester, School of Medicine and Dentistry, Rochester, NY 14642, and  
<sup>2</sup> Laboratory of Radiobiology and Environmental Health, University of California, San Francisco, CA 94143 (U.S.A.)

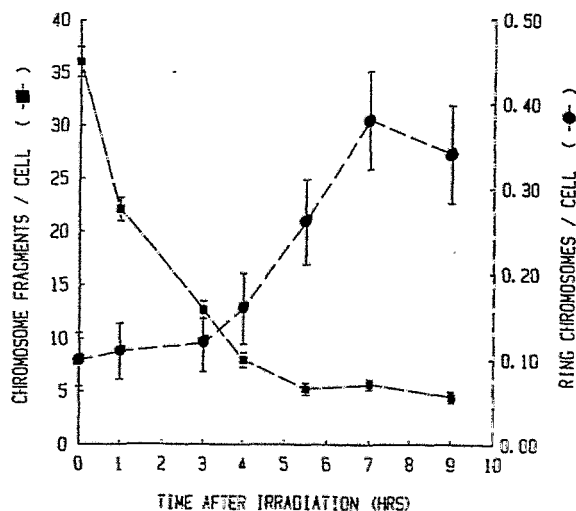
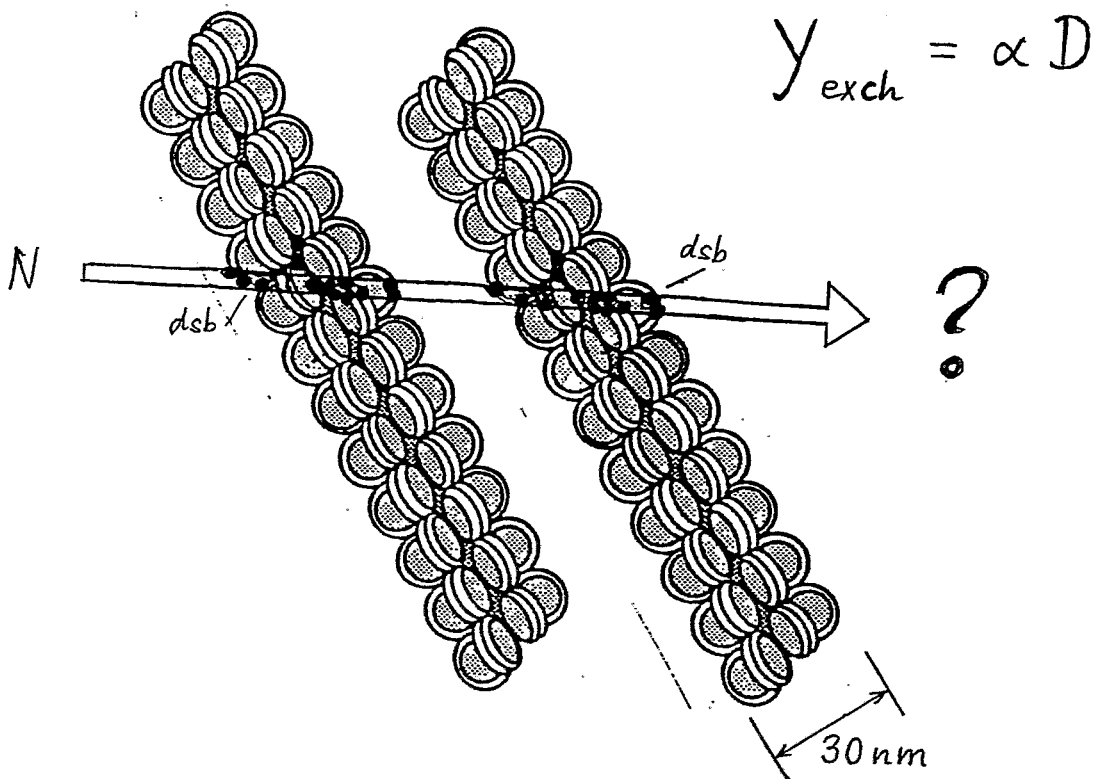
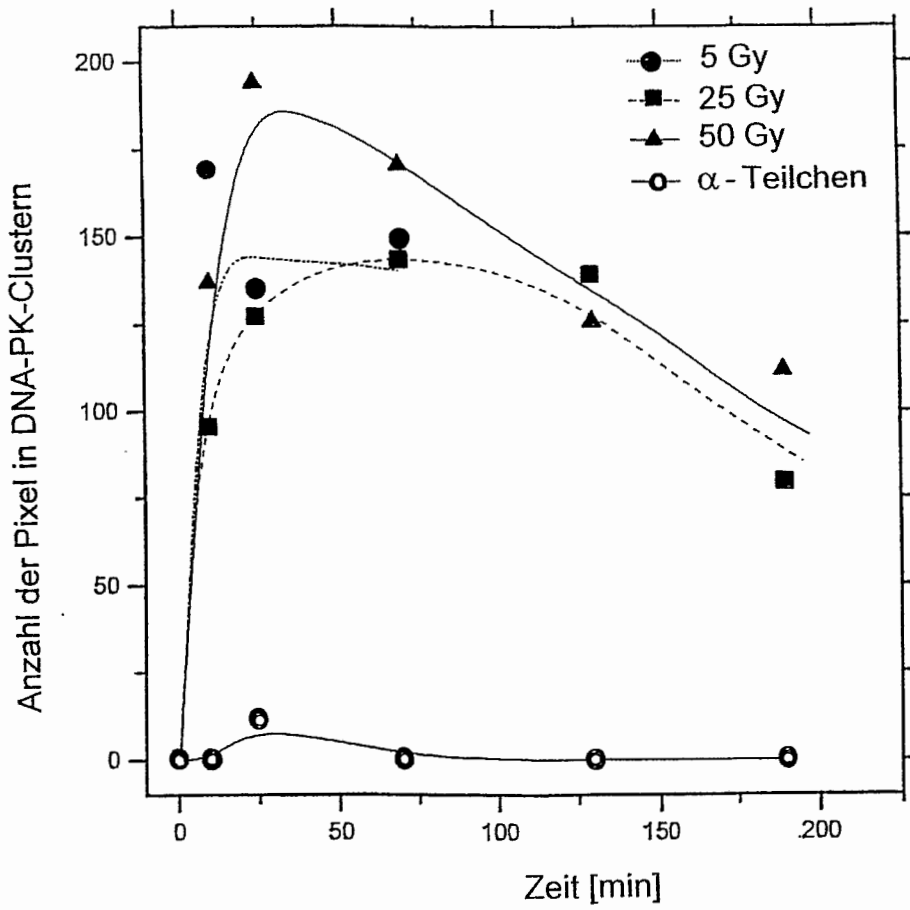


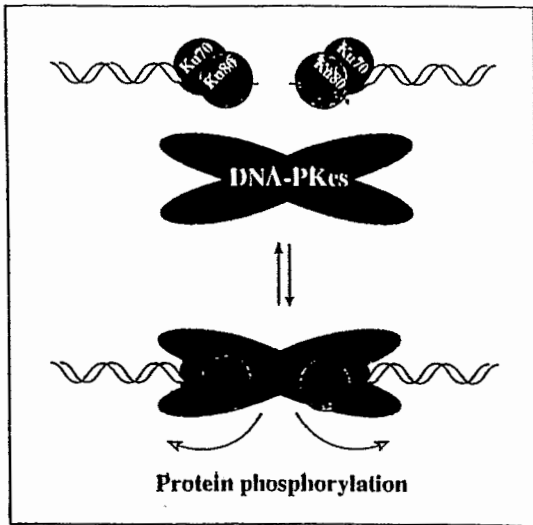
Fig. 3. Yield of chromosome fragments (■) and ring chromosomes (●) per cell in human peripheral blood lymphocytes exposed in vitro to 645 rad of X-rays and analyzed at various times after exposure.





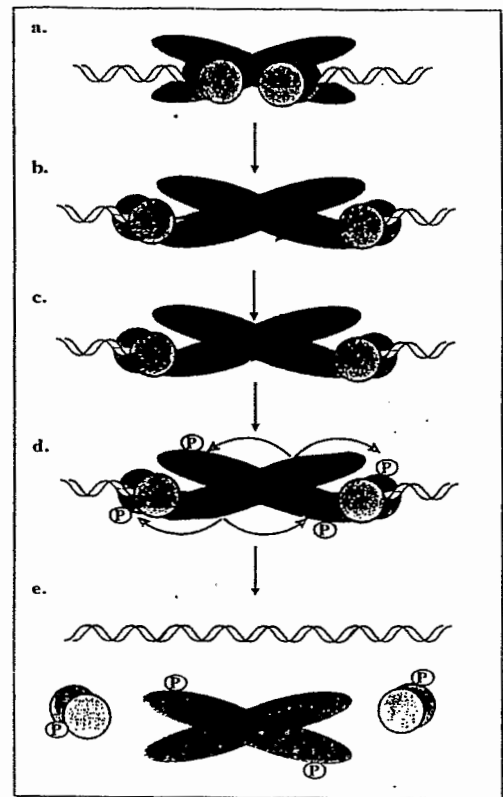


K<sub>iso</sub> 70/80  
50 Gy

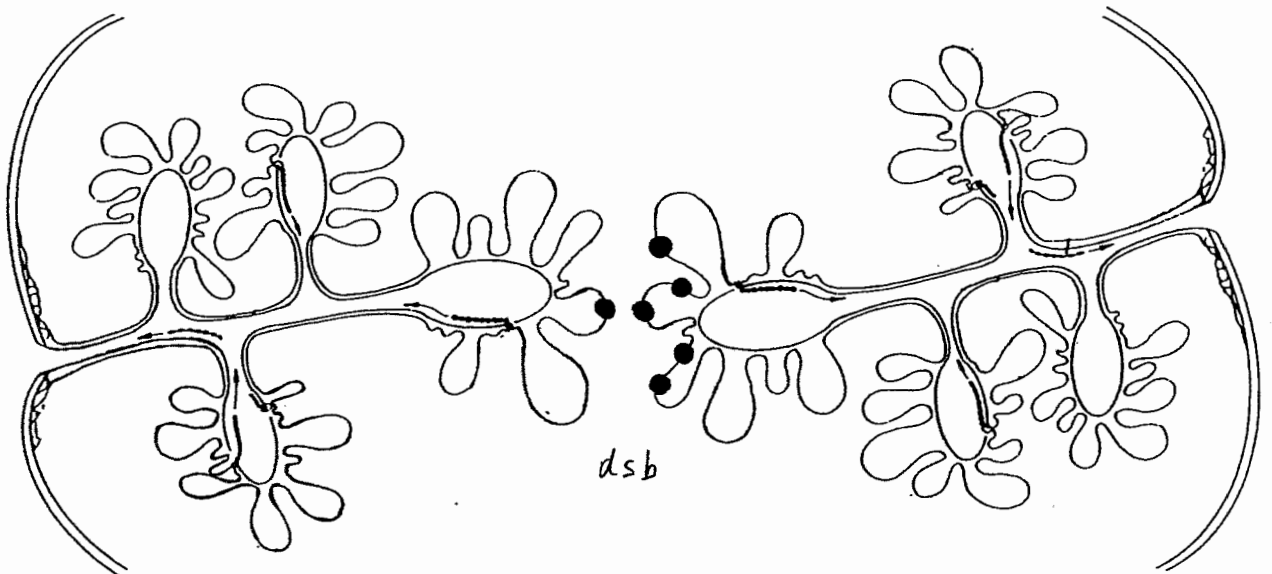


Binding of Ku heterodimer to dsb ends, recruitment and activity of DNA-PKcs

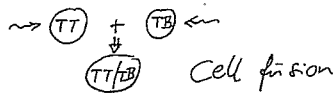
C. Featherstone and S. P. Jackson,  
 Mut. Res. 434 (1999) 3 - 15



Model for nonhomologous end joining (NHEJ) by annealing broken strands at sites of microhomology



Topologie der paarweisen Läsions-Interaktion  
 zwischen Chromosomen-Domänen



M. N. Cornforth, On the nature of interactions leading to radiation-induced chromosomal exchange. *Int. J. Radiat. Biol.* 56, 635 - 643 (1989)

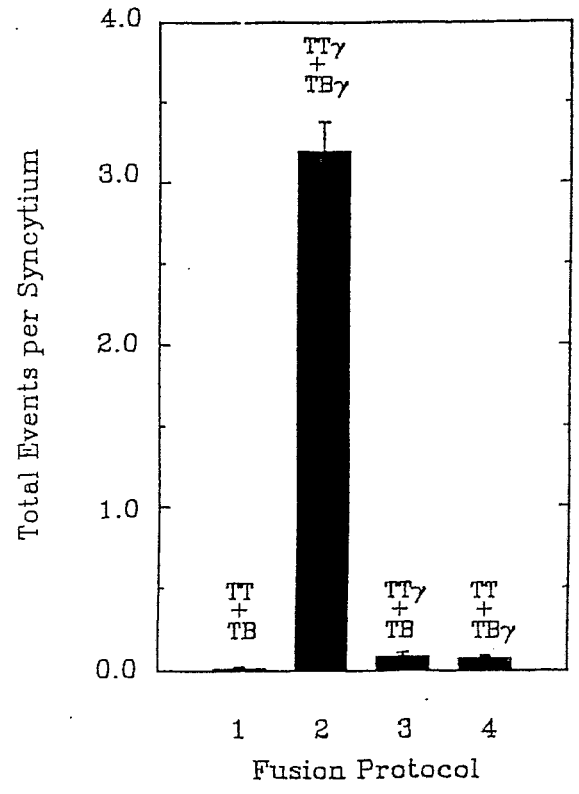
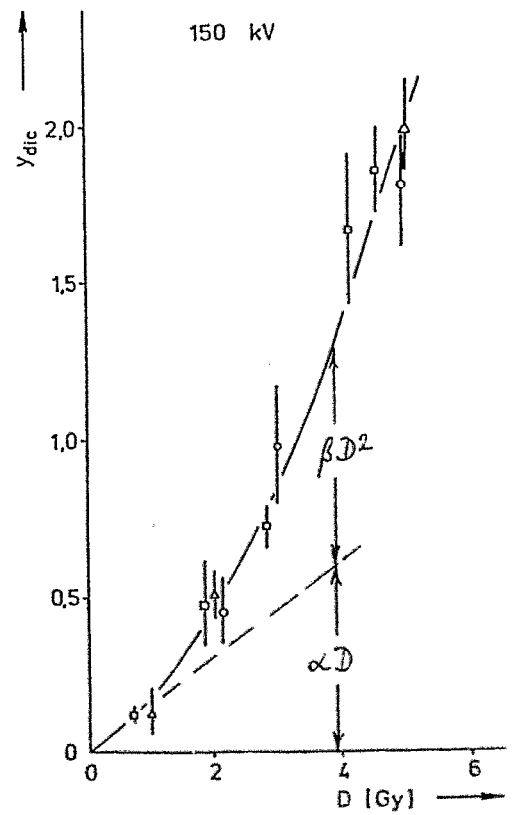
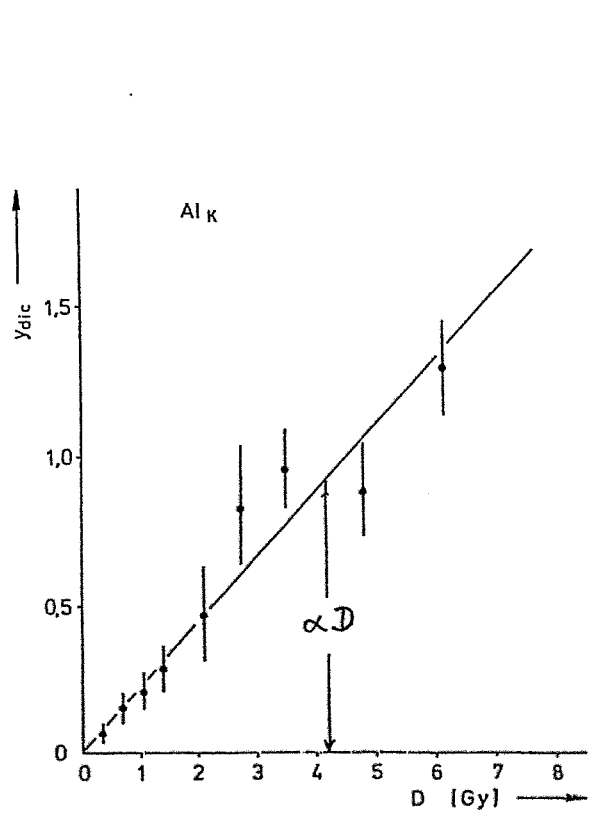
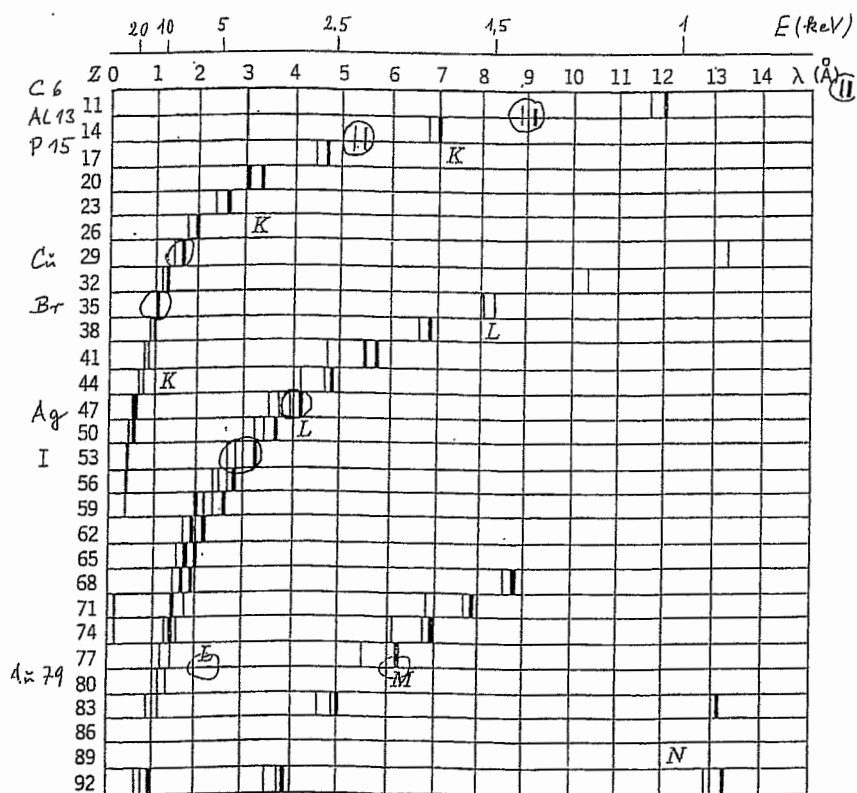
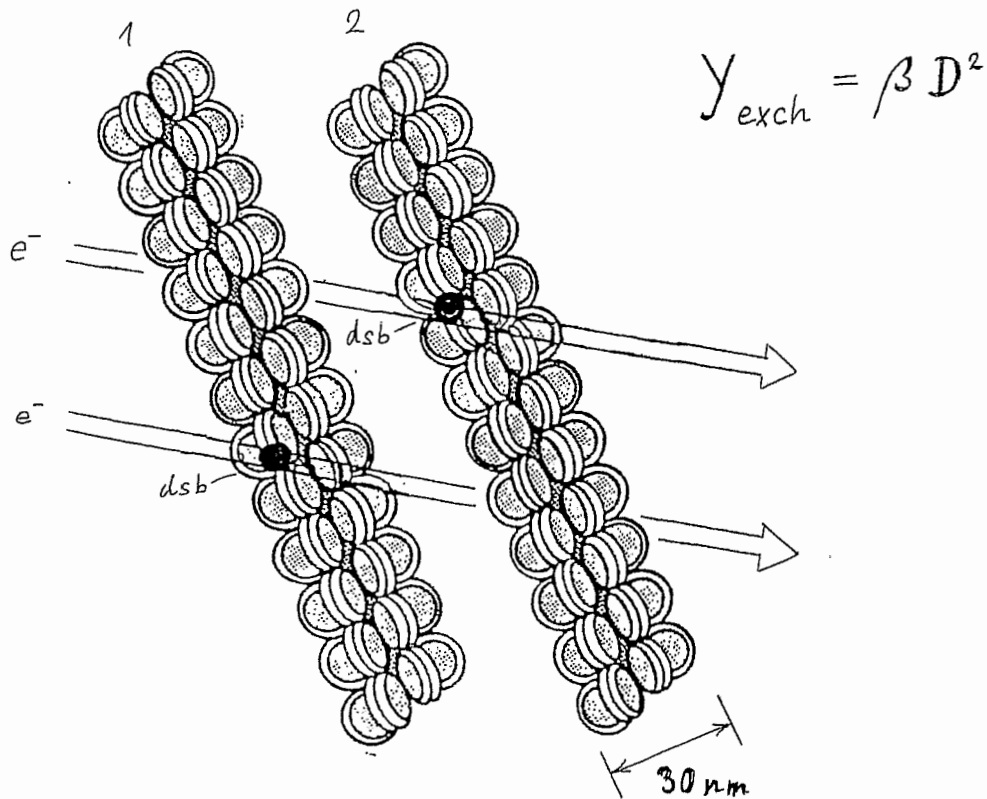
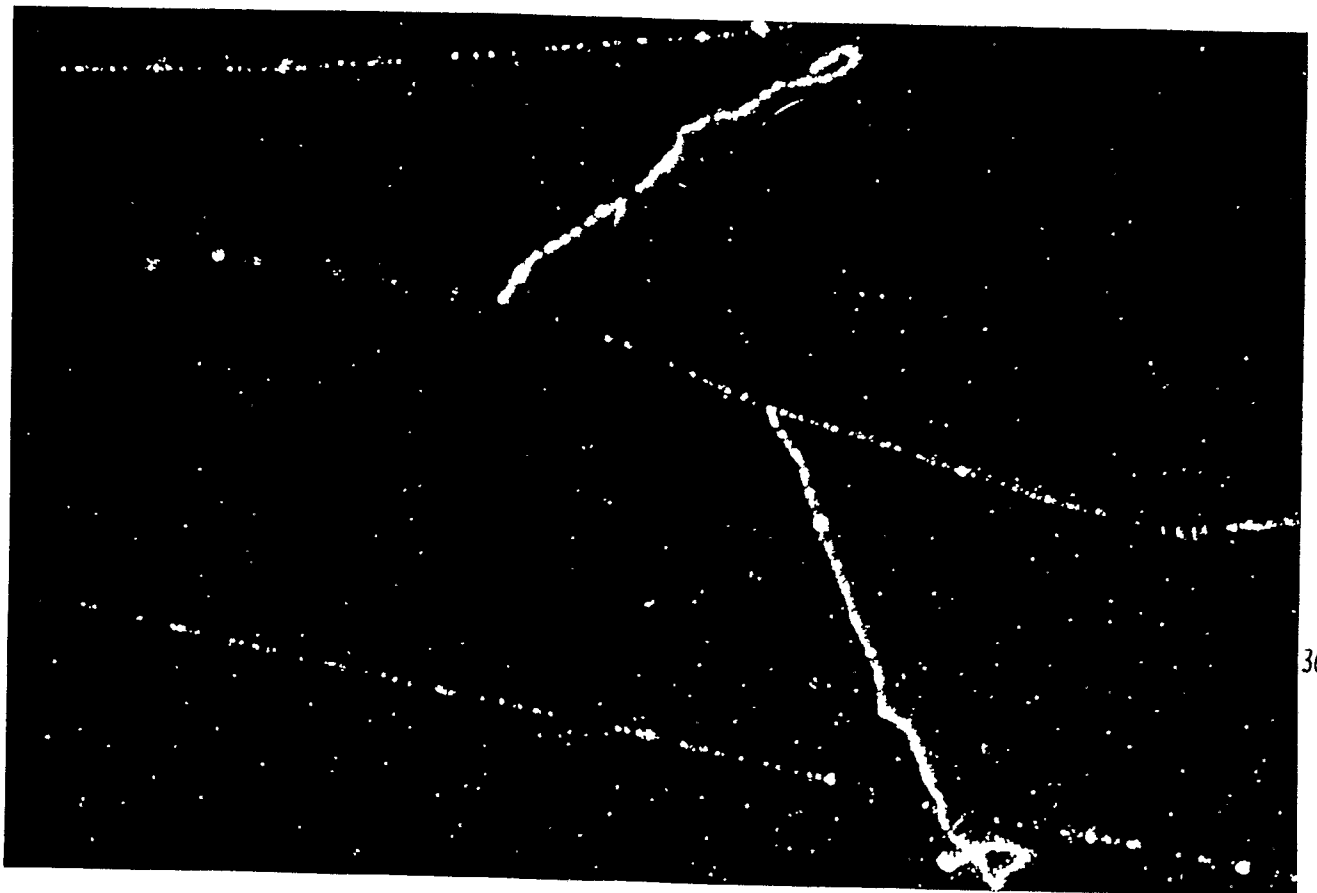


FIG. 3. Total frequency of intergenomic exchange events resulting from the four different fusion protocols described in the legend to Fig. 1. Total events include symmetrical exchanges (reciprocal translocations) as well as asymmetrical exchanges (dicentrics).

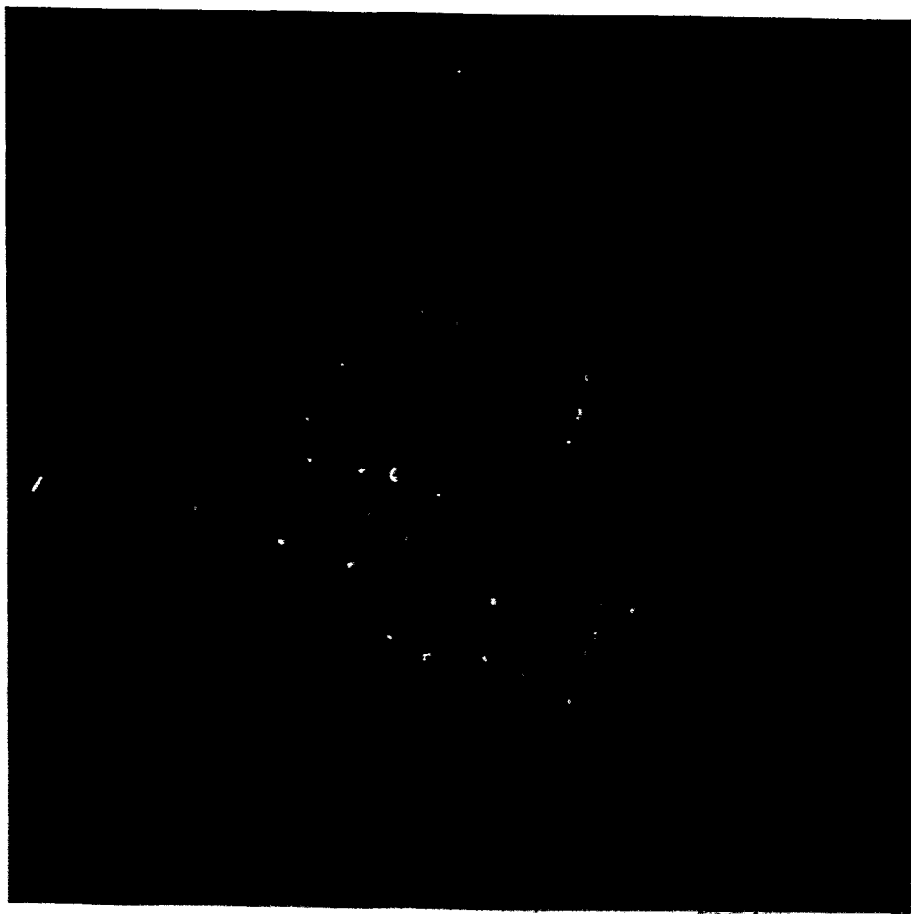




Characteristic  $K, L \dots$  line spectra of the elements of atomic number  $Z$  as a function of wavelength  $\lambda$ .



Linear energy transfer  $L_{\Delta} = \left( \frac{dE}{dx} \right)_{\Delta}$



### Consistent chromosomal changes in leukemias and lymphomas (examples)

Neoplasm	chromosome aberration
Acute lymphocytic leukemia	t(9;22)(q13;q32) t(4;11)(q21;q23)
Chronic lymphocytic leukemia	t(11;14)(q13;32) Trisomy 12
Chronic myeloid leukemia	t(9;22)(q34;q11)

Cline, M.J.: The molecular basis of leukemia, *New Engl. J. Med.* **330** (1994)328-336

Luzzatto, L., and Pandolfi, P.P.: Leukemia, a genetic disorder of hemopoetic cells

*Brit. Med. J.* **307** (1993)579-580

Mitelman, F., Heim, S.: Quantitative acute leukemia cytogenetics. *Genes, Chromosomes and Cancer* **5** (1992)57-66



*M. Hill:*

**Radiobiological investigations with  
monochromatic soft X-rays**



# Radiobiological investigations with 'monochromatic' soft X-rays

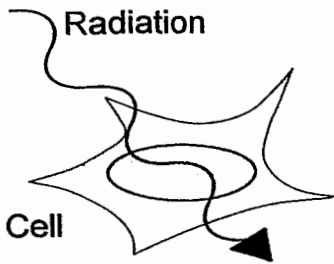
Mark A. Hill

*MRC, Radiation & Genome Stability Unit,  
Harwell, Oxfordshire, OX11 0RD, U.K.*

## Overview

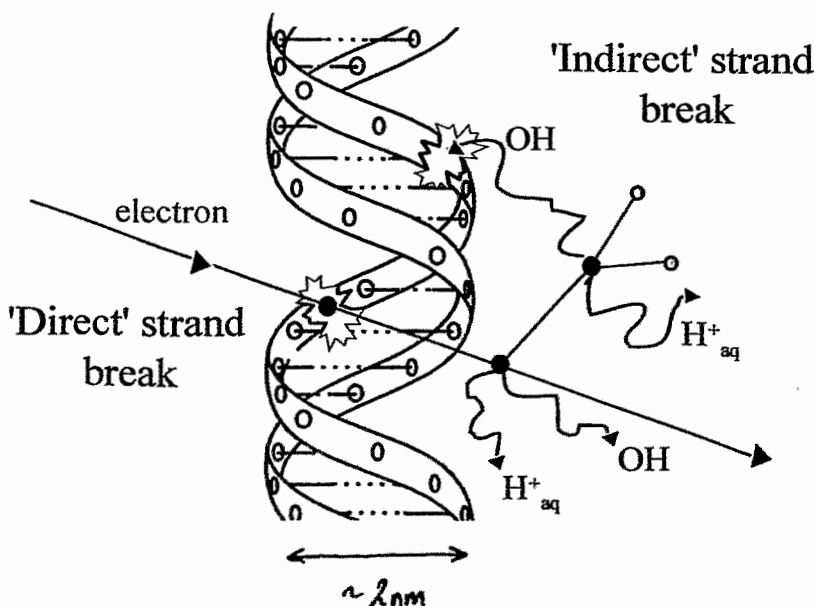
- Biological effects of radiation
- Why use ultrasoft X-rays?
- Practicalities of irradiating biological samples
- A few examples of biological experiments
  - Mammalian cell survival
  - Induction and repair of DNA double-strand breaks
  - Chromosome aberrations
  - Plasmid DNA studies - radiation chemistry
- Summary

# Biological effects of radiation



Molecular -	damage to macromolecules such as DNA, RNA, enzymes...
Cellular -	chromosome aberrations apoptosis reproductive cell death gene mutations transformation genomic instability
Organism -	death (high dose) cancer (low dose)
Population -	Genetic modification

## Radiation induced DNA strand breaks



A small clustered damage (simple DSB) resulting from a local cluster of ionisations within a single track

Radical diffusion distances in cells are very small (<4nm)

# Damage to DNA

## Simple damage

Base damage



## Complex damage

Complex SSB



Single strand break (SSB)



Complex DSB



Double strand breaks (DSB)



Very complex DSB



## Relevance of ultrasoft X-rays

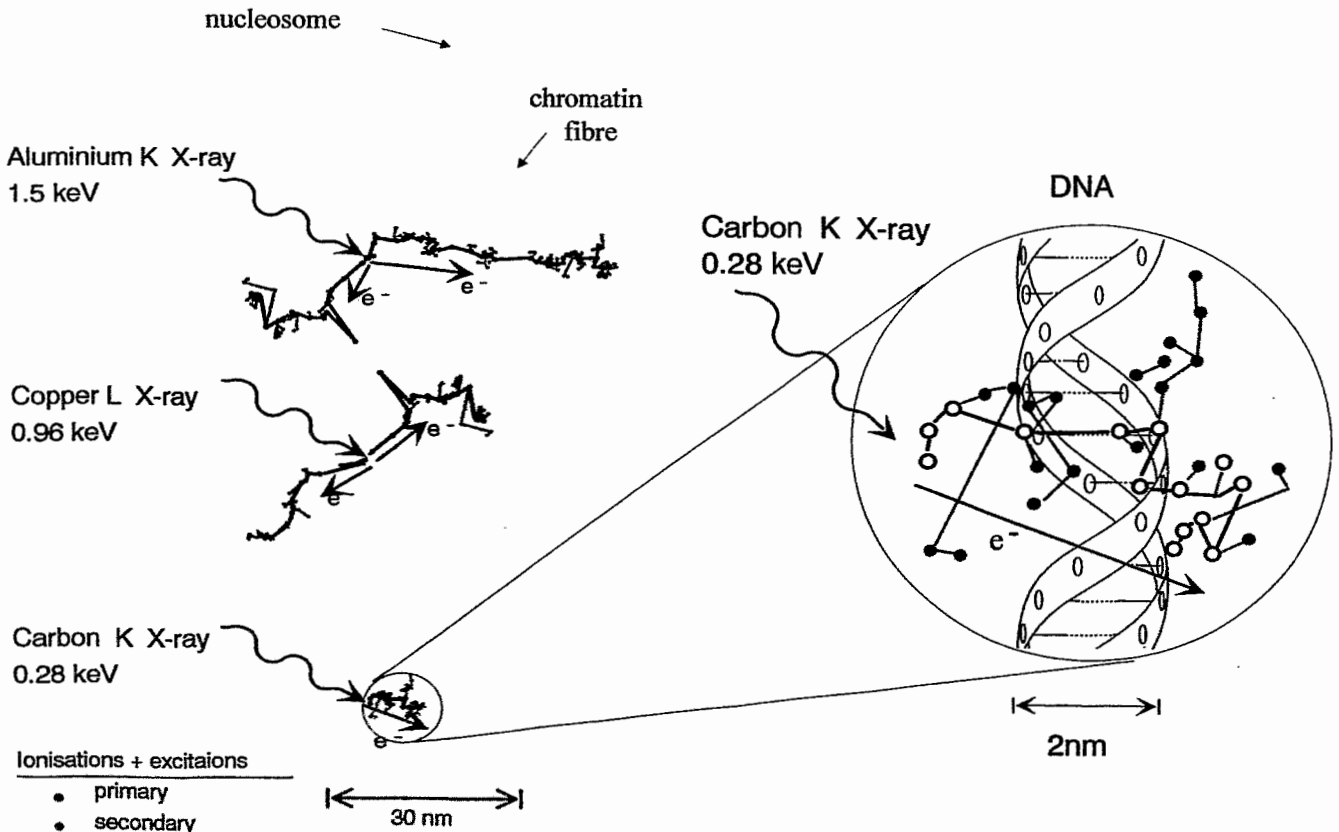
# Why use ultrasoft X-rays?

- Provide a unique tool for investigating energy and spatial requirements of radiation damage
  - produce isolated tracks of electrons
  - small, well defined energies
  - very short tracks - comparable to critical structures of the cell, such as DNA, nucleosomes and chromatin fibre

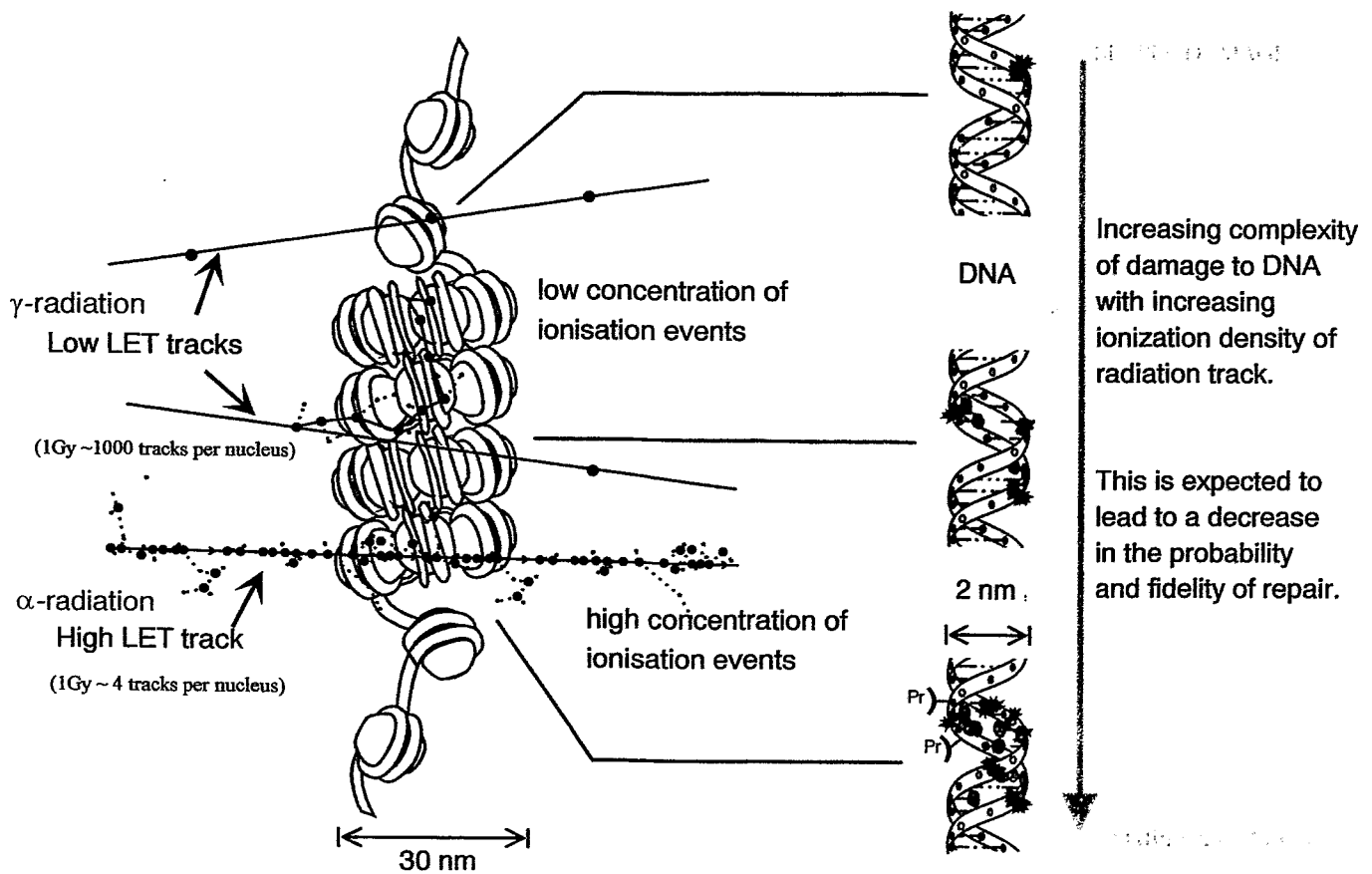
*Energies available at the MRC:*

X-ray	energy (keV)	dominant electron energy (keV)		combined csda range (nm)	attenuation length in tissue ( $\mu\text{m}^{-1}$ )
		photo-	auger-		
C <sub>K</sub>	0.28	0.25	-	7	0.56
Cu <sub>L</sub>	0.96	0.42	0.52	38	0.47
Al <sub>K</sub>	1.49	0.96	0.52	68	0.14
Ti <sub>K</sub>	4.55	4.02	0.52	500	0.007

## Sample ultrasoft X ray tracks

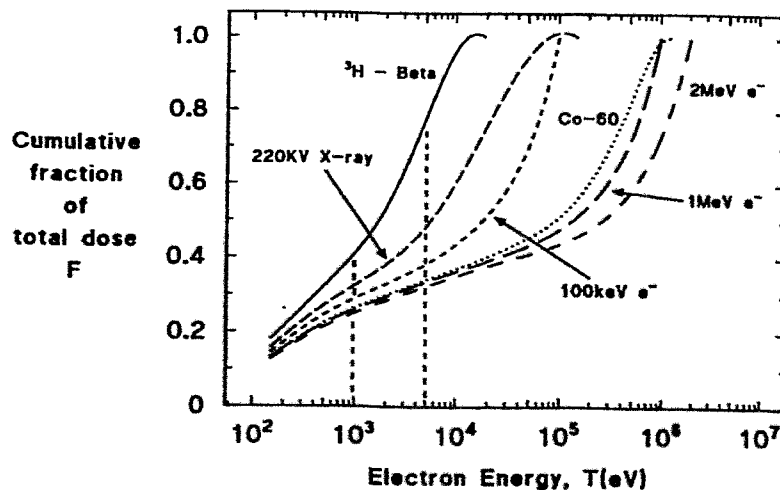


# Schematic of radiation tracks in chromatin fibre



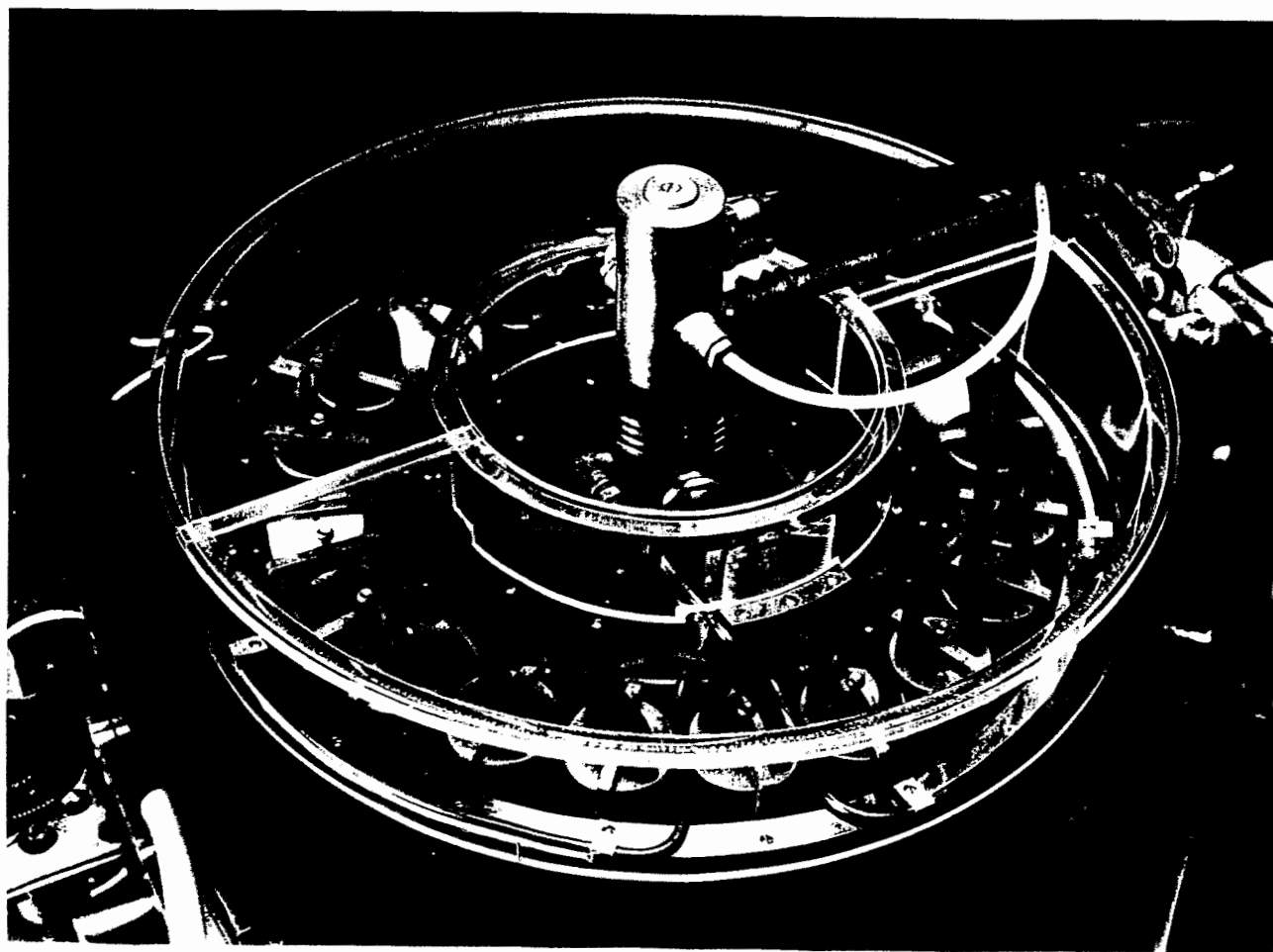
## Relevance of ultrasoft X-rays

- These low energy electrons are similar to the numerous secondary electrons produced by most irradiations

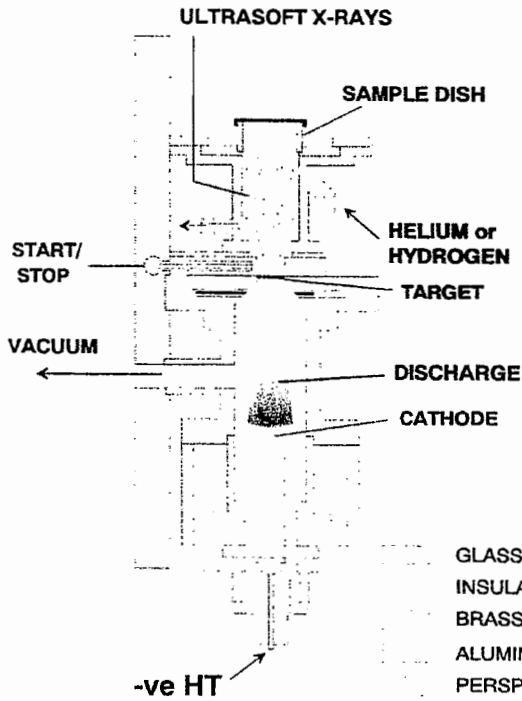


(~30% of absorbed dose produced by low energy electrons 0.1-5keV for  $^{60}\text{Co}$   $\gamma$ -rays)

# Irradiation of biological samples with ultrasoft X-rays



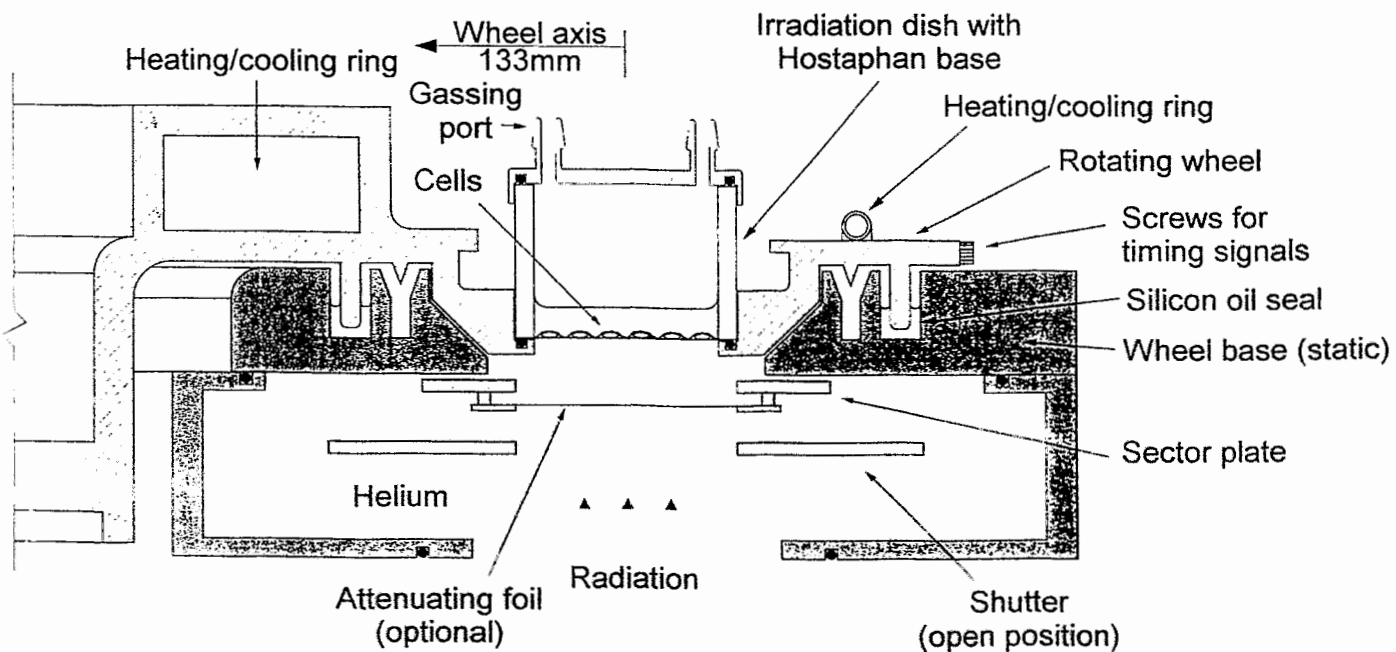
# MRC ultrasoft irradiation rig



Characteristic X-ray	C <sub>K</sub>	Cu <sub>L</sub>	Al <sub>K</sub>	Ti <sub>K</sub>
Energy (keV)	0.28	0.96	1.49	4.55
Dose rate (Gy/min)	2	1	50	5
Target lifetime	50min	12 hr	years	5 hrs

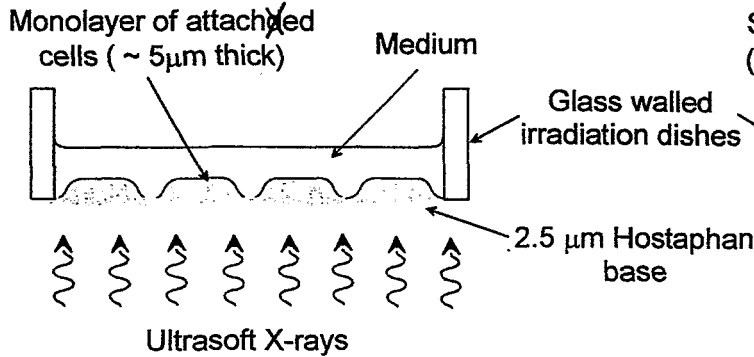
(Brem < 1%)

# MRC Low Dose Rate Irradiation Rig

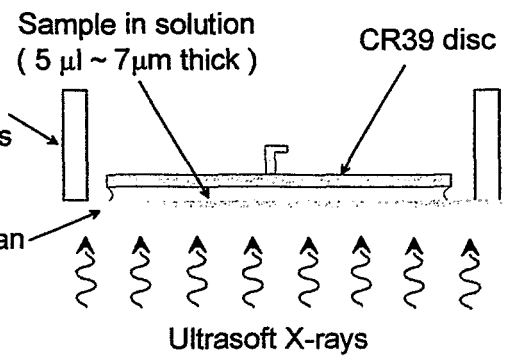


# Biological sample irradiation

## Mammalian Cells

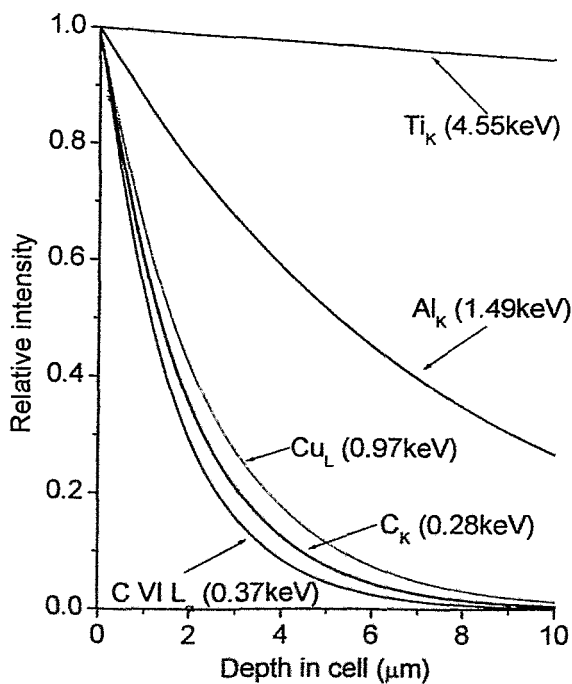


## Plasmid DNA and unattached Lymphocytes

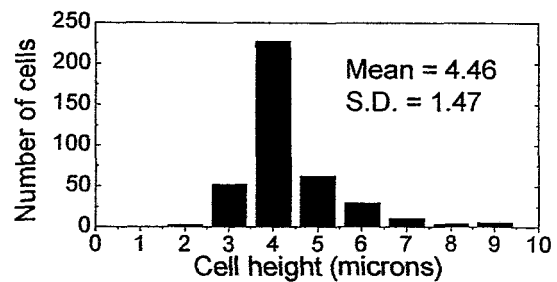


## X-ray attenuation and Cell Morphology

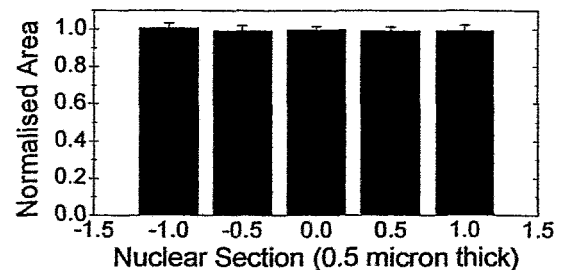
Attenuation of ultrasoft X-rays through the cell



V79 Cell thickness distribution measured by confocal microscopy

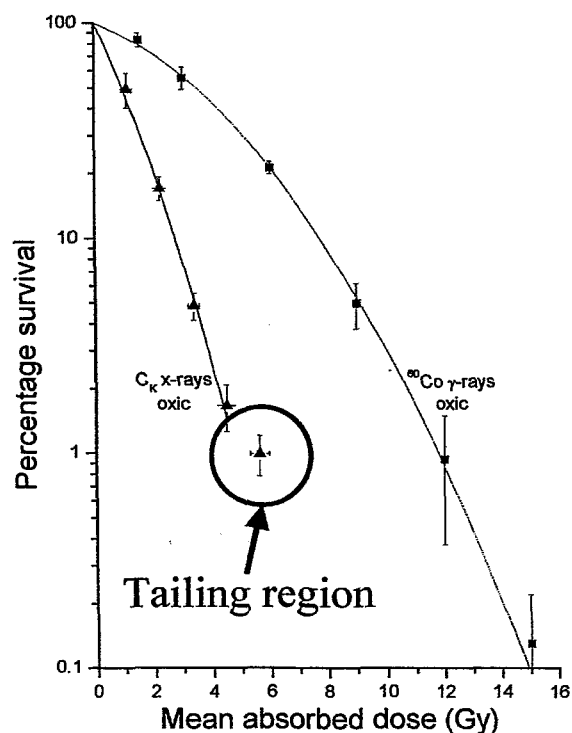


Variation in nuclear area with height through central section of flattened V79 cell





# Importance of cell morphology



Tailing is a well know phenomenon for low-penetration radiations

Tight control of the cell growth conditions is essential to minimise the effect of the tail.

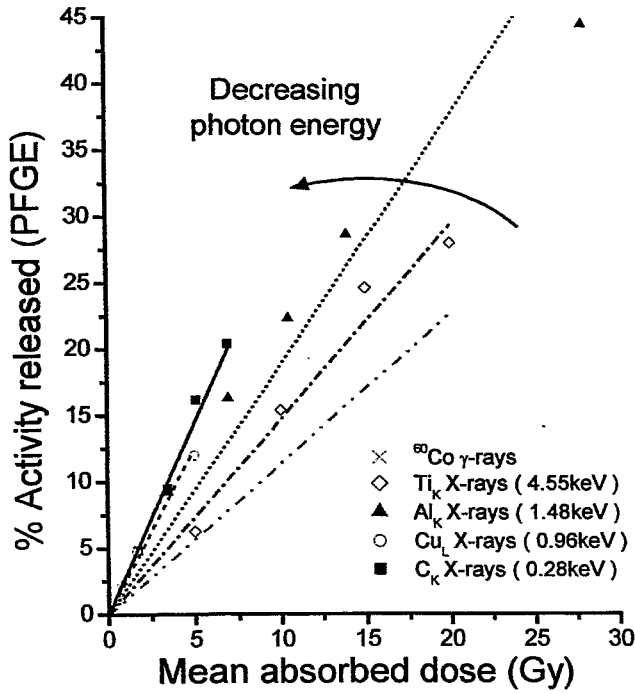
Specifically,optimising growth conditions to reproducibly obtain:

- a good cell monolayer
- well attached and well flattened cells

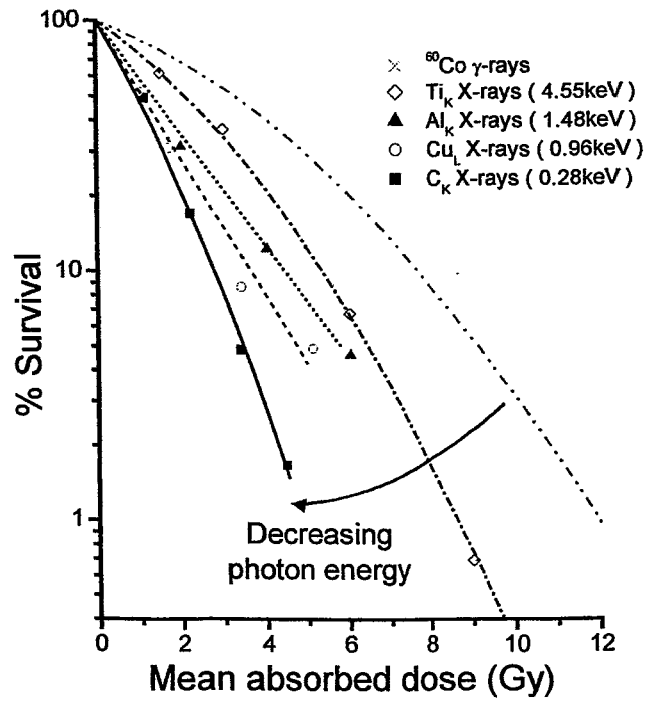
## Examples of radiobiological experiments

# Biological effectiveness of ultrasoft X-rays

## Induction of DSB (V79 cells)

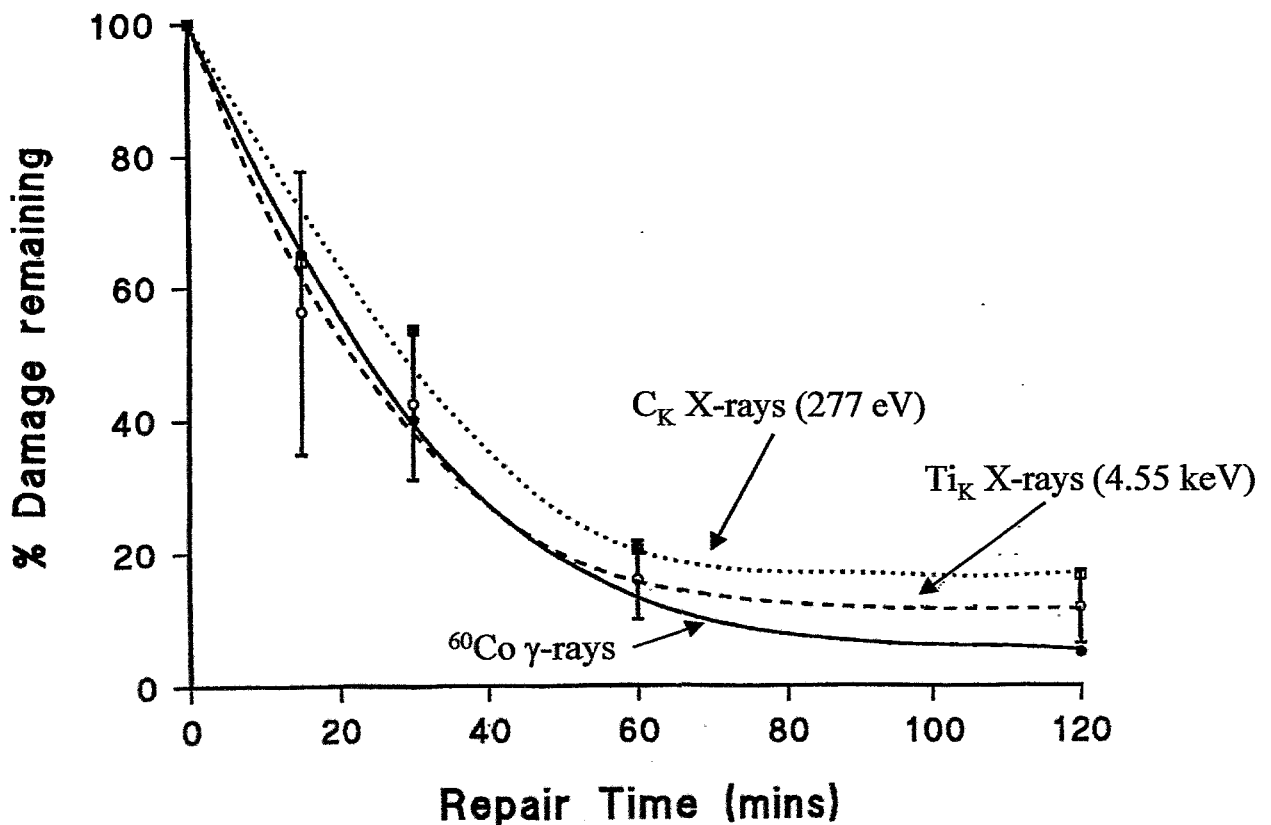


## Inactivation of V79 cells

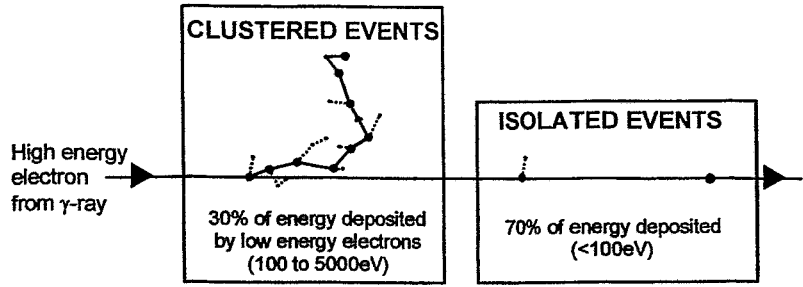


DeLara, Hill, O'Neill

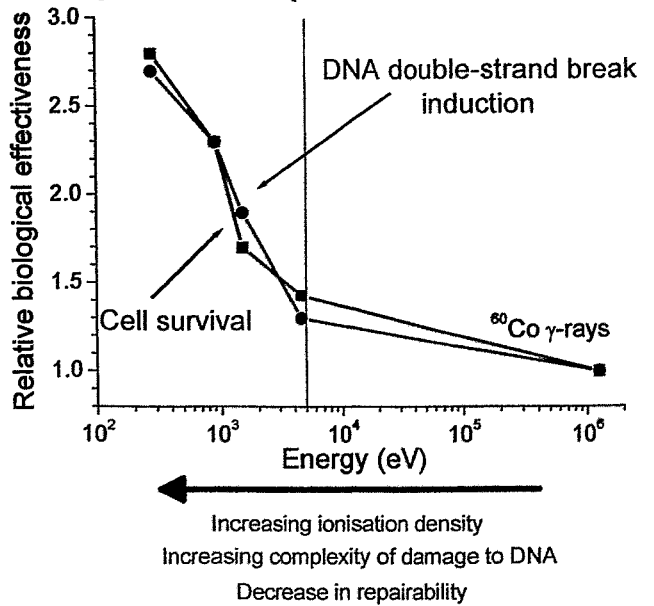
## Repair of DNA DSB by the cell



What is the critical feature of  $\gamma$ -rays leading to biologically significant DNA damage?



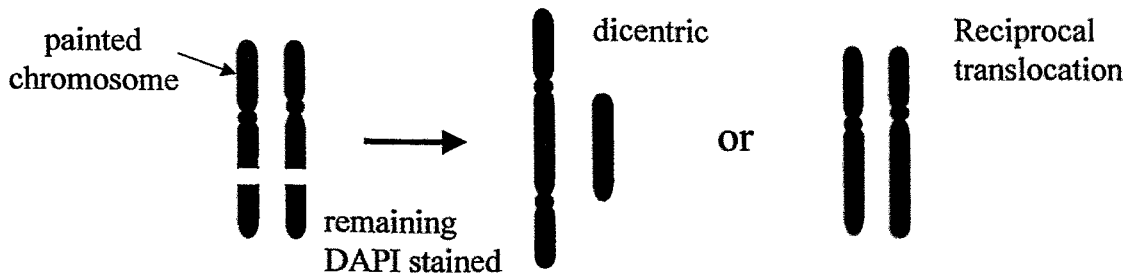
Low energy, secondary electrons are the critical component of low LET radiations tracks rather than the more numerous isolated events



## Chromosome aberrations viewed using FISH

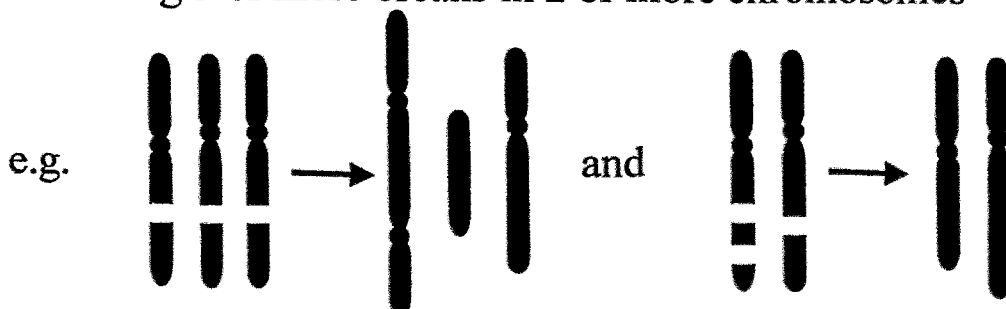
### Simple exchanges

- With apparently 2 breaks in 2 chromosomes



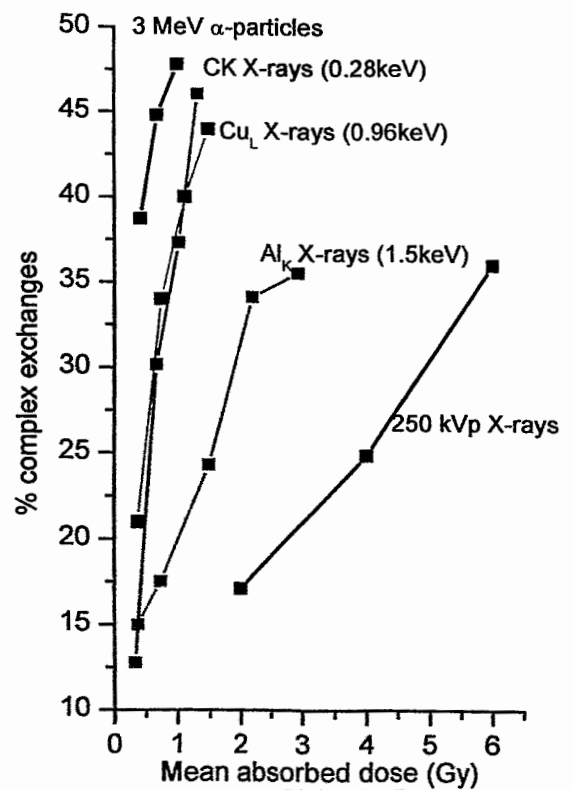
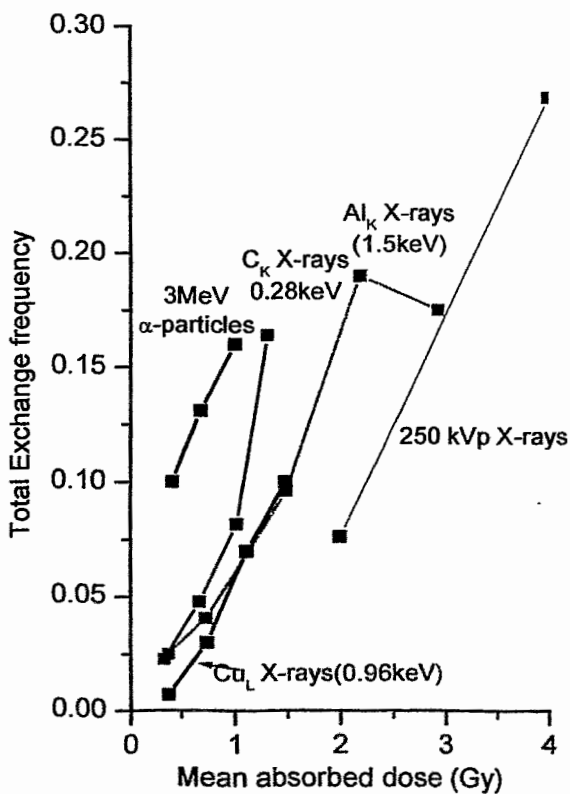
### Visible complex exchanges

- Involving 3 or more breaks in 2 or more chromosomes



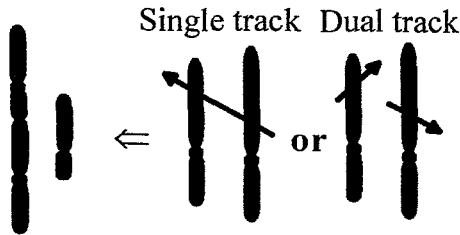
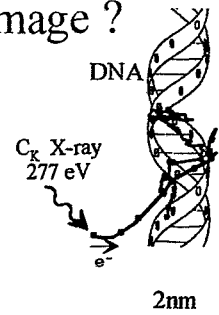


## Aberrations induced in chromosome 1



# Can single electrons produce biologically relevant damage ?

- Carbon K ultrasoft X-rays produce a single electron with a range <7nm
- Probability of producing 2 double-strand breaks in 2 separate chromosome is practically zero

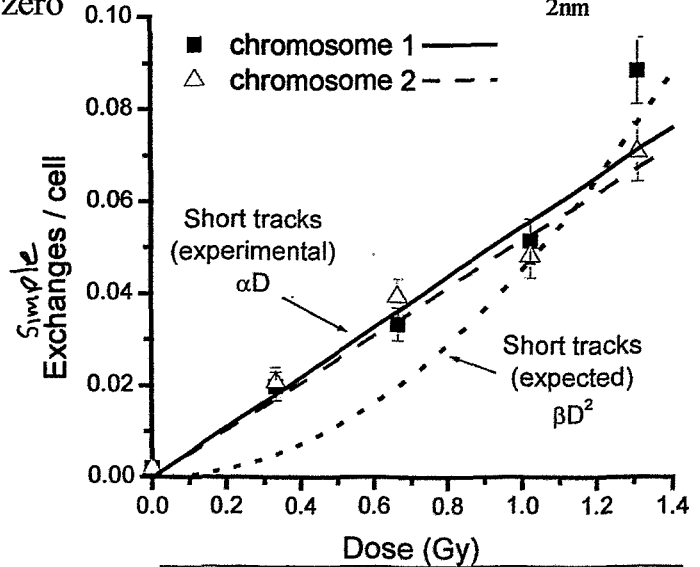


For short electron tracks expect:

$$\text{Yield} = \alpha D^0 + \beta D^2$$

however, experimental results show:

$$\text{Yield} \sim \alpha D$$

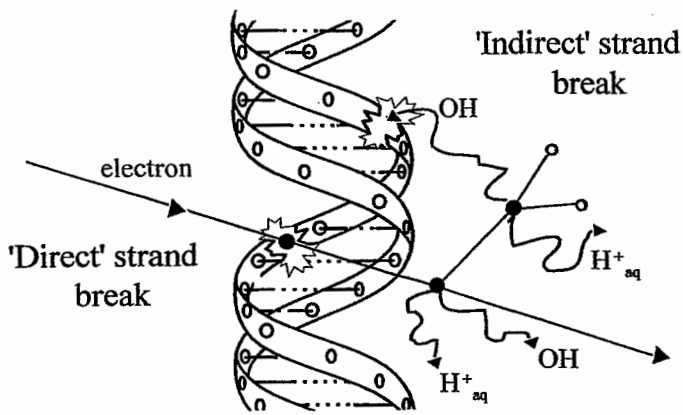


Griffin et al. (1998), *Int. J. Radiat. Biol.*, 73, 591

## Carbon K aberration data

- Provides further support for the hypothesis that damaged DNA may be able to interact with undamaged DNA
- Data suggest that a simple chromosome exchange can be induced by the passage of a single electron track.
- Suggests that even for the lowest dose of low-LET radiation there is a finite probability of producing DNA rearrangement.

# Radiation induced DNA strand breaks



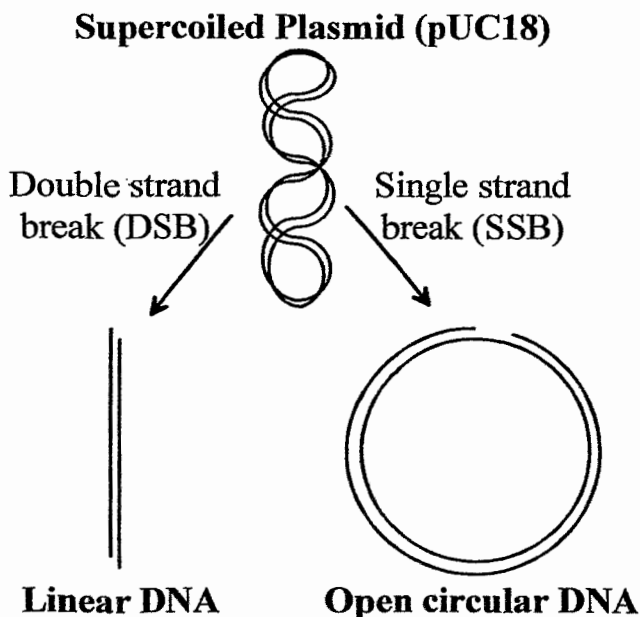
## Low scavenging capacities

DNA strand breakage dominated by reaction with the numerous OH radicals produced in the surrounding water and able to diffuse to the DNA.

## High scavenging capacities

Diffusion distance of OH radicals very small (<4nm in cells) significantly reducing OH induced strand breaks to a level similar to that produced directly by radiation in DNA.

# Plasmid DNA studies



- Relative proportions can be determined by gel electrophoresis

- Scavenging capacity determined by concentration of Tris.

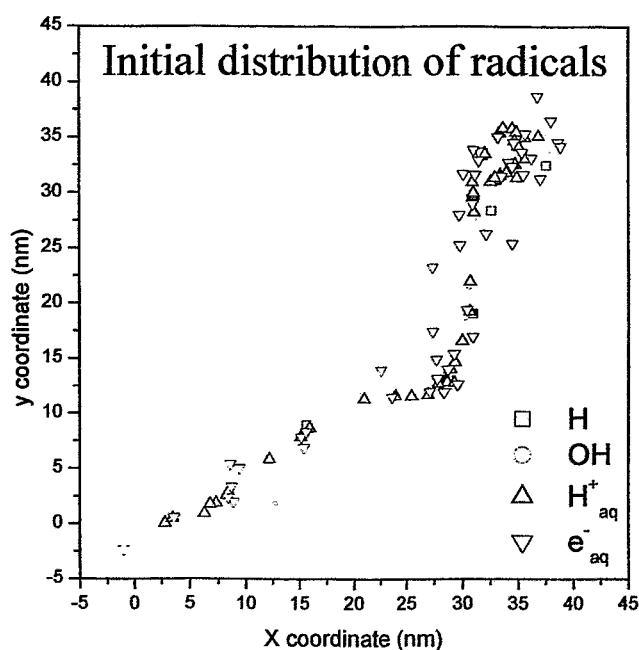
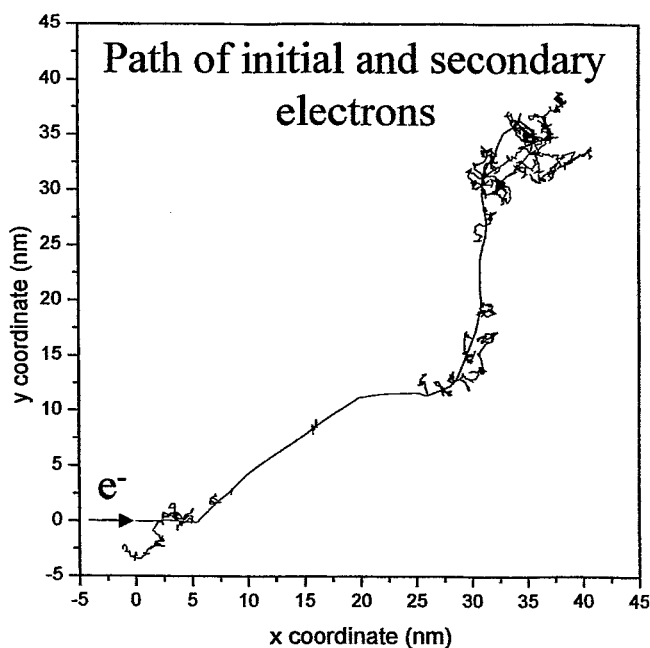
## *Low scavenging capacity*

- Probe of yield of OH radicals escaping radiation track.

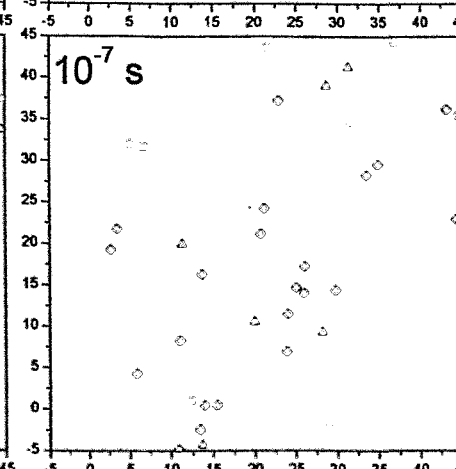
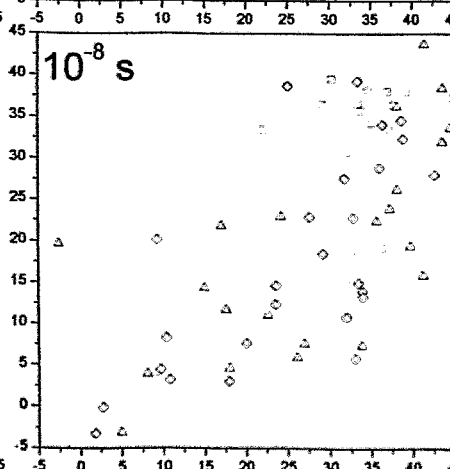
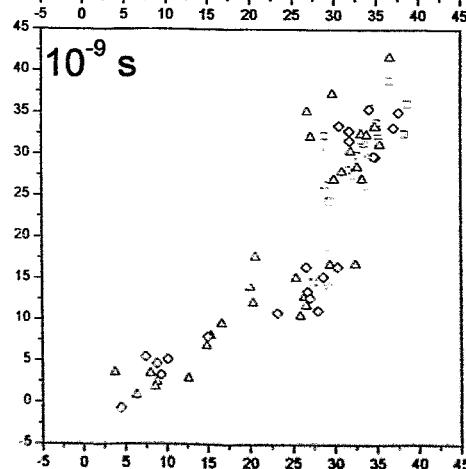
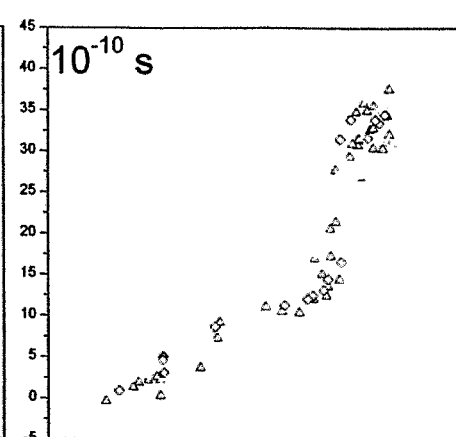
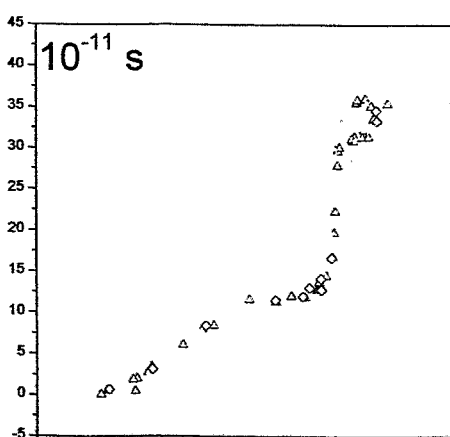
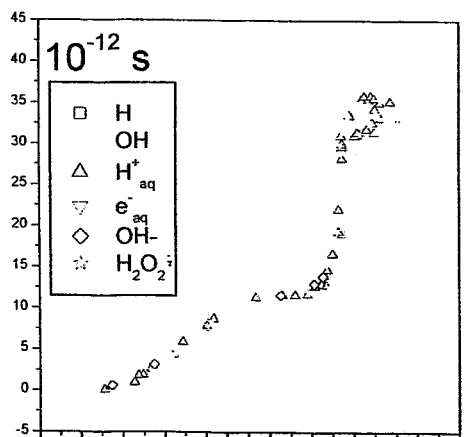
## *High scavenging capacity*

- Model system for DNA damage in cell

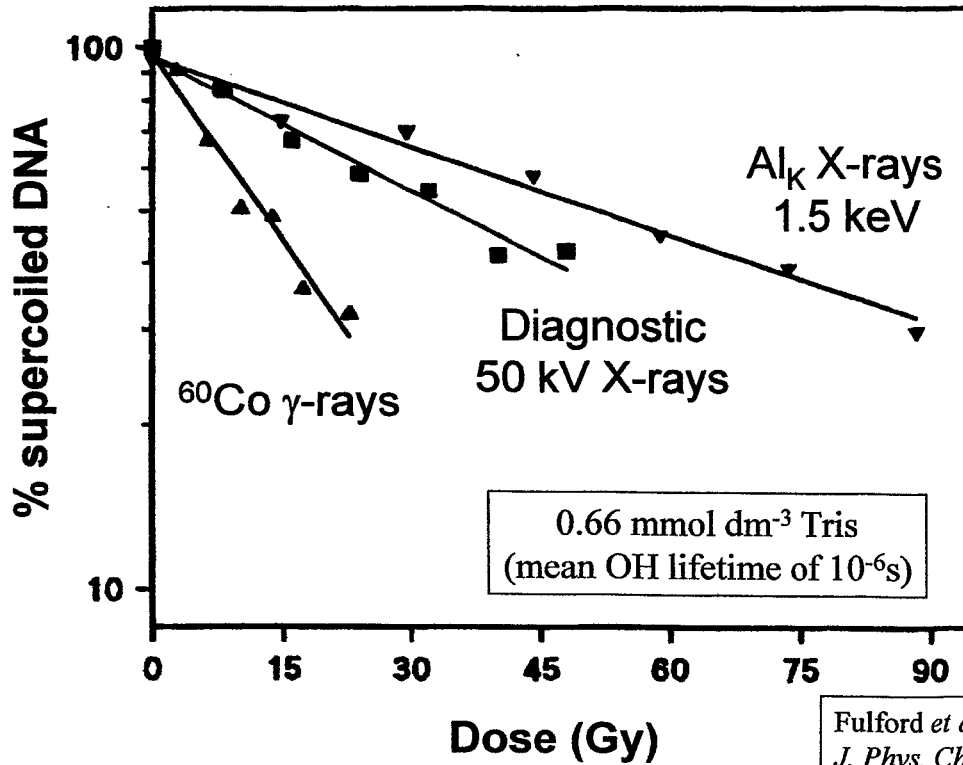
# A typical 1 keV electron track



Hill and Smith (1994) *Radiat. Phys. Chem.*, **43**, 265.

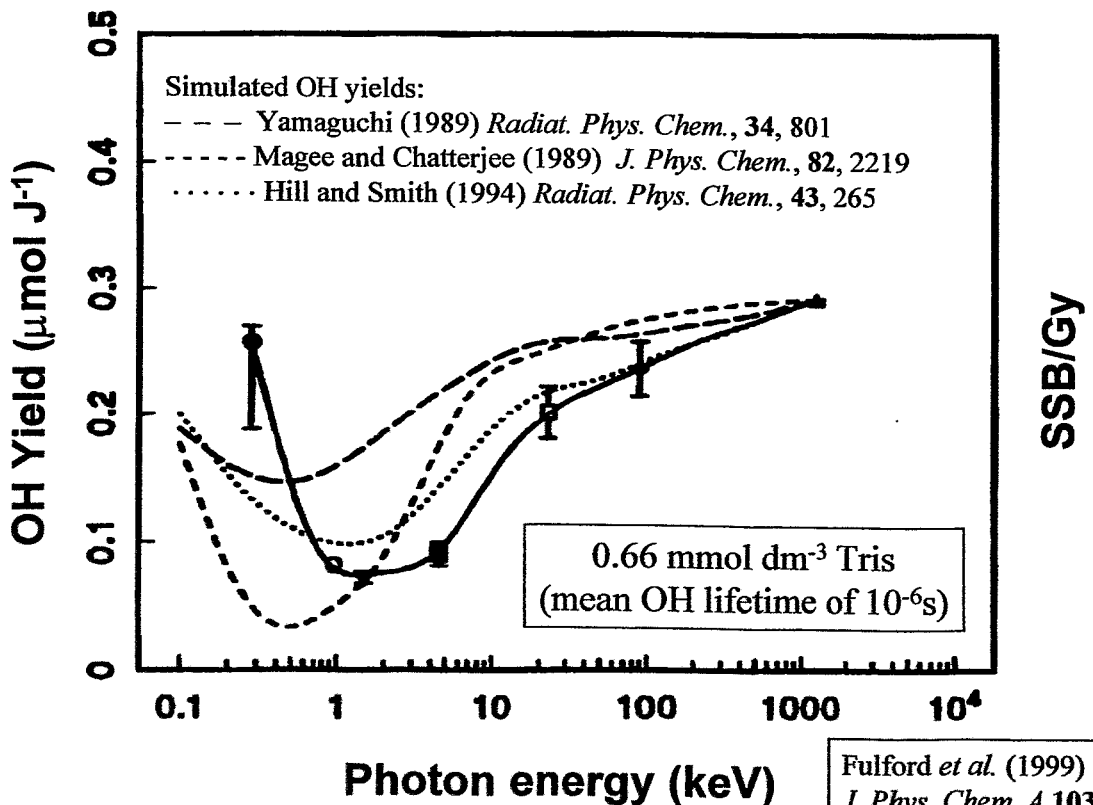


Dose dependence for loss of supercoiled plasmid DNA (pUC18)



Fulford *et al.* (1999)  
*J. Phys. Chem. A* 103, 11345

Yield of OH radicals escaping radiation tracks vs photon energy



Fulford *et al.* (1999)  
*J. Phys. Chem. A* 103, 11345



## Ultrasoft X-ray Summary

- Ultrasoft X-rays can be used to investigate the energy and spatial requirements of radiation damage.
- Low energy electrons are similar to the numerous secondary electrons produced by most radiation.
- Due to the significant attenuation through the cell: It is essential to have a tight control on the growth conditions to reproducibly obtain:
  - a good cell monolayer.
  - well attached and well flattened cells.

## Experimental Summary

- Ultrasoft X-rays are generally more effective at producing biological damage than  $\gamma$ -rays for a given dose, due to the increasing clustering of ionisations on the nanometer scale.
- Low energy, secondary electrons (100eV - 5000eV) are believed to be the critical component of low LET radiation tracks rather than the more numerous isolated events.
- $C_K$  X-ray aberration data provides further support for the hypothesis that damaged DNA may be able to interact with, undamaged DNA.
- Ultrasoft X-ray experiments can provide invaluable bench mark data for theoretical simulations. For example:
  - DSB yield with photon energy
  - OH yield with photon energy

# Acknowledgements

## MRC Radiation and Genome Stability Unit

### Biophysics group

Dudley Goodhead

David Stevens

Hooshang Nikjoo

### DNA damage group

Peter O'Neill

Cathy deLara

Stan Botchway

### Cytogenetics

Carol Griffin

Paul Simpson

John Savage

### Microscopy

Stuart Townsend

*B. D. Michael:*

**A focused soft X-ray microbeam for  
investigating the radiation responses of  
individual cells**

# A FOCUSED SOFT X-RAY MICROBEAM FOR INVESTIGATING THE RADIATION RESPONSES OF INDIVIDUAL CELLS

G Schettino<sup>1</sup>, M Folkard<sup>1</sup>, AG Michette<sup>2</sup>, KM Prise<sup>1</sup>, B Vojnovic<sup>1</sup> and  
BD Michael<sup>1</sup>

<sup>1</sup>Gray Laboratory Cancer Research Trust,  
Mount Vernon Hospital, Northwood, Middlesex, U.K.

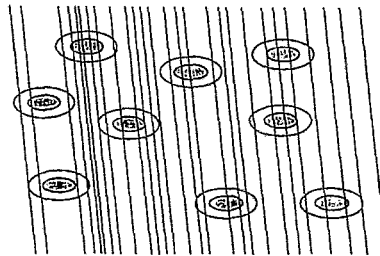
<sup>2</sup>Department of Physics, King's College London, U.K.

Work supported by:

- Cancer Research Campaign, U.K.
- Biotechnology and Biological Sciences Research Council, U.K.
- European Union
- U.S. Department of Energy

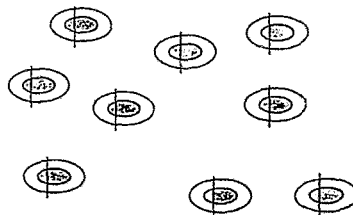
## Two main experimental approaches to radiation biology of cells

### Conventional or "broad-field"



- In general, cells are randomly traversed by radiation tracks
- Results are generally scored as an average throughout the exposed population

### Microbeam



- Cells are targeted individually with counted tracks under automated control
- Results are generally scored in individual cells, or their progeny

## Early work on ionising radiation microbeams with cells

Zirkle and co-workers in the 1950's

## New generation of radiobiology microbeams developed since ~1990

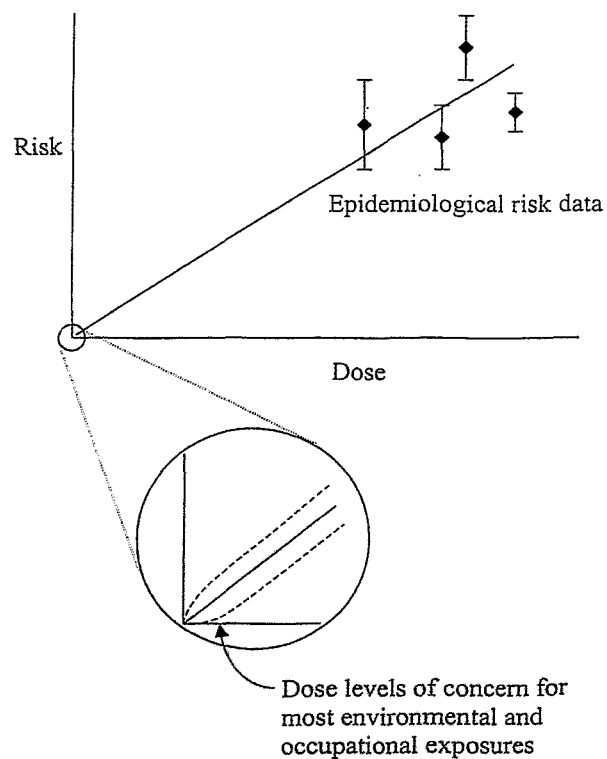
### a) Charged-particle microbeams

- Texas A&M University (formerly at Battelle Pacific Northwest Labs.)
- Columbia University, New York
- Gray Laboratory, U.K. *JAERI, Takasaki, Japan*
- Others under development in Japan, U.S.A. and Europe

### b) X-ray/electron microbeams

- Gray Laboratory, U.K.
- Others under development in Japan and U.S.A.

## Uncertainties in extrapolation of radiation risk



## Applications of microbeams in radiation biology

### Spatial resolution

- To resolve the targets and pathways involved in cellular effects of radiation

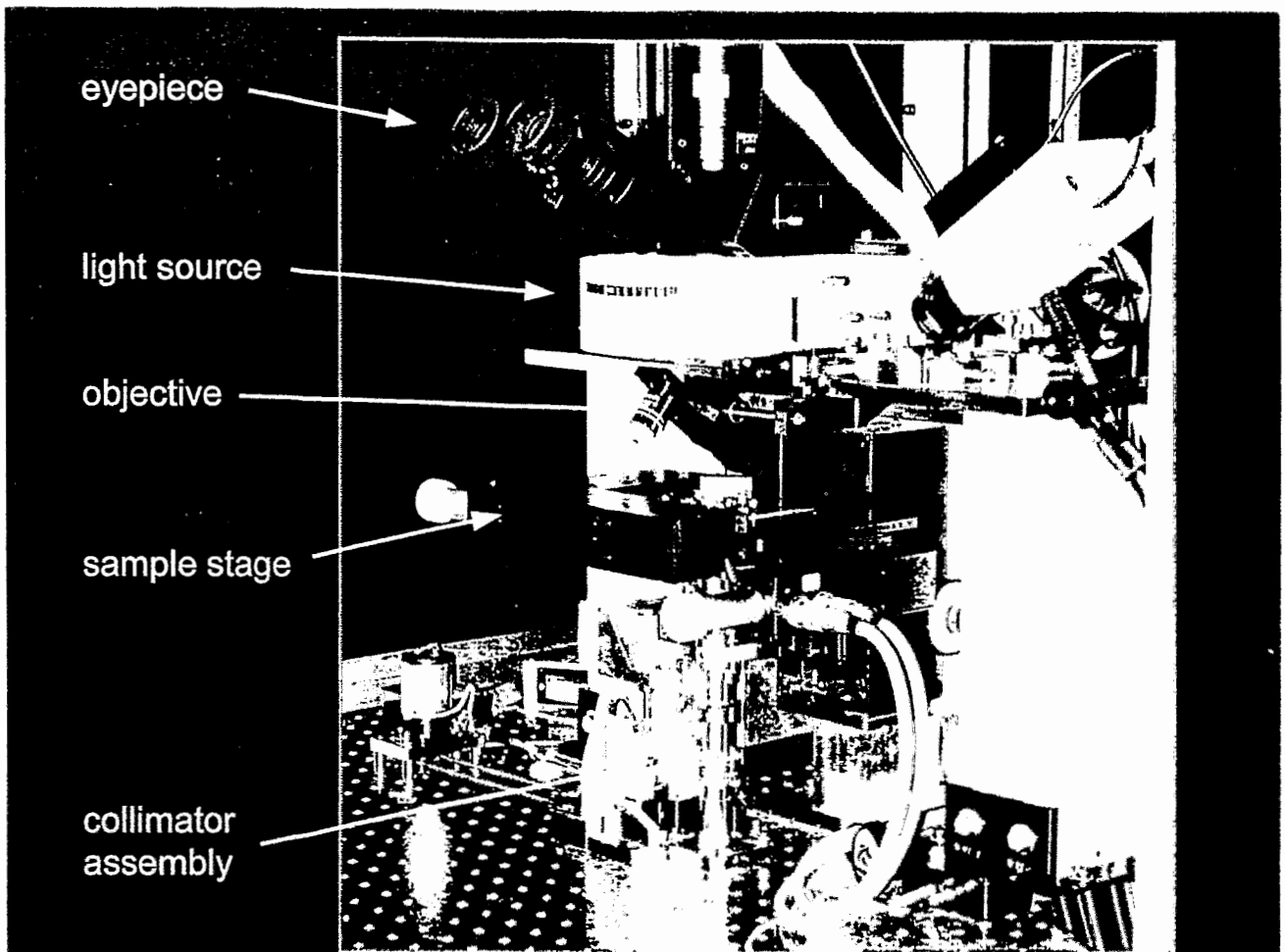
### Dose resolution

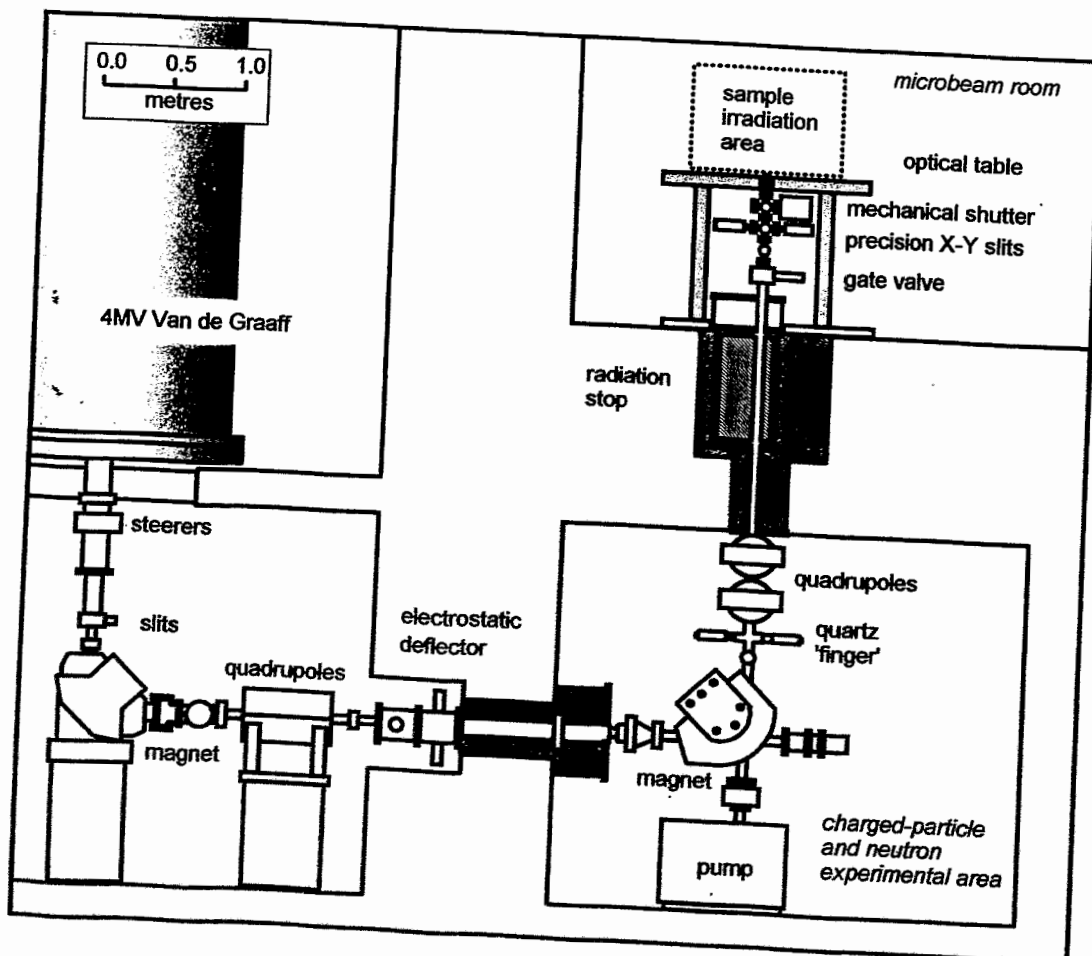
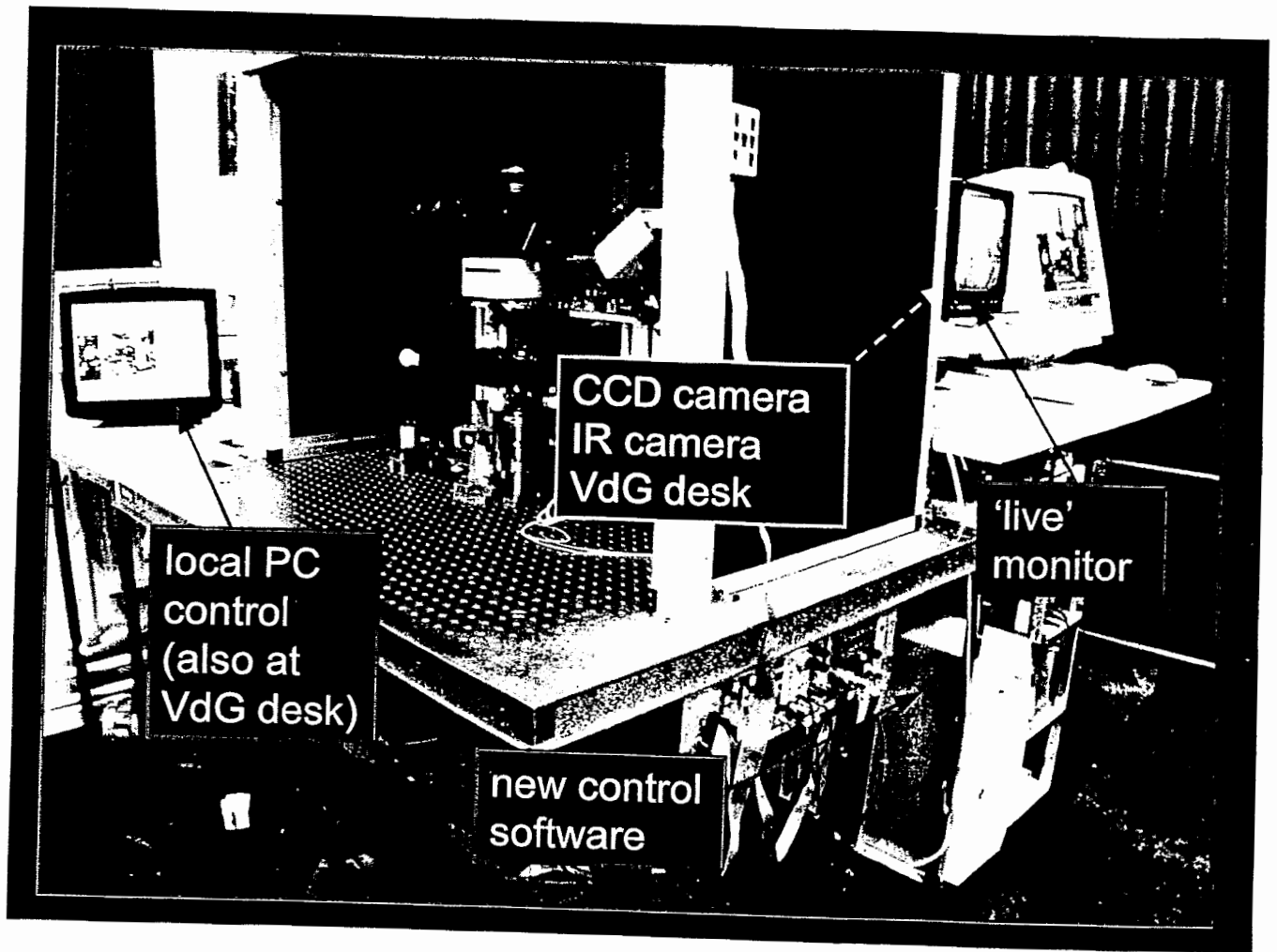
- To determine cellular radiation effects down to the ultimate low-dose limit - traversal by a single track

### Overall objective

Information to develop improved models of radiation effects

- for radiotherapy
- for estimation of radiation risks

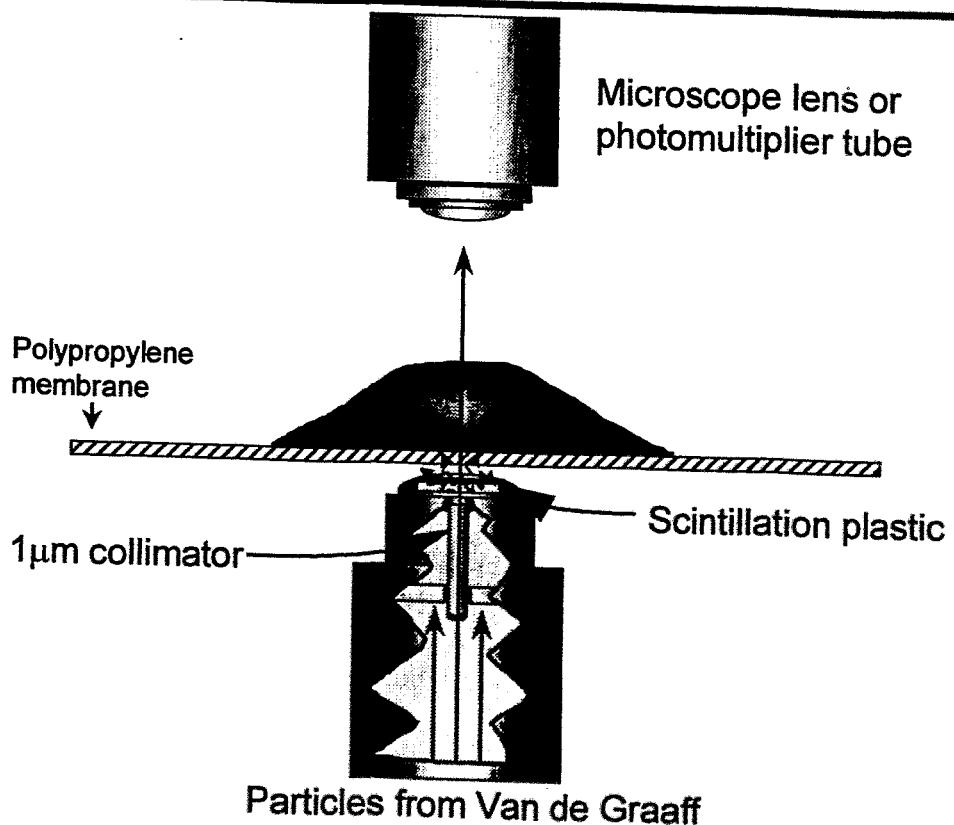




## Particles Available

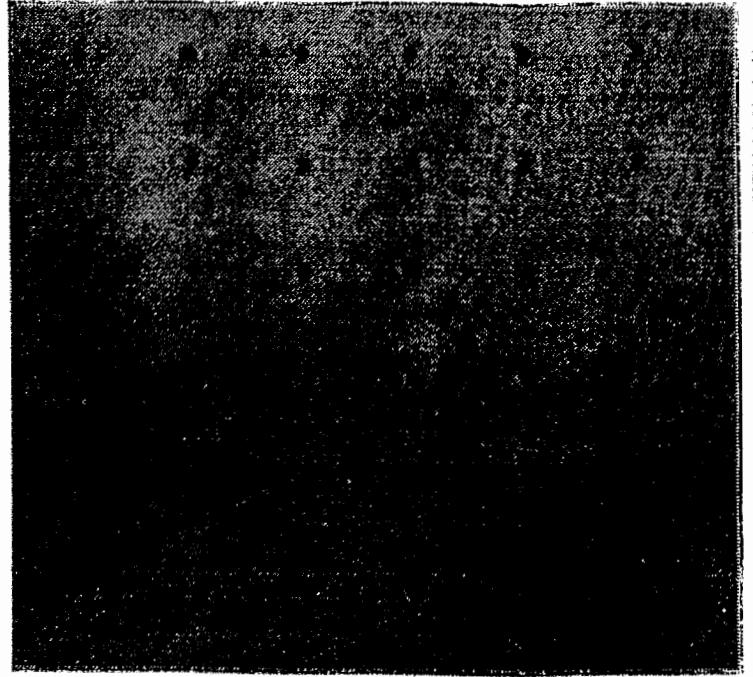
Particle	Energy at cell surface (MeV)	LET at cell surface (keV/ $\mu\text{m}$ )
Protons	< 4.0	> 10
Helium-3	< 5.6	> 65
Helium-4	< 4.0	> 100

## Microbeam irradiation





# $^3\text{He}^{++}$ ions

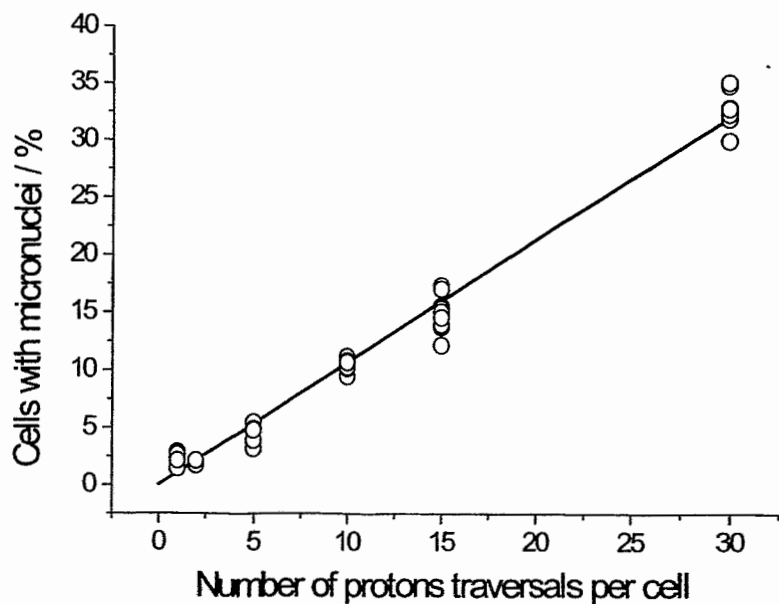


- 1  $\mu\text{m}$  collimator
- 10 ions per cluster

100  $\mu\text{m}$

Target every cell nucleus within a population -1

- V79 cells
- 3.2 MeV protons
- Micronuclei scored 24 hours later
- One proton deposits 0.02 Gy/nucleus



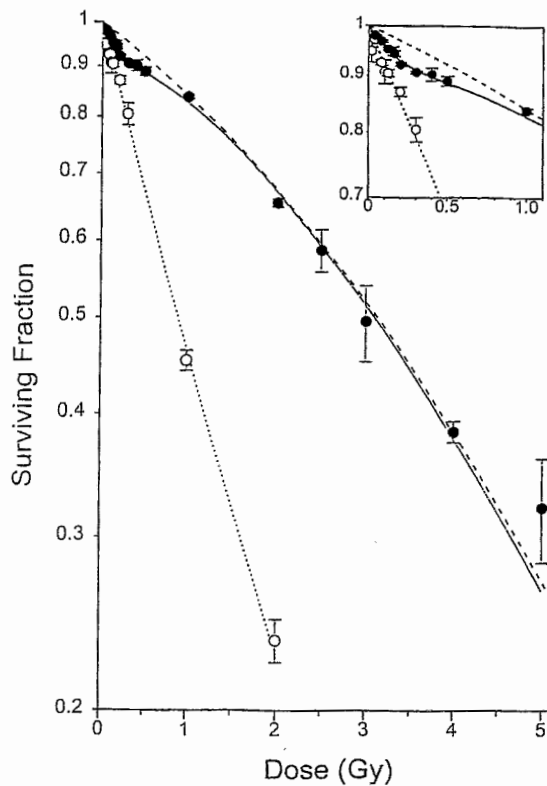
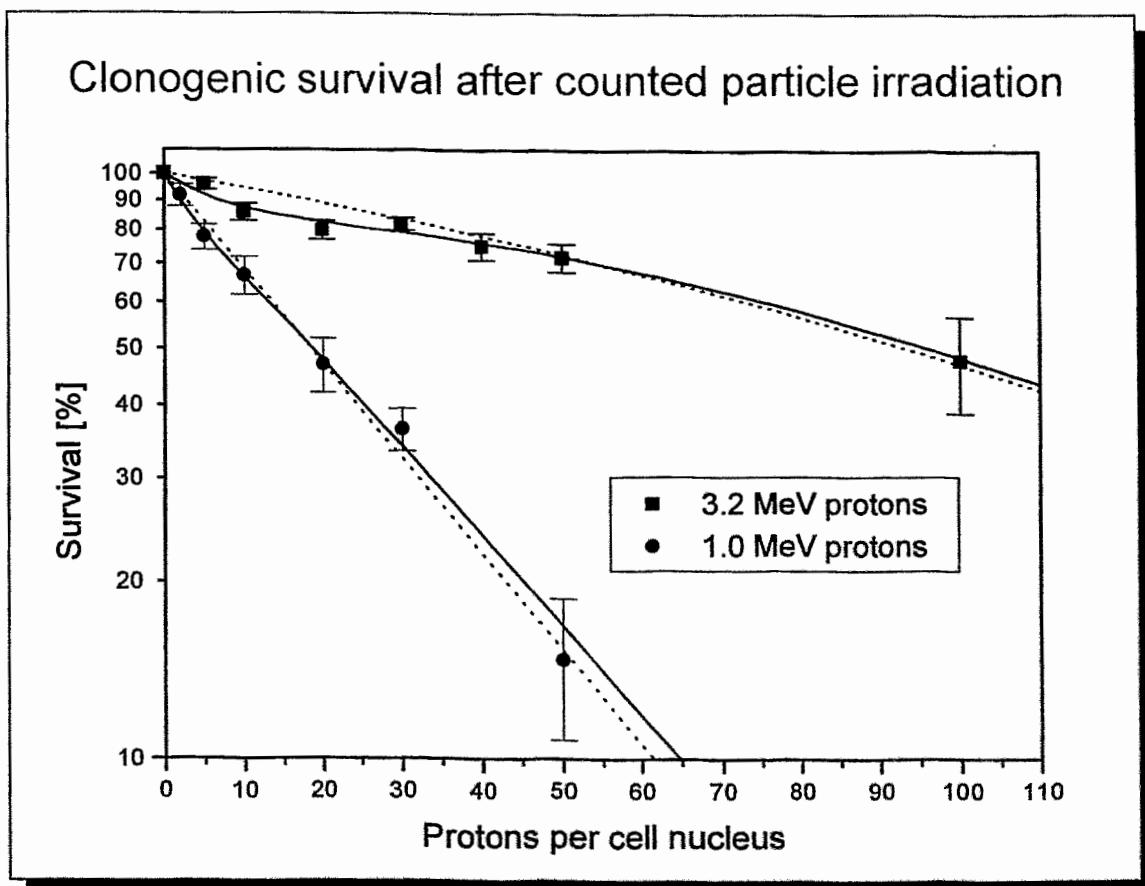


Figure 1. Survival of V79 cells irradiated with 250 kVp X-rays (solid) or neutrons (open). Symbols represent mean  $\pm$  SEM. Survival was measured using the DMPS survival assay, see text. The lines represent the fit of the Induced Repair model to the X-ray data (solid line), the LQ fit to the X-ray data (dashed line) and exponential fit to the neutron data (dotted line).

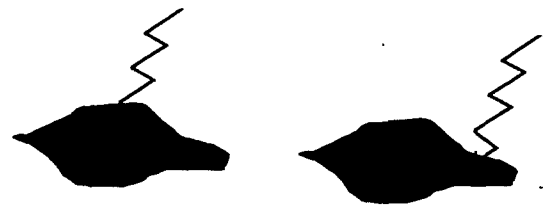


# Evidence for bystander effects

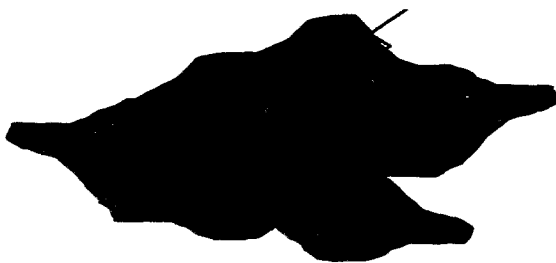
- Increased levels of SCE in CHO cells irradiated with low doses of  $\alpha$ -particles
  - Nagasawa and Little, 1994, 1992
- Increased p53 expression in lung epithelial cells exposed to low doses of  $\alpha$ -particles
  - Hickman et al., 1994
- Extracellular factors involved in SCE following  $\alpha$ -particle exposure
  - Lehnert and Goodwin, 1997
- Medium from irradiated cells reduces the survival of unirradiated cells
  - Mothersill and Seymour, 1997
- Target for chromosomal damage larger than the nucleus
  - Manti et al., 1997
- Bystander effects and genomic instability
  - Lorimore et al., 1998
- Bystander effects and cell-cell communication
  - Azzam et al., 1998
- Bystander effect after single cell irradiated
  - Prise et al., 1998

Using microbeams to study the role of extranuclear targets and bystander effects

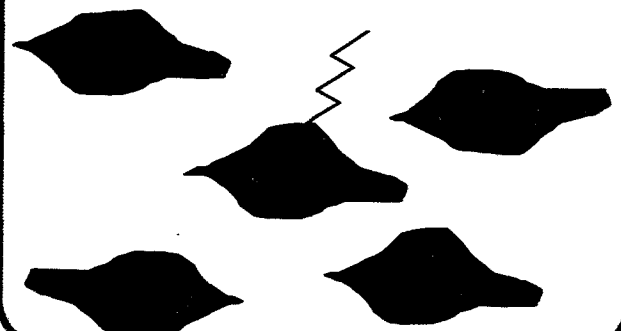
## 1. Nuclear versus cytoplasmic targeting



## 2. Cell to cell communication



## 3. Medium signalling factors

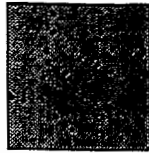




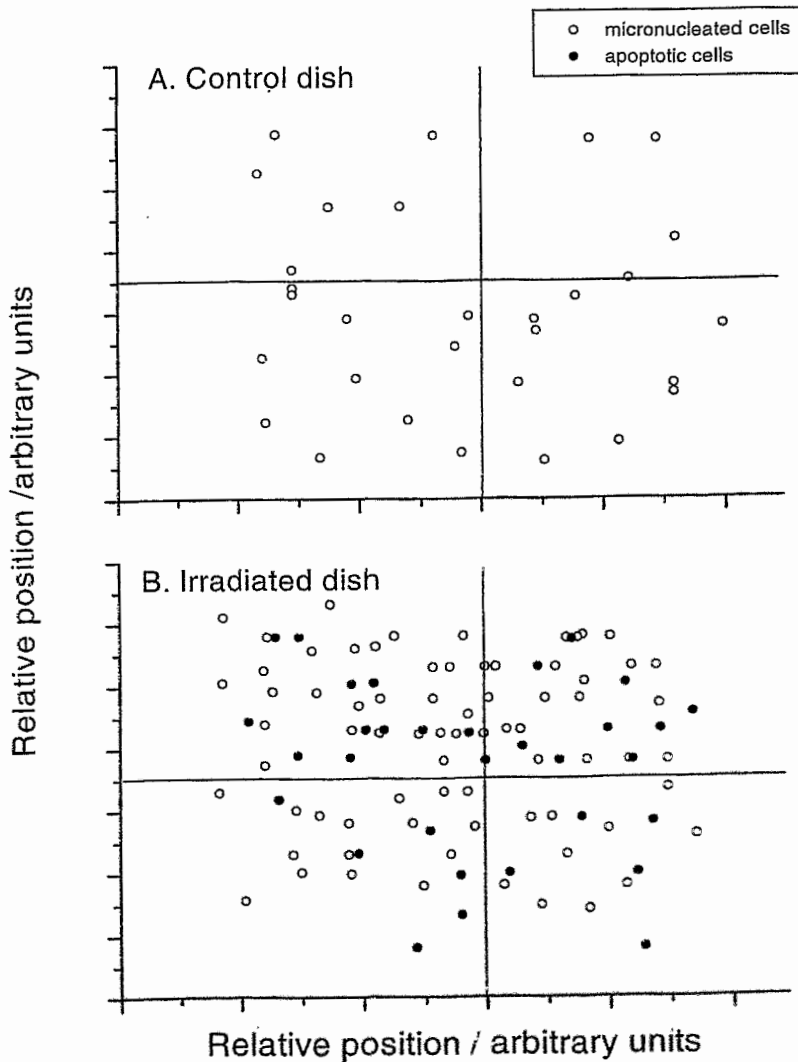
- Microbeam dish with 4 areas (4.5 x 4.5 mm)
- Approximately 100 - 200 cells (G1) seeded in each area



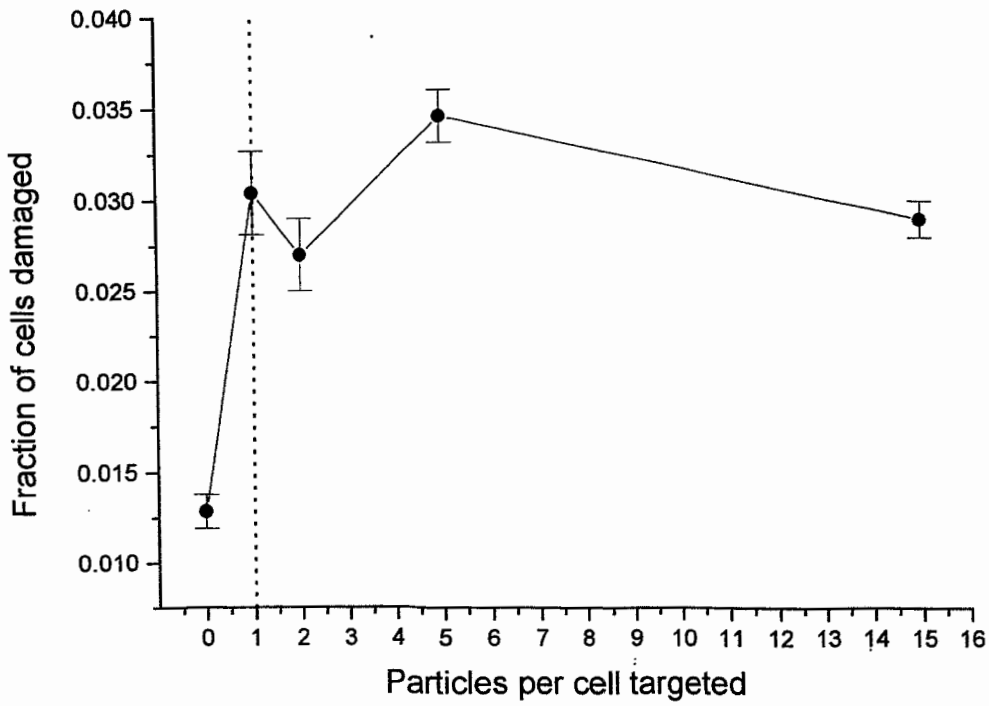
- 1 cell in each area located and exposed to 0 - 15 particles



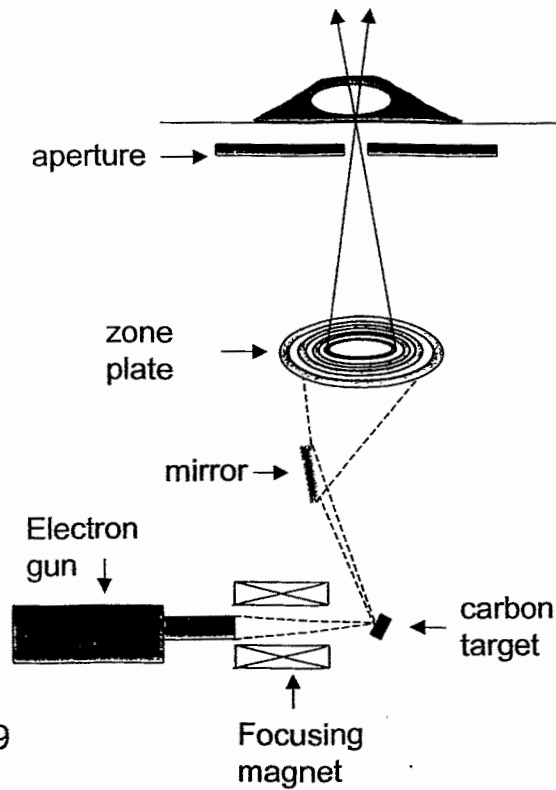
- Dish scored 1 - 3 days later for micronucleated and apoptotic cells

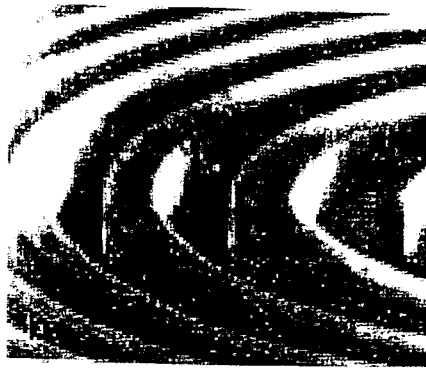
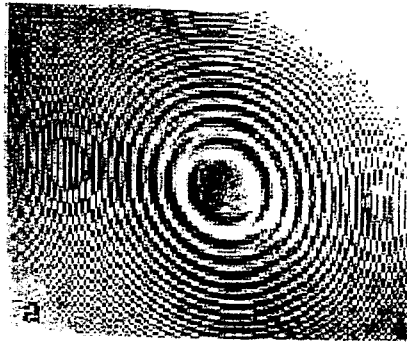


1 cell per dish targeted

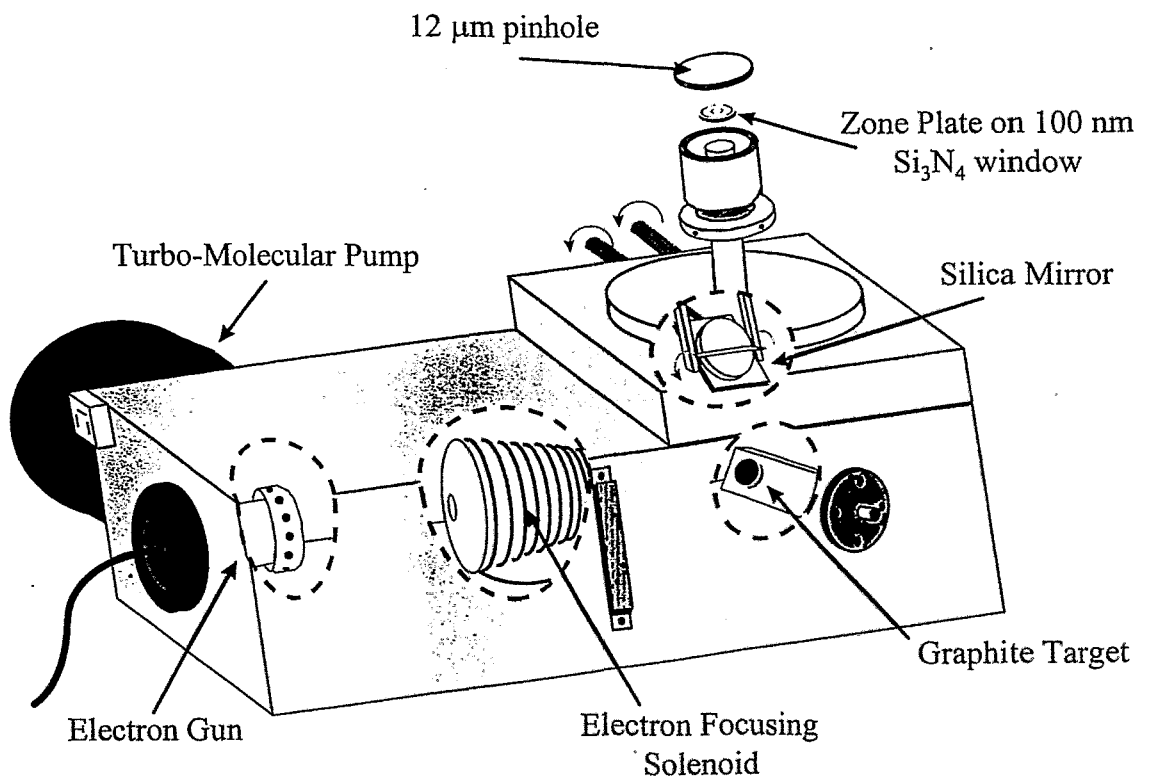


## Gray Laboratory Focused Soft X-ray facility

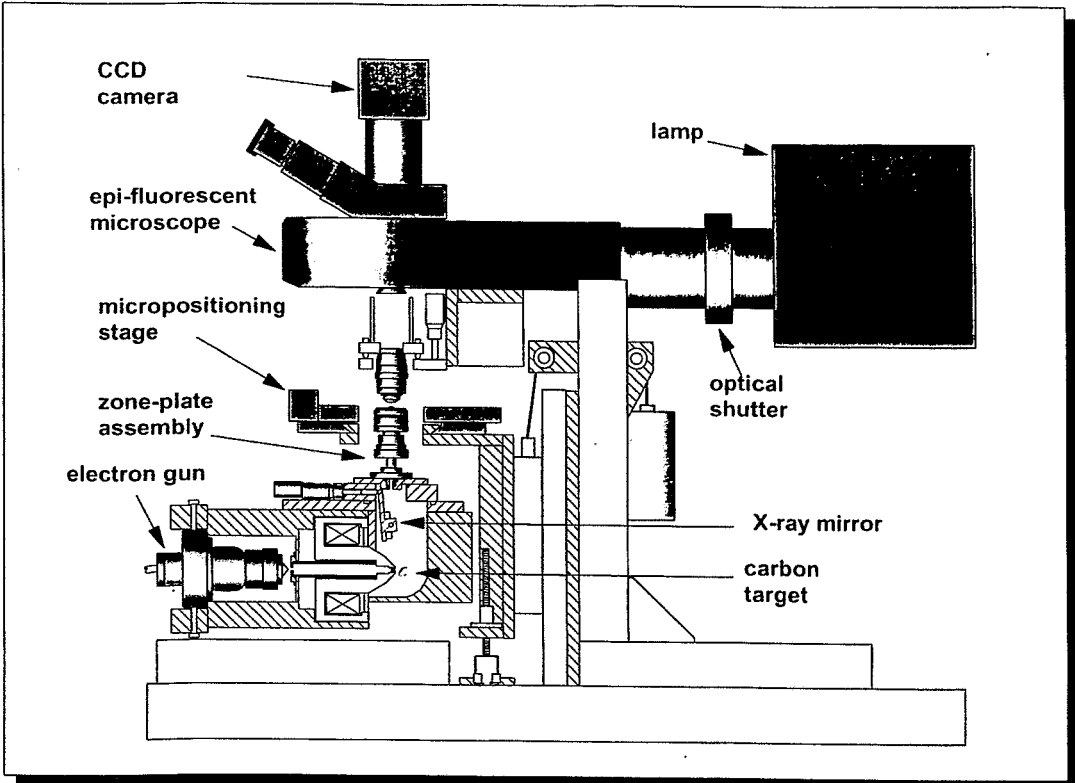




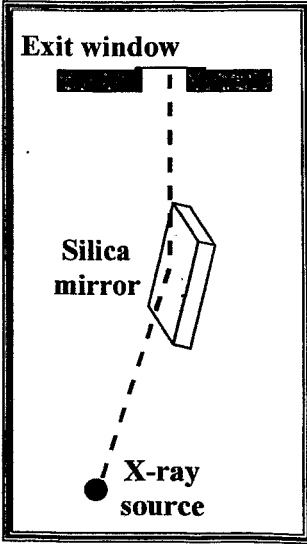
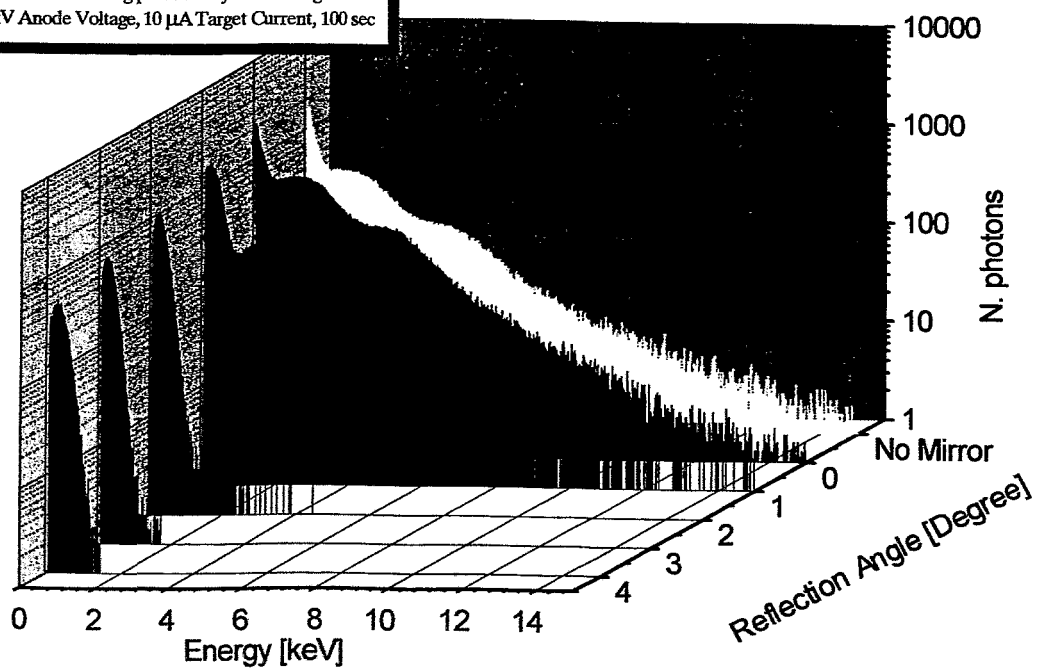
## Microprobe Source



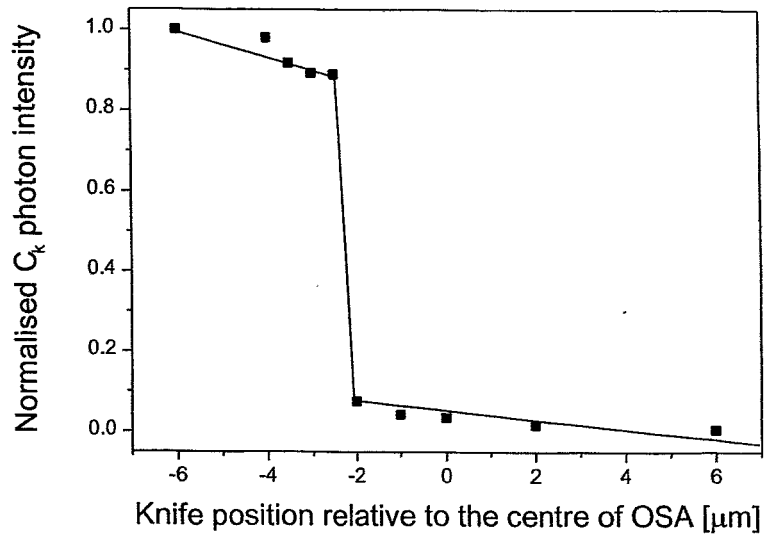
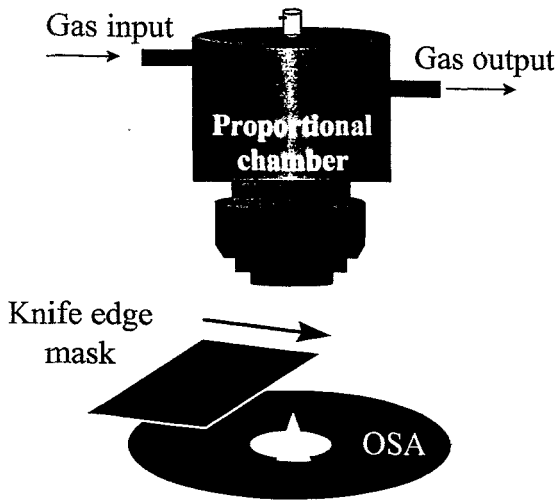
# Schematic of the Gray Laboratory Microfocus X-ray source



Effect of reflection ( $\text{SiO}_2$  mirror) for K X-rays and Bremsstrahlung produced by carbon target. 15 kV Anode Voltage, 10  $\mu\text{A}$  Target Current, 100 sec



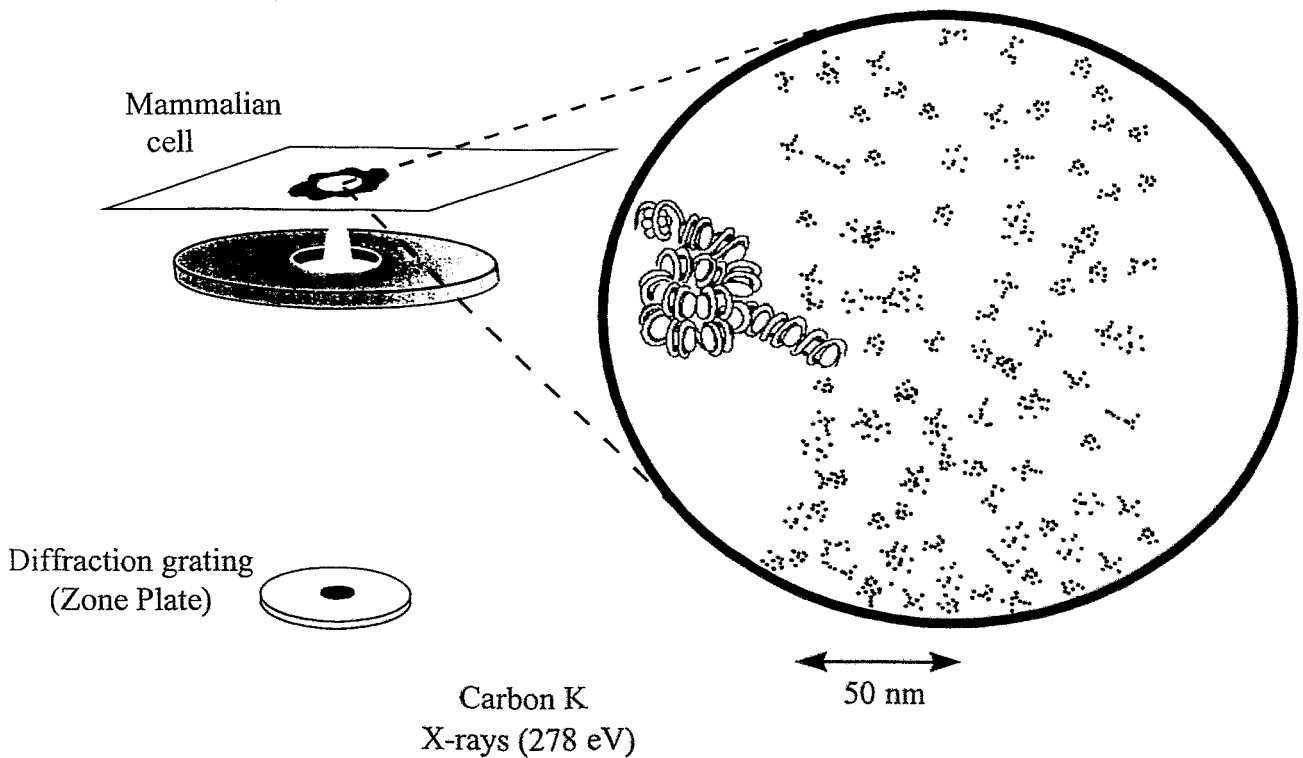
# Beam Characteristics



Beam radius < 250 nm

Dose rate ~ 1500  $C_K$  photons / sec

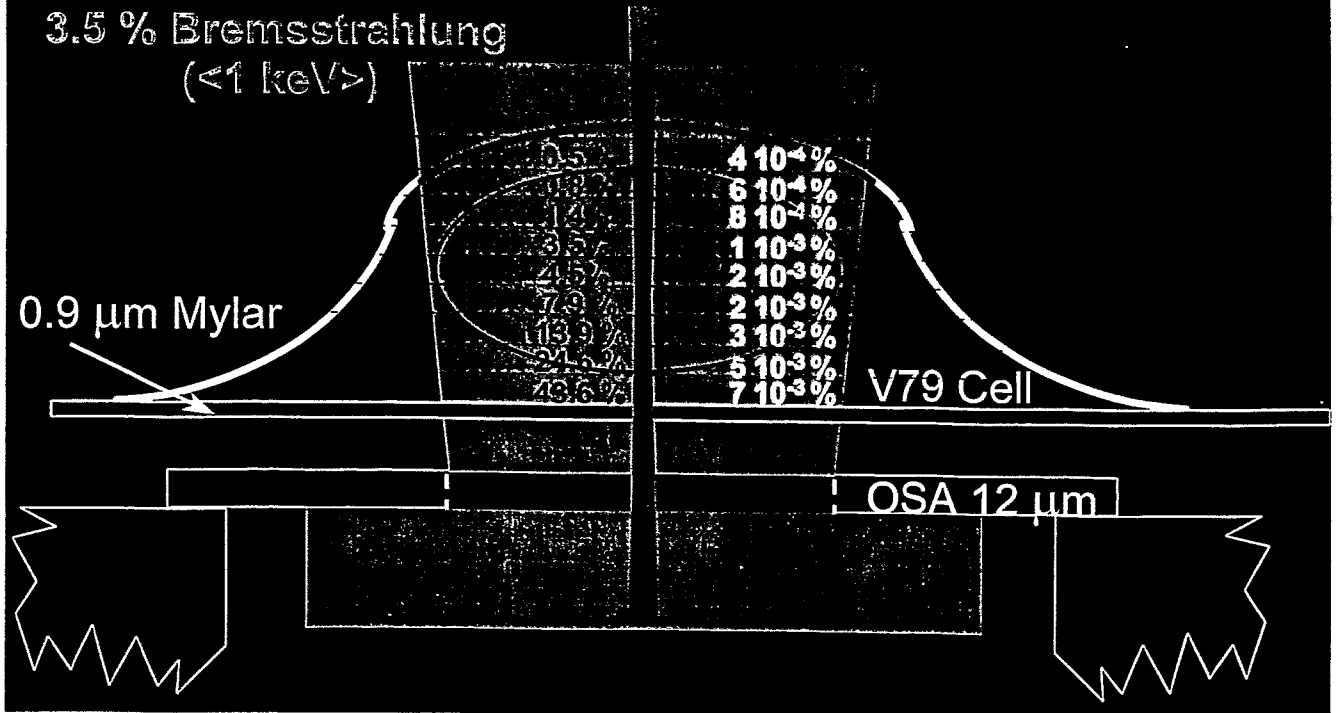
# Ultrasoft X-ray Microprobe





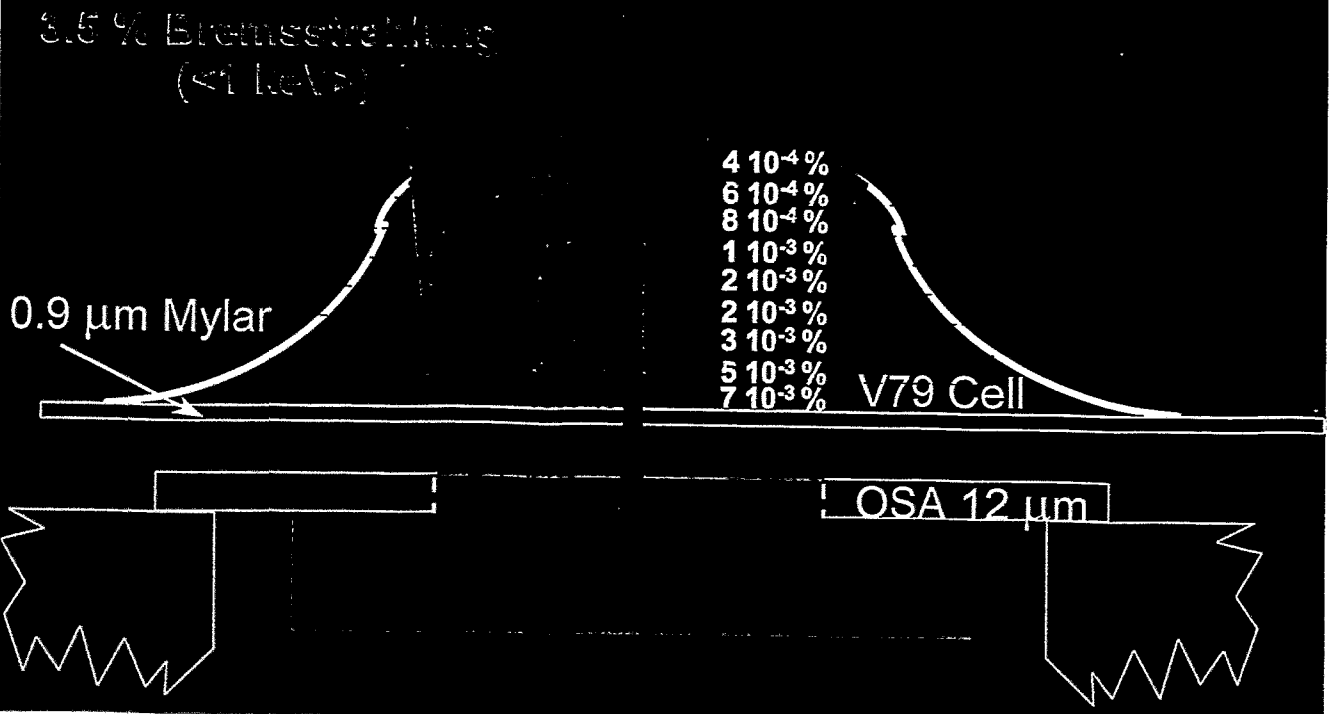
# Dosimetry

87% of absorbed energy deposited in  $1.6 \mu\text{m}^3$

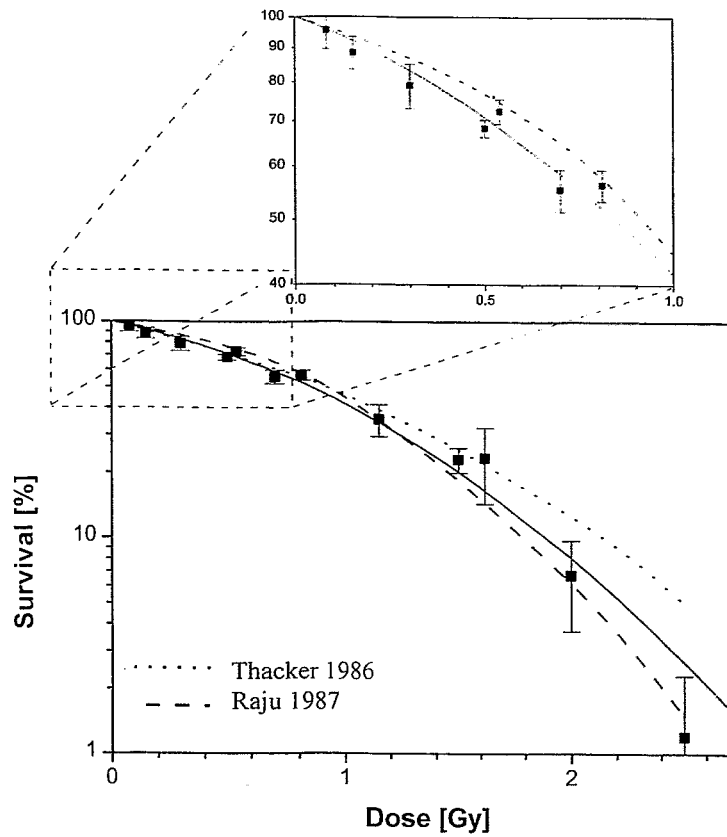


# Dosimetry

87% of absorbed energy deposited in  $1.6 \mu\text{m}^3$

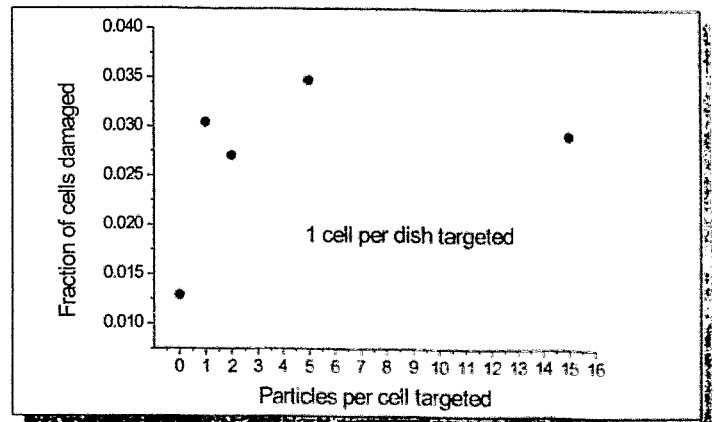


# Survival Assay : $C_k$ X-rays

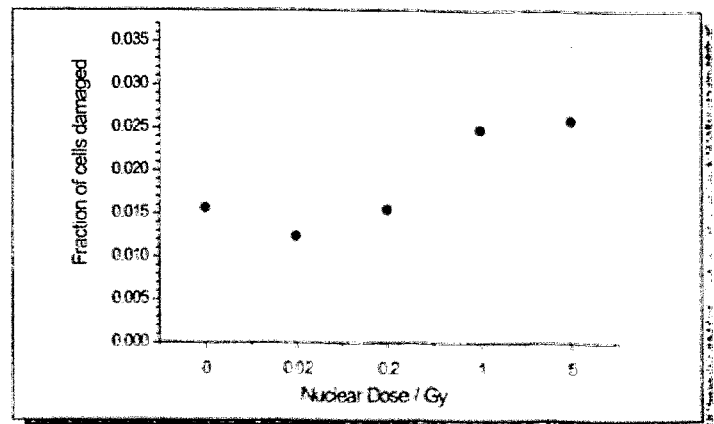


# Radiation-induced bystander effects

Targeted  
 $\alpha$ -particles



Focused  
soft X-rays



## Summary

- 1) The modern generation of microbeams provides an important tool to investigate new aspects of how cells respond to radiation:
  - a) Identification of targets and pathways
  - b) Determination of extreme low-dose responses
  
- 2) At present, the development of light-ion microbeams is more advanced than that of X-ray, electron and heavy-ion microbeams
  
- 3) Data obtained so far demonstrate the power of microbeam methods to study:
  - a) Radiation bystander effects
  - b) Effects related to the distribution of energy deposition within the cell
  - c) Low-dose adaptive responses
  - d) Single-track effects

*W. Mondelaers:*

**Application of X-rays for biomedical purposes**



## Overview

# **APPLICATION of X-RAYS for BIOMEDICAL PURPOSES**

at the

**GENT UNIVERSITY**

**15 MeV LINEAR ELECTRON  
ACCELERATOR FACILITY**

W.Mondelaers

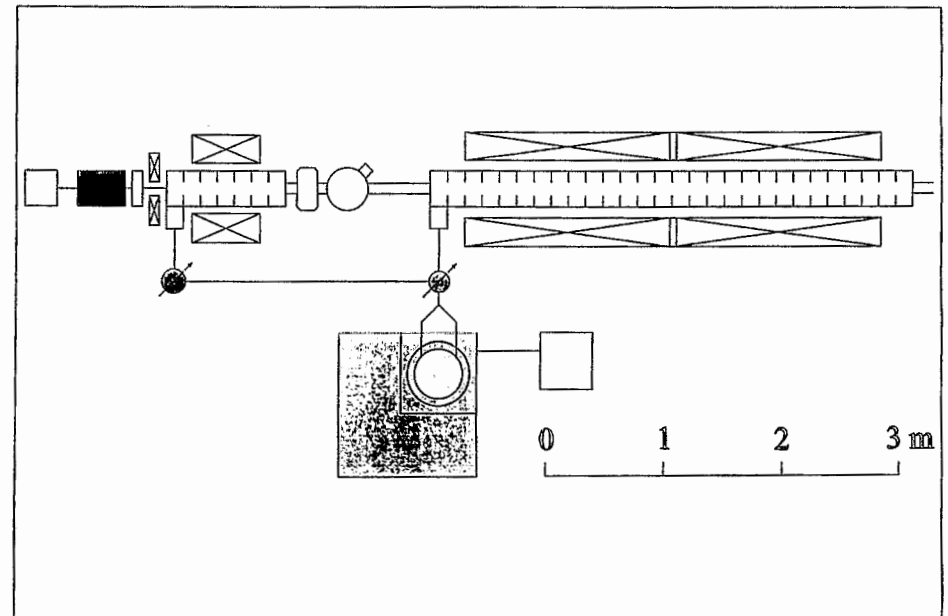
Workshop on X-rays from electron beams  
with special emphasis on  
possible developments at ELBE

- Accelerator facility
- High-power white photon beams
  - high-dose radiotherapy
  - medical standard dosimetry
  - human grafts and implants
  - novel biomaterials
- Tunable monochromatic photon beams
  - biomedical applications

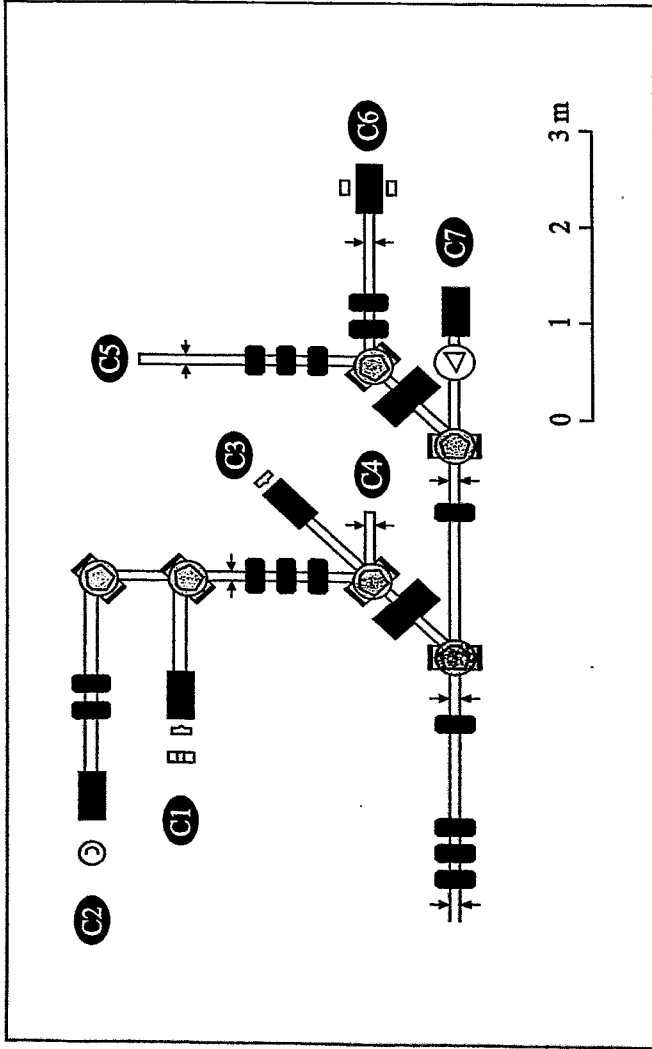
# 15 MeV 2% duty factor LINEAR ELECTRON ACCELERATOR FACILITY

- intense electron beams  
up to 2 mA
- high beam power density  
up to 140 kW/cm<sup>2</sup>
- good energy resolution  
 $\Delta E/E < 1\%$  (50 - 80%)
- high pulse repetition frequency  
up to 5000 Hz
- intense X-ray beams  
polarised and unpolarised

## ACCELERATOR



## BEAM TRANSPORT SYSTEM



- radiation physics

- biomaterials research ←

- polymer chemistry

- atomic and solid-state physics

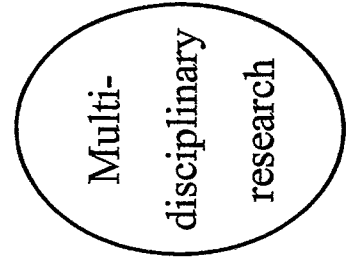
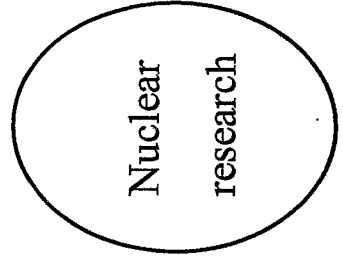
- medicine ←

- food technology

- space research

- agriculture

- high-dose dosimetry



## Biomedical applications of intense broad photon fields

- High-dose radiotherapy
  - extracorporeal bone tumours therapy
- Medical dosimetry
  - primary standard dosimetry
  - *in vivo* dosimetry
- Irradiation of implants
  - human grafts
  - artificial implants
  - graft-versus-host disease
- Synthesis of biomaterials
  - hydrogels
  - surface modification

## Intraoperative extracorporeal irradiation in primary bone tumours therapy

Radical ablative surgery

- ⇒ limb saving techniques
- endoprosthesis
  - allograft
  - bridging techniques

Disadvantages:

- loosening or breakage
- well-fitting allograft
- immunological rejection
- bone bank



extracorporeal irradiation  
of endograft



## One operative session:

- excision of bone
- extracorporeal irradiation 300 Gy
- reimplantation

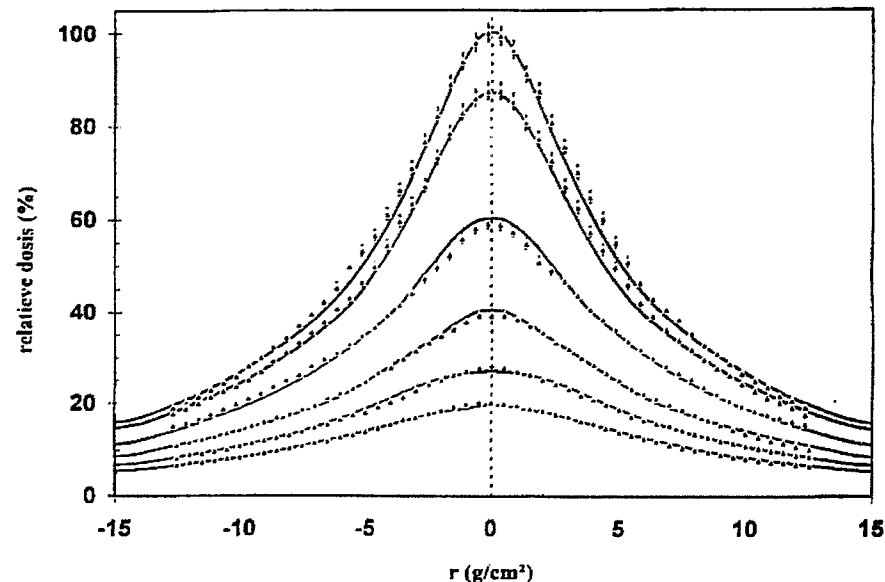
↳ slow revitalisation of matrix

- neovascularization
- resorption of graft bone
- deposition of new host bone

### Homogeneous photon irradiation field

- homogeneity  $\pm 2\%$
- diameter 20 cm
- dose rate 3 Gy/s

?? Conventional radiotherapy machines ??



- Dynamic electron beam scanning

- Static photon beam flattening

Classical solution: high-Z target and filter

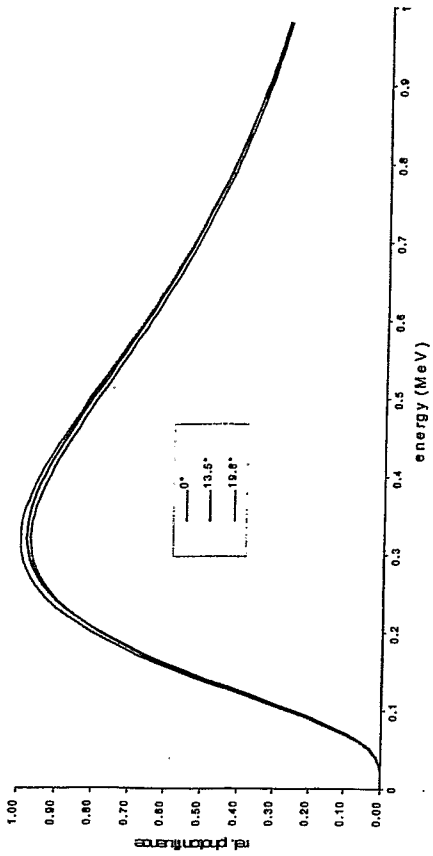
⇒ systematic theoretical and experimental study

Monte Carlo: EPCOT

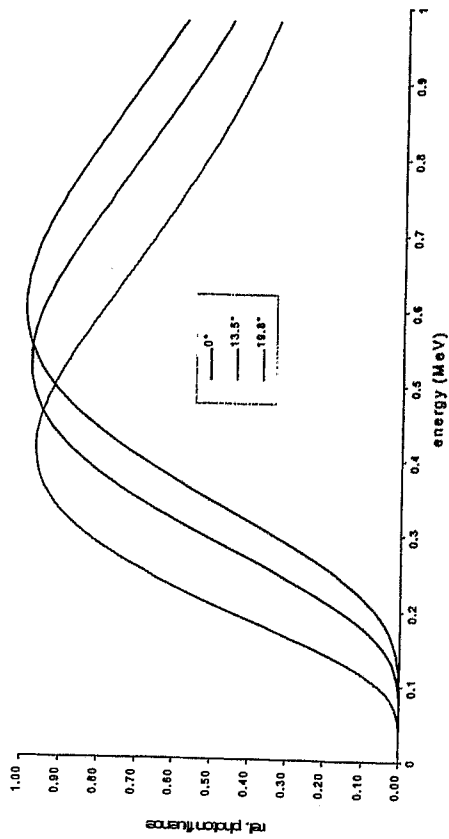
EGS4, BEAM, DOSXYZ

3D dose mapping

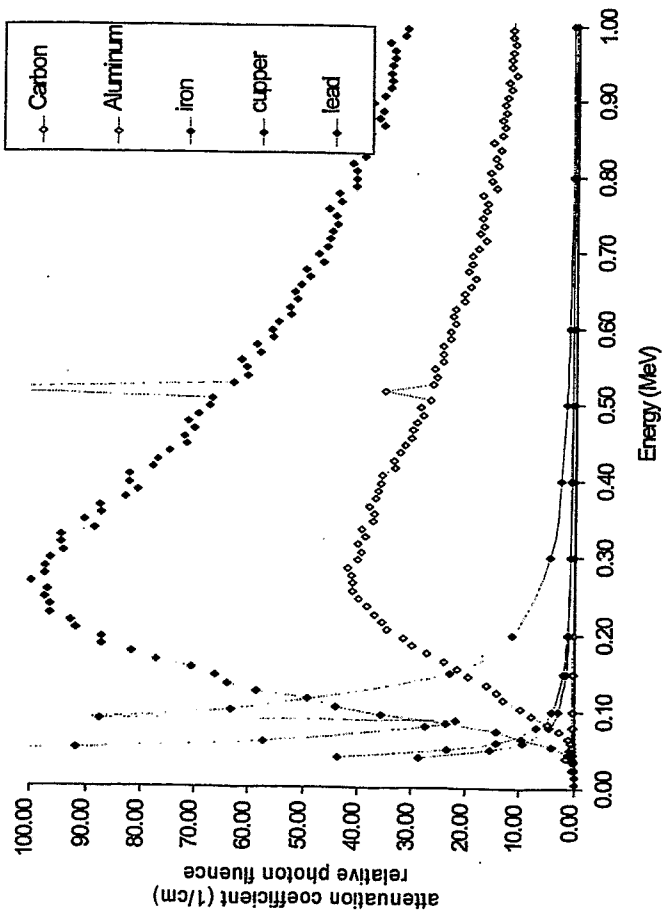
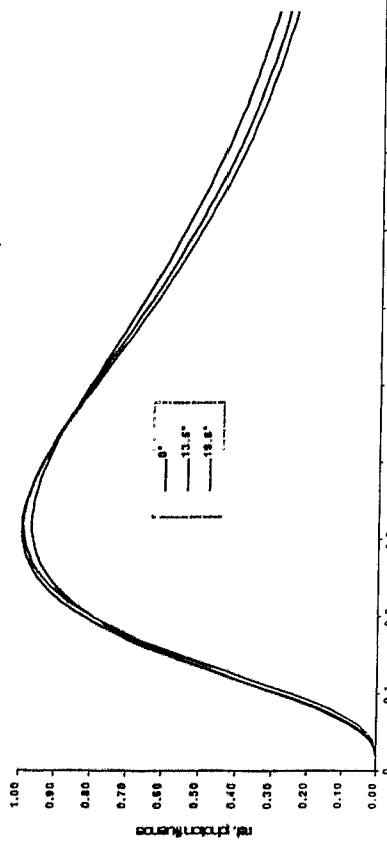
energy spectrum of non-filtered 5 MV photon beam

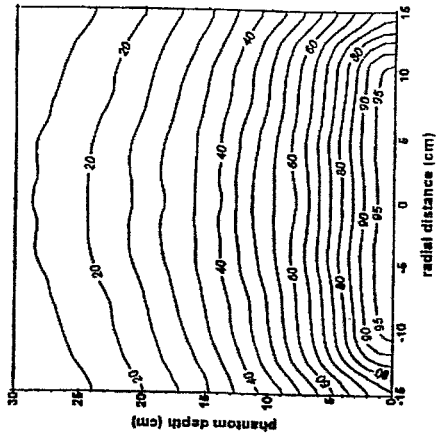


energy spectrum with Pb filter of 5 MV photon beam



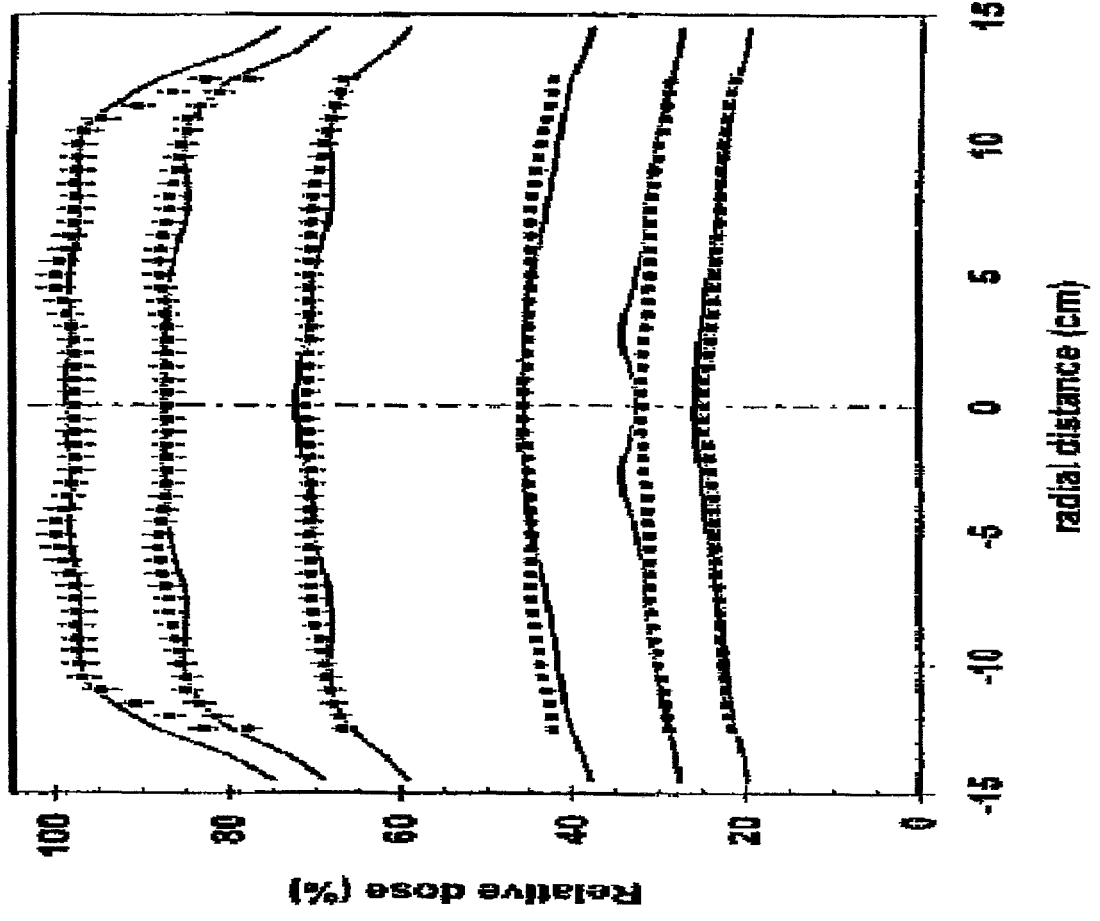
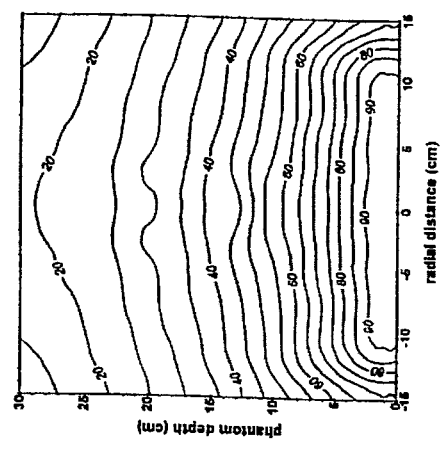
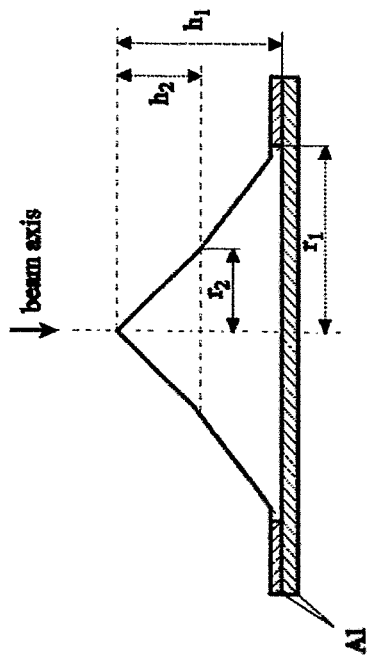
energy spectrum with Fe filter of 5 MV photon beam



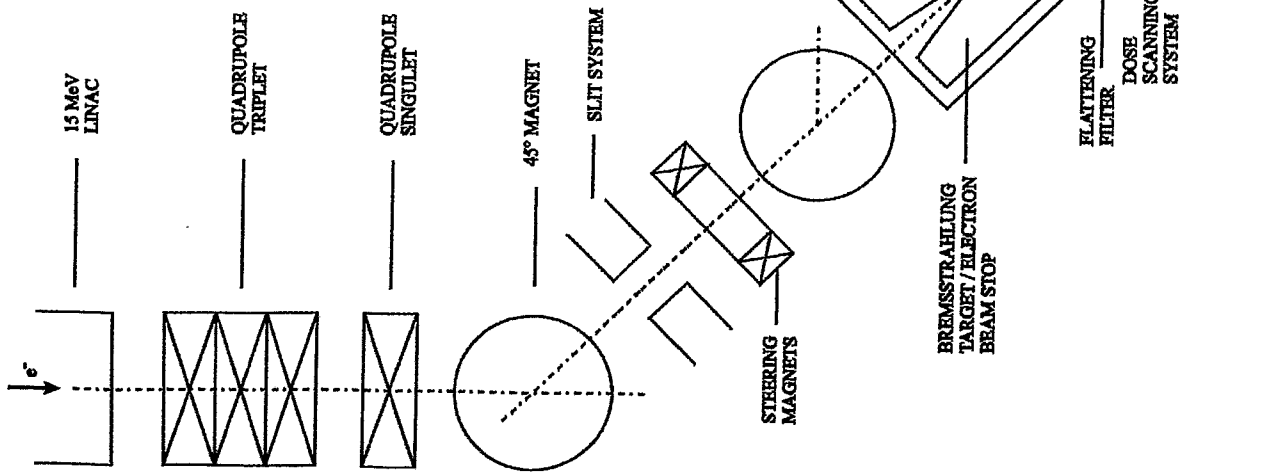


$\varnothing = 30 \text{ cm}$   
 $35 \text{ kGy / h}$

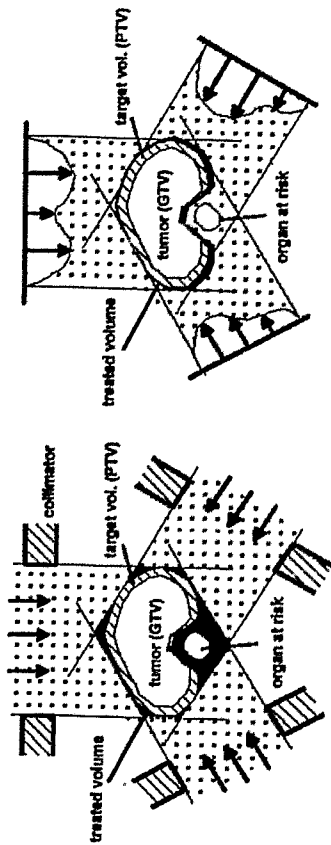
$\varnothing = 10 \text{ cm}$   
 $150 \text{ kGy / h}$



radial distance (cm)



## Conformal and intensity-modulated radiotherapy



Comparison of conventional and intensity-modulated radiotherapy.

1. mechanical scanning with multileaf collimator
2. scanning of photon beam

Karolinska Institute (Söderstrom) for superficial and deep-seated tumours  
6 - 15 MeV

**Intraoperative  
extracorporeal irradiation  
in primary bone tumours therapy**

~ 100 patients treated

proximal and distal femur	46 %
pelvic rim	22 %
proximal tibia	11 %
humerus	8 %
fibula	5 %
radius, ulna, scapula	



**78 % 10 years actuarial survival rate**

↔ 60 - 80 % other techniques

Heidelberg, Tübingen, Osaka

**Bremsstrahlung configuration  
for intensity-modulated therapy**

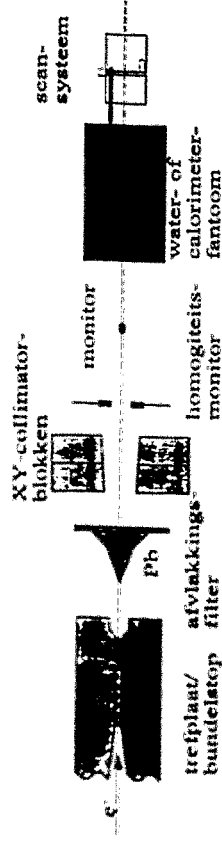
theoretical and experimental of  
broad beams  
↓  
narrow beams

- FWHM 30 - 40 mm at 1 m
- energy range 6 - 15 mV
- high electron photon conversion yield

- full stopping low-Z target
- full stopping sandwich target
- optimised mono- or multilayer target  
+ purging magnet

# Primary standard dosimetry

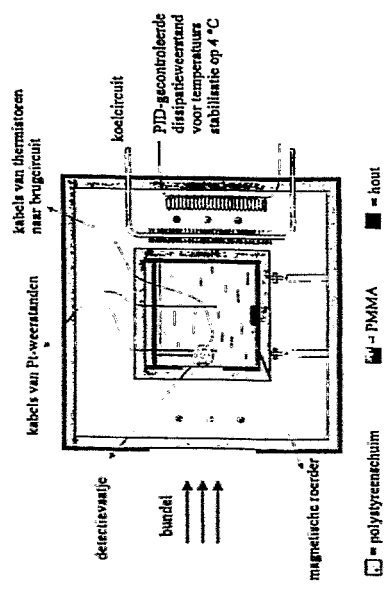
Belgian Primary Standard Laboratory



- Calibration standard
- Beam quality specifiers:
  - spectral characteristics of X-ray field
  - depth-dose distributions

%dd(10) = % depth dose at 10 cm  
 ↔ TPR<sub>20</sub><sup>10</sup> = dose at 20 cm / dose at 10 cm

# ionisation chambers ↔ primary standard



Water calorimetry  
 < 0.1% level

- Beam quality dependence factor  $k_q$ :
  - ionization chamber → dose in water

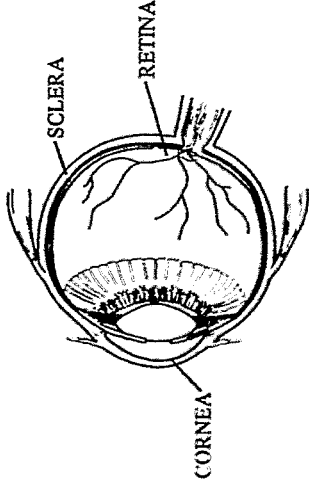
$$k_q = \frac{N_{DwQ}(0)}$$

calibration factor  $N_{DwQ}$   
 0.2% uncertainty

- Chemical heat defect by radiation-induced species:
  - dose dependence
  - oxygen content
  - hydrogen saturation

- correction factors
- heat chemical defect

## Radiation treatment of human grafts and artificial implants



- sclerae of the human eye

prosthesis → inflammation  
rejection

⇒ 'packed' in human sclerae  
- less reactions  
- synchronous movement

lyophilization → sterilization 25 kGy → tissue bank

- bone fragments: maxillo-facial reconstruction
- human implants:
  - cardiological stents
  - polymeric implants
  - hydrogels for burn wounds
- blood:
  - lymphocytes 40 Gy
  - graft-versus-host disease

## Radiation treatment of biomaterials

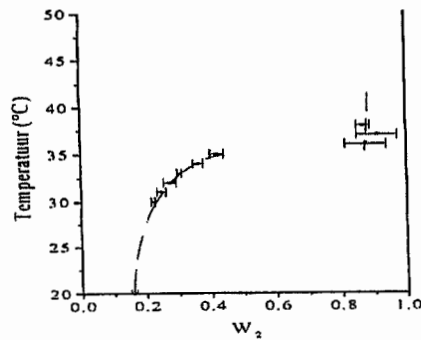
- RADIATION-INDUCED POLYMERISATION:  
for synthesis of new biomaterials:
  - biodegradable polymers
  - hydrogels for burn wound treatment
  - porous polymeric hydrogels for advanced drug delivery systems
    - constant release
    - signal-responsive
- RADIATION-INDUCED SURFACE GRAFTING:  
to improve biocompatibility  
to immobilise bioactive agents
  - heparine filter
  - fixation of HD cell cultures on PE
- RADIATION-INDUCED STERILISATION:  
biodegradability  
mechanical properties  
swelling and permeability of hydrogels

- Signal-responsive hydrogels

macromolecular networks  
swollen in water

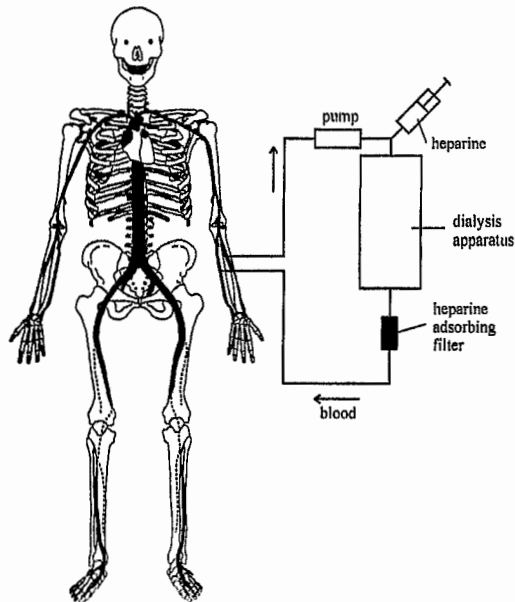
PVME  
60 kGy at 100 kGy/h  
temperature controlled

→ discontinuous swelling behaviour



- Grafting of biofunctional groups on PVC beads

Hemodialysis of uremic patients: blood + artificial surfaces  
→ coagulation



↓  
heparine adsorbing filter

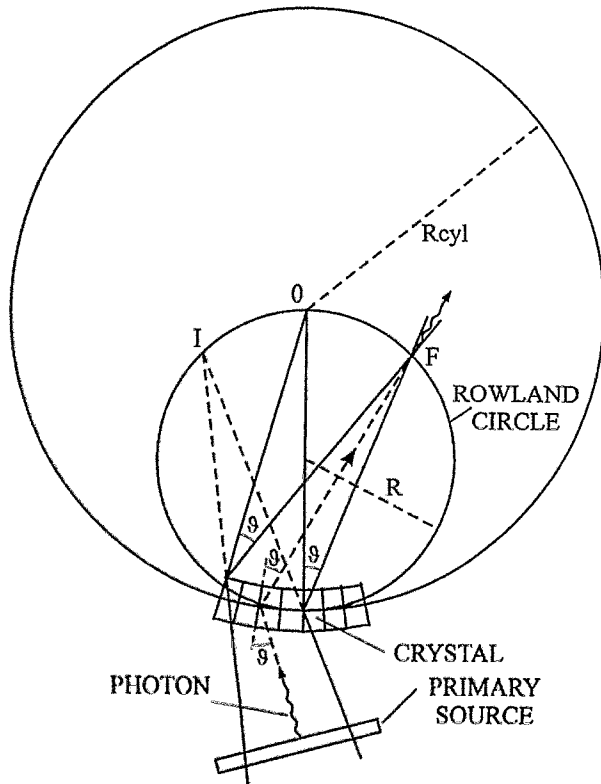
- X-ray induced grafting of HEMA on PVC beads (2-hydroxyethyl methacrylate)
- hydroxyl groups couple chemically with functional polyamidoamines  
→ heparine absorber

## Other research applications

- TREATMENT of FOOD PRODUCTS and PACKAGING MATERIALS
  - chemical, physical, sensorial, microbial and nutritional characteristics
  - identification and dose assesment
  - interaction food - packaging materials
- HIGH-DOSE DOSIMETRY
  - EPR spectra of alanine and other systems
- RADIATION-INDUCED PLANT MUTATION
- DETECTOR CALIBRATION (SOHO project)
- RADIATION DAMAGE STUDIES
- e<sup>-</sup> IRRADIATION-INDUCED DEFECTS in Si
- BIODEGRADABILITY OF PLASTICS
- STERILISATION OF WOOD AND SOIL PRODUCTS



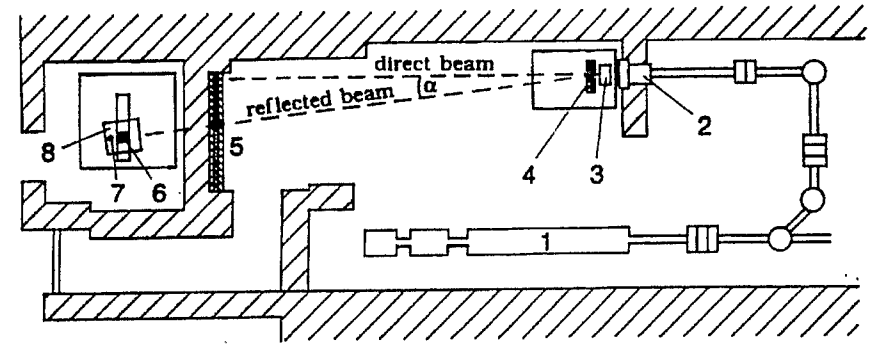
## Tunable monochromatic X-ray source



$$n\lambda = 2d \sin \theta$$

$$\Delta E = \frac{2d}{nhc} \frac{d_R}{R}$$

## Tunable monochromatic X-ray source



low-Z bremsstrahlung radiator

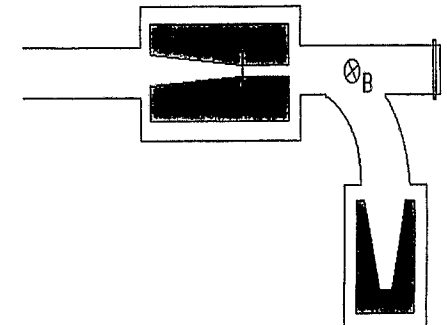
BEAM

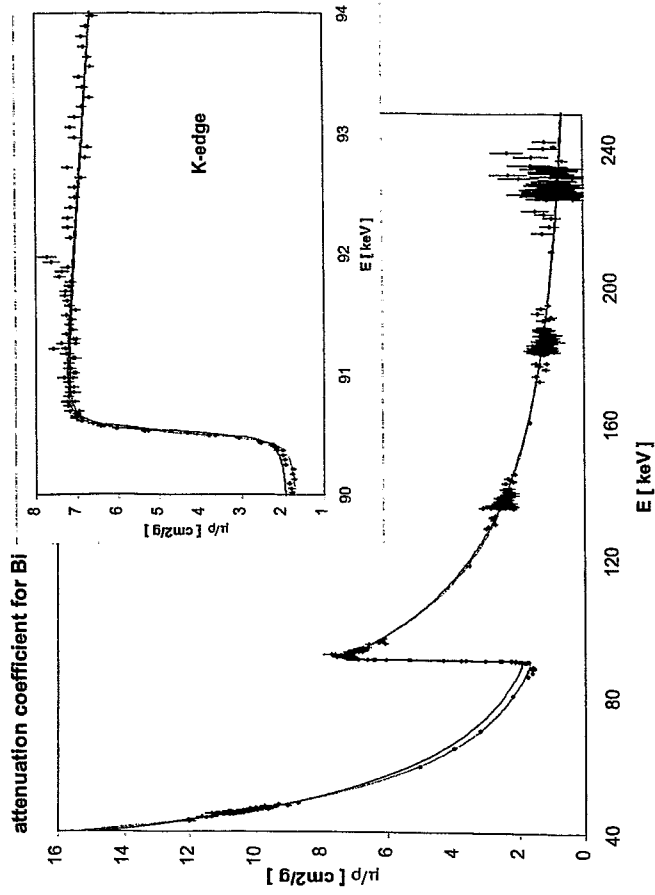
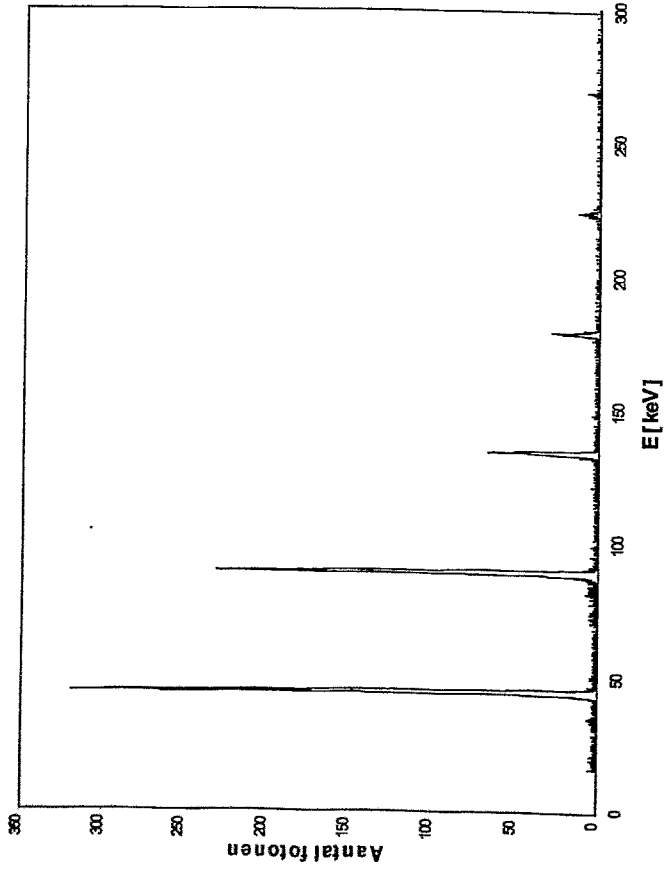
- max. X-ray production at required energy
- max. peak-to-background
- thermal constraints

↓  
C-target of variable thickness

+ cleaning magnet

Range	60 - 700 keV
FWHM	< 0,1%
P/B	400/1
Intensity	$10^5$ ph/sec-cm <sup>2</sup>
	↓
ESRF HE	$10^8$ ph/sec-cm <sup>2</sup>





*K. Kobayashi:*

**Radiation biology at the KEK Photon Factory**

# Radiobiology at the KEK Photon Factory

Katsumi Kobayashi  
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National Accelerator Research Organization  
Japan

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Atsushi ITO

*Rikkyo University*

Kotaro HIEDA  
Yoshihiko HAYAKAWA  
Akira AZAMI  
Taisuke HIRONO  
Mikio SAITO  
Hiroyuki YAMADA

*Tokai University*

Hiroshi MAEZAWA  
Yoshiya FURUSAWA

*National Cancer Research Institute*

Nobuo MUNAKATA

*International Christian University*

Kaoru TAKAKURA  
Ritsuko WATANABE

*Yokohama City University*

Masami WATANABE  
Masao SUZUKI

*Japan Atomic Energy Research Institute*

Akinari YOKOYA

*National Institute of Radiological Sciences*

Takeshi YAMADA

*Photon Factory*

Noriko USAMI  
Katsumi KOBAYASHI

# Radiobiology at the KEK Photon Factory

## 1. Introduction

Rationale to use synchrotron radiation for radiobiology

## 2. Facility and apparatuses

Beam line, irradiation apparatus and supporting facilities for biology

## 3. Some of the results

3a. Energetics of strand break induction in DNA using dry plasmid

3b. Molecular fragmentation dependent upon the photoabsorption site

3c. Energy dependence of chemical reactions in aqueous systems

Fricke solution, strand breaks in plasmid DNA in solution

3d. Research on cell lethality and mutagenesis

## Radiobiological processes

Ionization/excitation



Photoabsorption  
Energy deposition

Thermalization  
(deactivation)



Spatial distribution

Radicals (water)



Biomolecule radicals



Stable molecular changes  
(damage)



Repair



Biological effects

# Action of $^{60}\text{Co} - \gamma$ rays

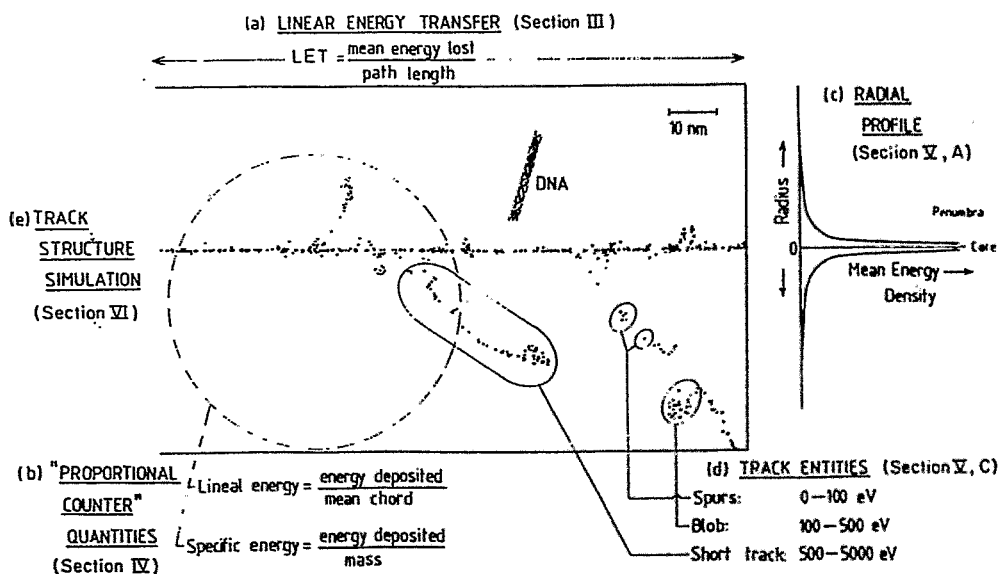
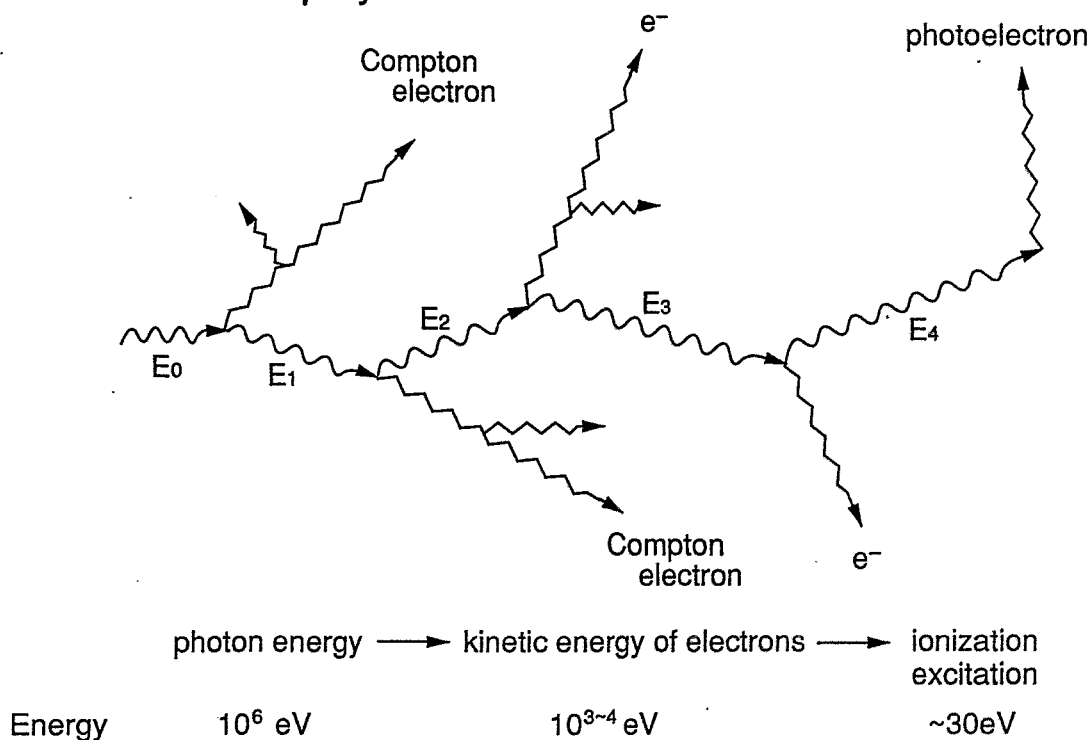


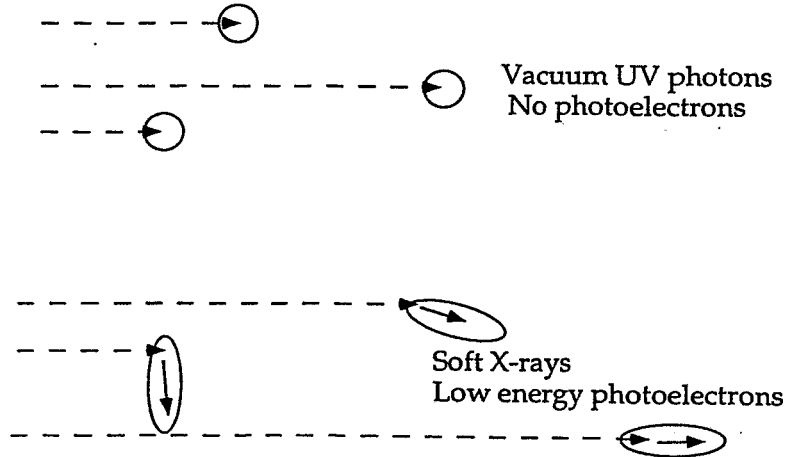
Fig. 4. Alternative microscopic descriptions of radiation quality. The diagram shows the individual atomic interactions along a random 120-nm-long segment of the path of an 8-MeV  $\alpha$  particle and various microdosimetric descriptions which can be applied to it. Delta rays with ranges as great as  $\sim 0.4 \mu\text{m}$  are possible from such an  $\alpha$  particle. Shown for comparison is a DNA double helix (diameter 2 nm) on the same scale.

## Energy deposition by high energy particles

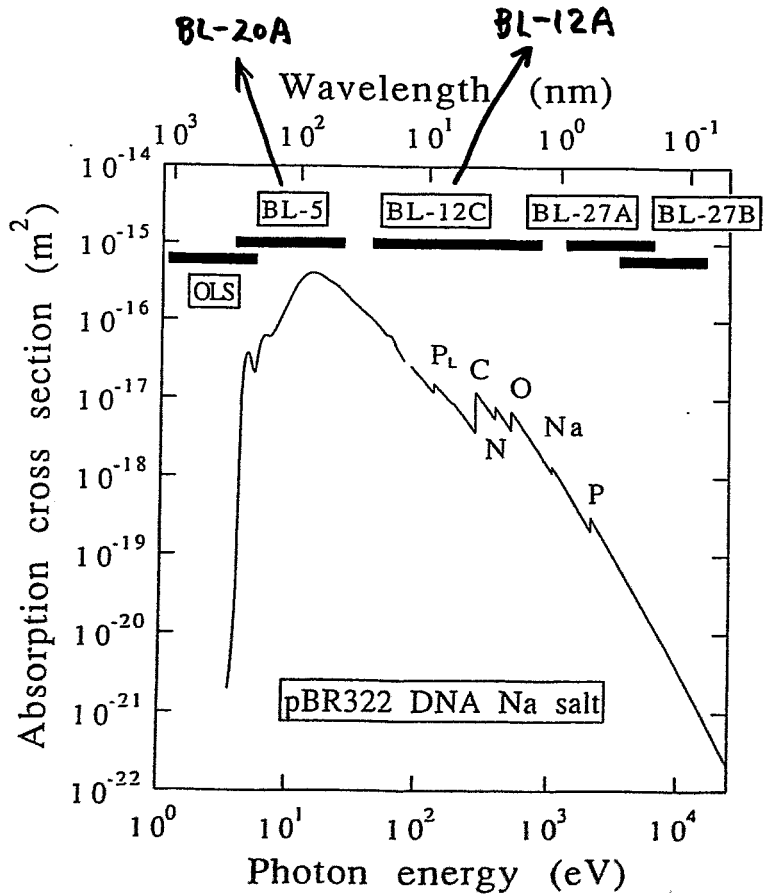
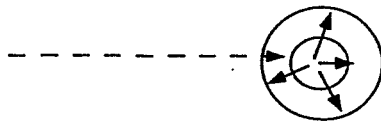


Amount of energy per deposition event has wide variety.

## Energy deposition by monochromatic photons

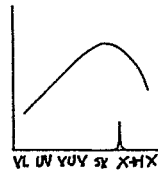


Auger effects after inner shell photoabsorption.  
Several Auger electrons from the atom

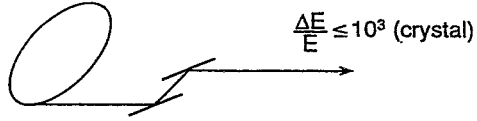


# Characteristics of synchrotron radiation (SR)

Intensity



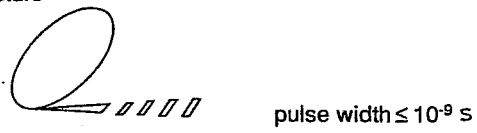
Tunability



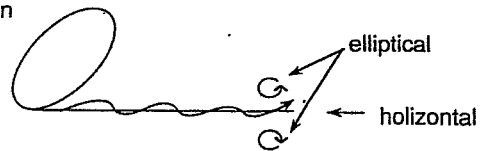
Directional (nearly parallel)



Pulse structure



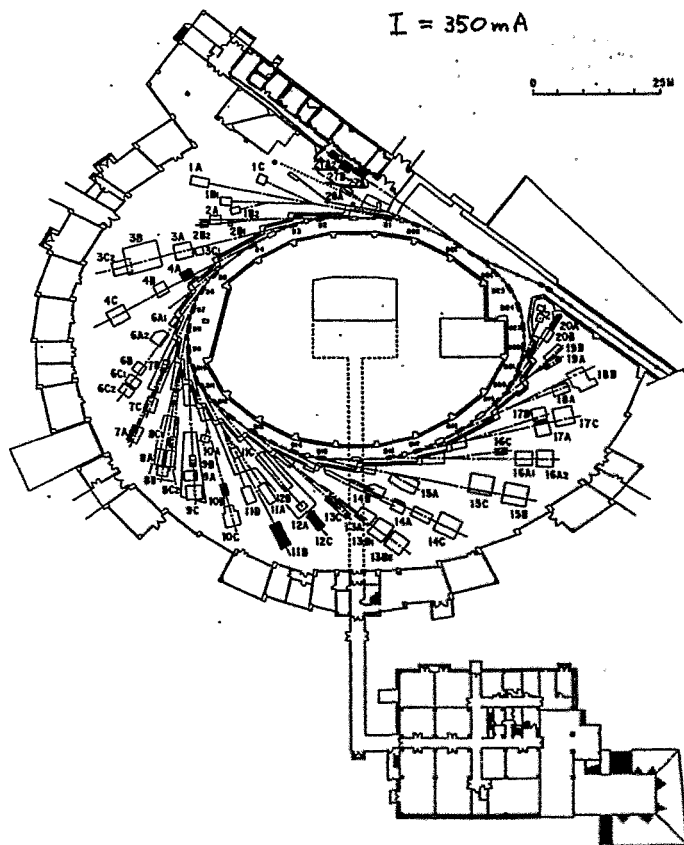
Polarization



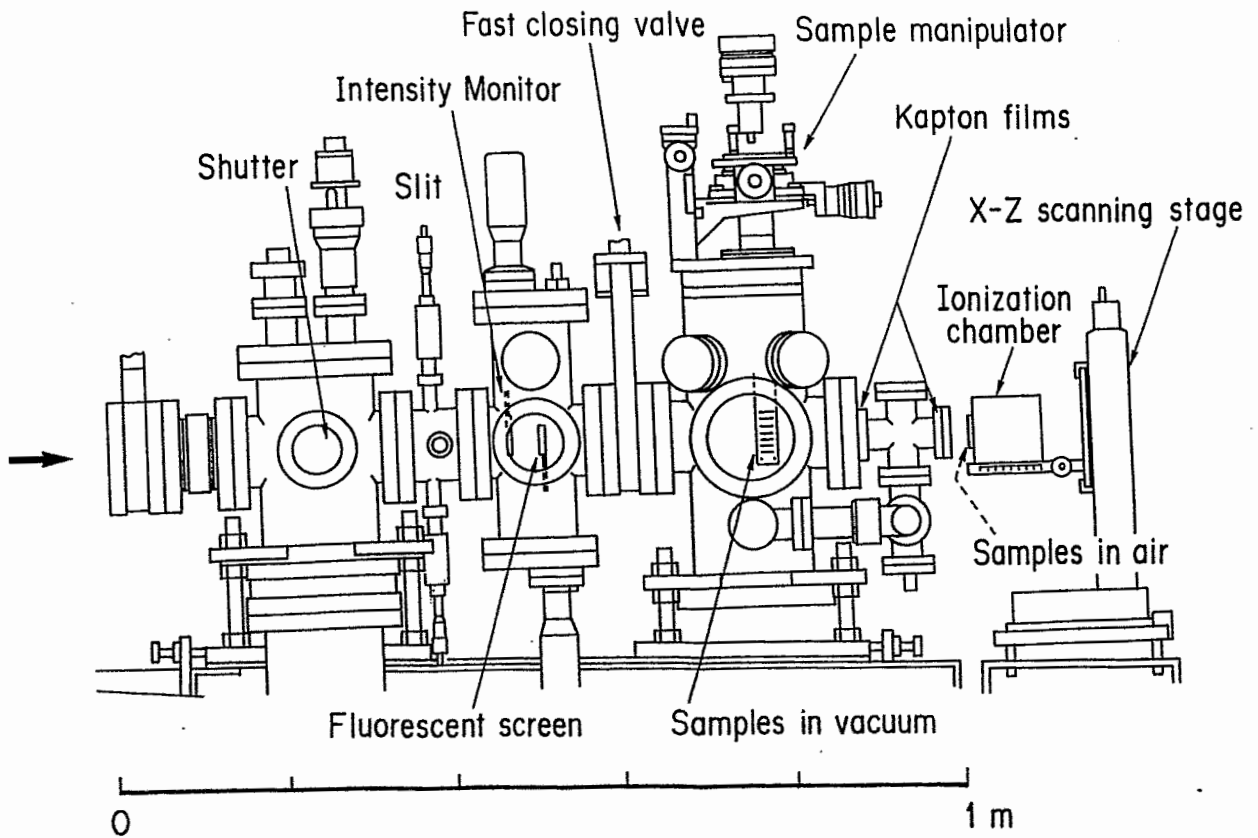
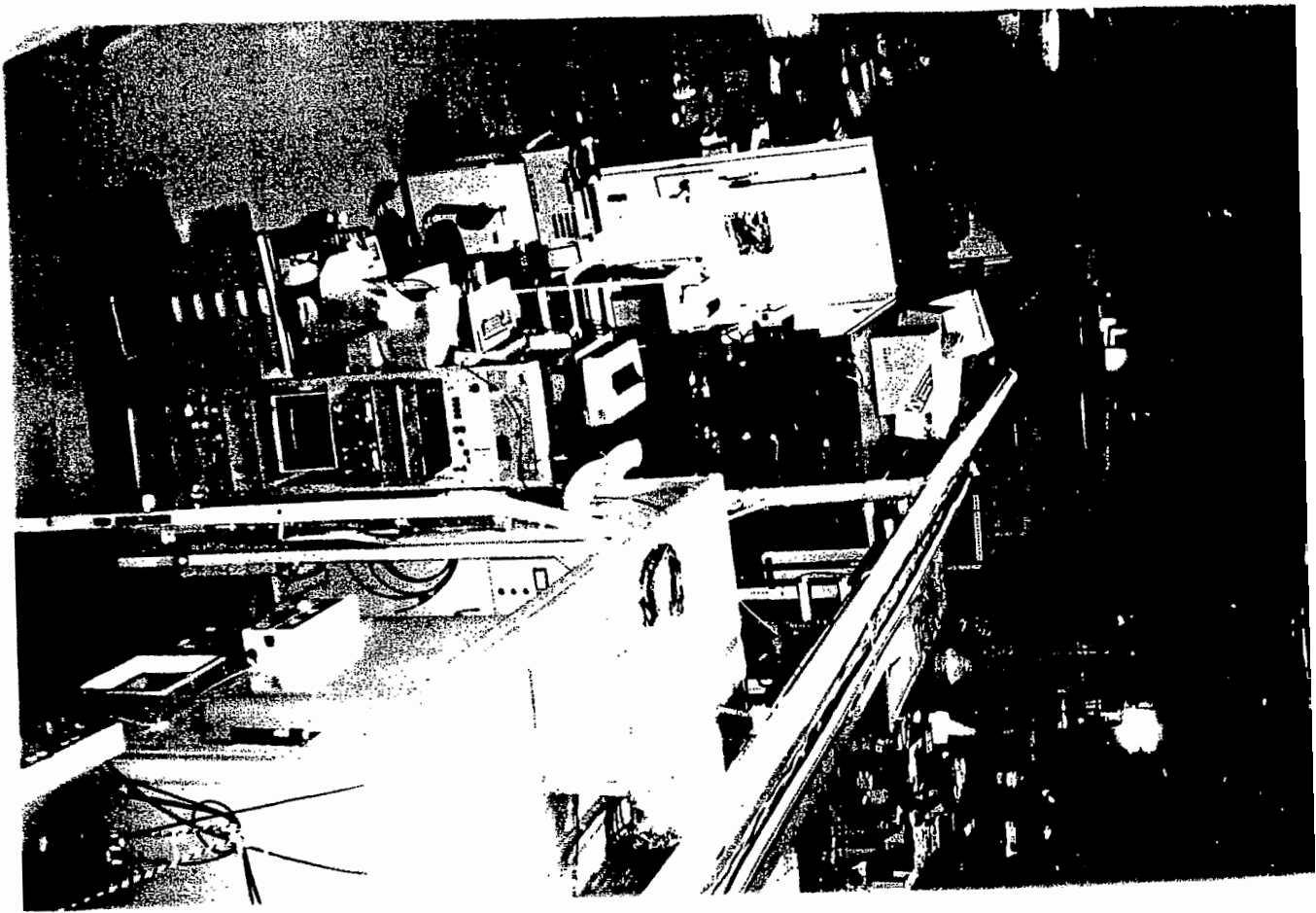
放射光実験施設配置図  
Plan View of the Photon Factory

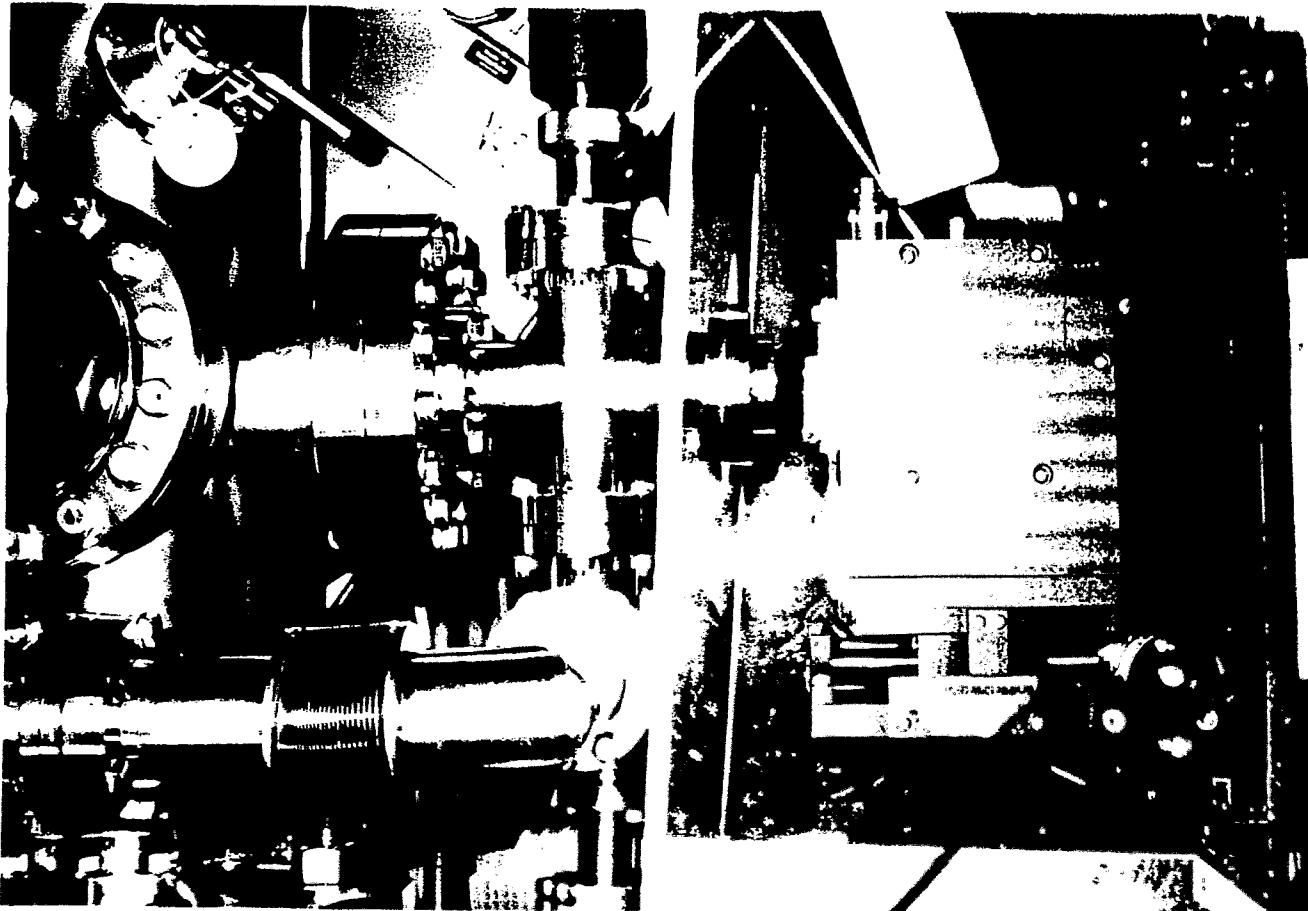
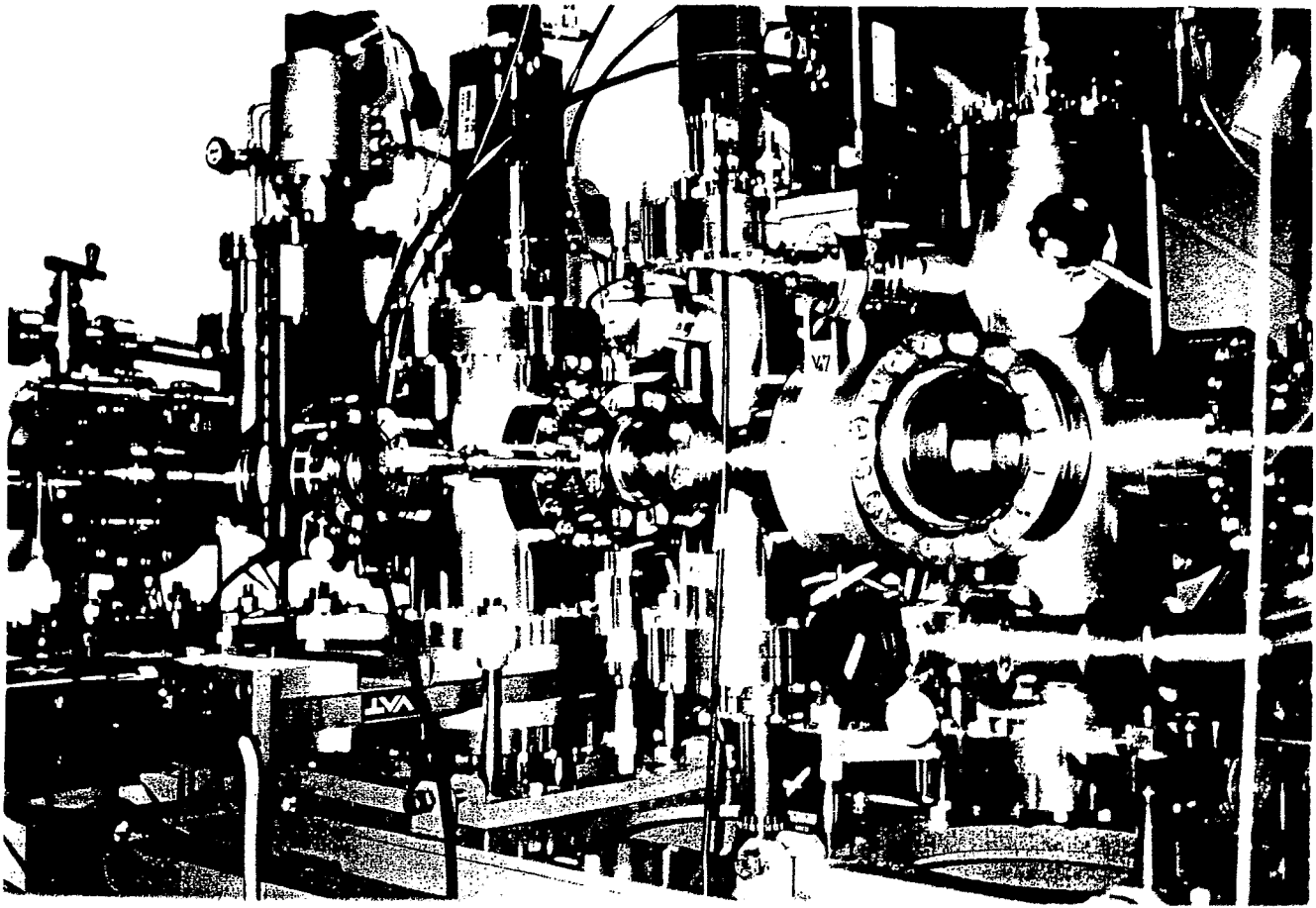
$E = 2.5 \text{ GeV}$   
 $I = 350 \text{ mA}$

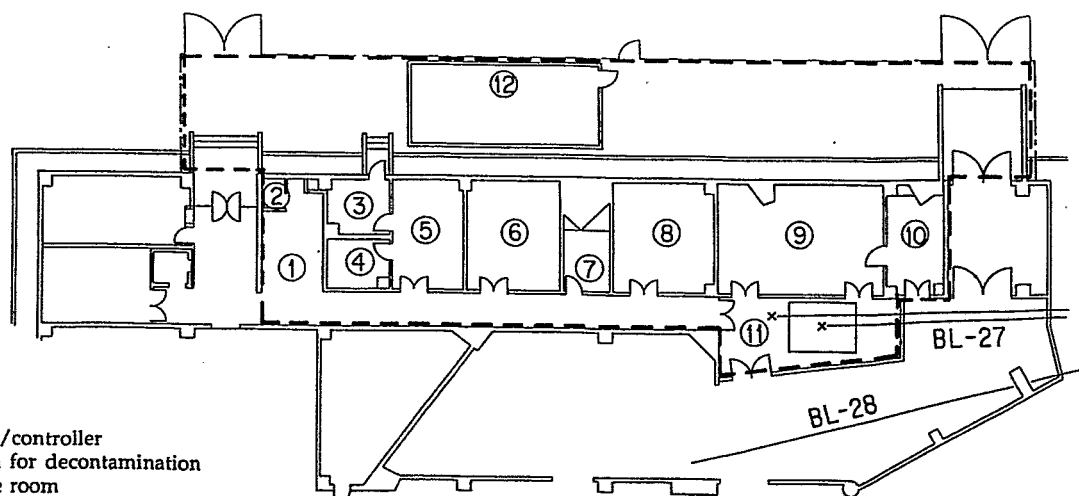
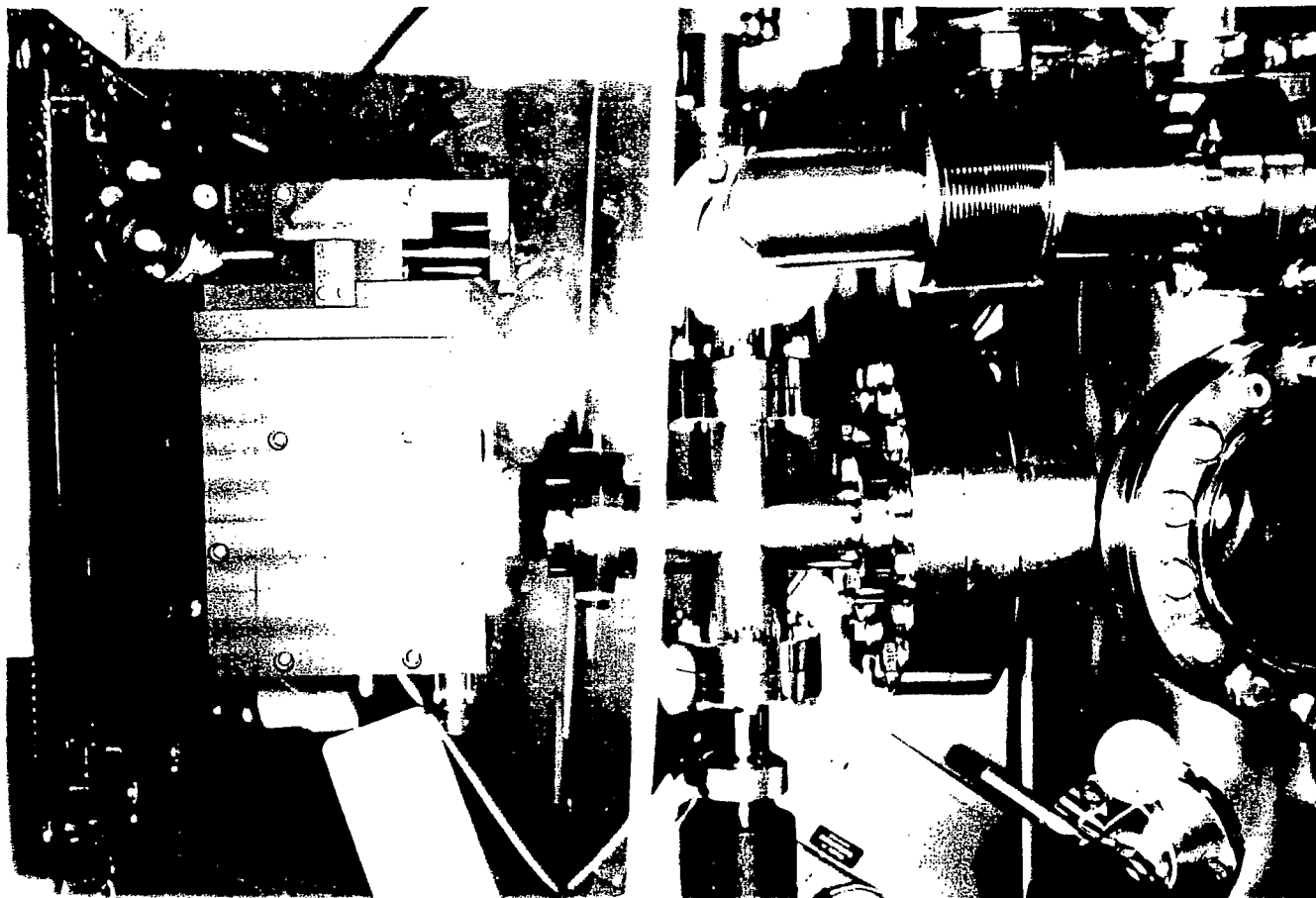
0 25M





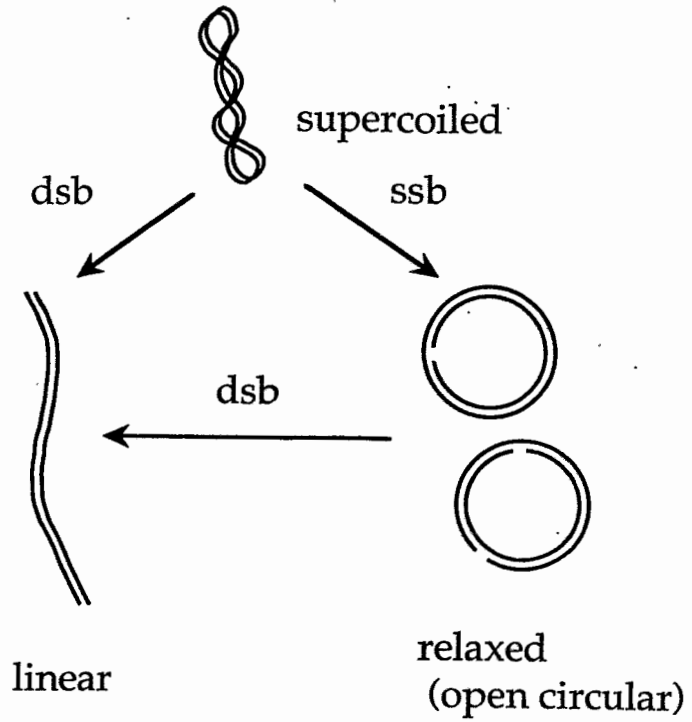






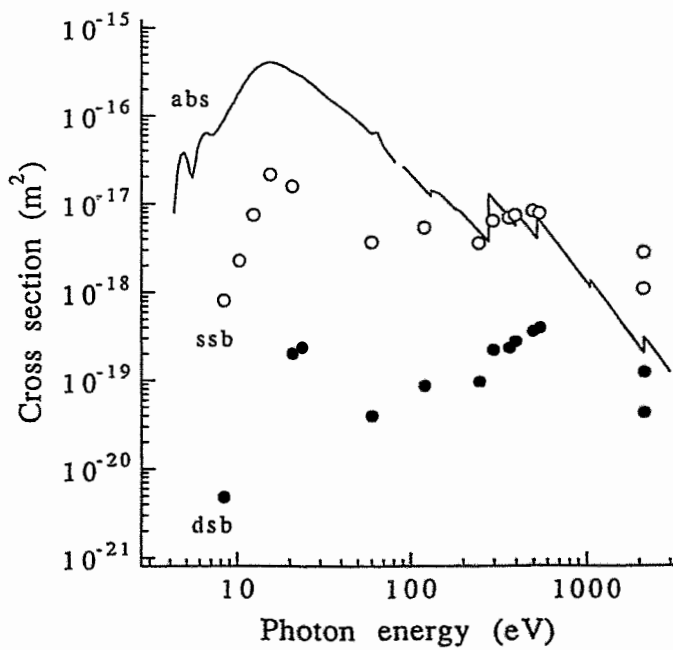
1. Gate monitor/controller
2. Shower room for decontamination
3. Waste storage room
4. Image process room  
Fluorescence microscopy, Cooled CCD camera, Image data analyzer (Mac quadra 950)
5. Radioisotope handling room  
Autoclaves(2)
6. Mammalian cell laboratory  
CO<sub>2</sub> incubators(3), Clean benches(3), Microscopes(2), Coulter counter, Low-temp centrifuge, Shakers(2), Refrigerator
7. Storage room for radioisotopes
8. Micro-organism laboratory  
Incubators(4), Shakers(3), Microscope, Centrifuge, Balances(2), Refrigerators(2)
9. Biochemistry laboratory  
Ultracentrifuge, HPLC system, Electrophoresis apparatuses(4), Ice-maker, Deep freezer, Drying sterilizer, Spectrophotometer
10. Radioisotope measurement room  
Liquid scintillation counter, Gas flow counter
11. Experimental station for irradiation
12. Sewer tanks

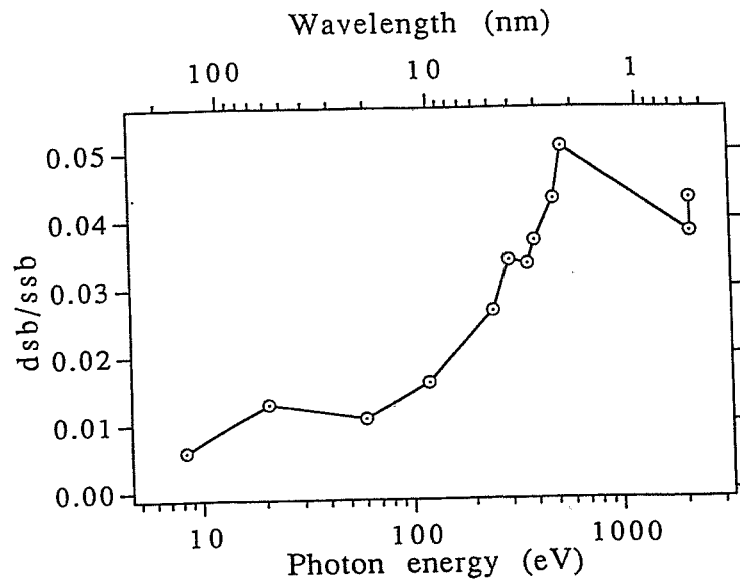
# plasmid DNA



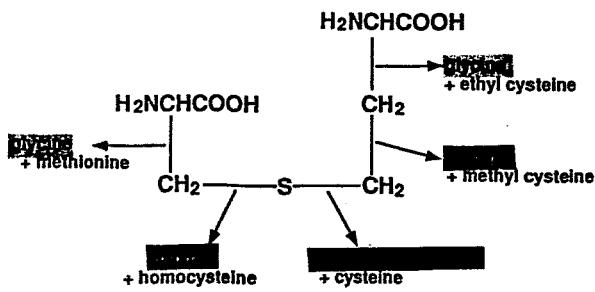
$$f_{sc}(D) = e^{-(b_{ssb} + b_{dsb})D}$$

$$f_{lin}(D) = b_{dsb} D e^{-b_{dsb}D}$$

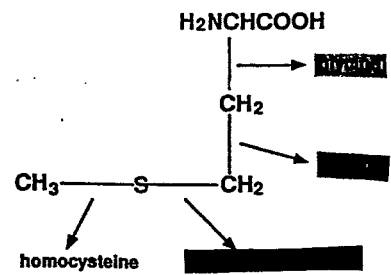




Molecules tested

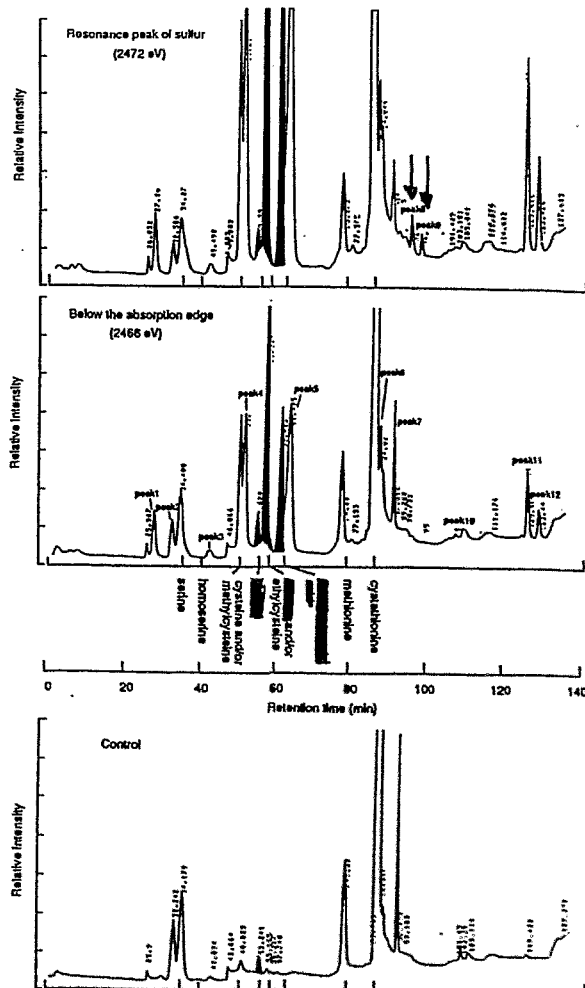
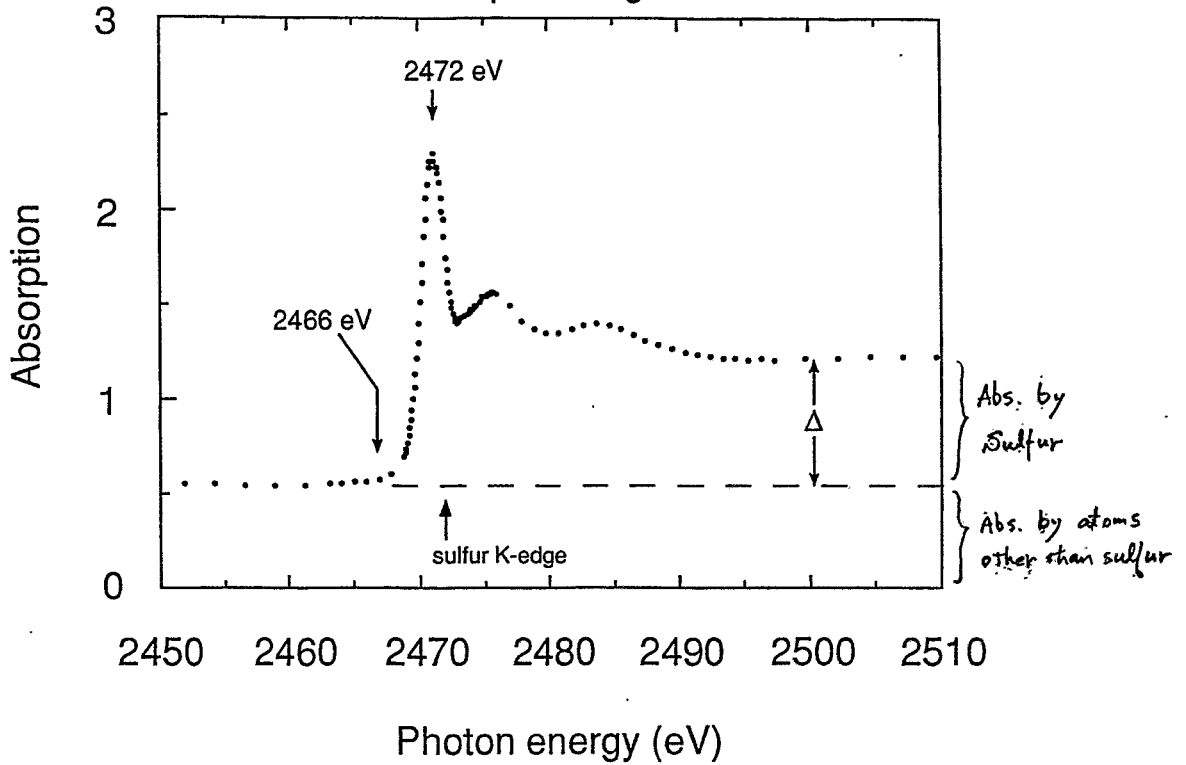


Cystathionine



Methionine

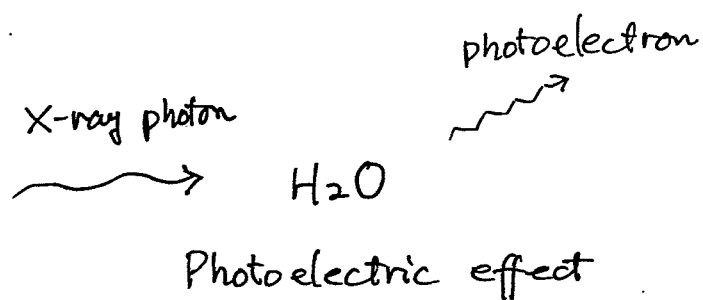
# Absorption spectrum of cystathionine around K-shell absorption edge of sulfur



Production efficiencies (pmol/MR) of  $\alpha$ -aminobutyric acid and glycine from cystathionine and methionine irradiated at the X-ray energies of 2472 eV and 2466 eV. Efficiencies for alanine was calculated by assuming the peak of alanine and/or ethylcysteine contains alanine only.

Product	Cystathionine		Methionine	
	2472 eV	2466 eV	2472 eV	2466 eV
<u><math>\alpha</math>-Aminobutyric acid</u>	38	13	41	11
<u>Glycine</u>	1.2	1.0	1.9	0.77
<u>Alanine</u>	75	28	n.d.	n.d.

n.d. : not detected



$$E_{el} = E_{h\nu} - I$$

Oxygen Kshell  $I \sim 0.5 \text{ keV}$

# LET along the electron tracks of different energies

Photoelectron by 2 keV photon



Photoelectron by 7 keV photon



Photoelectron by 10 keV photon



## Fricke Dosimeter

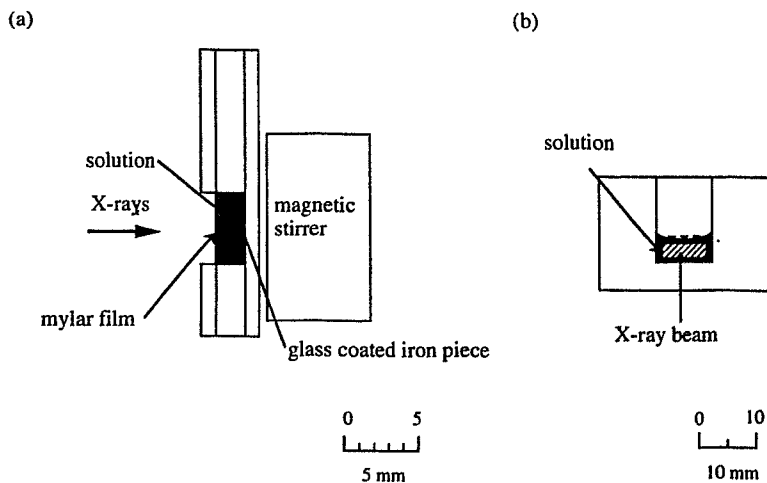
1 mM  $\text{Fe}^{2+}$   
0.8 N  $\text{H}_2\text{SO}_4$   
pH 0.4

$$G(\text{Fe}^{3+}) = g(\text{OH}) + 3g(e_{aq}^-) + 3g(\text{H}) + 2g(\text{H}_2\text{O}_2)$$

Oxidation yield of  $\text{Fe}^{2+}$  to  $\text{Fe}^{3+}$ :  $(\gamma\text{-ray})$   
15.6 ions per absorbed energy of 100 eV

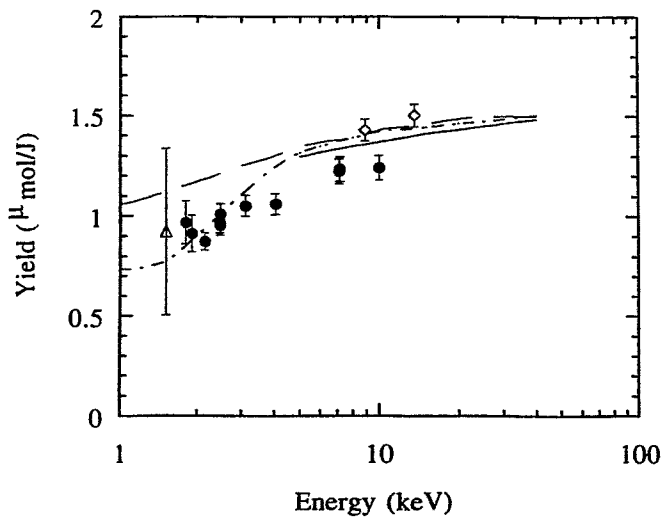
Increase of  $\text{Fe}^{3+}$  :  
Detected with absorption at 304 nm



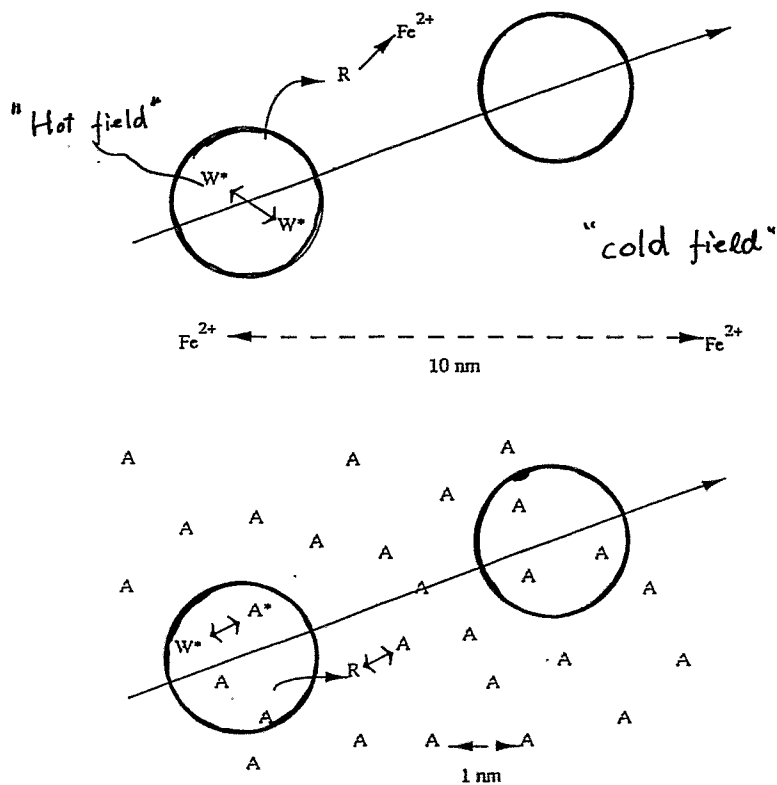
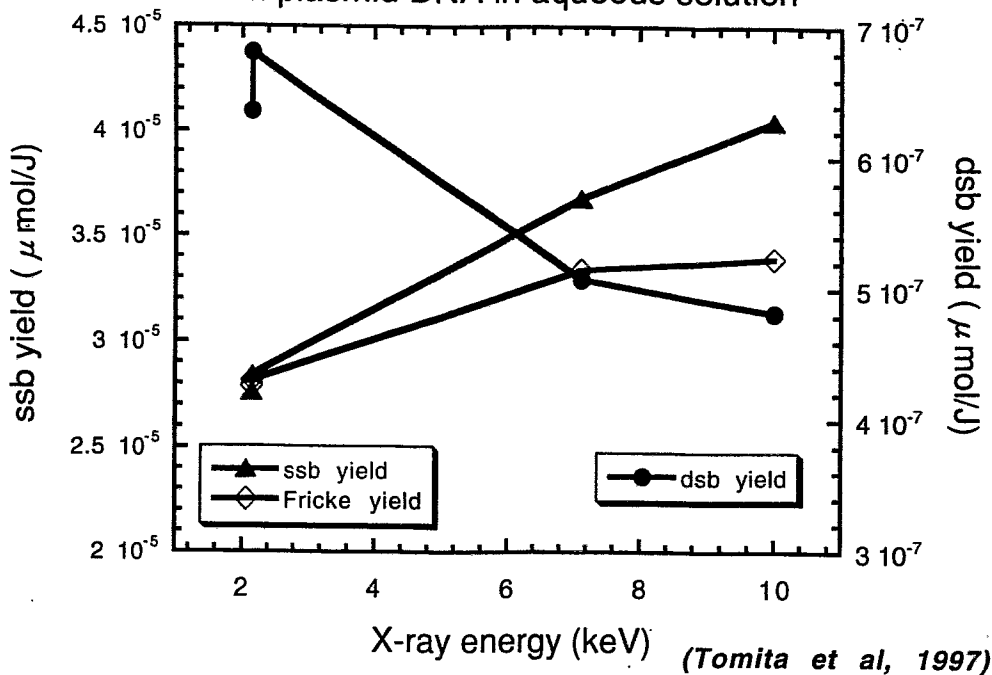


### Oxidation yield of Fe(2+) ion

- ◇ Hoshi et al. (1992)
- △ Freyer et al. (1989)
- Yamaguchi (1989)
- ICRU report, recommended values (1970)
- Present study
- - - Magee and Chatterjee (1987)



Photon energy dependence of yields of ssb and dsb in plasmid DNA in aqueous solution

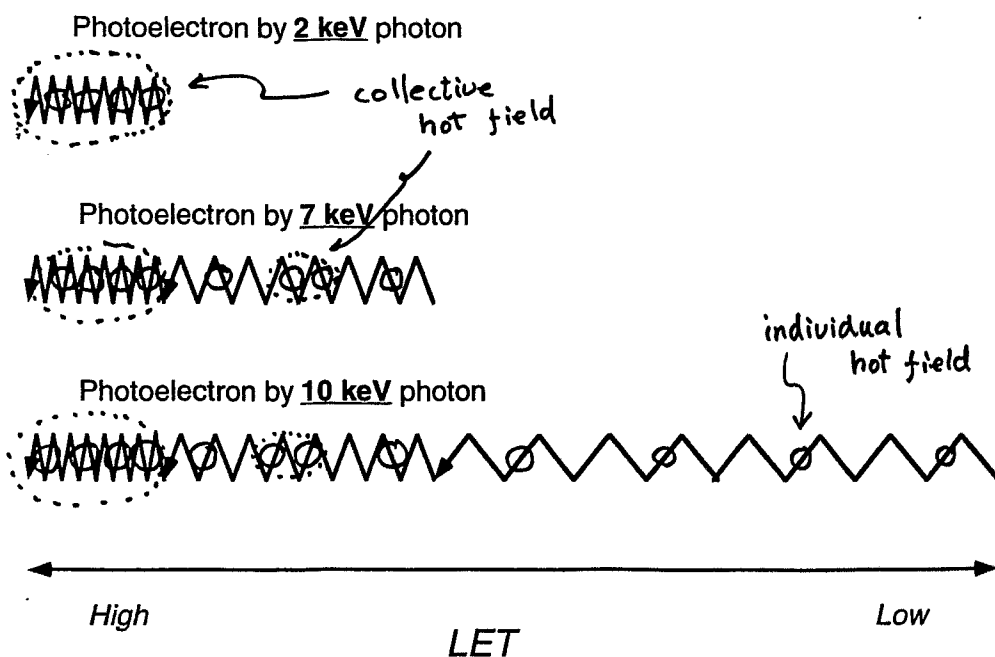


"Hot field" and "Cold field".

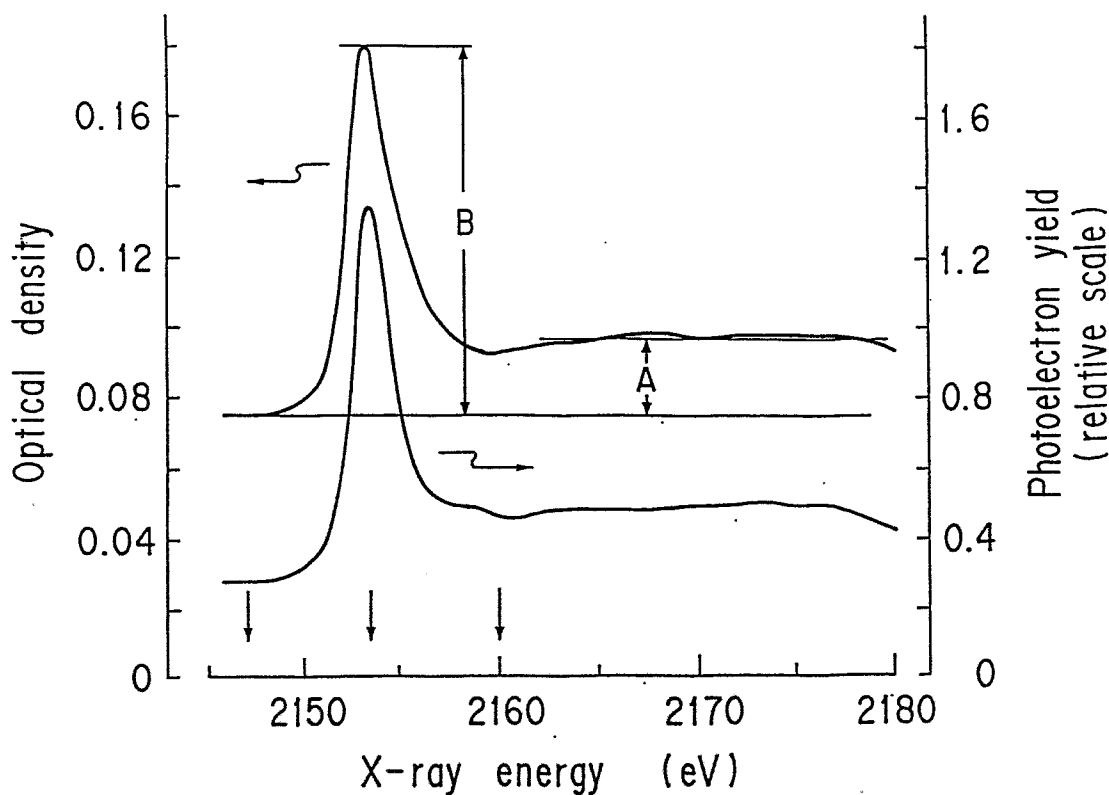
"Hot field" is produced transiently along the trajectory of charged particles. In highly concentrated solution, solute molecules exist in "Hot field", and can participate into the reactions between radicals.

W\* or R : water radicals, Fe<sup>2+</sup>: ferrous ion in Fricke solution, A: ATP molecule

# LET along the electron tracks of different energies

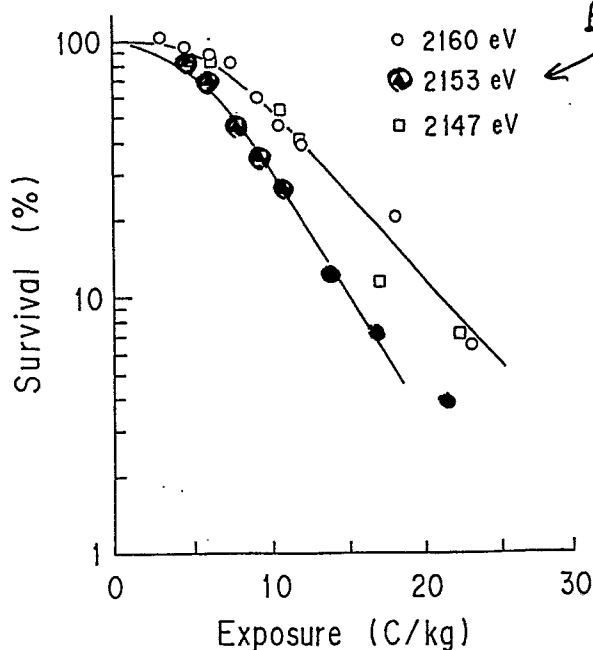


Absorption spectrum of DNA film around the K-shell absorption edge of Phosphorus. (Kobayashi et al., 1991)

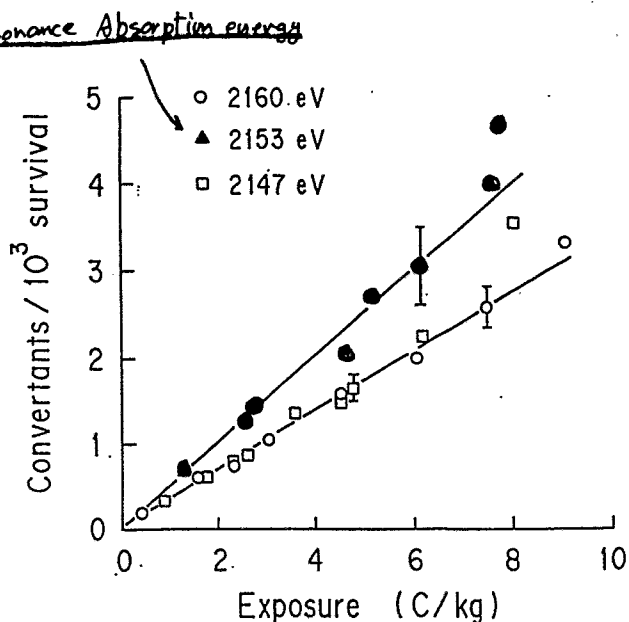


# Biological effects of phosphorus photoabsorption on yeast cells.

a) Lethal effect

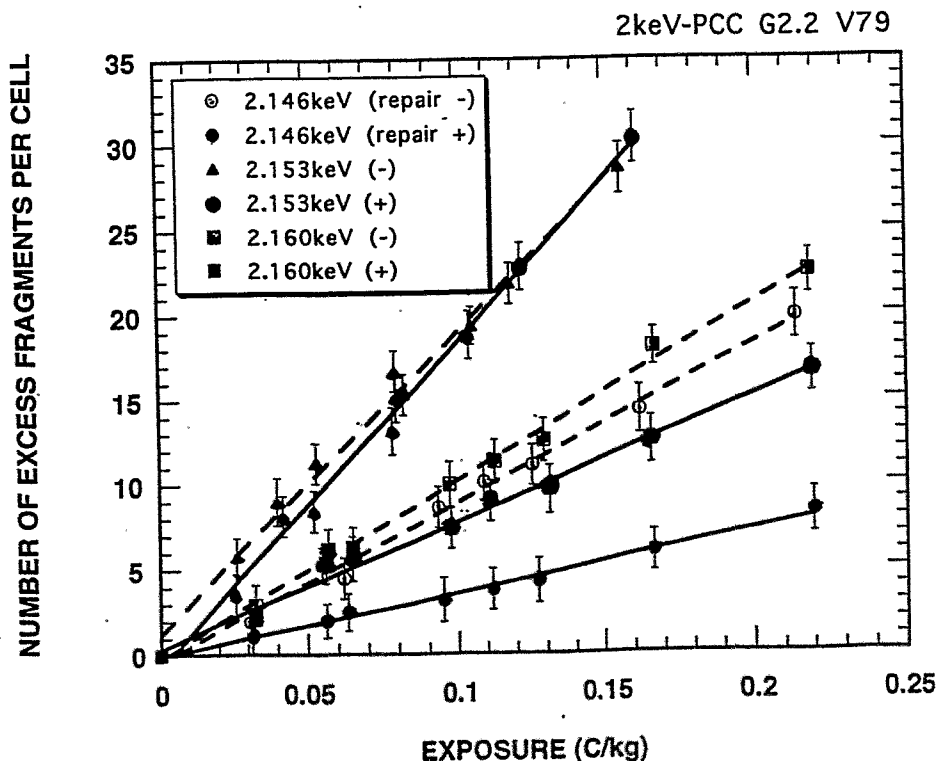


b) Induction of genetic changes



(Kobavashi et al., 1991)

Induction of excess fragments of chromosome by monochromatic soft X-rays around K-shell absorption edge of phosphorus.  
-Lesions irreparable by post-irradiation incubation-



*W. Thomlinson:*

**Synchrotron medical imaging:  
From amplitude to phase**



# Synchrotron Medical Imaging: From Amplitude to Phase

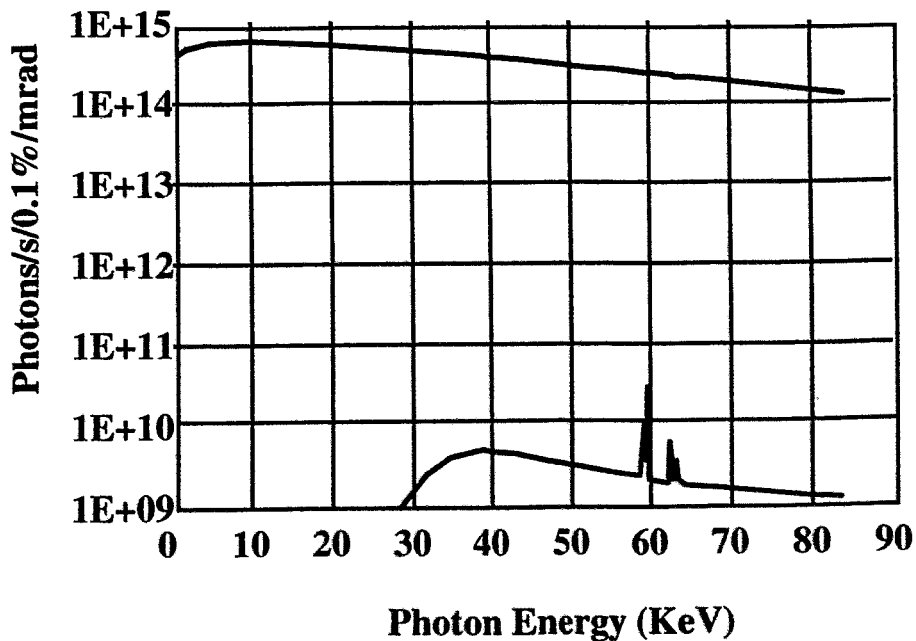
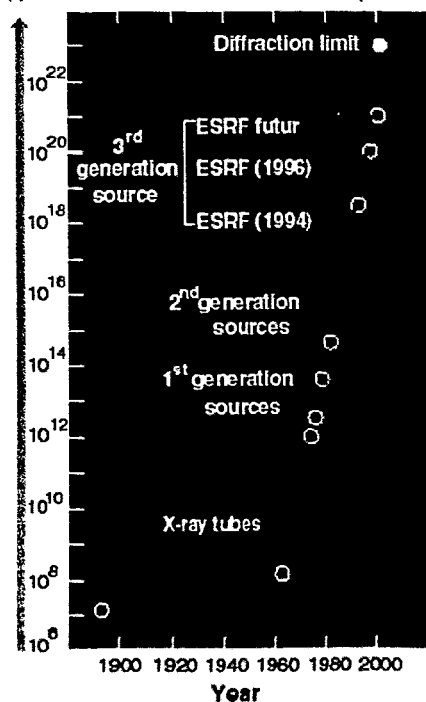
W. Thomlinson  
ESRF  
Grenoble, France



## SYNCHROTRON BRILLIANCE AND ESRF FLUX

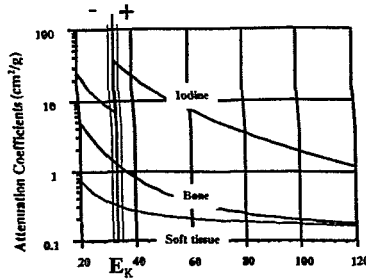
Brilliance of the X-ray beams  
(photons / s / mm<sup>2</sup> / mrad<sup>2</sup> / 0.1% BW)

- X-Ray tube - 110KV - 2.5mm Al @meter/source
- ESRF ID 17 @ 200mA - Wiggler: 1.4T - 1.6m - 150mm





## DUAL-ENERGY K-EDGE SUBTRACTION



TWO EQUATIONS - TWO UNKNOWNNS

$$I_{\pm} = I_0 \left[ e^{-\left(\frac{\mu}{\rho}\right)_I^{\pm}(\rho t)_I} * e^{-\left(\frac{\mu}{\rho}\right)_T^{\pm}(\rho t)_T} \right]$$

$$-\ln\left(\frac{I_{\pm}}{I_0}\right) = \left(\frac{\mu}{\rho}\right)_I^{\pm}(\rho t)_I + \left(\frac{\mu}{\rho}\right)_T^{\pm}(\rho t)_T$$

Solution:

$$(\rho t)_I = \frac{\ln\left(\frac{I}{I_0}\right)^+ - \ln\left(\frac{I}{I_0}\right)^-}{\left(\frac{\mu}{\rho}\right)_I^+ - \left(\frac{\mu}{\rho}\right)_I^-}$$

With a similar equation for the soft tissue.



## AMPLITUDE AND PHASE

Complex Index of Refraction  $n$  in a medium:

$$n = 1 - \delta + i\beta$$

Plane wave:  $e^{inkz} = e^{i(1-\delta)kz} \times e^{-\beta kz}$

$$k = \frac{2\pi}{\lambda}$$

Phase shift related to  $\delta$ :  $(1 - \delta)$

Absorption related to  $\beta$ :  $\mu = \frac{4\pi}{\lambda} \beta$

Energy Dependences:

$$\beta(E) = (hc / 4\pi E) \mu(E) \sim O(E^{-4})$$

$$\delta = (r_0 h^2 c^2 / 2\pi E^2) N_0 f_R \sim O(E^{-2})$$

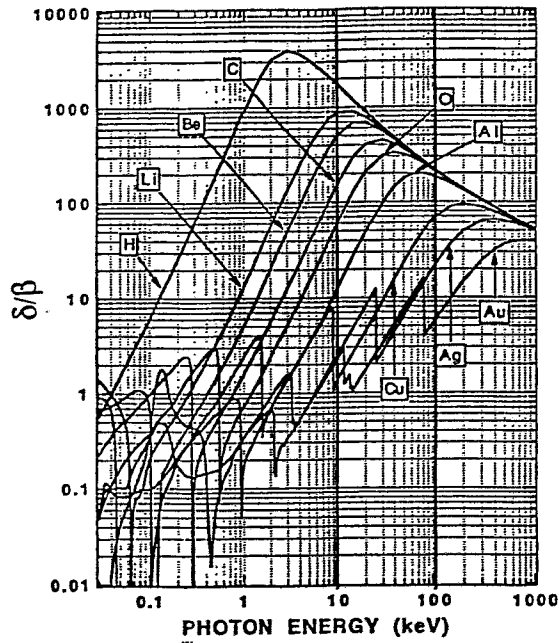
$$\delta \approx 1.3 \times 10^{-6} \rho \lambda^2 \sim 10^{-6}$$

Refraction Angles:

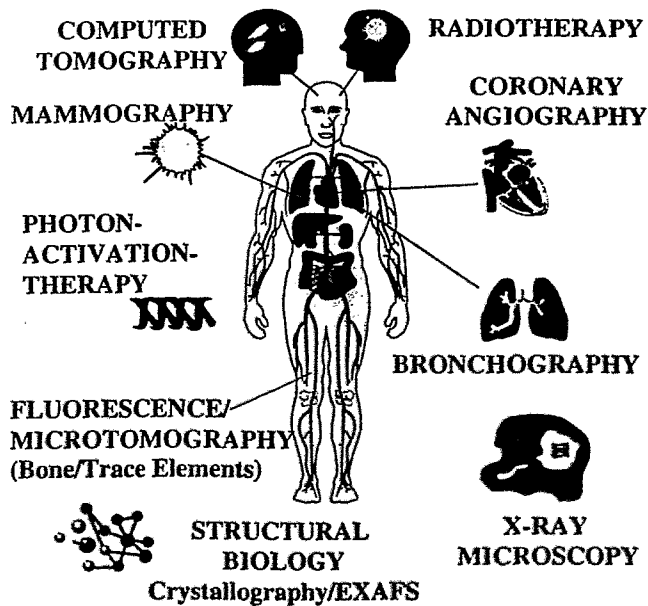
$$\alpha = \int_0^{t(y,z)} \frac{1}{n(x,y,z)} \frac{\partial n(x,y,z)}{\partial z} dx \sim \mu \text{ radians}$$



# PHASE/AMPLITUDE RATIO FOR LIGHT ELEMENTS

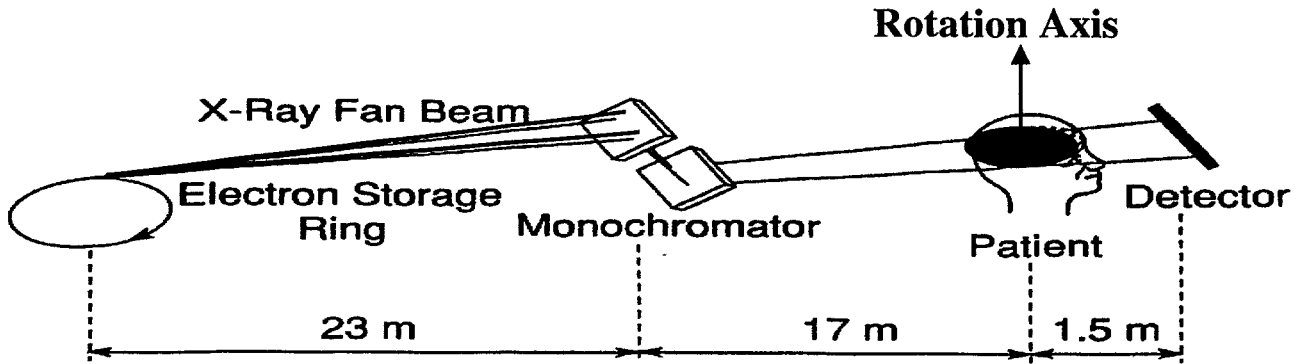


## “BIOMAN” SR MEDICAL RESEARCH

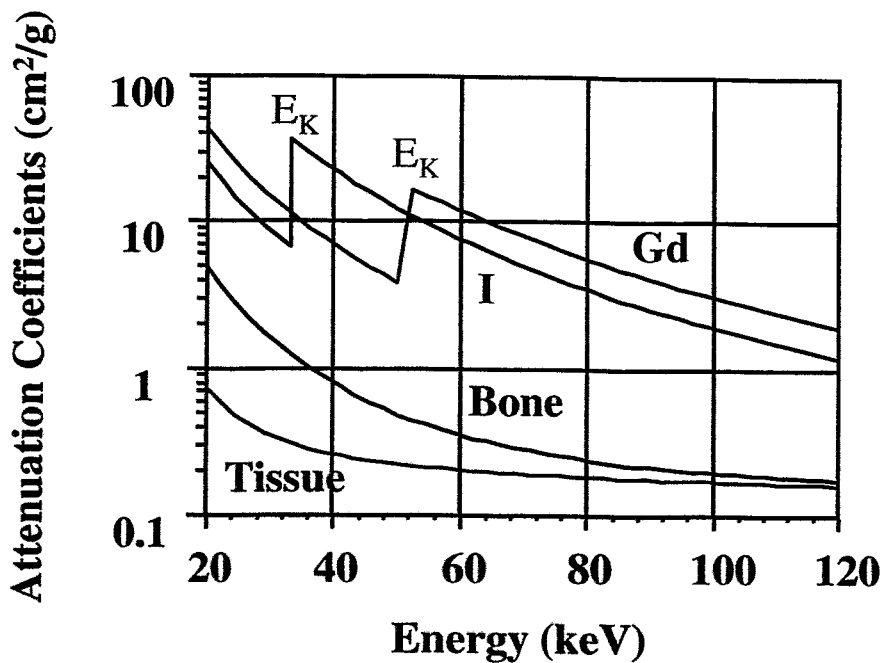




## Basic Configuration for Computed Tomography



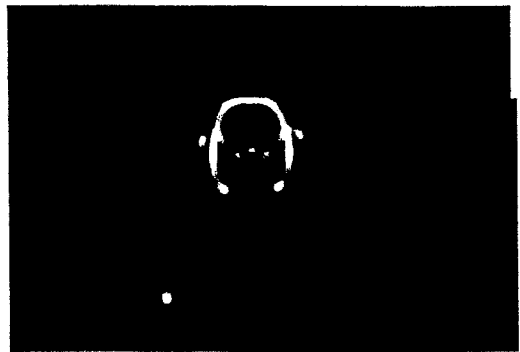
## Iodine and Gadolinium K-Absorption Edges



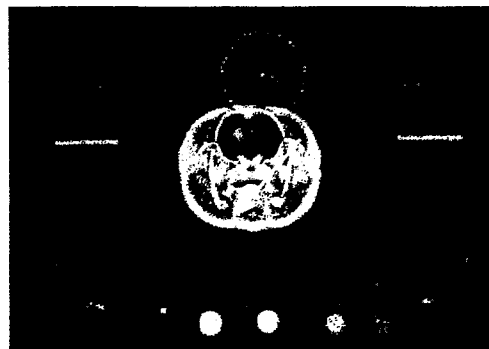
**Above**



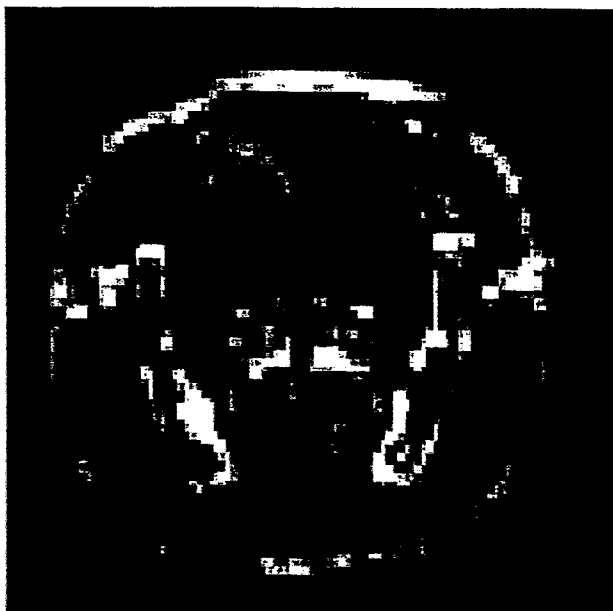
**Below**



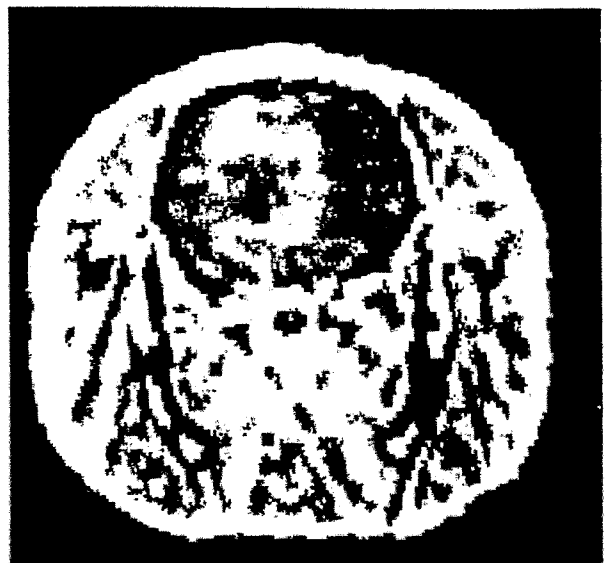
**Subtraction**



**SRCT images obtained  
on rats bearing glioma**

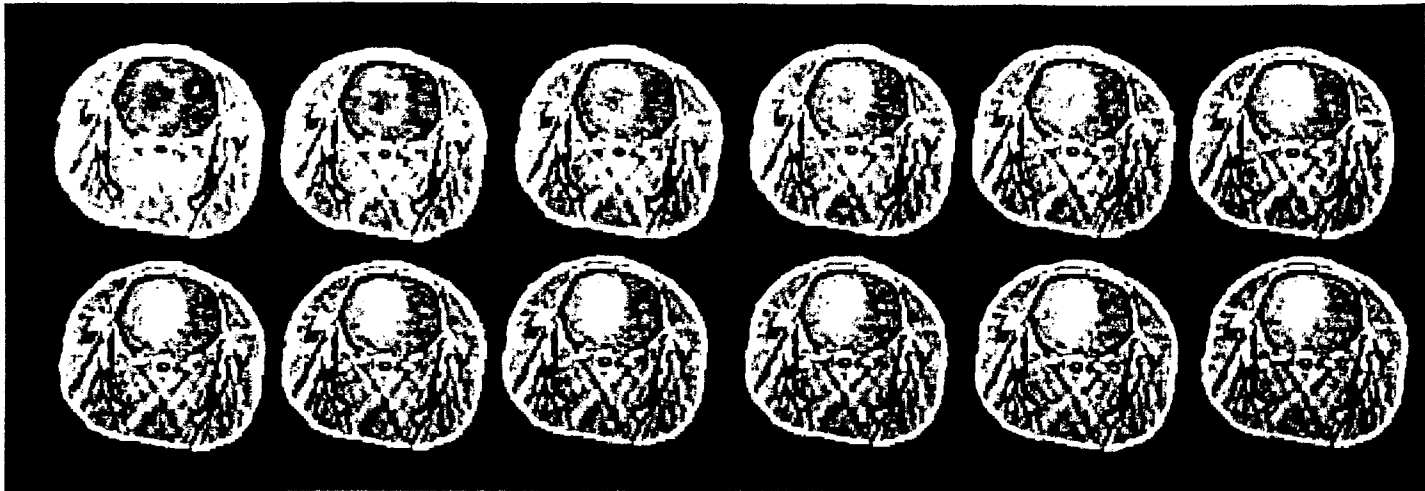


**Iodine**



**Gadolinium**

## Kinetic study on a rat brain bearing a glioma



## Iodine SRCT images



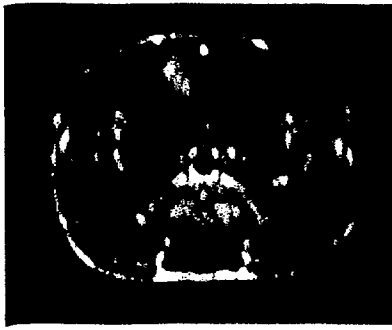
mg/cm<sup>3</sup> (SD/mean %)

periphery	1.35 (15%)
center	0.6 (30%)
contralateral	0.17 (36%)

*iodine*

@ 4 ml/kg (N=6)

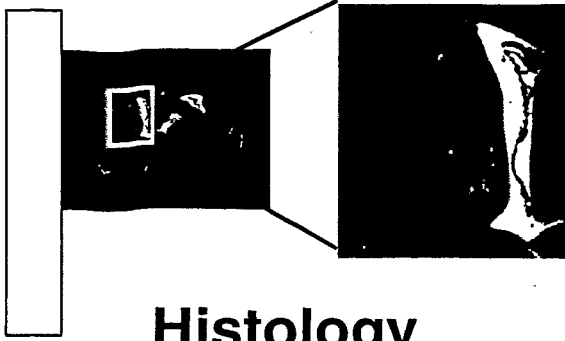
@ 23.3 mg/ml



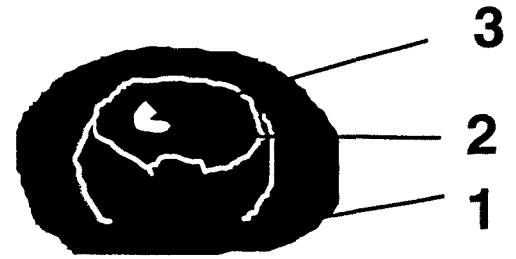
MRI



SRCT

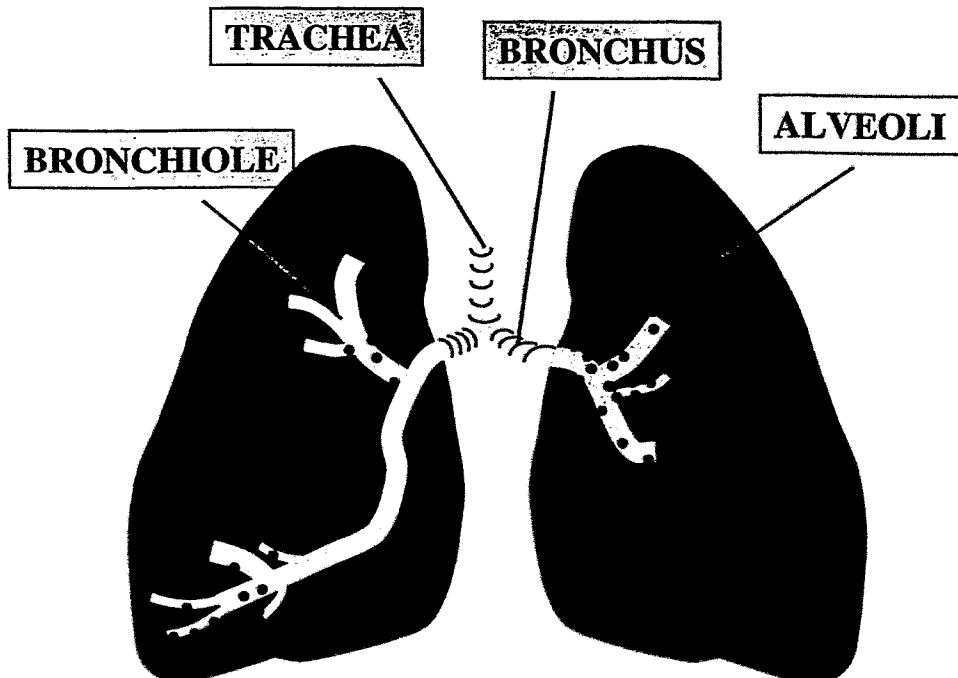


Histology



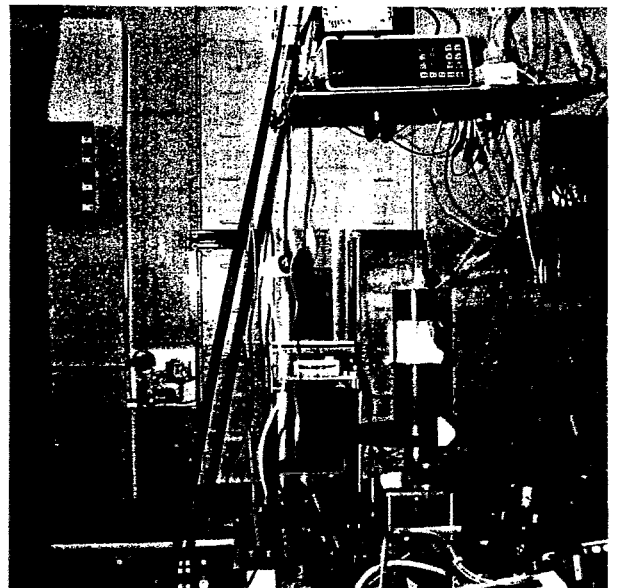
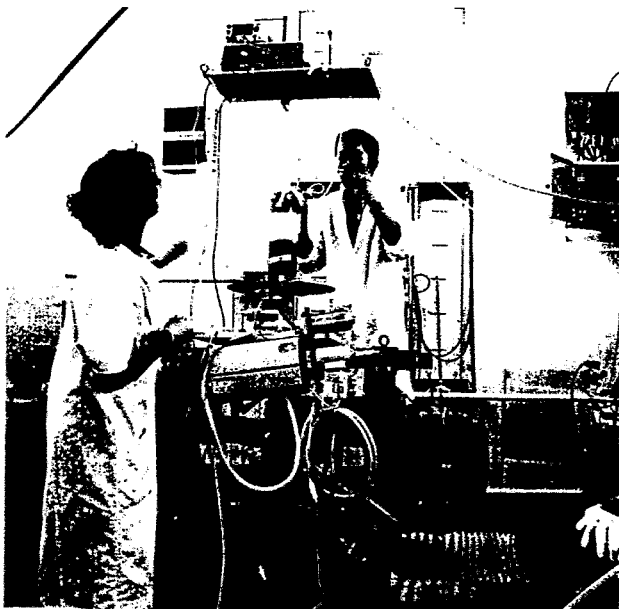
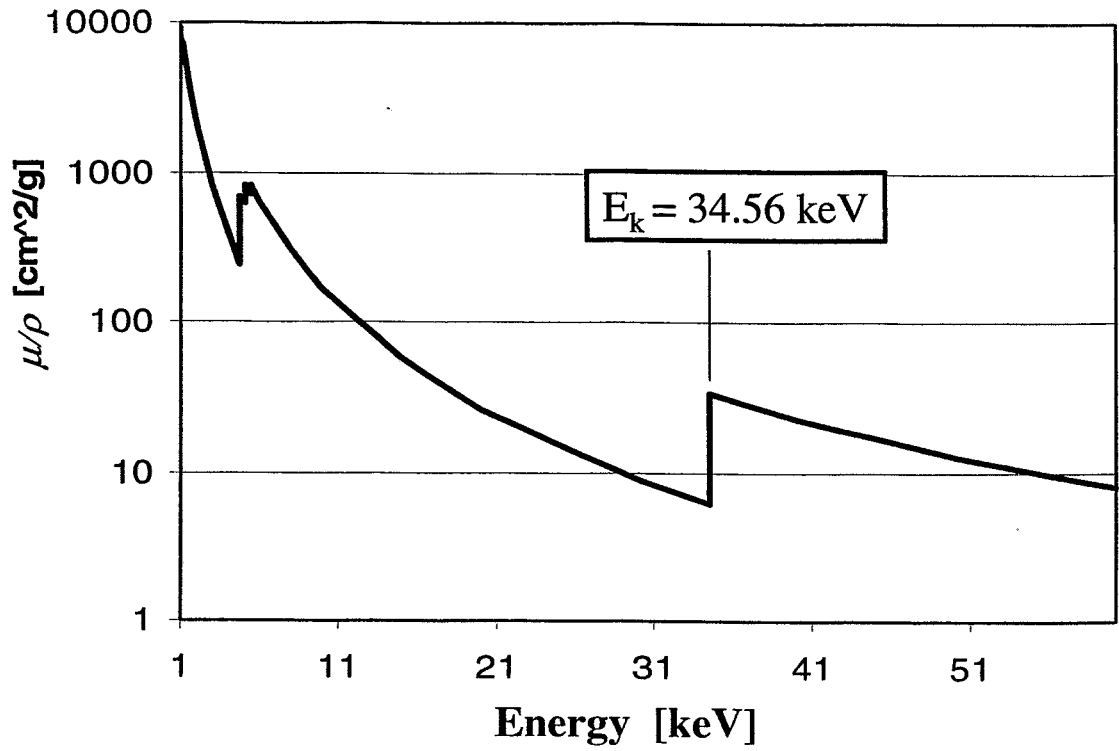
1. Skull
2. Brain
3. Tumor contrast enhancement

# THE LUNGS



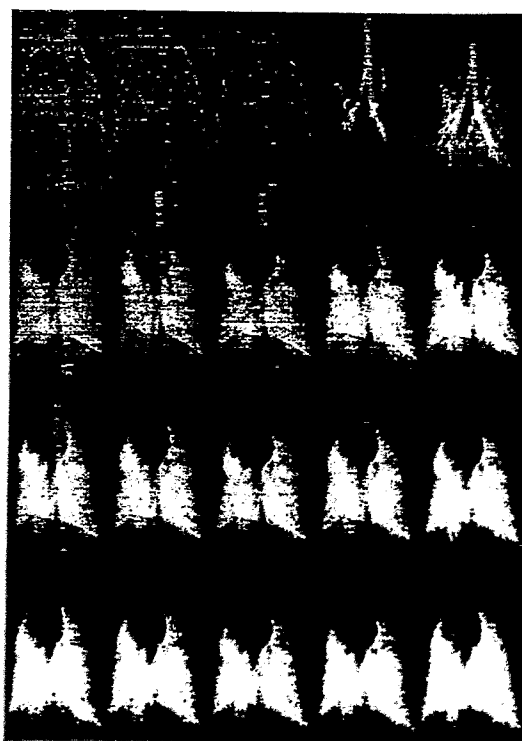


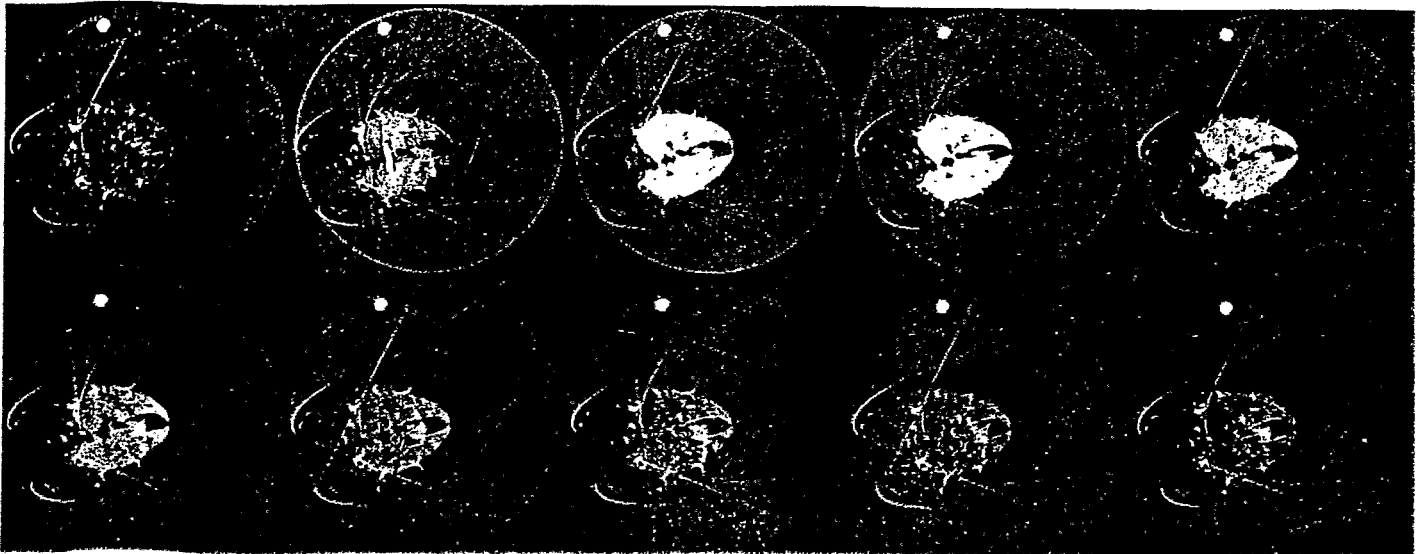
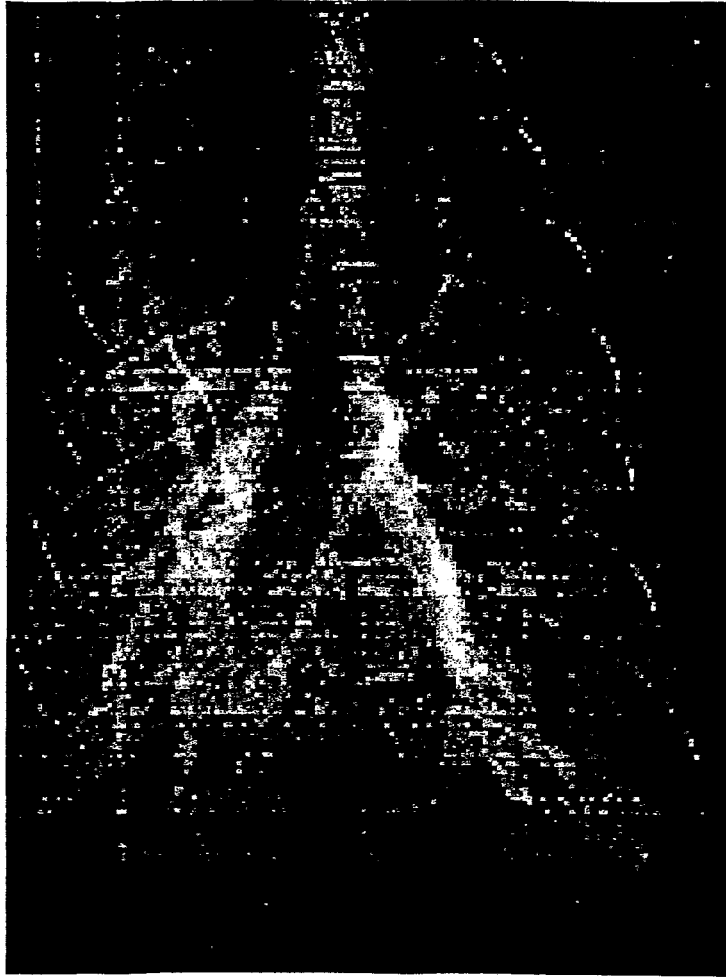
# Mass Attenuation Coefficient of Xe





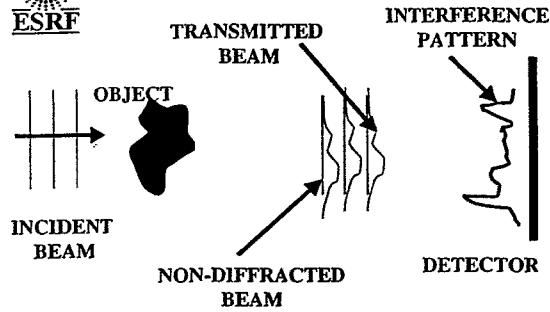
Phantom120\_scan4  
image "tissue"







# PHASE CONTRAST



PHASE CONTRAST MICROSCOPE - Schmahl *et al*  
 HOLOGRAPHIC MICROSCOPE - Howells *et al*  
 FOURIER HOLOGRAPHY - McMulty *et al*  
 INTERFEROMETER - Bonse & Hart  
 PHASE CONTRAST IMAGING - Ando *et al*; Momose

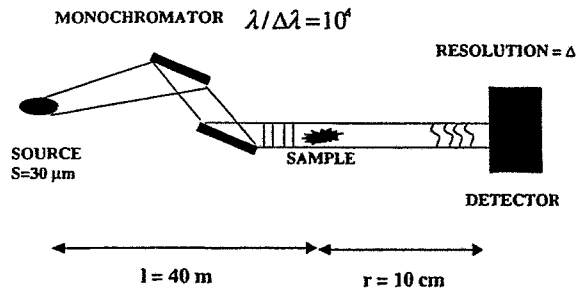
PHASE CONTRAST MICROTOMOGRAPHY  
 - Spanne *et al*

MULTICRYSTAL:

"SCHLIEREN" - Goetz *et al*  
 "REFRACTION" - Shilstein & Podurets  
 "DISPERSION" - Ingal & Bellaevskaya  
 "PHASE CONTRAST" - Wilkins *et al*  
 "DIFFRACTION ENHANCED IMAGING"  
 - Chapman *et al*



# PHASE CONTRAST IMAGING



$$\text{Intensity Distribution: } I = |1 + P_r * (1 - A)|^2$$

A = absorption function

$$P_r(x, y) = \frac{1}{\sqrt{i\lambda r}} \exp\left(i\pi \frac{x^2 + y^2}{\lambda r}\right)$$

If  $r \ll \Delta^2 / \lambda \Rightarrow$  Contrast = Absorption

If  $\sqrt{\lambda r} \sim \Delta \Rightarrow$  Contrast = Edge Enhanced

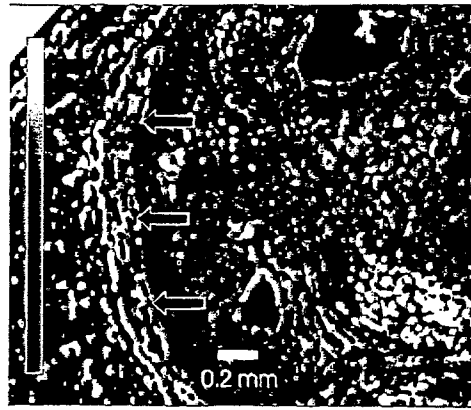
Optimal Distance

for Spatial Frequency  $f$  is:  $r_{opt} \approx \frac{1}{2} \lambda f^2 \sim r$

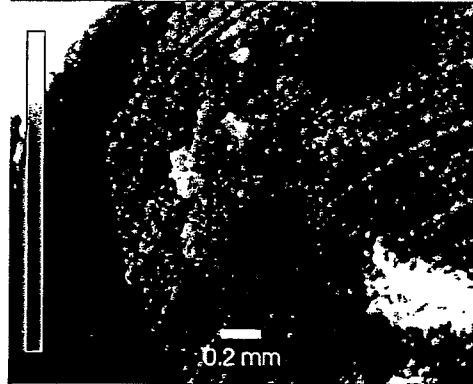


# Coronary Artery

Phase Contrast  
Computed  
Tomography



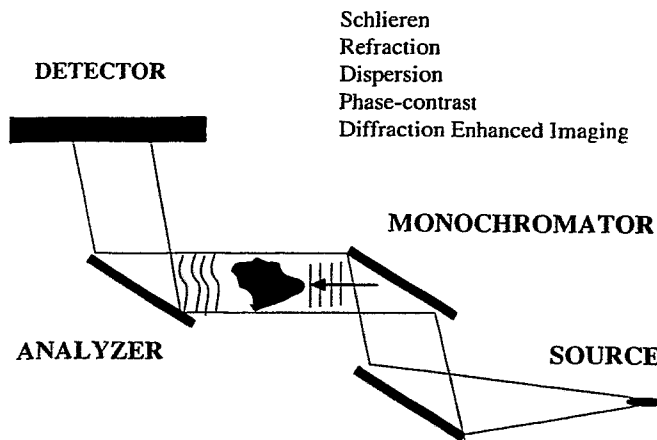
Conventional  
Absorption  
Computed  
Tomography



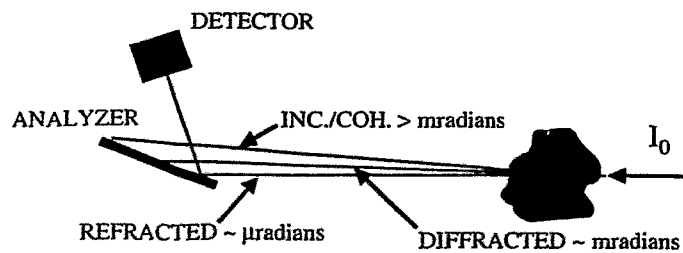
P. Spanne et al

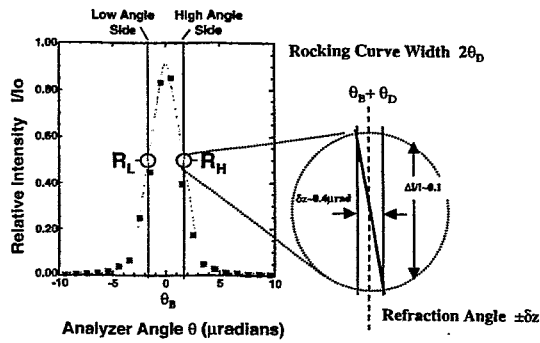


## X-RAY SCHLIEREN IMAGING



SINGLE RAY:





$$I_B^\pm = I_R R\left(\theta_B \pm \frac{\theta_D}{2} + \delta z\right)$$

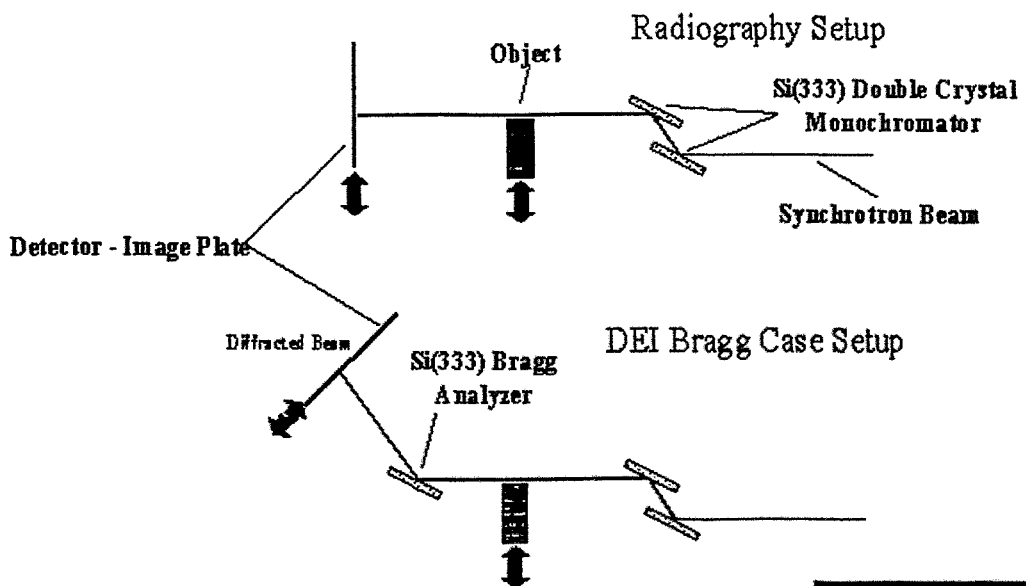
$$\approx I_R \left[ R\left(\theta_B + \frac{\theta_D}{2}\right) + \frac{dR}{d\theta} \left(\theta_B + \frac{\theta_D}{2}\right)_\pm \delta z \right]$$

2 equations - 2 unknown:  $I_R$  and  $\delta z$

Absorption: 
$$I_R = \frac{I_B^+ + I_B^-}{2R}$$

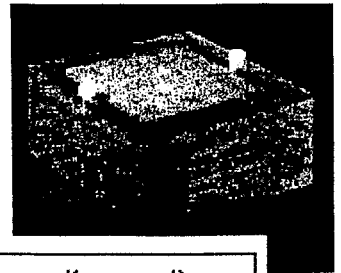
Refraction Angle: 
$$\delta z = \frac{R}{\left(\frac{dR}{d\theta}\right)} \left( \frac{I_B^+ - I_B^-}{I_B^+ + I_B^-} \right)$$

## Synchrotron Radiography and DEI

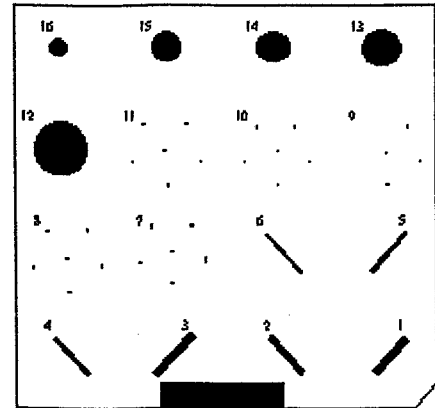


## ● Mammography Quality Assurance Object

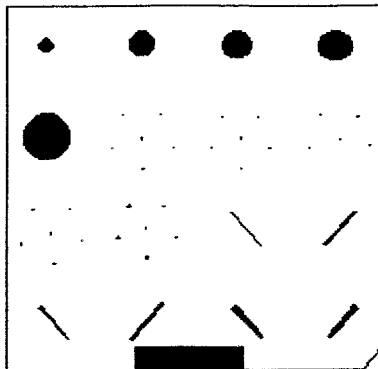
- American College of Radiology Mammography Phantom
- This phantom contains objects which simulate features presented by lesions in breast tissue (masses, fibrils and specks). Images are scored according to the number of targets detected in a category (i.e. masses, fibrils and specks).
- Manufactured by  
Gammex RMI: Model 156.
- Sketch at right shows objects imbedded in phantom
- Phantom is approximately 3 in x 3 in x 2 in



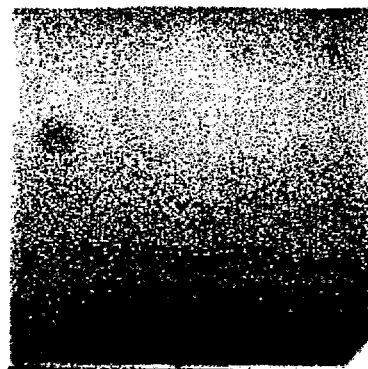
Region Metrics  
 1. 1.36 mm. nodule  
 2. 1.12 mm. nodule  
 3. 0.89 mm. nodule  
 4. 0.75 mm. nodule  
 5. 0.54 mm. nodule  
 6. 0.40 mm. nodule  
 7. 0.54 mm. isolated micro-calcification  
 8. 0.40 mm. isolated micro-calcification  
 9. 0.32 mm. isolated micro-calcification  
 10. 0.24 mm. isolated micro-calcification  
 11. 0.16 mm. isolated micro-calcification  
 12. 2.00 mm. tumor-like mass  
 13. 1.00 mm. tumor-like mass  
 14. 0.75 mm. tumor-like mass  
 15. 0.50 mm. tumor-like mass  
 16. 0.25 mm. tumor-like mass



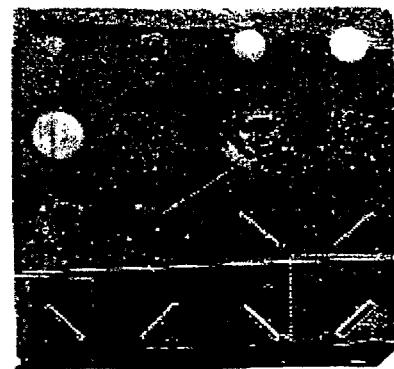
## Comparison - Conventional and DEI



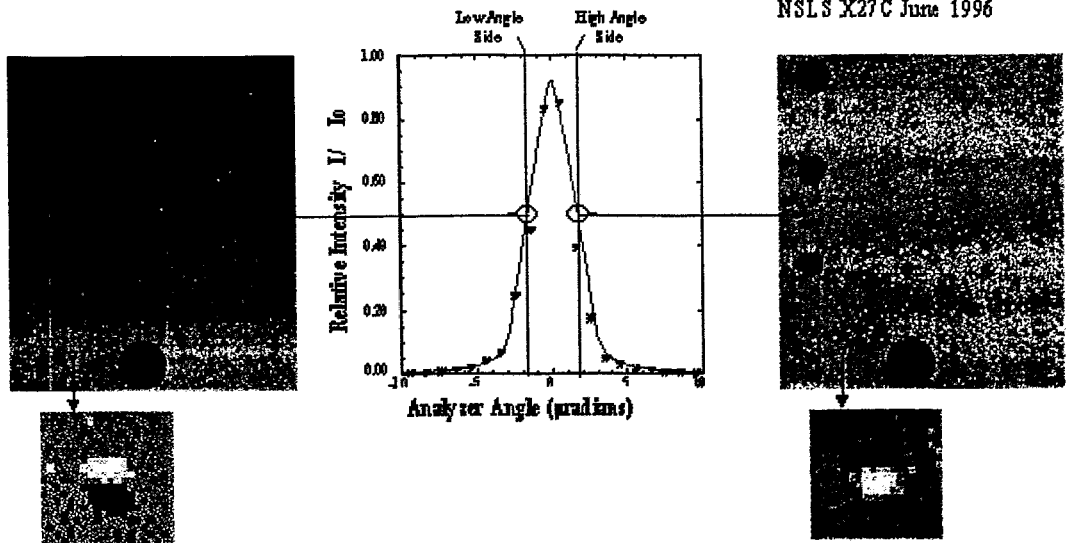
Map



Conventional

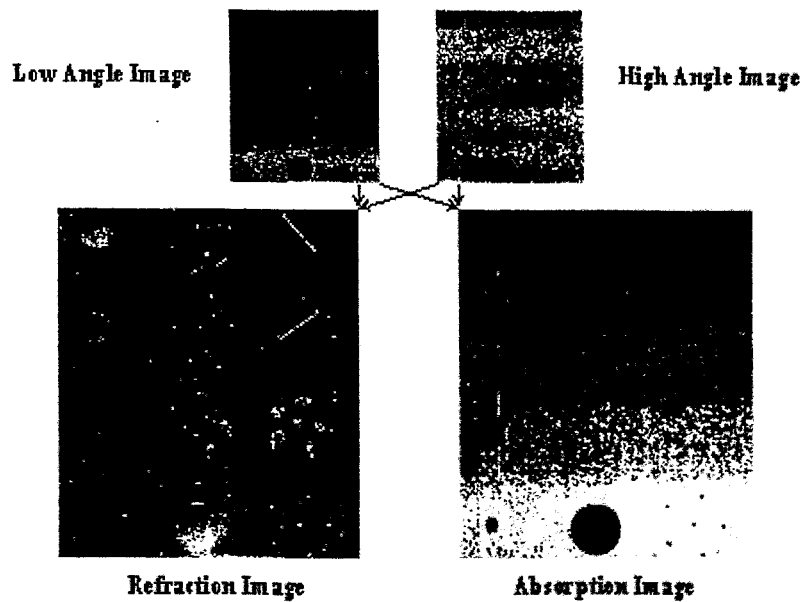


DEI



**DEI Group**

## Refraction - Absorption Analysis

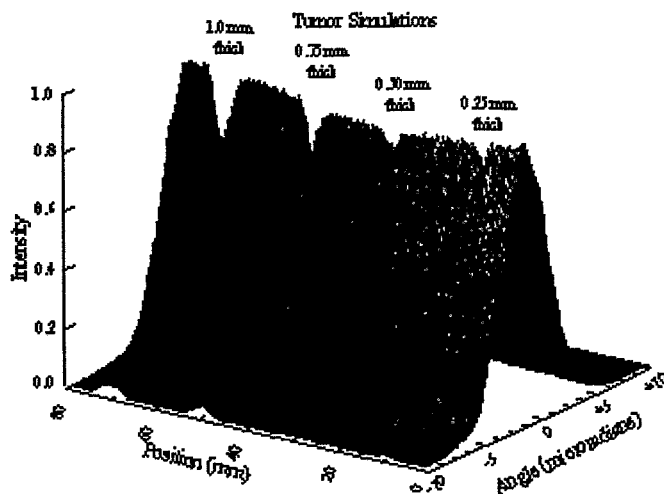


**DEI Group**

# Where did excess contrast come from?



x-ray beam fixed on on tumor simulations - then analyzer is rotated in angle



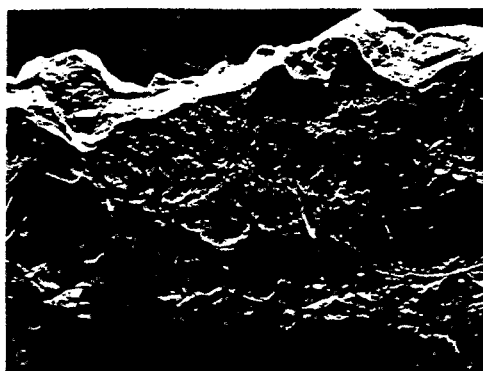
## Human Breast Tissue DEI Image

DEI Group  
NSLS Feb. 1998

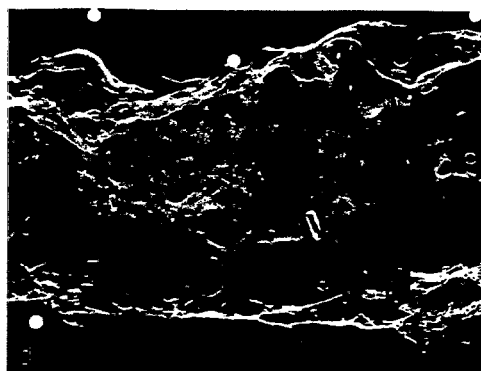
Radiograph



Apparent Absorption



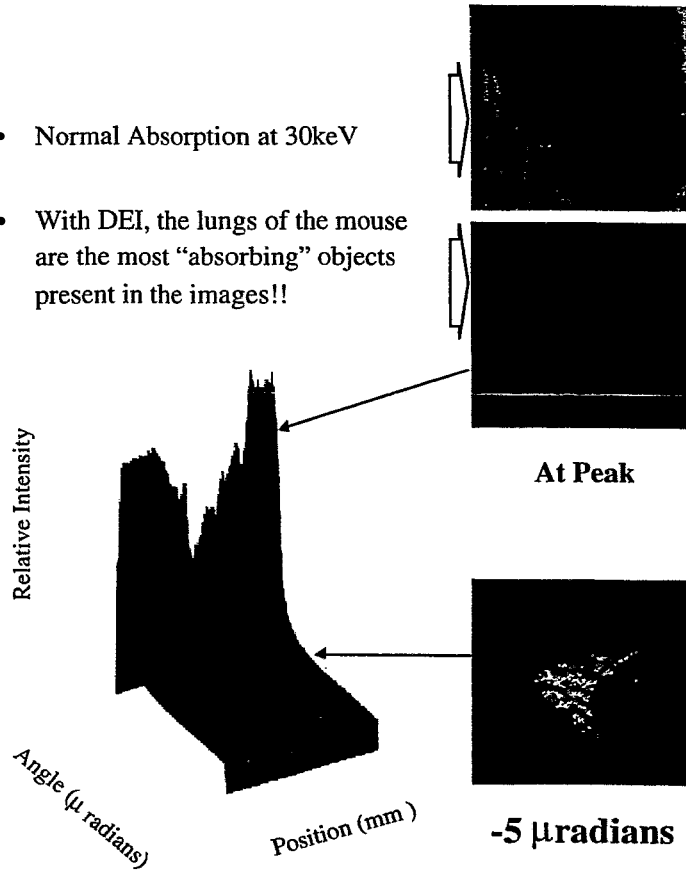
Refraction



At Peak of Rocking Curve

## 30keV DEI Mouse Images

- Normal Absorption at 30keV
- With DEI, the lungs of the mouse are the most “absorbing” objects present in the images!!



## DEI Sources of Contrast

- DEI
  - Normal Absorption
  - Refraction
  - Extinction -or- Scatter Rejection
- Compare with Radiography...
  - Normal Absorption



**ESRF**

**SCIENTISTS:** S. Fiedler, G. Le Duc, C. Nemoz, P. Spanne,  
A. Snigirev, W. Thomlinson  
**POST-DOCS:** A. Bravin, I. Troprès  
**ENGINEERING:** M. Renier, E. Brauer-Krisch  
**TECHNICIAN:** T. Brochard  
**COMPUTING:** G. Berruyer  
**SAFETY:** P. Berkvens  
**STUDENT:** C. Johannessen

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**DIFFRACTION ENHANCED IMAGING GROUP**

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**SCIENTISTS:** D. Chapman, E. Johnston, D. Sayers, Z. Zhong

*S. Fiedler:*

**Current status of the coronary  
angiography project at the ESRF**



# Current status of the coronary angiography project at the ESRF

by Stefan Fiedler



## Collaborators



**ESRF**

**SCIENTISTS:** W. Thomlinson, S. Fiedler, G. Le Duc, C. Nemoz

**POST-DOCS:** A. Bravin, I. Troprès

**ENGINEERING:** M. Renier, E. Brauer-Krisch

**TECHNICIAN:** T. Brochard

**COMPUTING:** G. Berruyer

**SAFETY:** P. Berkvens



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**SCIENTISTS:** A.M. Charvet, H. Elleaume

**MANIPULATORS:** S. Michelland, E. Pierrard

**University Helsinki**

**P. Suortti**

- **Medical Motivation**
- **K-edge subtraction angiography**
- **instrumentation and procedures**
- **comparison of contrast agents (I versus Gd)**
- **first human patient at the ESRF**
- **conclusions & perspectives**

## History of Synchrotron coronary angiography patient programs

- Stanford / SSRL (Rubenstein et al.; '86)
- Brookhaven / NSLS (Thomlinson et al.; '90)
- Hamburg / Hasylab (Dix et al.; '90)
- Tsukuba / Photon Factory (Ando et al.; '96)

## Cardiovascular diseases



### Epidemiology (industrialized countries)

Cardiovascular diseases is 1<sup>st</sup> cause of adult mortality.  
30% of all deaths.  
300.000 deaths/year (USA).  
In half of the cases the first sign of the disease is death !

### Infarct

Stenosis of the coronary arteries.  
Ischemia of the heart muscle.  
Death.

## Coronary Angiography



### aim:

**imaging of coronary arteries down to 1mm diameter to detect narrowing of the arterial lumen due to atherosclerosis**

### difficulty:

**small and moving structure**

### conventional method:

**intra-arterial (femoral artery) catheterization**

**injection of iodine contrast agent to obtain image with filtered radiation from X-ray tube**

**wide spread method (e.g. 300 000 examinations per year in France)**

# Coronary Angiography Techniques

Conventional method

- advantages (+)
  - excellent image quality
  - 'cinematographic' imaging => combination with angioplasty

disadvantages (-)

invasive i.e.

≈ 1.5% complications (≈ 0.5% serious)

≈ 0.1% mortality, ≈ 0.3% myocardial infarctus, ≈ 0.1% cerebral vascular accident!

allergic reaction to contrast agent

minimal invasive techniques:

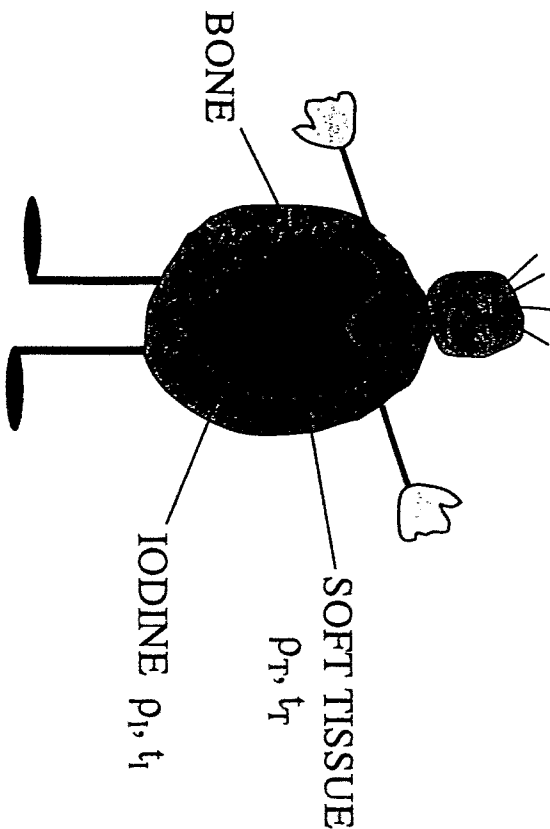
MRI

Fast CT

Dichromography with SR



ANGIOGRAPHY VIEW OF  
A TYPICAL HUMAN



Assume: p<sub>t</sub>, t<sub>r</sub> Includes Bone

## K-edge Digital Subtraction Angiography



principle :

- intravenous injection of contrast agent (I,Gd)
- simultaneous recording of two images with two energies above and below the K-edge of the contrast agent
- subtraction of the images (suppression of tissue and bone contrast)

requirement for radiation source :

- two monochromatic beams wide enough to cover human heart
- intense enough to image arteries with a contrast agent diluted (by factor of ~40) faster than one heartbeat i.e. ~10Gy/s

→ synchrotron

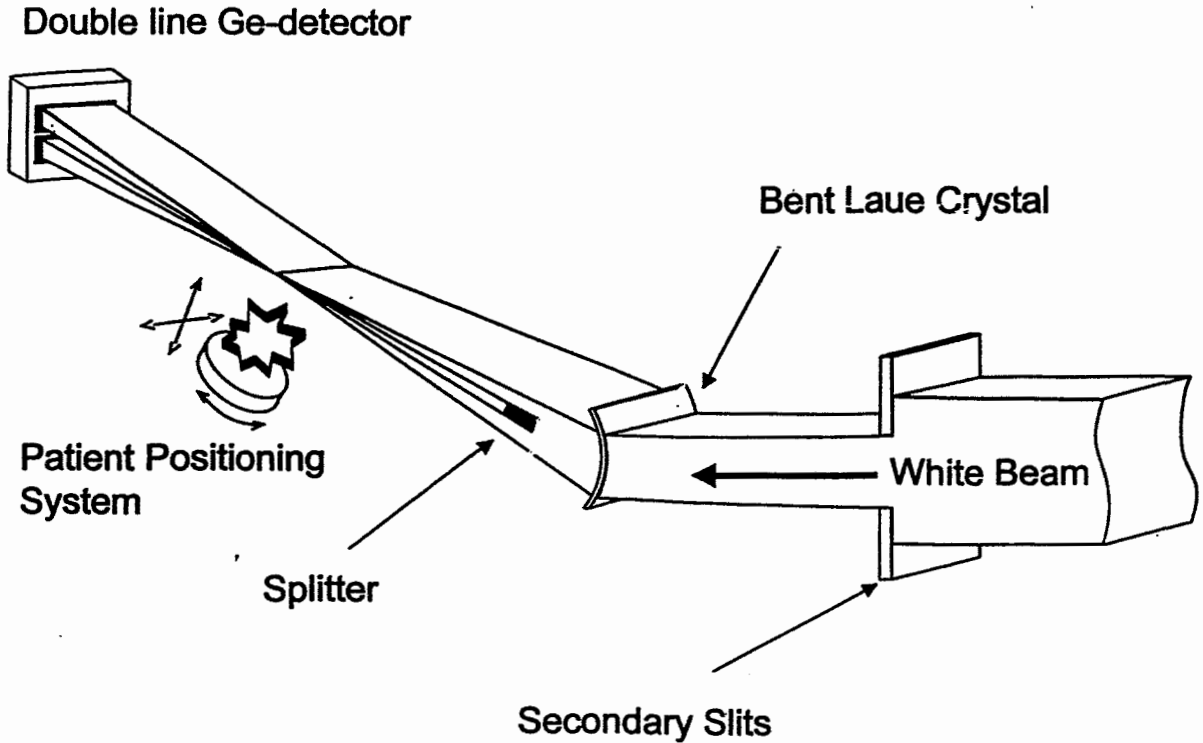
The aim is not to replace conventional angiography but to provide minimal invasive diagnostic tool particularly for follow up examinations after angioplasty (danger of re-stenosis: 30%).



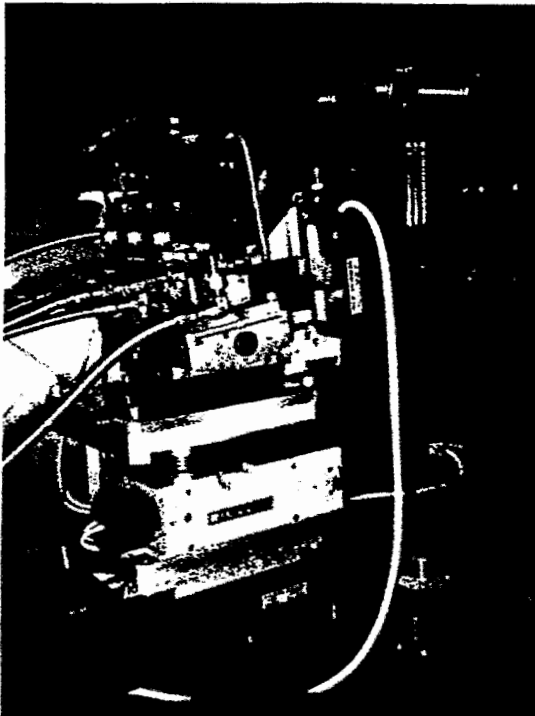
## ESRF MEDICAL RESEARCH FACILITY



## Angiography Setup



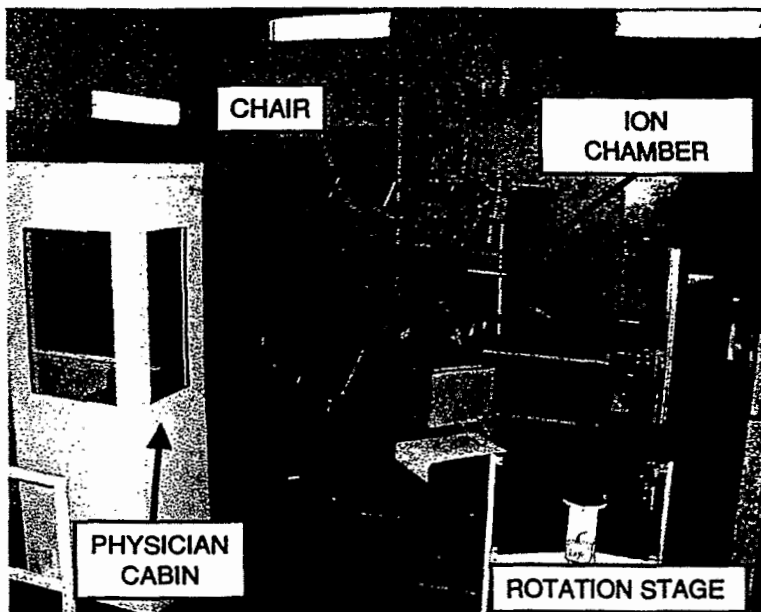
## Angiography Monochromator



### Bent Laue monochromator

- Asymmetrically cut Si(111) crystal
- water cooled (gravity flow); in He atmosphere
- Energy range: 17 – 51 keV
- Focal spot:  $0.75 \times 150 \text{ mm}^2$
- Focal distance: 7m (bending radius 12m)
- Energy bandwidth: 450eV
- flux (angiography conditions) :  $2.5 \times 10^{12} \text{ ph/s}$
- Harmonic content (60mm gap for 33keV) : 0.3%

## Patient Positioning System / Imaging huch

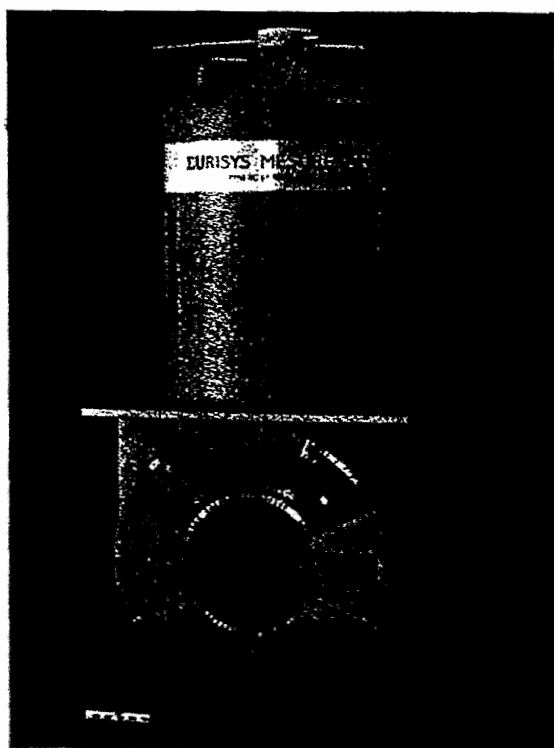


**Design for angiography & CT**  
(6 degrees of freedom)

### Z-axis:

- pneumatically assisted DC motor
- max. speed: 500mm/s
- max. acceleration: 1 m/s<sup>2</sup>
- 600 mm stroke
- Moving mass: 2.6T

## Detector System



### Germanium Detector

- Monolithic P-type Ge crystal
- Thickness: 2mm
- LN cooled
- Two lines with 432 pixels
- Spatial resolution: 350 $\mu$ m
- Active zone: 20 \* 150 mm<sup>2</sup>
- Leakage current < 5pA/pixel

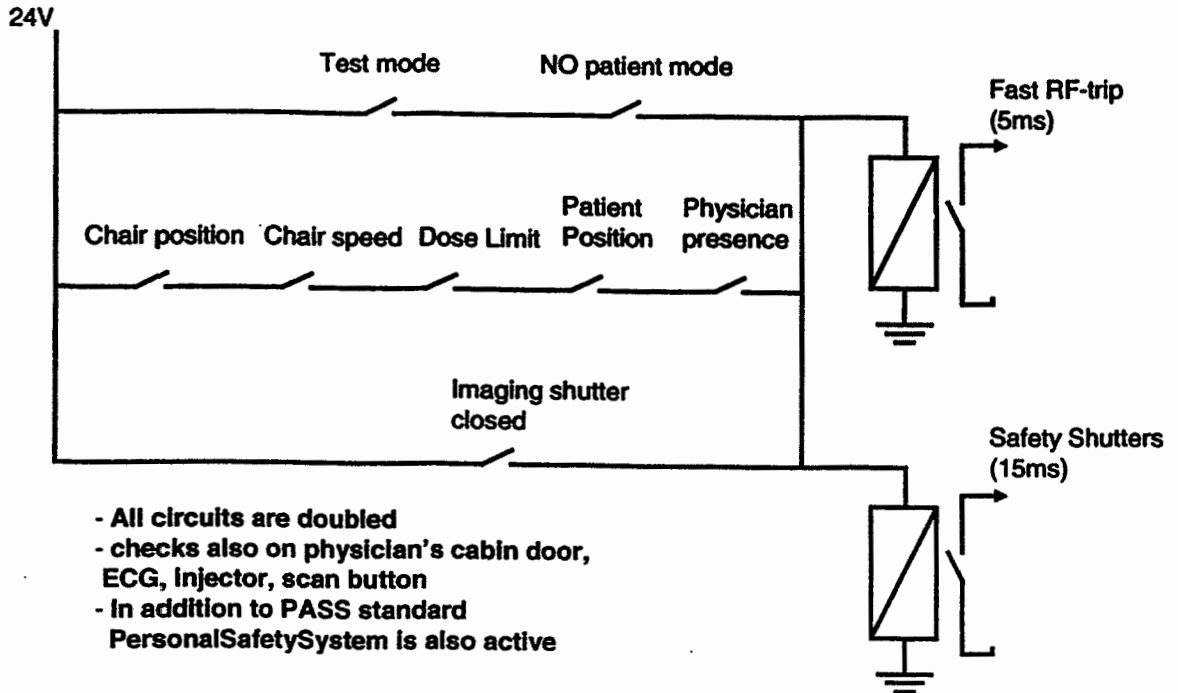
### Electronics

- Charge integration mode (1ms – 100ms)
- Dynamic range: 16bit (8 gain settings  
corresponding to 1.5pA – 16 $\mu$ A)
- 1 integrator and 1 ADC / pixel

# PatientSafetySystem



Simplified PASS diagram (if condition is ok, the contact is closed)



# Dosimetry



- Doserate used for patient studies :  
approx. 10Gy/s

(depending on wiggler gap, ring current, slit settings, filters)

- Dose per scan: 5 – 35mGy

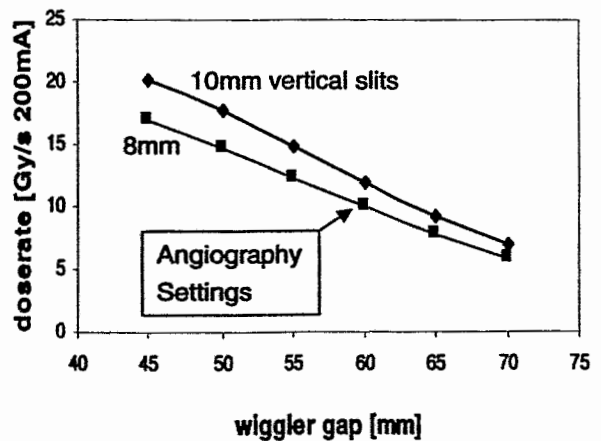
(depending on chair speed and dose rate)

- Dose for entire examen: 200mGy

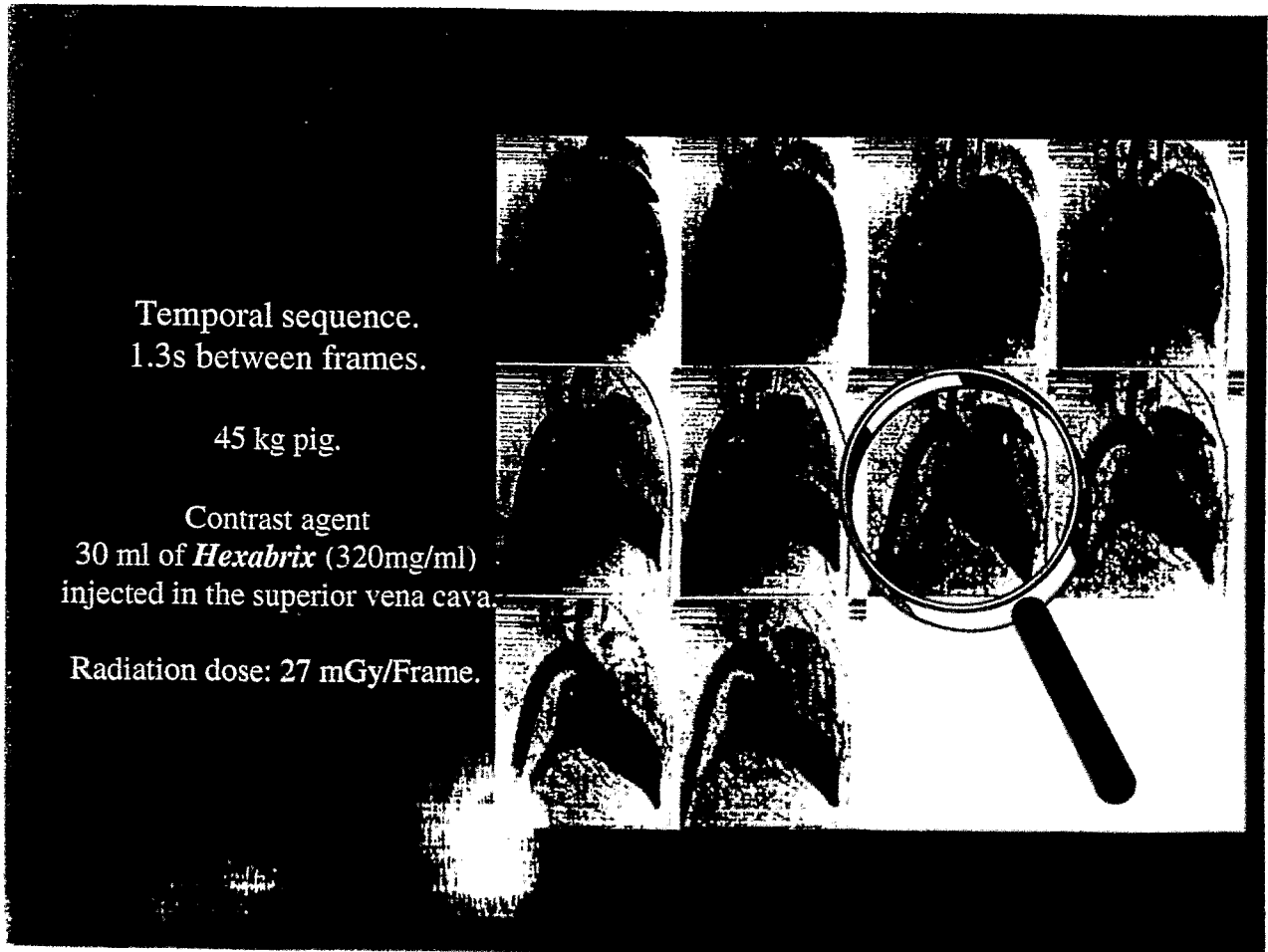
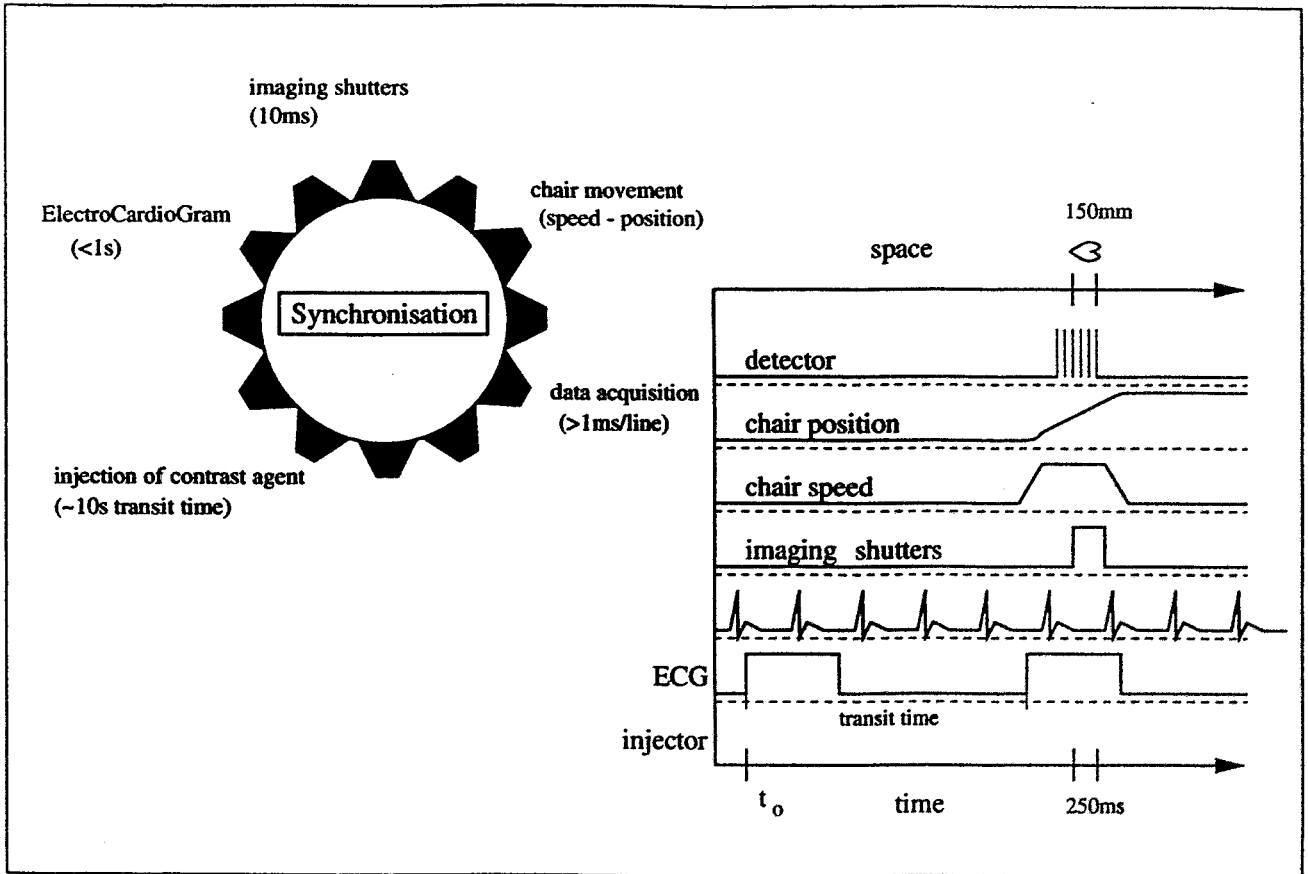
(comparable to conventional angiography)

- Dose is monitored during scan and inhibits exposure when exceeded

Doserate/Gap for 200mA ring current







Aorta



Right coronary artery

Left anterior descending artery

## physical properties of Iodine and Gadolinium



- for in vivo studies radiation dose is main limitation



large tissue attenuation at 33.17keV



choice of higher Z material as contrast agent

e.g. Gadolinium (50.2keV)

problem: available concentrations

Contrast medium	Iodine	Gadolinium
Atomic number	53	64
Atomic weight	126.9	157.25
Density [g/cm <sup>3</sup> ]	4.92	7.88
Energy K-edge [keV]	33.169	50.239
$\frac{\mu}{\rho}$ [cm <sup>2</sup> /g] contrast agent (E < Ek)	6.55	3.8
$\frac{\mu}{\rho}$ [cm <sup>2</sup> /g] contrast agent (E > Ek)	35.93	18.53
$\frac{\Delta\mu}{\rho}$ [cm <sup>2</sup> /g] contrast agent	29.38	14.73
$\frac{\mu_{en}}{\rho}$ [cm <sup>2</sup> /g] tissue	0.330	0.232
$\frac{\mu_{en}}{\rho}$ [cm <sup>2</sup> /g] tissue	0.116	0.041
$\frac{\mu_{en}}{\rho}$ [cm <sup>2</sup> /g] bone	0.630	0.230

# Comparison of contrast agents



Equivalent contrast for different concentration:

Iodine Hexabrix 2mmol/kg  
 Atomic number : 53  
 K-edge: 33.17 keV  
 Attenuation (20cm) : 500

$$\frac{\Delta \mu}{\rho} = 29 \text{ cm}^2 / \text{g}$$

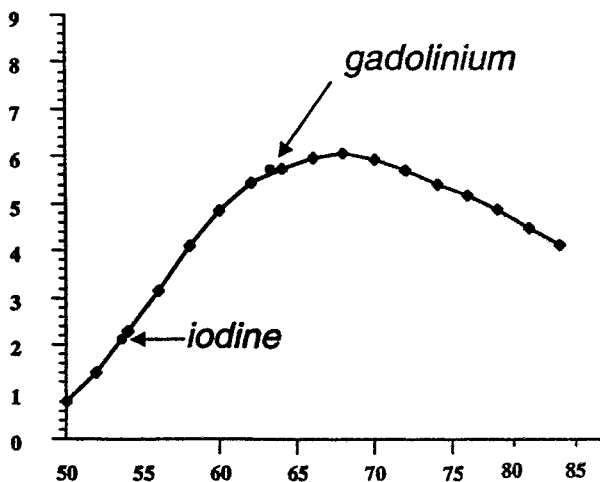
Coronary concentration : 10 mg/cm<sup>3</sup>

Gadolinium Gadobutrol 0.5mmol/kg  
 Atomic number : 64  
 K-edge : 50.2 keV  
 Attenuation (20cm) : 80

$$\frac{\Delta \mu}{\rho} = 14 \text{ cm}^2 / \text{g}$$

Coronary concentration : 3 mg/cm<sup>3</sup>

Detail SNR



Atomic number of contrast agent (Z)

Conditions of comparison

X-ray dose: 50 mGy

19cm Tissue - 1cm Bone

Same concentration of contrast agents

Temporal sequence  
 1.3 s between two frames.

Pig 70 kg

Contrast agent  
 Gadobutrol (84 ml @ 157 mg/ml)  
 Injected in the superior vena cava

Radiation dose  
 23.6 mGy/Frame



# Gadolinium

Cochon de 70 kg

Séquence temporelle  
(1.3 sec entre 2 images)

Agent de contraste : Gadobutrol  
(84 ml @ 157 mg/ml)

Injecté en veine cave supérieure

Dose de rayonnement X  
24 mGy/Image



H. Elleaume INSERM 2000

26

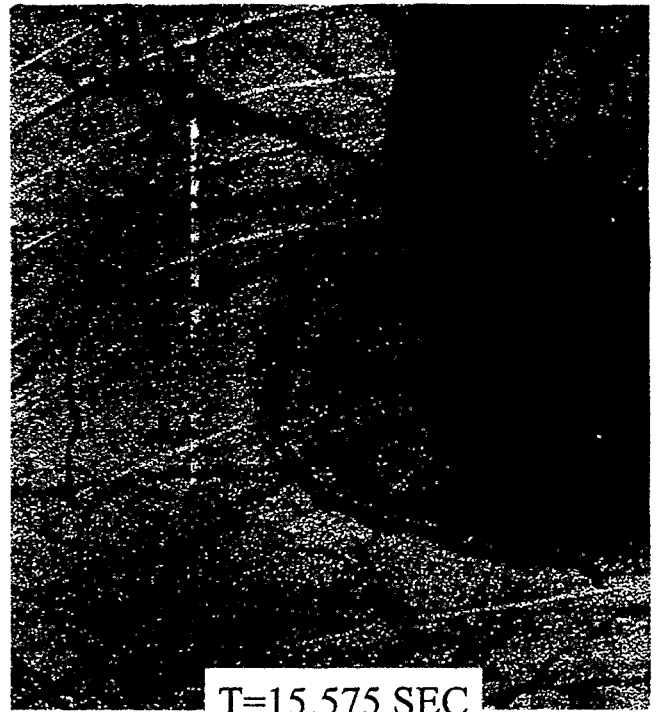
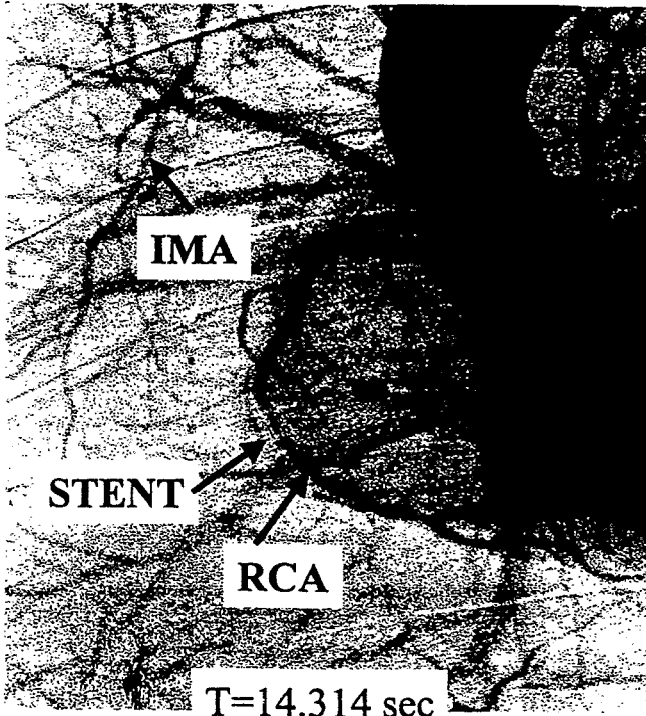
## Angiography Protocol



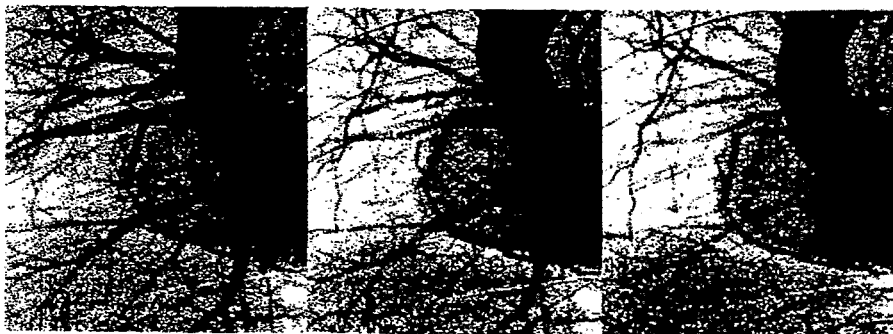
- STEP 1:** Conventional angiogram followed by angioplasty
- STEP 2:** Follow-up medical examination 3 - 4 months later  
If new angiogram is required :
- STEP 3:** ESRF Transvenous Angiography within 1 week  
(target vessel: RCA)
- STEP 4:** Conventional angiogram within 1 day



LAO 40° IODINE CONTRAST AGENT  
ESRF JAN. 26, 2000



FIRST HUMAN ANGIOGRAM  
ESRF – JAN. 26, 2000  
LAO 40° PROJECTION



t = 13.054

t = 14.314

t = 15.575



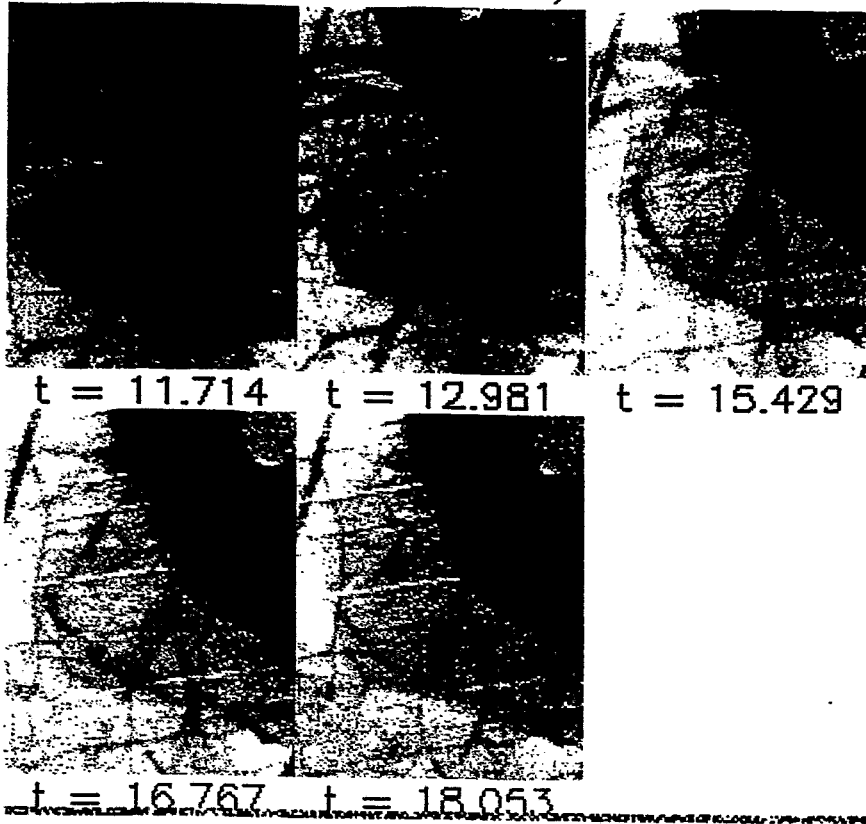
t = 16.834

t = 18.095

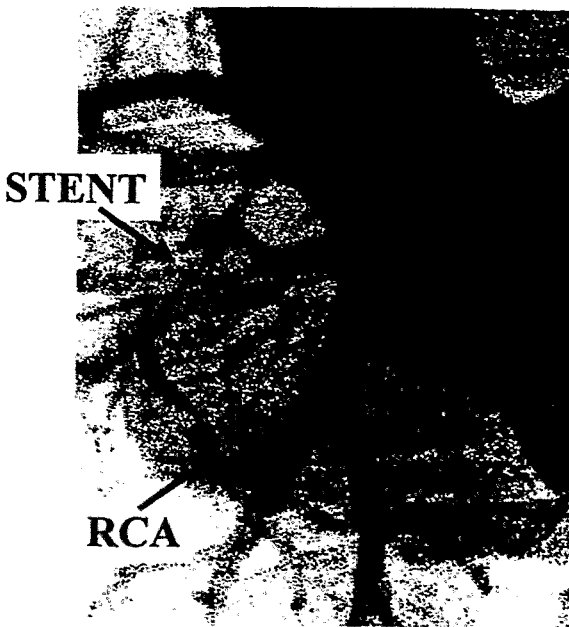
Male - 70 kg  
Contrast Agent - Iodine  
Dose/Frame - 32 mGy



LAO 30<sup>0</sup> IODINE CONTRAST AGENT  
ESRF JAN. 27, 2000



LAO 30<sup>0</sup> IODINE CONTRAST AGENT  
ESRF JAN. 27, 2000



T=12.981 sec



T= 15.429 sec

## Experimental challenges



### Source & X-ray Optics:

- presence of higher harmonic energies (0.5% at 60mm wiggler gap) in monochromatic radiation reduces image contrast
- strong heat-load on crystal optics deforms horizontal beam profile
- filters & windows can produce phase contrast

### Procedures and others

- superposition of arteries due to non-stereoscopic projection complicates diagnosis
- exact determination of transit times of contrast agent to coronary arteries needed to achieve optimum contrast
- synchronization of chair movement with ECG at high cardiac frequency in series of scans difficult (one scan within every heartbeat is desirable)

## Summary



- Beamline for in-vivo k-edge subtraction technique commissioned
- Intravenous coronary angiography on pigs
- Comparison of I and Gd contrast agents - higher concentrations of Gd needed
- First human patients examined
- Images of clinical relevance obtained (diagnosis confirmed by conventional angiograms)

### In future :

- Extension of Medical protocol to other target vessels
- Improvement of optics (harmonics, heat-load)
- Faster Imaging
- Stereoscopic imaging?

*D. Hermsdorf:*

**Biological experiments with low energetic  
charged particles**



# Biological Experiments with Low Energetic Charged Particles

B. Dörschel, D. Hermsdorf und H. Kühne

Dresden University of Technology,  
Institute for radiation Protection Physics  
D- 01062 Dresden

## Contents

1. Motivation
2. Problems for irradiation facilities
3. Problems for biological experiments
4. First results and outlook

## Motivation

- a lot of experiments with high energetic charged particles stimulated by research projects in astronautics and heavy ion therapy (GSI and HMI)
- rare experiments with low energetic charged particles ( $E < 10$  MeV) with partially contradictory results
- further need for scientific research in:
  - ⇒ biological effect of Radon and Radon daughters ( $\alpha$ -particles with  $E_\alpha < 7.7$  MeV) (environmental exposition of human population)
  - ⇒ biological effect of neutrons (charged secondary particles, mainly recoil-protons with  $E_p < E_n$ ) (safeguards and personal dosimetry)
  - ⇒ test of models for description of the biological effect of ionising radiation in dependence on
    - type of particle,
    - LET,
    - distribution structure of the deposited energy and others

## State of Art

### Demands for accelerator technique

- beam line with extraction (irradiation in air)
- acceleration of different particles (low and medium masses)
- acceleration in the energy range of interest ( $E < 10 \text{ MeV}$ )
- operability of the accelerator in the nA current regime
- homogeneous radiation field in the order of  $10 \text{ cm}^2$

### Facilities with extracted particle beam in Germany:

Institution	Type of Accelerator	Particles and Energy/MeV	External beam	Remarks
HMI	Cyclotron	p ~ 70	horizontal	essentially for therapy
LMU (Garching)	Tandem	p/d/ $\alpha$ 24/24/36	vertical upwards	Test phase
PTB	Cyclotron van de Graff	p/ $\alpha$ 20/28	vertical down	under construction
FZR	Cyclotron	p/d/ $\alpha$ 13/14/28	horizontal	put out of operation 12/1999
FZR/TUD	Tandem	p/d/ $\alpha$ /C/O 9/9/14/24/32	horizontal	project for 2000

## First Experiments

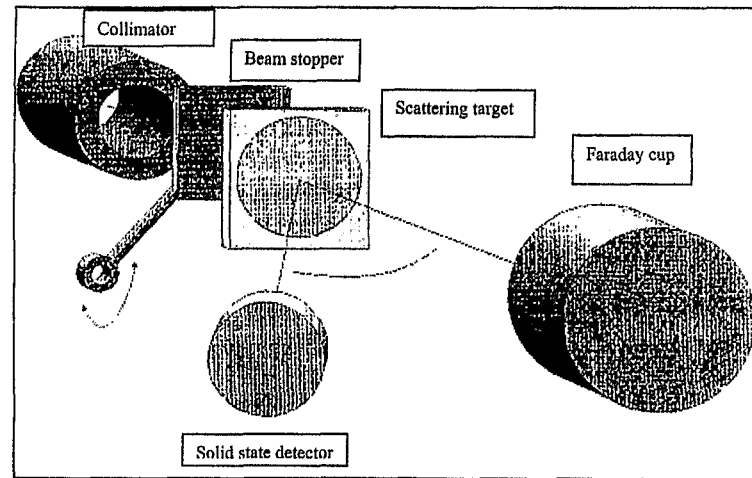
### Cyclotron U-120 at Rossendorf Research Centre

Particles and nominal energies (at the exit window):

- $\Rightarrow$  protons            7 and 13 MeV
- $\Rightarrow$  deuterons        14 MeV
- $\Rightarrow$   $\alpha$ -particles      28 MeV

### Particle flux reduction :

- $\Rightarrow$  scattering foil and beam collimation
- $\Rightarrow$  Rutherford scattering



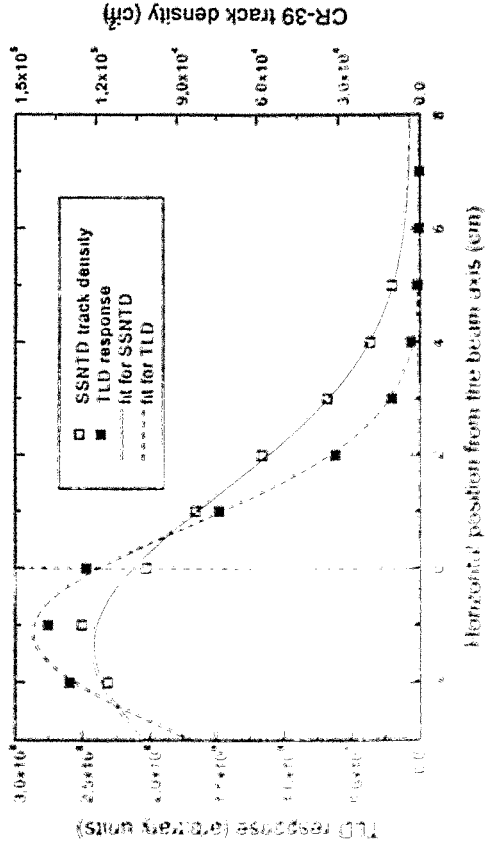
### Ranges of particle flux:

- $\Rightarrow$  direct beam      up to  $10^8 \text{ cm}^{-2} \text{ s}^{-1}$
- $\Rightarrow$  scattered beam     $< 100 \text{ cm}^{-2} \text{ s}^{-1}$

## Homogeneous radiation field

Beam softening by scattering targets and air distance

Example: 8.2 MeV protons



Homogeneity of  $\pm 10\%$  in a distance of  $\pm 1$  cm from the beam axis

## Determination of the Dose

Example: 8.2 MeV protons

- Thickness of the cell layer :  $d \approx 5 - 10 \mu\text{m}$
- Energy loss within the layer:  $\Delta E \approx 30 - 60 \text{ keV}$

$\Rightarrow$  LET roughly constant in the layer

$$\text{LET} = 5,5 \text{ keV}/\mu\text{m}$$

$\Rightarrow$  Dose  $D$  can be approximated by:

$$D = \varphi \frac{1}{\rho} \text{LET} t$$

with

- $\varphi$  : particle flux density
- $\rho$  : density of the cell
- LET: linear energy transfer
- $t$  : irradiation time

$\Rightarrow$  Important:

in the case of a stronger energy loss within the cell layer the dependence of LET on the penetration depth in the layer has to be taken into account by using the spectral flux density resulting in a twofold integration procedure

## Biological Test Experiments

**Cell culture:** R1 fish liver cells  
(Institute for Zoology, TUD)

**Particles:** 8.2 MeV protons

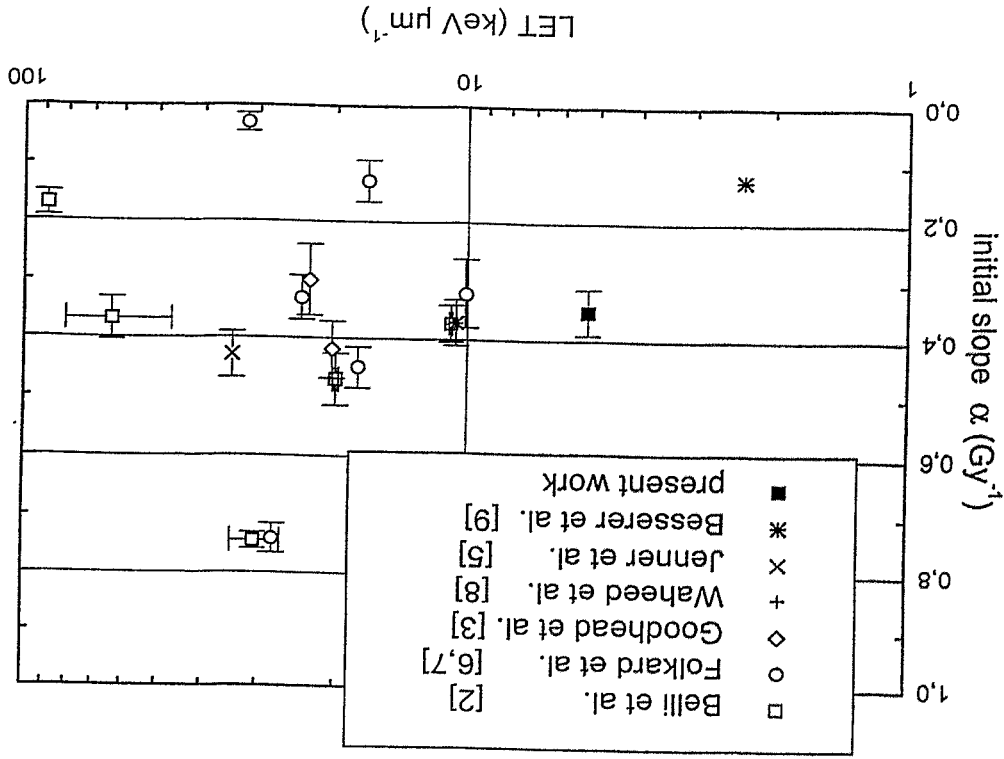
**Range of Doses:** 1 to 100 Gy

**Biological end point:** cell survival

**Method of Analysis:** MTT-Test  
(measurement of the enzymatic activity of the culture)

- ⇒ Advantage: simple photometry
- ⇒ Disadvantage: detection of survived but non fertile cells  
(background enhancement at higher Doses)

**Method of Evaluation:**  $S = \exp(-\alpha D - \beta D^2)$   
 Determination of  
 ⇒ initial slope  $\alpha$   
 ⇒ curvature  $\beta$  (shoulder)



## Future Activities

- **beam extraction and set-up of a new irradiation facility at the Tandem of the Rosendorf research Centre**
- **improvement of the experimental conditions**
  - ⇒ Homogeneity of the radiation field
  - ⇒ Management of the biological samples
  - ⇒ other cell cultures (conventional cell types)
- **Experiments with cells seeded and cultivated on radiation sensitive detectors**
  - ⇒ solid state nuclear track detectors (SSNTD, CR-39)
  - ⇒ best properties for simultaneous
    - ⇒ particle identification
    - ⇒ localisation of hit cells by image analysing system
    - ⇒ energy loss measurement
    - ⇒ cell layer thickness determination
- ⇒ **position resolved dosimetry (Micro-Dosimetry)**

## DFG-Project

„Correlation of physical parameters with the cellulare effect of soft photons and low energetic charged particles“

## Participants:

- ⇒ **Institute for Zoology (Prof. Gutzeit)**
- ⇒ **Clinic for Radiation Therapy (Dr. Dörr)**
- ⇒ **Institute for Radiation Protection Physics**
- **Biological experiments**
  - ⇒ cell survival studies (improved analysis)
  - ⇒ DNA- damage studies (Comet assay)
  - ⇒ cytogenetic studies (FISH)
  - ⇒ specific cell cycle studies (ELISA)
  - ⇒ stress response studies (hsp70 expression)
  - ⇒ genetic expressions (cDNA arrays)
- **Theoretical activities**
  - ⇒ Description of the biological effect in dependence on the energy deposited by  $\delta$ -electrons in the vicinity of the track of a charged particle within a cell
  - ⇒ Comparison with experimental results obtained by irradiations with soft photons from the

**ELBE source**

*A. Panteleeva:*

**Cell survival studies after X-ray irradiation**

# Cell Survival Studies after X-ray Irradiation

A.Panteleeva, W. Enghardt, U. Lehnert, J. Pawelke, H. Prade  
*Forschungszentrum Rossendorf e.V., Dresden*

W. Dörr, B. Dörschel  
*TU Dresden*

Workshop on X-rays from electron beams  
*February 24 - 26, 2000*

Institut für Kern- und Hadronenphysik



## Introduction

### The ELBE Radiation Source for radiobiological studies

- Superconducting electron accelerator, producing an Electron beam of high quality (high Brilliance and low Emittance)
- In comparison to the synchrotron facilities, ELBE radiation source is cheaper
- in comparison to the sources of characteristic X-rays, ELBE produces X-rays of tuneable energy

•

Measurement of RBE of X-rays in the  
energy range 10-50 keV  
is the first research project

•

First stage of the project  
(performed at the Medical Science Dept. of  
TU Dresden)

- Acquiring experience with cell culture, and determination of growth conditions of the particular cell line
- Obtaining a reference cell-survival curve at a conventional X-ray source
- Determination of the special requirements to soft X-ray irradiation

## Determination of cell culture conditions

- Cell line:** NIH/3T3 mouse embryo
- *wide - spread use for various studies*
  - *well-established cell line*
  - *contact-inhibited*

- Growth conditions :**
- *37° incubator*
  - *humidified atmosphere with 5% CO<sub>2</sub>*

- Tested NIH/3T3 sources:**
- *ATCC collection*
  - *DKFZ collection*

**Tested media: Medium1:**

*Dulbecco's MEM*

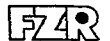
- *10 % Fetal Calf Serum*
- *20mM HEPES buffer*
- *100U /100µg /ml penicillin / streptomycin*
- *1mM Sodium pyruvate*
- *1% (v/v) non-essential aminoacids*

**Medium2:**

*Dulbecco's MEM*

- *10 % Fetal Calf Serum*
- *20mM HEPES buffer*
- *100U /100µg /ml penicillin / streptomycin*

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### Irradiation with a conventional X-ray source:

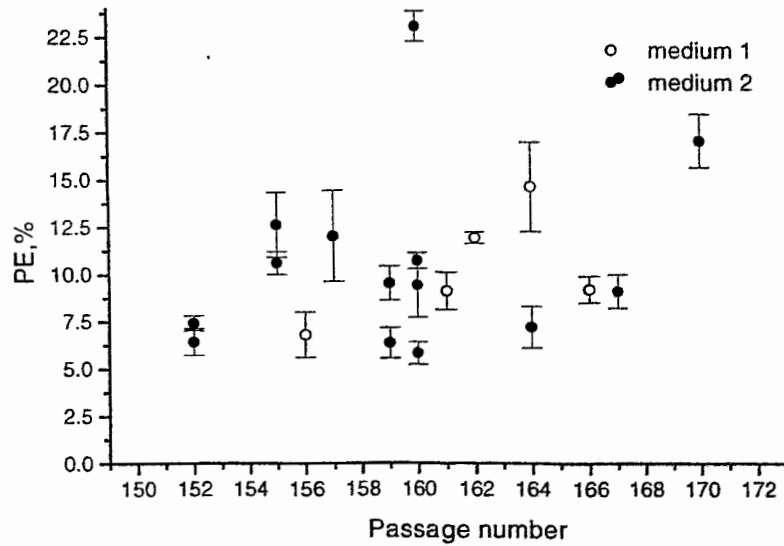
- *Cells are seeded in 25 cm<sup>2</sup> polystyrene culture flasks, allowed to reach confluence*
- *Irradiated as monolayer*
- *X-ray generator, operated at 200 kV / 20 mA, yielding a dose rate of 1.2 Gy/min*

### Survival assay:

- *Cells are trypsinized immediately after irradiation*
- *Appropriate numbers of cells for each dose are seeded in 5mm Petri dishes*
- *Cultures are fixed and stained after 10-11 days*
- *Colonies with more than 50 cells are scored as survivors*



# Plating efficiency of NIH/3T3 cells from DKFZ source in different media



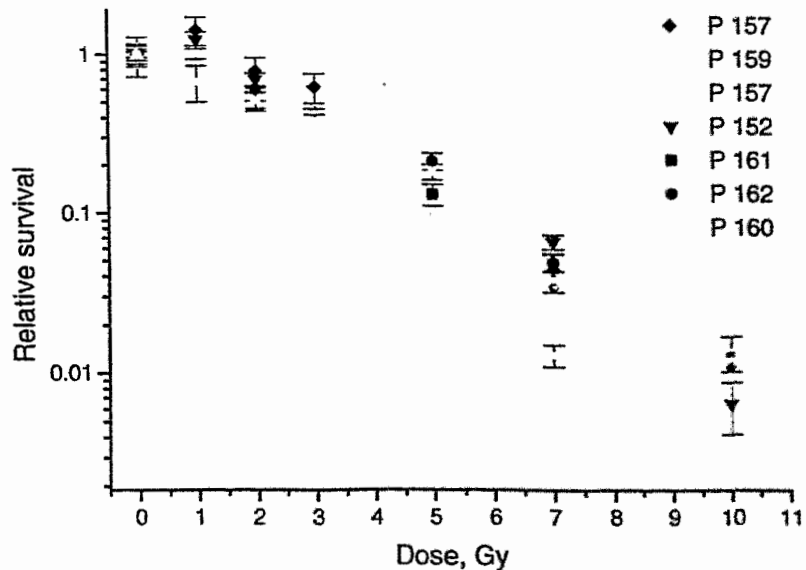
Mean values for PE, %:

DKFZ source, medium 1	10.5 ± 0.6	ATCC source, medium 1	12.2 ± 1.1
DKFZ source, medium 2	10.5 ± 0.6	ATCC source, medium 2	11.7 ± 0.7

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## Survival of NIH/3T3 cells (DKFZ source)

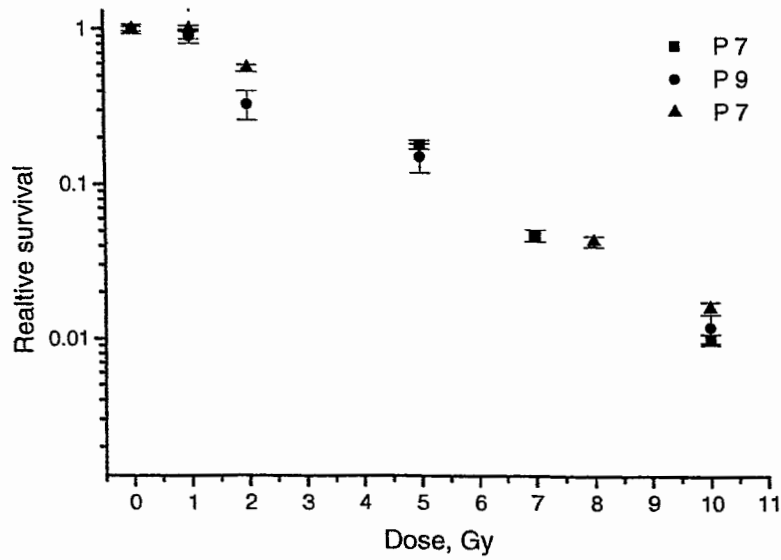


- Irradiation with conventional X-ray tube
- Different passages investigated

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## Survival of NIH/3T3 cells (ATCC source)

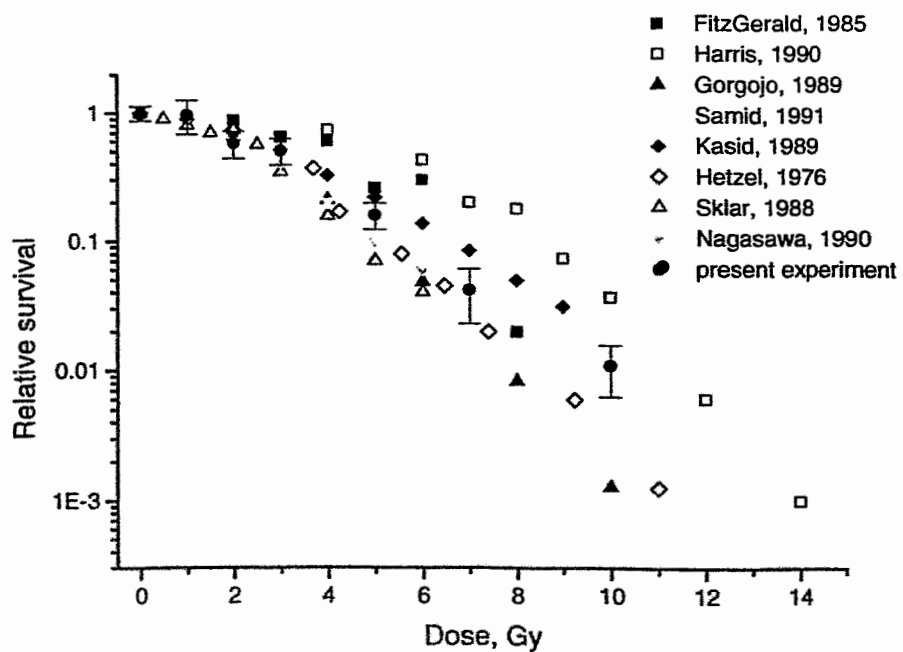


- Irradiation with conventional X-ray tube
- Different passages investigated

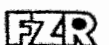
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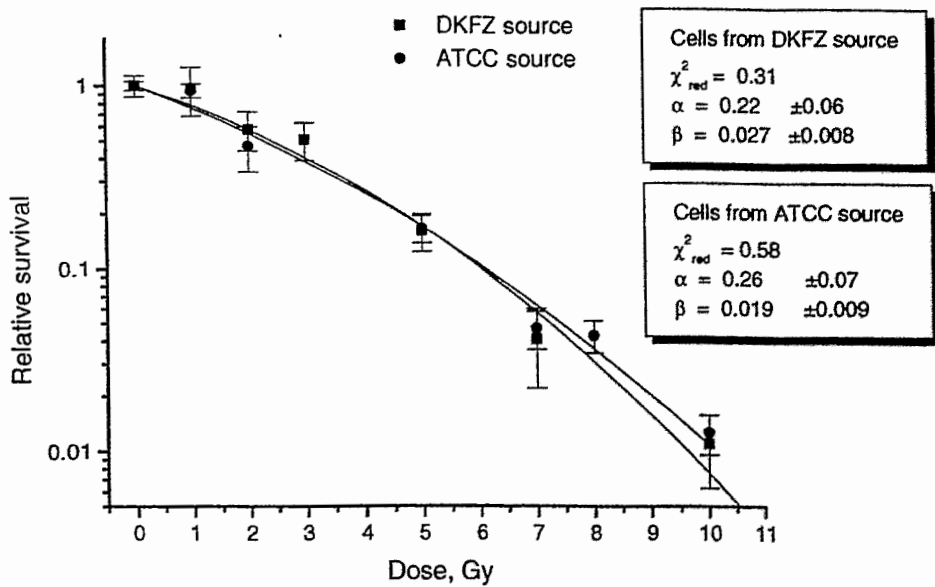
## Data for NIH/3T3 survival from the literature



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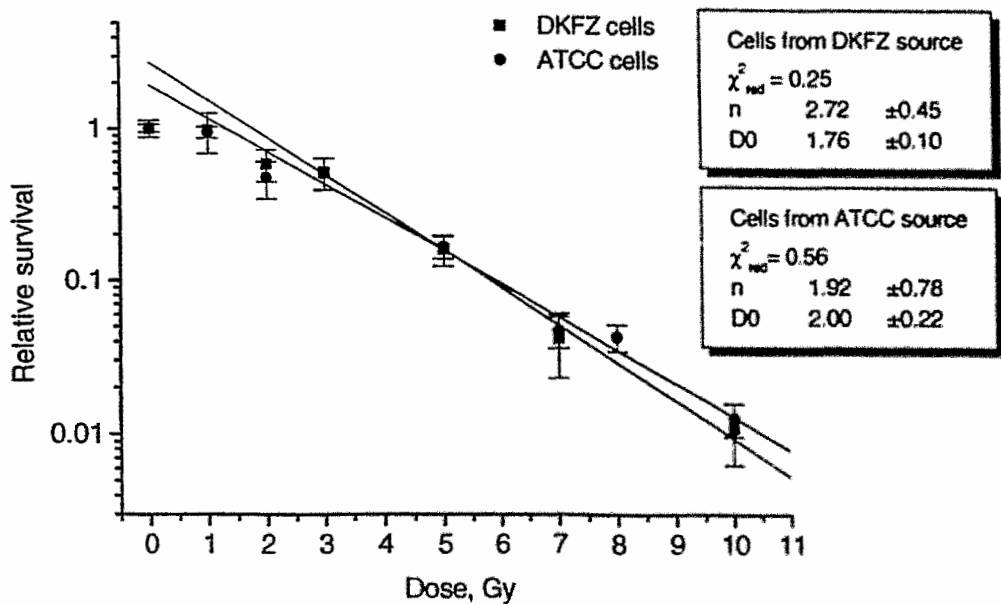


## Linear-quadratic Model fit of the X-ray irradiation survival



Each point represents the mean value of several experiments

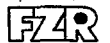
## Multitarget Model fit of the X-ray irradiation survival



## Literature data for NIH/3T3 cell line

Literature Source	Plating Efficiency, %	D <sub>0</sub> , Gy	n
Hetzel, 1976	not reported	1.30	6.5 ± 0.4
FitzGerald, 1985	4.3	1.45	9.10
Sklar, 1988	not reported	1.41 ± 0.12	3.4 ± 0.2
Kasid, 1989	not reported	2.02 ± 0.11	3.1 ± 0.8
Gorgojo, 1989	70 -100	1.18	6.5
Harris, 1990	not reported	1.35 ± 0.09	40 ± 5
Nagasawa, 1990	not reported	1.7	2.5
Samid, 1990	not reported	1.27 ± 0.09	3
present experiment	10.5	1.8 ± 0.1	2.7 ± 0.5

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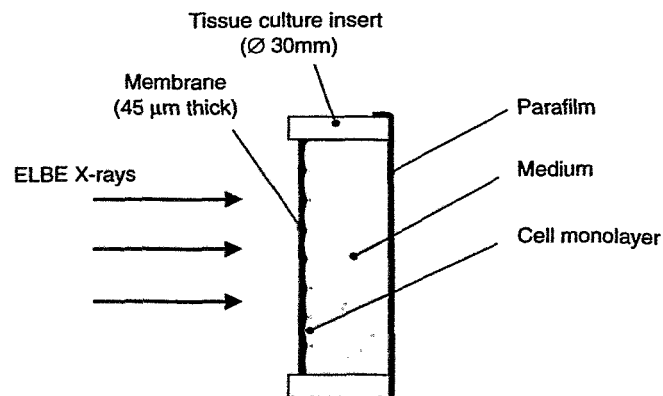
### Experimental design for irradiation at ELBE

#### Requirements for irradiation with low-energy X-rays:

- Minimal thickness of material between cells and irradiation source
- Suitable membrane material, providing cell attachment and growth
- Sufficient amount of medium covering the cell monolayer

#### Beamline design requirements:

- Vertical position of cells during irradiation
- Comparatively long irradiation procedure (up to 40 min)



Experimental design for irradiation at ELBE

## Possibilities of cell survival without medium:

By the dye exclusion assay: *Cells can survive only when covered with liquid*

## Determination of membrane properties:

Membrane material	Pore size, $\mu\text{m}$	Growth of cells as monolayer	PE, %
Anopore <sup>1)</sup>	0.02	good	8.1
Anopore <sup>1)</sup>	0.2	very good	8.4
polycarbonate <sup>1)</sup>	3	very good	to be checked
polycarbonate <sup>1)</sup>	0.4	very good	to be checked
PTFE <sup>2)</sup>	0.4	bad	to be checked
polycarbonate <sup>2)</sup>	0.4	excellent	to be checked

Producer: <sup>1)</sup> NUNC GmbH

<sup>2)</sup> IWAKI corp

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## Conclusions

### Achieved results:

- Obtaining experience in cell survival studies and determination of the special requirements to soft X-ray irradiation
- Obtaining a reference cell-survival curve at a conventional X-ray source, in a good agreement with literature data

### Current stage of our research:

- Establishment of a cell laboratory at ELBE
- Design of the dose delivery system

### Future plans:

- Extending the photon energy to the ultra-soft range
- Investigations with other radiation sources

*J. Pawelke:*

**A device for cell irradiation with low energy  
quasi-monochromatic photons at ELBE**

# A Device for Cell Irradiation with Low Energy Quasi-Monochromatic Photons at ELBE

Workshop on X-rays from electron beams

*Rosendorf, February 24-26, 2000*

J. Pawelke, W. Enghardt, U. Lehnert, B. Naumann,  
W. Neubert, A. Panteleeva, H. Prade, W. Wagner

## Introduction

### Radiobiological Studies with Quasi- Monochromatic X-Rays at ELBE

Generation of secondary X-rays by:

Channeling radiation ( $10 \text{ keV} \leq E_\gamma \leq 100 \text{ keV}$ )

Parametric X-rays ( $2 \text{ keV} \leq E_\gamma \leq 40 \text{ keV}$ )

Transition radiation ( $E_\gamma \leq 0.5 \text{ keV}$ )

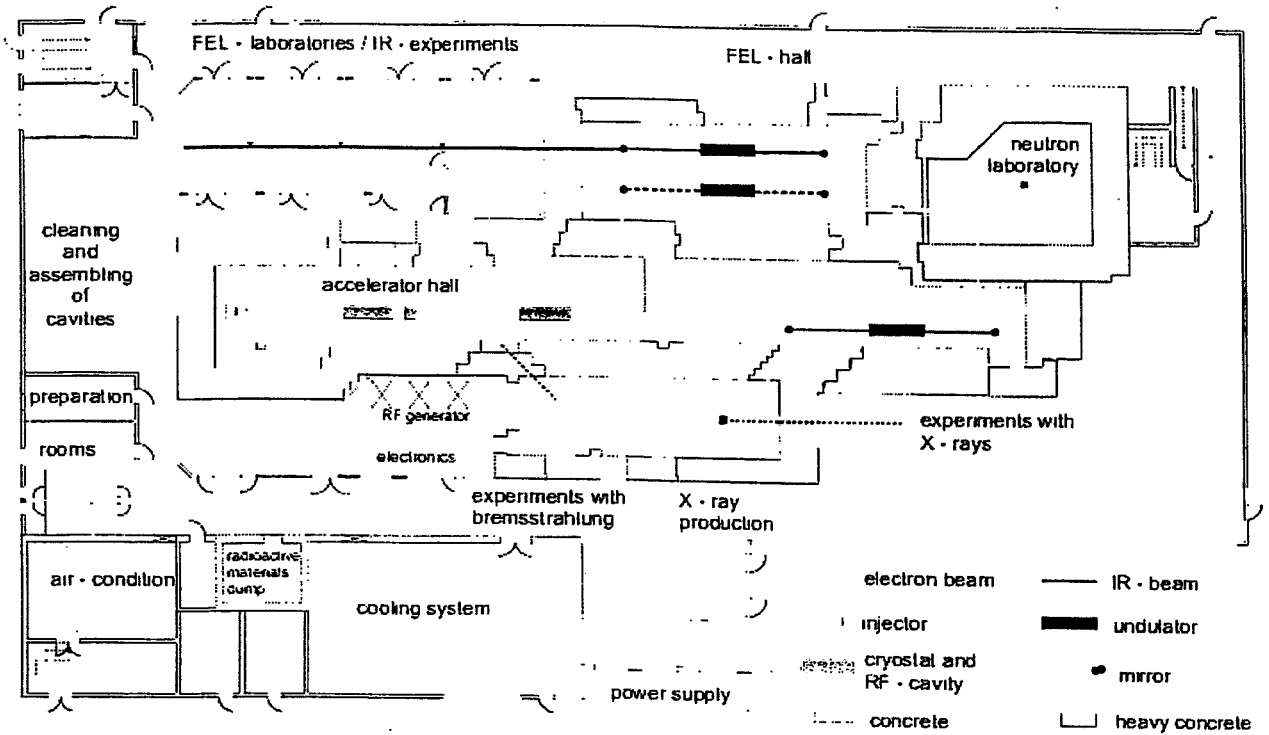
Compton backscattering

First research project:

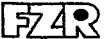
Measurement of RBE of X-rays in the energy  
range 10-50 keV

Cell survival studies after irradiation with  
channeling X-rays

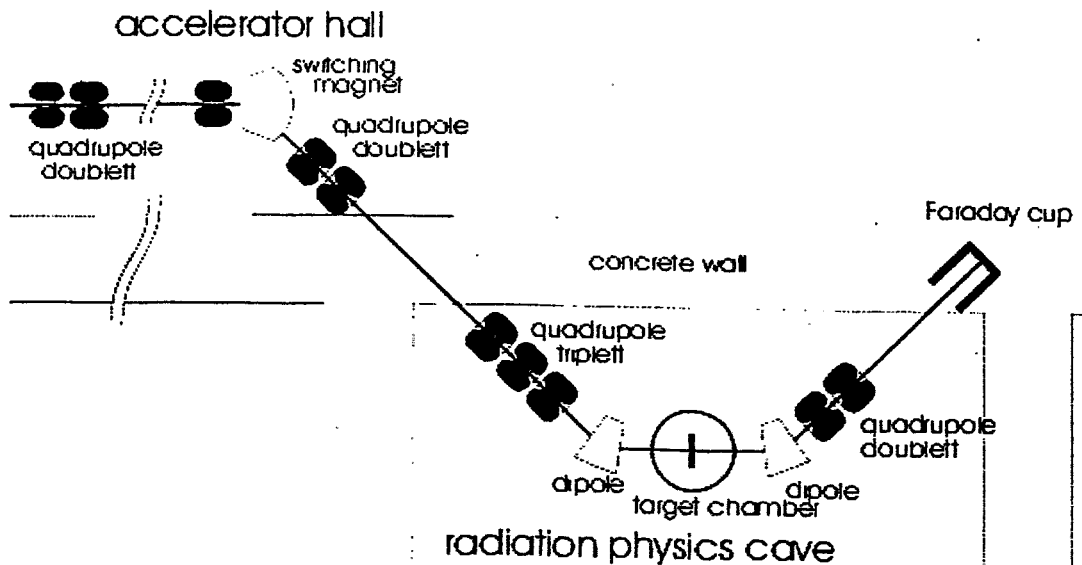
# ELBE Facility



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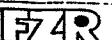


## Beamline layout



- *beam tube: CF63 (stainless steel)*
- *distance between channeling crystal and dipole magnet section: 122 cm*
- *magnet gap: 60 mm*

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# RBE determination by cell survival

## Requirements:

- Dose rate of  $\geq 1$  Gy/min
- Homogeneous dose delivery to the irradiated field
- Sufficient low background radiation level
- Absolute dosimetry with high accuracy
- A cell laboratory available

## Desirable:

Calculation of spatial and spectral dose distribution for channeling as well as background radiation

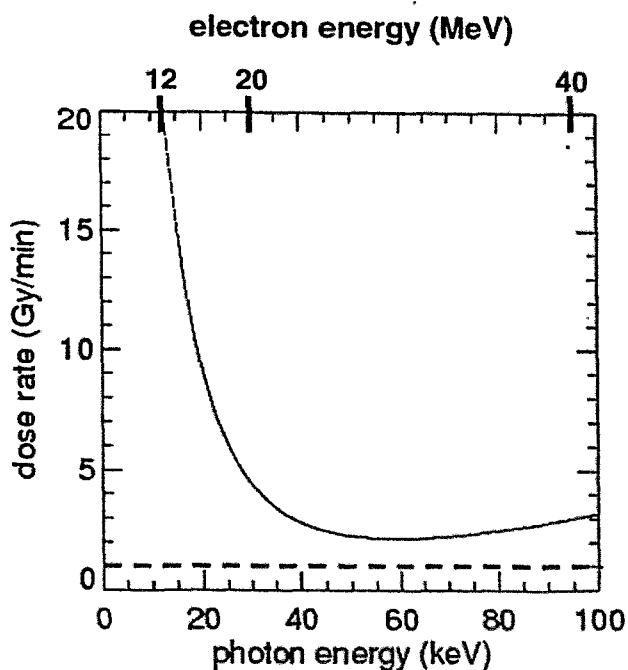
## Dose rate dependence on channeling photon energy

Calculations based on the experimental data

- of photon yield for channeling in diamond (110) plane,  $1 \rightarrow 0$  transition, 10% BW [TU Darmstadt, H. Genz et al., Phys. Rev. B53 (1996) 8922]

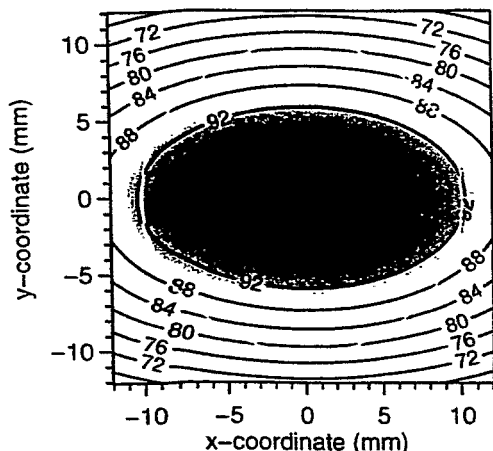
and assuming:

- 100  $\mu$ A electron beam (12 - 40 MeV)
- a 100  $\mu$ m thick crystal
- 0.5 mm water equivalent absorbing material in front of the cell monolayer
- 20  $\mu$ m cell monolayer

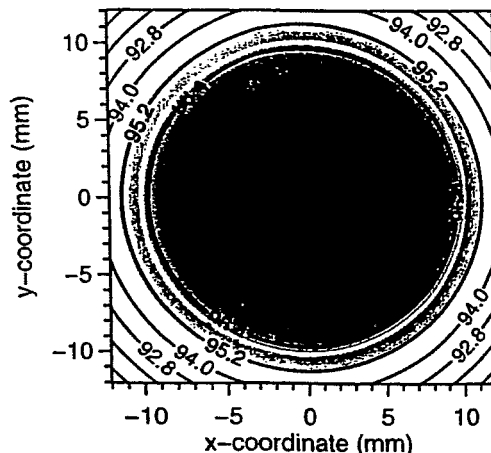


# Angular distribution of channeling radiation

photon yield

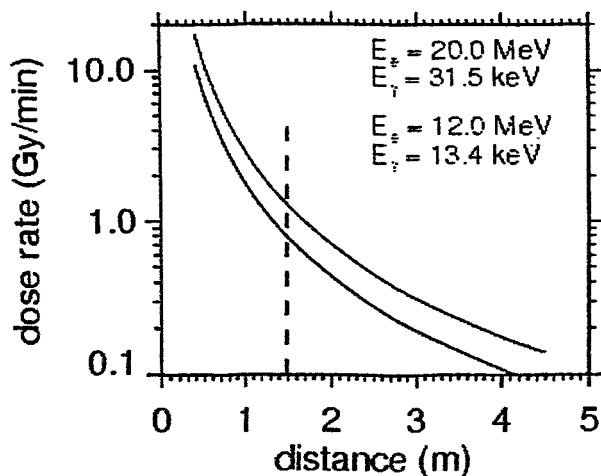


photon energy

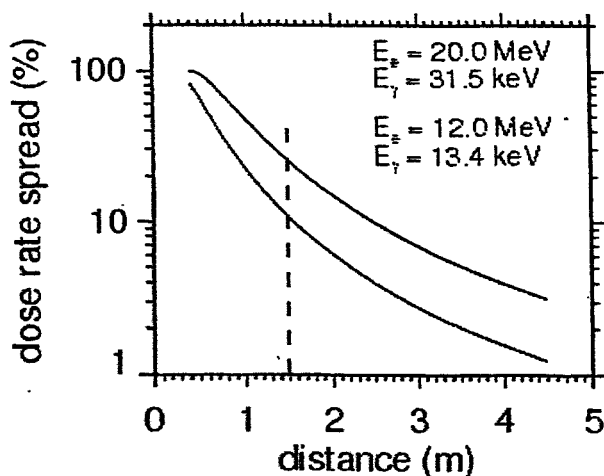


- Dipole approximation to quantum theory of channeling radiation
- Relative distribution of channeling radiation at a distance 2 m from the crystal
- An electron beam of 20 MeV results in maximum photon energy of 30 keV
- The white circle represents the target area of 2 cm diameter

## Distance dependence of dose rate and dose rate spread



Dose rate in the target centre



Maximal dose rate difference in the target in percentage of the maximum dose rate

Calculations are for 12 MeV and 20 MeV electron beams.

# Background radiation

by

- other channeling transitions

e.g. 20 MeV electron energy

1 → 0 transition:

photon energy: 30 keV

dose rate: 1.5 Gy/min

2 → 1 transition:

photon energy: 17 keV

dose rate: 1.7 Gy/min

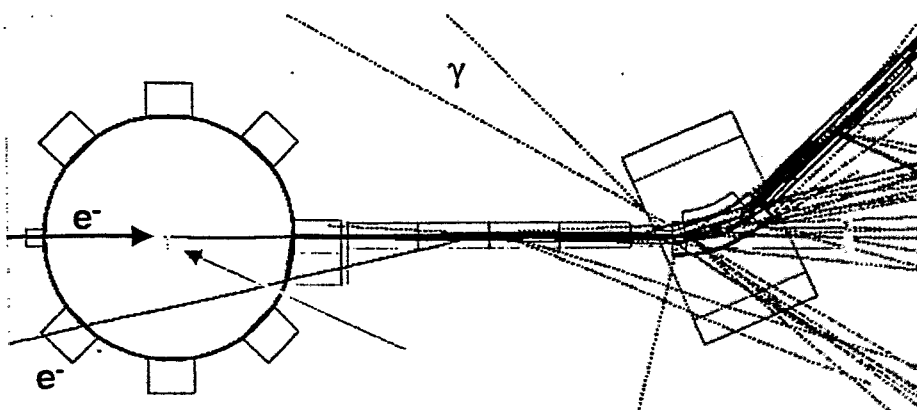
- bremsstrahlung
- neutrons generated by ( $\gamma, n$ ) reaction

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FZAR

## Calculation of dose contribution by bremsstrahlung

Monte Carlo simulation by GEANT (CERN Program Library W5013) based on realistic beamline geometry



target chamber

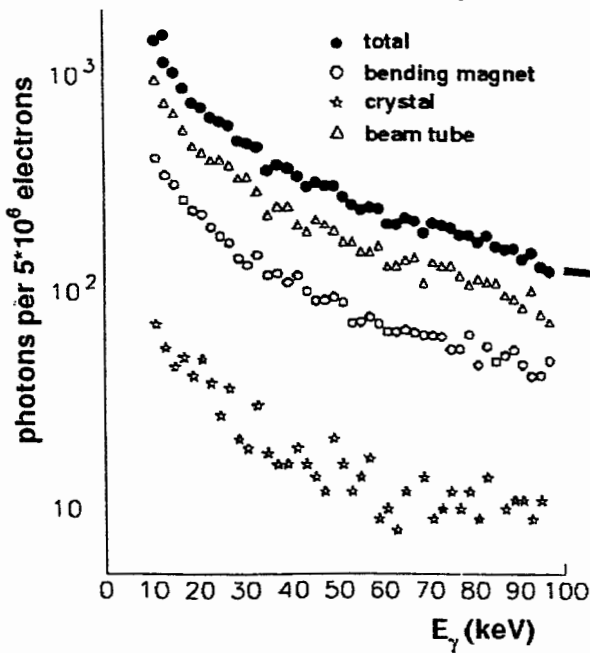
(Distance between channeling crystal and cell monolayer: 150 cm)

Sources of bremsstrahlung generation are: crystal, beam tube, magnet section

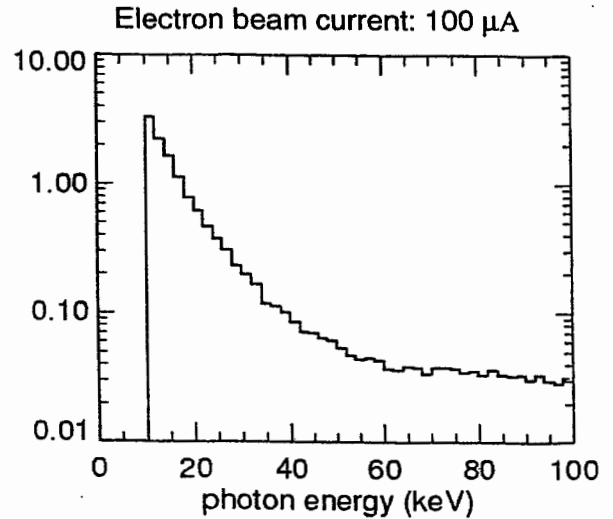
# Calculation of dose contribution by bremsstrahlung

20 MeV electrons on 100  $\mu\text{m}$  diamond

Most of the bremsstrahlung is generated by electrons which are scattered in the crystal and then hit the beam transport line



dose rate (Gy/min)



( $\Delta E_i = 2$  keV)

## Calculation of dose contribution by neutrons

- GEANT: calculation of photon flux  $\phi(r, E_\gamma)$
- Consider: realistic beam line geometry
- Assume: all components are made from iron
- S. Costa et al., Nuovo Cimento 51B (1967) 199: photo-neutron reaction cross section  $\sigma_n(E_\gamma)$
- Calculate: neutron production rate  $N_n$
- M. Barbier, Induced radioactivity, North Holland Publ. Co., Amsterdam, 1969: neutron energy spectrum  $dN_n/dE_n$  for iron ( $E_n \leq 6.5$  MeV)
- J. Broerse, Monograph on basic physical data for neutron dosimetry: kerma factors for water
- Consider: target geometry ( $\Delta x = 20$   $\mu\text{m}$ ,  $\varnothing = 2$  cm)
- Calculate:  $dD/dt$  integrated over neutron spectrum

$$dD/dt = 0.8 \text{ cGy/min}$$

(quality factor:  $\sim 10$ )

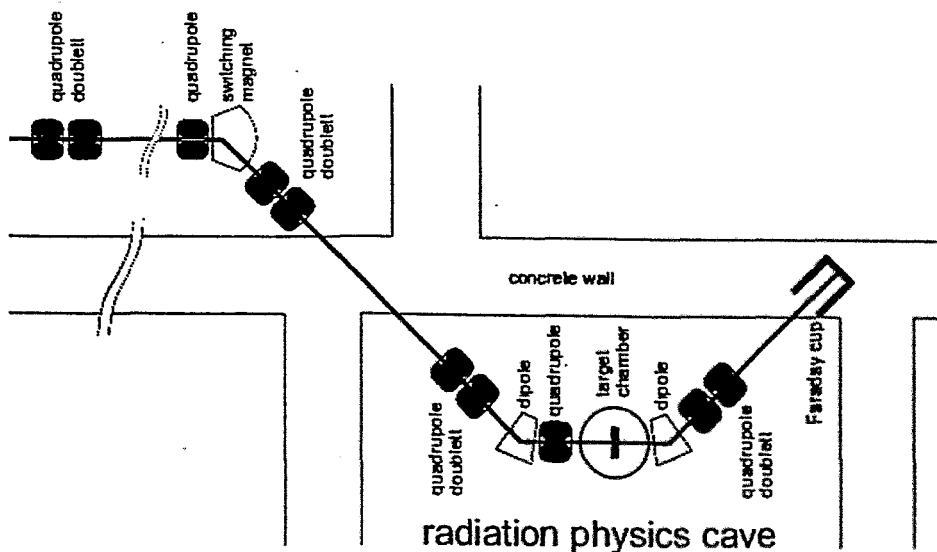
# Conclusion

- Modification of electron optical elements of the radiation physics beamline to
  - reduce background radiation
  - be more flexible about target positioning

Current stage of our research:

- More sophisticated calculation of dose contribution by neutrons (FLUKA, MCNP)
- Monte Carlo simulation for modified beamline
- Photon background suppression by Bragg reflection (HOPG)
- Design of dose delivery and dose monitoring system

## Modified beamline layout



- *decreased distance between channeling crystal and dipole magnet section: 80 cm*
- *increased magnet gap: 110 mm*

Reduction of bremsstrahlung (neutron) background by about a factor of 5

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